

Annual Acknowledgment and Certification of Compliance with the Code of Conduct

This is to acknowledge that I have received and reviewed The Research Foundation for The State University of New York's Code of Conduct. I agree to comply with the standards contained in the Code and all related policies and procedures as is required as part of my continued employment or association with the organization. I acknowledge that the Code is only a statement of principles for individual and business conduct and does not constitute an employment contract.

I will report any potential violation of which I become aware promptly to my supervisor, Vice President, Operations Manager, or in the alternative to the Research Foundation Executive Vice President, Compliance Office, Vice President of Internal Audit, or Office of General Counsel. I understand that any violation of the Code of Conduct or any corporate ethics or compliance policy or procedure is grounds for disciplinary action, up to and including discharge from employment.

Date:

Printed Name:

Signature: