Intellectual Property Policy

Effective Date: February 1, 2017
Supersedes: N/A
Policy Review Date: To be reviewed every 1 year from effective date
Issuing Authority: Research Foundation President
Policy Owner: Vice President of Industry and External Affairs
Contact Information: 518-434-7061
iea@rfsuny.org

Reason for Policy

The Research Foundation for the State University of New York (“RF”) is The State University of New York (“SUNY”) administrative agent for its sponsored programs and technology transfer activity. Pursuant to SUNY’s agreement with RF, RF is obligated to conduct itself in a manner consistent with all administrative policies applicable to SUNY, and is specifically committed to follow “[t]he University’s Patent and Copyright Policy and implementation thereof. . .”.

The State University of New York Board of Trustees adopted an amendment to SUNY regulation, Section 335.28 of Title 8 in the Official Compilation of Codes, Rules and Regulations of the State of New York, the SUNY Patents and Inventions and Policy (the “Policy”) on November 3, 2016, which became effective on November 23, 2016.

Statement of Policy

Consistent with SUNY’s agreement with RF and RF’s commitment to follow “[t]he University’s Patent and Copyright Policy and implementation thereof. . .”, RF, with no exception, hereby adopts as its own the following:

- SUNY Patents and Inventions Policy, codified at 8 NYCRR 335.28;
- SUNY Procedures for Disclosure and Management of Intellectual Property;
- SUNY Guidelines for the Management of Intellectual Property;
- SUNY Copyright Policy, codified at 8 NYCRR 335.29; and
- SUNY Computer Software Policy, for applicable software disclosed before November 23, 2016.

Individuals conducting business in the name of RF in conformance with these policies (e.g., directing royalty distributions) must take care to observe 8 NYCRR 335.28(h), which requires that any technology disclosures received by the university prior to November 23, 2016 must adhere to SUNY’s prior Patents and Inventions Policy, and therefore SUNY’s prior royalty distribution model. For guidance on implementing this rule on campus, refer to the RF Procedures for the Distribution of Royalty Income (link).
Any questions regarding the applicability of this policy shall be directed to the Vice President of Industry and External Affairs.

**Responsibilities**

The following table outlines the responsibilities for compliance with this policy:

<table>
<thead>
<tr>
<th>Responsible Party</th>
<th>Responsibility</th>
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</thead>
<tbody>
<tr>
<td>Operations Managers</td>
<td>Ensure campus compliance with this policy.</td>
</tr>
<tr>
<td>Office of Industry and External Affairs</td>
<td>Comply with the policy on behalf of centralized campuses.</td>
</tr>
<tr>
<td></td>
<td>Promote compliance for decentralized campuses.</td>
</tr>
</tbody>
</table>

**Definitions**

None

**Related Information**

SUNY Patents and Inventions Policy  
SUNY Procedures for Disclosure and Management of Intellectual Property  
SUNY Guidelines for the Management of Intellectual Property  
SUNY Copyright Policy  
SUNY Computer Software Policy  
RF Policy for the Distribution of Royalty Income  
RF Procedure for the Distribution of Royalty Income

**Forms:**

SUNY External Invention Disclosure Form

**Document History**

<table>
<thead>
<tr>
<th>Date</th>
<th>Summary of Change</th>
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<tbody>
<tr>
<td>May 23, 2024</td>
<td>Updated Links, policy owner, and contact information</td>
</tr>
<tr>
<td>June 6, 2022</td>
<td>Policy reviewed.</td>
</tr>
<tr>
<td>February 25, 2020</td>
<td>Updated links and contact information.</td>
</tr>
<tr>
<td>February 1, 2017</td>
<td>New document.</td>
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</tbody>
</table>

**Feedback**

Was this document clear and easy to follow? Please send your feedback to webfeedback@rfsuny.org.