



The State University
of New York

STATE UNIVERSITY OF NEW YORK PATENTS AND INVENTIONS POLICY BOARD CHARTER

PURPOSE

The purpose of the Patents and Inventions Policy Board is to define SUNY's intellectual property and commercialization policy objectives and to develop and interpret such policies in furtherance of SUNY's strategic goals, including the entrepreneurial goals set forth in *The Power of SUNY*.

MEMBERSHIP

The Board shall have no more than 10 members, and shall include one representative of the central administration, two from the university centers, one from the health sciences centers, one from another major research institution of the university, one from the colleges of arts and sciences, one from the agriculture and technology colleges, one from The Research Foundation for The State University of New York ("RF"), and two representatives from business and industry.

The Board may invite additional members to serve in a non-voting advisory capacity.

The Chancellor shall designate the Board Chair and Board Secretary.

The members of the patents and inventions policy board shall serve without extra compensation and at the pleasure of the Chancellor.

The Research Foundation for The State University of New York shall ensure the provision of dedicated staff to support the work of the patents and inventions policy board.

DUTIES AND RESPONSIBILITIES

The patents and inventions policy board shall:

1. undertake continual review of the patents and inventions, copyright, computer software, conflict of interest, and related intellectual property and commercialization policies applicable to SUNY;
2. advise the Chancellor, the SUNY Board of Trustees and the RF Board of Directors regarding:
 - a. guidelines and procedures for the implementation of these policies;
 - b. exceptions to these policies in unusual circumstances;
 - c. determining the extent of the university's interest in inventions;
 - d. determining whether or not to grant exclusive licenses or to commit the university to the future granting of exclusive licenses as a condition of sponsorship for research projects;
 - e. issuing recommendations for interpretation of or revisions to existing policies, or the creation of new policies; and
 - f. such other matters as the Chancellor may deem appropriate.

3. evaluate requests for exception or waiver of the provisions of the patents and inventions policy. The person requesting an exception or waiver shall have the right to appear, accompanied by representatives of the person's choice, before the patents and inventions policy board for consideration of the request for an exception or waiver. The patents and inventions policy board shall prepare a report of its findings and an advisory recommendation to the Chancellor for review. The decision of the Chancellor on the findings and recommendations of the patents and inventions policy board shall be final;
4. assess annually the adequacy of this Board charter, and recommend changes to the Chancellor as appropriate;
5. have the authority to confer with nonvoting advisory members and to retain consultants or other professionals and experts to assist in carrying out the Board's duties and responsibilities provided, however, that all professional engagements shall be subject to the approval of the RF General Counsel and RF Chief Financial Officer.

COMMUNICATIONS

Any and all communications or inquiries by or on behalf of the Patents and Inventions Policy Board shall be made by the Board as a whole through its Chair or other designee and not by individual Board members.

The Board shall report its activities and recommendations quarterly to:

- a. the Chancellor;
- b. SUNY's Board of Trustees through its research and economic development committee;
- c. RF's Board of Directors

The Board shall maintain current information concerning innovation activities within SUNY; disseminate information to SUNY faculty concerning such activities; and encourage general awareness of and interest concerning intellectual property within the university community.


MEETINGS

The Board shall meet at least one time (1) time annually. Additional meetings may be held at the call of the Board Chair or a quorum of the Board members. Meetings may, at the discretion of the Board, include members of RF and SUNY management, independent consultants, and such other persons as the Board shall determine. Board-related business may also be conducted via electronic means.

In addition, the Board shall include RF's technology transfer directors as advisors who may attend Board meetings and who shall serve in a non-voting advisory capacity unless any such individual is otherwise appointed as a voting member.

The Board shall fix its own rules of procedure.

Approved by:


Nancy L. Zimpher
Chancellor

Date: December 23, 2014