

OMB Circular A-133 Audit Report

Year ended June 30, 2012

(With Independent Auditors' Report Thereon)

OMB Circular A-133 Audit Report Year ended June 30, 2012

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KPMG LLP 515 Broadway Albany, NY 12207-2974

Independent Auditors' Report

The Board of Directors
The Research Foundation for the State University of New York:

We have audited the accompanying balance sheets of The Research Foundation for the State University of New York (the RF) as of June 30, 2012 and 2011, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the RF's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the RF's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The Research Foundation for the State University of New York as of June 30, 2012 and 2011, and the changes in its net assets and its cash flows for the years then ended in conformity with U.S. generally accepted accounting principles.

In accordance with *Government Auditing Standards*, we have also issued a report dated September 27, 2012 on our consideration of the RF's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our 2012 audit.



Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards for the year ended June 30, 2012, is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditure of federal awards for the year ended June 30, 2012, is fairly stated in all material respects in relation to the financial statements as a whole.



September 27, 2012

Balance Sheets

June 30, 2012 and 2011

Assets	_	2012	2011
Current assets:			
Cash and cash equivalents	\$	916,046	1,076,799
Accounts receivable, net		218,675,127	185,534,049
Advances to others		13,074,958	17,348,401
Short-term investments		192,272,655	200,328,317
Due from broker for securities sold		18,873,180	_
Other assets	_	8,771,195	2,988,355
Total current assets	_	452,583,161	407,275,921
Noncurrent assets:			
Long-term investments		17,439,439	64,939,976
Long-term investments pledged		10,000,000	· · · · · ·
Fixed assets, net		29,377,905	21,077,123
Intangible assets, net		46,849,500	24,200,000
Other assets	_	20,653,262	14,868,670
Total noncurrent assets	_	124,320,106	125,085,769
Total assets	\$	576,903,267	532,361,690
Liabilities and Net Deficit	_	_	
Current liabilities:			
Accounts payable and accrued expenses	\$	85,799,427	62,937,794
Accrued compensation		12,805,346	23,321,268
Accrued vacation		28,413,229	27,610,292
Deferred revenue		155,799,328	155,999,406
Deposits held for others		32,759,801	45,444,785
Current portion of capital lease obligations		6,452,681	, , <u>, , , , , , , , , , , , , , , , , </u>
Current portion of long-term debt		656,812	188,666
Line of credit		28,176,445	29,200,000
Total current liabilities	-	350,863,069	344,702,211
	-	223,223,23	
Noncurrent liabilities:		1 227 554	1 460 705
Deposits held for others		1,237,554	1,460,785
Post-retirement benefit obligation		303,580,000	209,035,000
Capital lease obligations, net of current portion		17,408,526	
Long-term debt, net of current portion		9,612,288	5,205,000
Other liabilities	-	5,535,572	4,469,453
Total noncurrent liabilities	-	337,373,940	220,170,238
Total liabilities		688,237,009	564,872,449
Net deficit:			
Unrestricted	_	(111,333,742)	(32,510,759)
Total liabilities and net deficit	\$	576,903,267	532,361,690

See accompanying notes to financial statements.

Statements of Activities

Years ended June 30, 2012 and 2011

	_	2012	2011
Revenues:			
Grants awarded for research and other sponsored activities:			
Federal	\$	390,740,646	414,574,635
Federal flow through		157,520,496	163,817,516
New York State		154,341,772	163,585,941
Private and other	_	179,392,842	180,286,492
Total grants awarded for research and other			
sponsored activities		881,995,756	922,264,584
Investment income, net		5,380,612	19,921,549
Inventions and licenses income		10,853,368	11,924,304
Other income	_	40,189,091	20,677,684
Total revenues	_	938,418,827	974,788,121
Expenses:			
Sponsored programs and other activities		743,425,005	776,145,290
Administration and support	_	177,715,256	178,986,188
Total expenses	_	921,140,261	955,131,478
Change in net assets from revenues and expenses		17,278,566	19,656,643
Other changes:			
Inherent net contribution from ITC/STC acquisition		7,763,451	_
Transfer to affiliate organization FRMC		(10,000,000)	_
Post-retirement related change other than net periodic benefit			
costs	_	(93,865,000)	51,818,000
Change in net assets		(78,822,983)	71,474,643
Net deficit at beginning of year	_	(32,510,759)	(103,985,402)
Net deficit at end of year	\$_	(111,333,742)	(32,510,759)

See accompanying notes to financial statements.

Statements of Cash Flow

Years ended June 30, 2012 and 2011

		2012	2011
Cash flow from operating activities: Federal grants and contracts State and local grants and contracts Private gifts and grants Other receipts Salaries and wages payments Employee benefits payments Payments to suppliers and vendors Operating interest, dividends and investment gains Distribution from BSA partnership Interest payments on capital debt and notes Other payments	\$	531,084,663 140,554,572 190,864,048 211,535,183 (419,576,297) (149,723,030) (457,480,640) 2,949,055 1,584,000 (729,808) (80,265,306)	587,559,328 213,878,937 176,385,796 183,934,019 (405,401,916) (147,584,496) (470,667,718) 2,877,365 1,919,825 (212,499) (78,619,285)
Net cash (used) provided by operating activities		(29,203,560)	64,069,356
Cash flows from investing activities: Proceeds from sales of investments Purchases of investments Cash paid for purchases of fixed and intangible assets		260,297,462 (233,071,249) (25,896,492)	313,948,062 (370,557,915) (26,964,723)
Net cash provided (used) by investing activities		1,329,721	(83,574,576)
Cash flows from financing activities: Principal payments on long-term debt Proceeds from Simons Foundation Loan (for NYGC) Proceeds from Upstate Medical Loan Proceeds from Sale leaseback at CNSE Proceeds from line of credit Payments on line of credit		(3,327,459) 2,564,100 2,500,000 27,000,000 107,711,763 (108,735,318)	(199,712) ————————————————————————————————————
Net cash provided by financing activities		27,713,086	18,571,236
Net decrease in cash and cash equivalents	•	(160,753)	(933,984)
Cash and cash equivalents, beginning of year		1,076,799	2,010,783
Cash and cash equivalents, end of year	\$	916,046	1,076,799
Reconciliation of change in net assets to net cash (used) provided by operating activities: Change in net assets Adjustments to reconcile change in net assets to net cash (used) provided by operating activities:	\$	(78,822,983)	71,474,643
Realized and unrealized gains on investments Change in fair value of interest rate swap Net change in equity investment of BSA partnership Inherent net contribution from ITC/STC acquisition, net of cash		(471,531) 550,483 (500,624) (6,768,016)	(13,448,858) (151,026) (1,675,500)
Depreciation and amortization Loss on disposal of fixed assets Accretion of deferred gain on sale leaseback transaction Donated fixed assets		15,561,752 255,858 (3,375,000)	4,465,283 243,133 — (15,000)
Change in assets and liabilities: Accounts receivable and other assets Accrued investment income Accounts payable and accrued expenses Other accruals and other liabilities Deferred revenue Deposits held for and advances to others Post-retirement benefit obligation Net cash (used) provided by operating activities	\$	(39,923,336) 188 1,318,315 1,534,627 (200,078) (12,908,215) 94,545,000 (29,203,560)	(4,910,889) 1,396,204 6,892,432 262,232 43,950,545 (1,923,843) (42,490,000) 64,069,356
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See accompanying notes to financial statements.

Notes to Financial Statements June 30, 2012 and 2011

(1) Organization

The Research Foundation for the State University of New York (RF), known until June 19, 2012 as "The Research Foundation of State University of New York," exists to serve SUNY and to capitalize on the scope, scale and diversity of SUNY as an engine of New York State's innovation economy.

The RF supports nearly \$1 billion in SUNY research activity annually, providing sponsored programs administration and commercialization support services to SUNY faculty performing research in life sciences and medicine; engineering and nanotechnology; physical sciences and energy; social sciences, and computer and information sciences.

The reach and impact of SUNY research – its people, infrastructure and technology – demonstrate the many ways the RF functions to support the SUNY research enterprise; to administer sponsored grants; to help faculty inventors turn their ideas into products that benefit the world; to create new pathways that foster collaboration and advance vital research, and to initiate programs that lead to economic development and entrepreneurial opportunity in New York.

The RF comprises a central office and operating units at 30 campus locations across New York State. Research Foundation business conducted on campuses is supervised by RF operations managers who report to and are appointed by the RF's president on recommendation by campus presidents.

The RF is led by a president who also serves as the Vice Chancellor for Research for the State University of New York (SUNY). It is governed by a 17 member board of directors drawn from business, industry, research and higher education administration.

The RF is a private nonprofit educational corporation that is tax-exempt under Internal Revenue Code (IRC) Section 501(c) (3).

(2) Summary of Significant Accounting Polices

(a) Basis of Presentation

The accompanying financial statements of the RF are presented consistent with the Financial Accounting Standards Board Accounting Standards Codification (ASC) 958, which addresses the presentation of financial statements for not-for-profit organizations. In accordance with the provisions of ASC 958, net assets and revenue, expenses, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, unrestricted net assets are amounts not subject to donor-imposed stipulations and are available for operations.

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Notes to Financial Statements June 30, 2012 and 2011

(b) Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make significant estimates and assumptions that affect the reported amounts of liabilities and net assets and disclosures of contingencies as of the date of the financial statements and the reported amount of change in net assets during the reporting period. The most significant areas which are affected by the use of estimates include balances for allowance for doubtful accounts, valuation of certain alternative investments, and the post-retirement benefit obligation. Actual results could differ from those estimates and the differences between estimates and actual results could be significant.

(c) Revenue Recognition

Grants awarded for research and other sponsored activities represent exchange transactions derived from grants, cost reimbursement contracts, and cooperative agreements that provide for the recovery of direct and indirect costs, subject to audit. In the opinion of management, no material adjustments are expected as a result of such audits. Grants and contracts awarded for research and other sponsored activities are recognized only to the extent of costs incurred, in the year in which the costs are eligible for reimbursement. Amounts received in excess of expenses are recorded as deferred revenue. The RF funds its operations primarily from recoveries of indirect costs provided from grants and contracts. Such recoveries are recorded in the year in which the costs are eligible for reimbursement.

Investment income or loss is comprised of dividends and interest, realized and changes in unrealized gains and losses, and income from investment in the Brookhaven Science Associates partnership.

Inventions and licenses income include the total amount derived from inventions that result from sponsored research. The RF is responsible for protecting the intellectual property and commercializing these technologies as part of its technology transfer service. The RF follows the SUNY policy of apportioning up to 40% of the royalties to the inventors, with the rest apportioned to the campuses. The inventors' shares of the royalties are reflected in the RF's administration and support expenses. Campus portions are spent under the provisions of the Bayh-Dole Act and are also reflected in the RF's administration and support expenses.

Other income consists of third party service center revenue, nonsponsored income from activities such as fees for the use of the automated grants accounting system, and fees earned for administering agency funds such as fiscal and personnel staffing agreements.

(d) Cash Equivalents

For the purpose of presenting the statements of cash flows, cash equivalents include short-term, highly liquid investments with an original maturity of three months or less at the time of purchase, exclusive of amounts classified as short-term, long-term, and long-term pledged investments. As more fully described in note 6, cash equivalents are stated at fair value and are considered a Level 1 financial asset.

Notes to Financial Statements
June 30, 2012 and 2011

(e) Investments

Investments are reported at fair value pursuant to ASC 820, Fair Value Measurements and Disclosures. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between participants at the measurement date. See note 6 for a discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Dividend income is recorded on the ex-dividend date. Interest income is recorded on the accrual basis of accounting. The average cost of securities sold is used to determine the basis for computing realized gains or losses.

Short-term investments reflect the RF's anticipated need to fulfill current obligations under sponsored awards and agency agreements, using approximately the amounts represented in the deferred revenue and current deposits held for others captions on the RF balance sheet. Investment holdings in excess of these needs are classified as long-term investments.

During the fiscal year ended June 30, 2012, the RF designated \$10 million of its investments as collateral for a loan between the Dormitory Authority of the State of New York (DASNY) and SUNY Upstate Medical University (Upstate Medical) to effectuate the latter's purchase of Community General Hospital. This collateral balance is expected to be released over the ten-year term of the loan between DASNY and Upstate Medical. See note 12 for information on a related \$2.5 million loan taken out by the RF in order to obtain the full collateral required by DASNY.

On its operating funds, to minimize potential losses, the RF maintains a diversified investment portfolio. Investments are held with the investment custodian in the RF's name.

Under ASC 715-20-50 and 55, employers are required to disclose information about fair value measurements of plan assets similar to the disclosures required under ASC 820. See note 10.

(f) Fixed and Intangible Assets

Fixed and intangible assets are stated at cost, net of accumulated depreciation and amortization, and are depreciated on a straight-line basis over the estimated useful life of the assets. Using historical and industry experience, estimated useful lives, with the exception of land, range from five to 50 years. Certain technology licenses purchased from IBM, Inc. to support research infrastructure and development as well as entrepreneurial opportunities in New York are capitalized as intangibles and amortized over eight years. The RF monitors its long-lived assets for impairment indicators on an ongoing basis. If impairment indicators existed, the RF would perform the required analysis and, if applicable, would record impairment charges.

Upon sale or retirement of capitalized assets, the cost and the related accumulated depreciation and amortization are removed from the accounts and the resulting gain or loss is recorded. Depreciation and amortization expense for the years ended June 30, 2012 and 2011 was \$15,561,752 and \$4,465,283, respectively. Generally, title to equipment purchased using sponsored funds is retained by the grantor institution until such time as final disposition is determined. Accordingly, purchases of equipment charged to the respective grant or contract are not capitalized.

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Notes to Financial Statements June 30, 2012 and 2011

(g) Capital Leases

During fiscal 2012, the RF engaged in two sale-leaseback transactions for capital equipment acquired under prior-year programs or by way of donations from business partners at the College of Nanoscale Science and Engineering (CNSE). Each sale-leaseback was for \$13.5 million, for a total of \$27 million. Both transactions required treatment as capital leases, with ownership of the underlying assets reverting to the RF at the end of the four-year lease terms. The lease transactions resulted in a gain that has been deferred and recognized over the life of the lease. As of June 30, 2012, the RF owes \$23.9 million under these capital leases. See note 8.

(h) Derivative Instruments and Hedging Activities

The RF accounts for derivative investments in accordance with the ASC Topic 815, Derivative and Hedging, which requires that all derivative instruments be recognized in the financial statements and measured at fair value regardless of the purpose or intent for holding them. The RF currently has an interest rate swap that is adjusted to fair value, through net assets. In the prior year, the RF also had a forward rate contract for a CNSE sponsored program which the difference between market and contract rates was borne by the sponsor; the forward rate contract was reflected at fair value on the RF balance sheet as equal asset and liability balances.

(i) Deferred Revenue

Deferred revenue represents three types of activities: (1) surplus amounts for sponsored program activity that occur when funds are received in advance of spending, (2) surplus amounts on balance awards that represent the balance of funds that remain after termination of a project (either grant or contract) supported by a fixed price award which can be used in the future to support research, and (3) surplus balances related to service centers that are established and maintained to provide a specific service and charge to sponsored programs and other users, in which billing rates are reviewed at a minimum of every two years and rates are adjusted prospectively to reduce surplus/deficit amounts.

(j) Accrued Vacation

RF employees are granted vacation and sick leave in varying amounts. In the event of termination, an employee is reimbursed for accumulated vacation up to a maximum of 30 days. Employees are not reimbursed for accumulated sick leave at termination; however, as described in note 11, upon retirement up to 200 days of accumulated sick leave is considered in the computation of retirement benefits.

(k) Post-Retirement Benefit Obligation

In 2009, the RF established a Voluntary Employee Benefit Association (VEBA) trust for the post-retirement benefit plan. The assets held in the VEBA trust reduce the accumulated post-retirement benefit obligation, as reported on the RF's balance sheet. The VEBA trust held assets of \$106,602,000 and \$101,424,000 as of June 30, 2012 and 2011, respectively.

Notes to Financial Statements
June 30, 2012 and 2011

(l) Other Information

Accounts receivable as of June 30, 2012 and 2011 are reported net of an allowance for doubtful accounts of approximately \$7,522,000 and \$7,146,000, respectively.

Advances to others and deposits held for others represent amounts related to agency activity at the campus and affiliated organization locations. Agency activity refers to those university-related organizations, such as campus-based foundations or campus-based clinical practice plans that use RF-provided human resources, payroll and purchasing and payables administration services. Included in the noncurrent deposits held for others are planned gifts donated to RF to ultimately benefit the campus foundations of approximately \$1,238,000 and \$1,461,000 at June 30, 2012 and 2011, respectively. Included in the current portion of deposits held for others are approximately \$29,510,000 and \$32,974,000 of construction funds for an affiliated organization at June 30, 2012 and 2011 respectively.

Various SUNY employees perform work on RF sponsored grants. SUNY pays these employees directly, and is reimbursed by the RF on a monthly basis. The related amounts due to SUNY are included in accrued compensation and consist of both a known and estimated component. The total liability to SUNY at June 30, 2012 and 2011 is approximately \$7,020,000 and \$5,735,000, respectively.

The RF maintains a deferred compensation plan established in accordance with Section 457(b) of the IRC. Plan funds, totaling approximately \$4,355,000 and \$3,839,000 as of June 30, 2012 and 2011, respectively, are a part of the general assets of the RF, which are subject to claims of creditors of the RF.

The RF assisted in the creation and expansion of the CNSE facilities. The RF leases various areas of clean room space from Fuller Road Management Corporation to facilitate research and development related activities at the University at Albany in the field of nanotechnology (see note 4). The RF maintains a service center where costs are incurred for the operation of this facility and supported by recharges to sponsored programs or third-party use. Agreements have been executed between the RF and various third parties for the use of tools and facilities for which the revenue is included in other income in the statements of activities.

(m) Fair Value of Financial Instruments

The carrying amounts of accounts receivable, other current assets, accounts payable and accrued expenses, and deposits held for others approximate fair value due to the short maturity of these financial instruments. ASU 2010-20 has been considered for the two long-term notes receivable, the University at Buffalo Associates (UBA) note for \$13.7 million and the New York Genome Center (NYGC) note for \$2.6 million. In both cases, no bad debt allowance is considered necessary as of June 30, 2012 and no adverse information on collectability has been received as of financial statement issuance date. Since there are only two notes with maturities that exceed one year, further disclosure by class or portfolio segment would not be applicable.

Notes to Financial Statements June 30, 2012 and 2011

The carrying amount of long-term debt and the line of credit approximate fair value because these loans bear interest at a variable rate that is not significantly different than current market rates for loans with similar maturities and credit quality. See note 6 for additional information regarding fair value considerations with respect to investments.

(n) Tax Status

The RF has been determined by the Internal Revenue Service to be an organization described in the Internal Revenue Code (the Code) Section 501(c)(3) and, therefore, is exempt from federal income taxes on related income pursuant to Section 501(a) of the Code. The RF follows the provisions of ASC 740-10, *Accounting for Uncertainty in Income Taxes*. Management has evaluated ASC 740-10 and has determined there are no unlikely tax positions to be recorded in the financial statements for the years ended June 30, 2012 and 2011.

(o) Reclassifications

Certain 2011 amounts have been reclassified to conform to the 2012 presentation.

(3) Business Acquisition

Effective January 1, 2012, the RF acquired substantially all of the assets and assumed the liabilities of Infotonics Technology Center Inc. (Infotonics), now doing business as Smart System Technology and Commercialization Center (STC). ITC was originally formed as a collaboration among industry, universities, and government to establish a national center of excellence for phototonics and microsystems. Its three core areas of competency, in device research and engineering, packaging and assembly, and prototype and pilot-scale fabrication, are complementary to the RF's commitment to spur economic development and increase collaboration in advance research in New York State through SUNY research.

The fair value of the assets acquired approximated \$12.5 million, net of approximately \$8 million in building and land assets initially assumed by the RF and then transferred to Fuller Road Management Corporation. Liabilities assumed totaled approximately \$4.7 million. The furniture and equipment were appraised at \$11.0 million, which represents the fair market value assigned by an independent appraiser. The RF assumed \$3.7 million of debt owed to Genesee Regional Bank and \$351,000 owed to Ontario County, both of which were paid off during the fiscal year.

The statement of activities reflects the operations of ITC/STC from the effective date of January 1, 2012 through June 30, 2012. No consideration was exchanged for the net assets contributed. In conjunction with this acquisition, under ASC 958-805 the RF recorded an inherent net contribution of \$7.8 million, representing the excess of the fair value of the assets over the liabilities assumed.

Notes to Financial Statements June 30, 2012 and 2011

(4) Affiliated Organization

The RF has 19 affiliated organizations as of June 30, 2012 that have been established to facilitate university-industry-government partnerships and accelerate the growth of sponsored program and applied research opportunities at SUNY. The affiliated organizations are as follows:

(a) Binghamton Center for Emerging Technologies

Binghamton Center for Emerging Technologies is a private, not-for-profit corporation formed by the RF (acting on behalf of Binghamton University) and Endicott Interconnect Technologies, to work in partnership with Endicott and the RF, with support from Binghamton University's Small Scale Systems Integration and Packaging (S³IP) Center, to fulfill technical needs of the Department of Defense and other federal agencies at an accelerated pace.

(b) BioBAT Holdings, Inc.

BioBAT Holdings is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) and the New York City Economic Development Corporation (on behalf of the City of New York). It was established to provide further support for the development of the Brooklyn Army Terminal by providing a vehicle through which active development and construction could be facilitated in support of the mission and purpose of BioBAT, Inc.

(c) BioBAT. Inc.

BioBAT, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) and the New York City Economic Development Corporation (on behalf of the City of New York) to develop the Brooklyn Army Terminal into a site for biotechnology expansion, manufacturing, and research. This facility will provide a committed location in New York City where new and growth stage biotechnology and biopharmaceutical companies can expand, create jobs, and manufacture products for market.

(d) Broad Hollow Bioscience Park, Inc.

Broad Hollow Bioscience Park, Inc. is a not-for-profit corporation formed by the RF (acting on behalf of Farmingdale State College) and Cold Spring Harbor Laboratory to operate an incubator facility on the Farmingdale State campus. Its purpose is to assist in the economic development of the region by attracting public and private funds to further biotechnology development through the commercialization of new technologies and the creation of new companies and new jobs.

(e) Brookhaven Science Associates, LLC

Brookhaven Science Associates, LLC (BSA) is a not-for-profit limited liability company formed by the RF (acting on behalf of Stony Brook University) and Battelle Memorial Institute (Battelle). In a national competition against the country's leading research universities, the U.S. Department of Energy in 1998 selected BSA to operate Brookhaven National Laboratory. BSA profits and losses are allocated 50% each to Battelle and the RF; Battelle and the RF each made an initial capital contribution of \$125,000 in 1998.

Notes to Financial Statements June 30, 2012 and 2011

The accompanying financial statements of the RF include its share of the net earnings of BSA based on the operating results for the years ended June 30, 2012 and 2011. The RF records distributions received as a reduction of the investment balance.

(f) Buffalo 2020 Development Corporation

Buffalo 2020 Development Corporation was formed by the RF (on behalf of University at Buffalo) in partnership with FNUB, Inc., a subsidiary of the University at Buffalo Foundation, in an effort to enable the purchase, development and construction of research-based facilities and infrastructure on University at Buffalo property on the downtown Buffalo, NY campus. These facilities will support the research, academic and economic development mission of the SUNY campus at Buffalo.

(g) Central New York Biotechnology Research Center, Inc.

Central New York Biotechnology Research Center (CNYBRC) is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Upstate Medical University and SUNY College of Environmental Science and Forestry), Metropolitan Development Association of Syracuse and Central New York, LeMoyne College and Syracuse University. CNYBRC seeks to promote economic development in Central New York and across New York State by supporting technology transfer, workforce development, and a high-tech business incubator.

(h) CUBRC, Inc.

CUBRC, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of the University at Buffalo) and, as of May 2006, the University at Buffalo Foundation Incubator, Inc. CUBRC, Inc.'s mission is to leverage the capabilities of scientists from academia and industry to expand capability and to provide economic and industrial growth opportunities in Western New York. Incorporated in 1983, CUBRC, Inc. competes for research programs that would not otherwise be available to the University at Buffalo.

(i) Downstate Technology Center, Inc.

Downstate Technology Center, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) in partnership with the Health Science Center at Brooklyn Foundation, Inc. to provide a vehicle for the construction of an advanced biotechnology incubator adjacent to the SUNY Downstate Medical Center. The facility seeks to advance medical research, provide incubator space and assist in the economic development of the Borough of Brooklyn.

(j) Fort Schuyler Management Corporation

Fort Schuyler Management Corporation (FSMC) is a private, not-for-profit corporation, formed by the RF in partnership with the SUNYIT Foundation. FSMC will facilitate the construction of a nanotechnology and semiconductor development and manufacturing facility adjacent to the SUNY Institute of Technology (SUNYIT) campus in partnership with local economic development institutions. FSMC will advance the growth of an emerging nanotechnology and semiconductor research and development cluster in New York that includes CNSE at the University at Albany.

Notes to Financial Statements June 30, 2012 and 2011

(k) Fuller Road Management Corporation

Fuller Road Management Corporation (FRMC) is a private, not-for-profit corporation formed by the RF (acting on behalf of the University at Albany) and the University at Albany Foundation. FRMC provides a vehicle for the construction of comprehensive research facilities at CNSE in an effort to promote the advancement of the research portfolio of the University at Albany, as well as to assist in the development of early and late stage companies, the creation of jobs and the development of the region's economy.

In May 2005, the RF, as tenant, and FRMC, as landlord, executed an agreement for the lease of clean room facilities, which are used for nanotechnology-related research and development at CNSE. Rent payments made by the RF pursuant to the agreement for each of the years ended June 30, 2012 and 2011 were \$7,014,458. The annual rental payment may escalate annually at a rate not to exceed one percent. The term of the lease is from May 20, 2005 through September 30, 2035.

In November 2011, the RF, as tenant, and FRMC, as landlord, executed an agreement for a second lease of clean room facilities which are used for nanotechnology research and development activities at the NanoFabXtension (NFX) facility at CNSE. Rent payments by the RF are not scheduled to commence until conclusion of construction and fit out of NFX. The term of the lease payments is from January 1, 2013 through December 31, 2021, at an annual amount of \$36 million.

Annual minimum lease payments for both of these leases are shown in note 15.

Additionally, FRMC has historically been a source of funding under NYS-sponsored programs taking place at CNSE. The RF has also made contributions to FRMC to assist with the NFX facility project.

(l) Long Island High Technology Incubator

Long Island High Technology Incubator (LIHTI) is a private, not-for-profit corporation incorporated in 1989 by the RF (acting on behalf of Stony Brook University) and the Stony Brook Foundation, Inc. LIHTI's mission is the development of new high-technology companies in a limited number of overlapping technology growth areas including biotechnology, environmental technologies, electronics, information technology and new materials technology.

(m) New York Maritime College Sailing Foundation, Inc.

New York Maritime College Sailing Foundation, Inc. (NYMCSF) is a private, not-for-profit corporation formed by the RF to support sailing programs at the State University of New York Maritime College. The goal of NYMCSF is to advance the college's mission through the enhancement of the faculty, student and staff for the benefit of midshipmen and to facilitate the program's short and long-term excellence through donations of funds, equipment or boats. This corporation is inactive and is expected to be dissolved during fiscal year 2013.

Notes to Financial Statements June 30, 2012 and 2011

(n) New York Genome Center LLC

New York Genome Center (NYGC) is a private, nonprofit organization formed in an effort to leverage the collaborative resources of leading academic medical centers, research universities, and commercial organizations. The vision of NYGC is to transform medical research and clinical care in and around New York City through the creation of what will become one of the largest genomics and bioinformatics facilities in North America. The RF participates in NYGC as an Institutional Founding Member on behalf of Stony Brook University; NYGC's other members include an array of New York-based universities and health institutions.

(o) Purchase College Advancement Corporation

Purchase College Advancement Corporation is a private, not-for-profit corporation formed by the RF (on behalf of the State University College at Purchase) and, as of fiscal 2010, the Purchase College Foundation, to operate facilities that will support the college's academic mission and spur economic development in the mid-Hudson region.

(p) Source Sentinel, LLC

Source Sentinel, LLC is a limited liability company formed by the RF (acting on behalf of the College of Environmental Science and Forestry), O'Brien & Gere Limited, and Sensis Corporation. Its mission is to bring together scientists, engineers and resources from academia and industry to develop real-time detection and monitoring products for the protection of drinking water supplies, distribution systems and treatment facilities against chemical and biological threats.

(q) SUNY China International Corporation

SUNY China International Corporation is a private, not-for-profit corporation formed by the RF, and, as of fiscal 2009, the Neil D. Levin Graduate Institute of International Relations and Commerce Foundation, Inc., to foster and develop new academic and research collaborations, programs and initiatives between SUNY and Chinese universities. The organization was formerly known as State University of New York China Representative Office, Inc., and was renamed during fiscal year 2009. This corporation is inactive and will be dissolved during fiscal year 2013.

(r) SUNY Fredonia Technology Incubator, Inc

SUNY Fredonia Technology Incubator, Inc. (SFTI) is a private not-for-profit corporation formed by the RF and the Fredonia College Foundation to develop and manage a technology incubator facility in Dunkirk, NY for the benefit of the State University of New York, SUNY Fredonia, and Western New York State. The incubator will house new technology companies in order to further the early stage business capacity of the region, create jobs and promote economic development.

(s) U.S. Photovoltaic Manufacturing Consortium, Inc.

The Photovoltaic Manufacturing Consortium (PVMC) is a private, not-for-profit corporation, formed by the RF and Sematech, Inc. to facilitate an industry-led consortium for cooperative research and development among industry, university, and government partners to accelerate the development, commercialization, and manufacturing of next-generation solar photovoltaic (PV) systems. Through

Notes to Financial Statements June 30, 2012 and 2011

its programs and advanced manufacturing development facilities, PVMC is a proving ground for innovative solar technologies and manufacturing processes. The RF participates in PVMC on behalf of CNSE.

(5) Investments

Investments by type consist of the following as of June 30:

_	2012	2011
\$	81,926,902	78,587,008
	_	7,995,840
	55,828,857	75,476,401
	11,568,188	10,727,437
	65,875,791	87,914,281
_	1,253,936	1,809,530
	216,453,674	262,510,497
_	3,258,420	2,757,796
\$ _	219,712,094	265,268,293
	- - - -	\$ 81,926,902 55,828,857 11,568,188 65,875,791 1,253,936 216,453,674 3,258,420

Alternative investments consist of diversified investments of hedge fund of funds, real estate, domestic and foreign equity funds, fixed income securities and private equity funds in various investment vehicles, such as limited liability partnerships and corporations. See note 6 for discussion of fair value measurements.

The RF's investments are kept in pools based on business needs. Short-term needs are covered by holdings in short-term and liquid pools, while the remainder of the investments are kept in medium-duration and long-duration investment pools depending on expected duration of funds and spending needs.

The strategy of the RF's medium-duration pool is based on a long-term annual return target of 7%. The goal is to minimize the probability of a decline in excess of 5% in any single year, while ensuring quarterly liquidity of 30% of the portfolio to meet possible withdrawal on relatively short notice. As a result of these diverse needs, the desired risk levels are below those typically encountered in longer-term restricted funds. The largest portfolio allocations in the medium-duration pool are fixed-income bond funds, absolute return hedge funds, hedged equities and equity funds.

The RF's long-duration pool is intended for funds that can be reasonably expected to remain unspent or consistently replenished over a long period of time. The long-duration strategy is a higher risk allocation with a long-term annual return target of 10%. The goal is to minimize the probability of a decline in excess of 15% in any single year, with 60% of the portfolio assumed to have a duration of three years or longer. The longest portfolio allocations in the long-duration pool are equity funds, hedged equities and real estate.

16 (Continued)

2012

2011

Notes to Financial Statements June 30, 2012 and 2011

Long term investments pledged of \$10 million represent collateralized investments arising from the financing agreement between DASNY and Upstate Medical. As security on the loan, \$10 million of RF long term investments have been pledged which includes \$2.5 million loaned to the campus out of the campus-affiliated organization's allocation funds. See note 2(e) for additional details.

The following is the composition of net investment income for the years ended June 30:

		2012	2011
Dividends and interest	\$	2,949,457	2,877,366
Net realized and unrealized gains		471,531	13,448,858
Income from investment in BSA partnership	_	1,959,624	3,595,325
Total return on investments	\$	5,380,612	19,921,549

(6) Fair Value Measurements

Fair value is measured with ASC 820, *Fair Value Measurements and Disclosures*. The three levels of the fair value hierarchy established under ASC 820 are described below:

Level 1: Inputs are unadjusted quoted market prices in active markets for identical assets or liabilities that are assessable at the measurement date.

Level 2: Inputs are other than quoted prices in active markets that are observable either directly or indirectly and fair value is determined through the use of models or other valuation methodologies.

Level 3: Inputs are unobservable and are used to measure fair value to the extent that observable inputs are not available.

The following is a description of the valuation methodologies used for investments measured at fair value:

Cash equivalents are valued at the net asset value (NAV) reported by the financial institution (Level 1 measurement).

U.S. government securities, mutual and exchange traded funds are valued based on quoted market prices or dealer quotes, where available (Level 1 measurement). When quoted market prices are not available, fair values are based on quoted market prices of comparable instruments. When necessary, the RF utilizes matrix pricing from a third party vendor to determine fair value pricing (Level 2 measurement).

Notes to Financial Statements June 30, 2012 and 2011

The RF's various alternative investments are typically redeemable with the fund at NAV under the terms of the investment agreements. The estimation of fair value of alternative investments for which the underlying securities do not have readily determinable value is made using NAV per share or its equivalent as a practical expedient in accordance with Accounting Standards Update (ASU) 2009-12, *Investments in Certain Entities that Calculate Net Asset Value per Share (or its Equivalent)*. The RF owns interests in funds rather than in securities or assets underlying each fund. The NAV is derived primarily using market values of the underlying holdings. The level in the fair value hierarchy in which each investment's fair value is classified is based primarily on the RF's ability to redeem its interests in each account at or near the date of the balance sheet (Level 2 or 3 measurement).

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although RF believes its valuation methodologies are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Financial instruments are classified in their entirety based on the lowest level of input that is significant to the fair value measurement. The following tables summarize, as of June 30, 2012 and 2011, the RF's investments that are measured at fair value on a recurring basis as well as the liquidity redemption and notification provisions.

		2012						
	Level 1	Level 2	Level 3	Total	Redemption frequency	Days notice		
Investments:								
Cash equivalents \$	81,926,902	_	_	81,926,902	Daily	1		
Mutual funds:								
U.S. government fixed income	21,237,479	_	_	21,237,479	Daily	1		
U.S. corporate credit securities	2,738,652	_	_	2,738,652	Daily	1		
U.S. equities	9,733,123	_	_	9,733,123	Daily	1		
Foreign equities	19,035,850	_	_	19,035,850	Daily	1		
Real estate	3,083,753	_	_	3,083,753	Daily	1		
Exchange traded funds:					•			
Real estate	4,649,433	_	_	4,649,433	Daily	4		
Commodities	4,357,029	_	_	4,357,029	Daily	4		
Energy stocks	2,561,726	_	_	2,561,726	Daily	4		
Alternative investments:					•			
Absolute multistrategy return	_	35,193,817	_	35,193,817	Quarterly	45 to 95		
Hedged equities	_	14,204,490	_	14,204,490	Quarterly	65 to 95		
U.S. equities	_	2,447,137	_	2,447,137	Quarterly	45		
U.S. corporate credit securities	_	9,519,534	_	9,519,534	Monthly/	30 to 90		
•					Quarterly			
Foreign corporate credit					,			
securities	_	2,050,426	_	2,050,426	Monthly/	10 to 45		
					Ouarterly			
Private equity	_	_	2,460,387	2,460,387	See (a) below	N/A		
Other	1,253,936	_	, , , <u> </u>	1,253,936	Daily	4		
Total \$		63,415,404	2,460,387	216,453,674	j			
10tal 3	130,377,883	03,413,404	2,400,367	210,433,074				

Notes to Financial Statements June 30, 2012 and 2011

(a) Certain alternative investments include noncontrolling shares or interests in funds where the controlling general partner serves as the investments manager. Such investments are typically not eligible for redemption from the fund or general partner, but are typically sold to third party buyers in private transactions that typically can be completed in approximately 90 days. It is the intent of the RF to hold these investments until the fund has fully distributed all proceeds to the investors.

	2011					
	Level 1	Level 2	Level 3	Total	Redemption frequency	Days notice
Investments:						
Cash equivalents \$	78,587,008	_	_	78,587,008	Daily	1
U.S. government agency	7,995,840	_	_	7,995,840	Daily	4
Mutual funds:					-	
U.S. government fixed income	43,681,869	_	_	43,681,869	Daily	1
U.S. corporate credit securities	2,578,870	_	_	2,578,870	Daily	1
U.S. equities	10,362,250	_	_	10,362,250	Daily	1
Foreign equities	14,500,836	_	_	14,500,836	Daily	1
Real estate	4,352,576	_	_	4,352,576	Daily	1
Exchange traded funds:						
Real estate	4,688,424	_	_	4,688,424	Daily	4
Commodities	3,990,514	_	_	3,990,514	Daily	4
Energy stocks	2,048,499	_	_	2,048,499	Daily	4
Alternative investments:						
Absolute multistrategy return	_	39,447,587	_	39,447,587	Quarterly	60 to 95
Hedged equities	_	24,450,164	_	24,450,164	Quarterly	65 to 95
U.S. equities	_	1,512,000	_	1,512,000	Quarterly	45
Foreign equities	_	4,046,431	_	4,046,431	Quarterly	90
U.S. corporate credit securities	_	11,884,254	_	11,884,254	Monthly/ Quarterly	30 to 90
Foreign corporate credit					•	
securities	_	5,495,087	_	5,495,087	Monthly/ Ouarterly	10 to 45
Private equity	_	_	1,078,758	1,078,758	See (a) below	N/A
Other	1,809,530			1,809,530	Daily	4
Total \$	174,596,216	86,835,523	1,078,758	262,510,497		

A summary of activity for investments with Level 3 fair value measurements for the years ended June 30 follows:

	 2012	2011
Balance, beginning of year	\$ 1,078,758	
Purchases	1,366,478	1,027,407
Sales	(27,067)	
Unrealized gains	 42,218	51,351
Balance, end of year	\$ 2,460,387	1,078,758

There has been no significant transfer activity between Level 1 and Level 2 investments during fiscal 2012 and 2011. The RF has unfunded commitments to alternative investments at June 30, 2012 of approximately \$3.2 million.

Notes to Financial Statements June 30, 2012 and 2011

(7) Other Assets and Other Liabilities

Other assets and liabilities consist of the following at June 30:

	_	2012	2011
Other assets:			
Current:			
Royalties receivable	\$	3,376,243	3,068,140
Advance payments – sponsored programs		5,370,429	_
Loans receivable		24,343	7,830
Prepaid and other	_	180	(87,615)
Total other current assets	_	8,771,195	2,988,355
Noncurrent:			
UBA loan receivable		13,734,084	11,029,228
NY Genome Ctr. loan receivable		2,564,100	_
Section 457(b) assets	_	4,355,078	3,839,442
Total other noncurrent assets	_	20,653,262	14,868,670
Total other assets	\$	29,424,457	17,857,025
Other liabilities – noncurrent:	_		
Section 457(b) obligation	\$	4,355,078	3,839,442
Interest rate swap		1,180,494	630,011
Total other liabilities	\$	5,535,572	4,469,453
	_	- , ,	.,,

The RF records the interest rate swap agreement at fair value and considered it a Level 2 financial liability.

Notes to Financial Statements June 30, 2012 and 2011

(8) Fixed Assets

Fixed assets consist of the following at June 30:

Fixed asset classification	Fixed assets June 30, 2011	Additions	Dispositions/ retirements	Fixed assets June 30, 2012
Building \$ Office furniture and equipment Clean-room tools, pursuant to	6,500,000 44,903,693	13,084,718	1,068,091	6,500,000 56,920,320
sale-leaseback Information systems	32,919,591	3,375,000 308,174		3,375,000 33,227,765
Total fixed assets	84,323,284	16,767,892	1,068,091	100,023,085
Less accumulated depreciation: Building Office furniture and equipment Clean-room tools, pursuant to	1,170,000 29,374,785	130,000 4,527,265	<u> </u>	1,300,000 33,089,817
sale-leaseback Information systems	32,701,376	3,375,000 178,987		3,375,000 32,880,363
Total accumulated depreciation	63,246,161	8,211,252	812,233	70,645,180
Fixed assets, net \$	21,077,123	8,556,640	255,858	29,377,905

During 2012, the RF entered into sale-lease back transactions for certain owned clean-room tools with a bank. The gross value of the right-to-use asset was \$27 million, which is presented above, net of \$23.6 million of the June 30, 2012 value of a deferred gain on the sale-leaseback transaction that is being recognized over the four-year life of the lease. This results in no net increase to the fixed asset balances at year-end; this treatment is consistent with the guidance in ASC 840.

Notes to Financial Statements June 30, 2012 and 2011

(9) Intangible Assets

Intangible assets at June 30, consist of technology licenses purchased from IBM as follows:

Intangible asset classification		Intangible assets June 30, 2011	Additions	Dispositions/ retirements	Intangible assets June 30, 2012
Technology License – 28 nm program Technology License – 32 nm	\$	24,200,000	_	_	24,200,000
program	_		30,000,000		30,000,000
Total intangible assets	_	24,200,000	30,000,000		54,200,000
Less accumulated amortization: Technology License – 28 nm					
program		_	4,538,000	_	4,538,000
Technology License – 32 nm program	-		2,812,500		2,812,500
Total accumulated amortization	_		7,350,500		7,350,500
Intangible assets, net	\$	24,200,000	22,649,500		46,849,500

The expected annual amortization over the next 5 years approximates \$6,775,000.

(10) Post-Retirement Benefit Obligation

The RF sponsors a defined benefit post-retirement plan that covers substantially all nonstudent employees. The plan provides post-retirement medical benefits and is contributory for employees hired after 1985. Retirees who were hired after 1985 are subject to the same cost sharing requirements as active employees, with respect to medical coverage. With respect to dental coverage, retirees must pay the full premium cost of the coverage selected.

Notes to Financial Statements June 30, 2012 and 2011

In November 2010 the RF board of directors approved plan amendments increasing monthly participant (employee) contributions for all future retirees hired after 1985, and not eligible to retire as of January 1, 2012, as well as eliminating a rule that a one-year break in service negated previous service. The following table identifies the revised contribution percentages based on employees' years of service and date of hire.

	Employ	Employees hired		
Years of service	Prior to January 1, 2012	On or after January 1, 2012	Effective January 1, 2012	
10 - 14	40%	80%	85%	
15 – 19	20	35	50	
20 or greater	10	10	25	

Participants who were retired or eligible to retire as of January 1, 2012 or were hired prior to January 1, 1986 were grandfathered under the pre-January 1, 2012 contribution percentages. Pre-January 1, 2012 contribution percentages for participants hired after 1985 were 10% of the individual coverage premium and for dependents 25% of the difference between individual and couple coverage premiums. No contributions are made by employees hired before January 1, 1986.

Annual allocations to fund the plan are made by management pursuant to a funding policy established by the Board of Directors.

For payment of benefits under the plan, as discussed in note 2(k) above, the RF established a VEBA trust in 2009 with Bank of New York Mellon as the trustee. The VEBA trust held assets of \$106,602,000 and \$101,424,000 as of June 30, 2012 and 2011, respectively. The assets held in the VEBA trust reduce the accumulated post-retirement benefit obligation, as reported on the RF's balance sheets. As noted in the table below, current obligations are assumed to be paid out of the trust assets, with the remaining unfunded obligation to be reflected as a noncurrent liability. There were 6,548 participants in the plan as of July 1, 2011.

Notes to Financial Statements
June 30, 2012 and 2011

The following table sets forth the plan's funded status reconciled with the amount shown in the RF's financial statements at June 30 (amounts rounded to nearest thousand):

	2012	2011
Change in benefit obligation:		
Benefit obligation at beginning of year	\$ 310,459,000	331,971,000
Service cost	7,627,000	10,762,000
Interest cost	16,794,000	16,423,000
Plan participants' contributions	496,000	398,000
Retiree drug subsidy receipts	472,000	616,000
Plan amendments		(37,095,000)
Actuarial loss (gain)	84,468,000	(4,942,000)
Benefits paid	(10,134,000)	(7,674,000)
Benefit obligation at end of year	410,182,000	310,459,000
Change in plan assets:		
Fair value of plan assets at beginning of year	101,424,000	80,446,000
Return on plan assets	(1,638,000)	12,149,000
Employer contributions	15,982,000	15,489,000
Plan participants' contributions	496,000	398,000
Retiree drug subsidy receipts	472,000	616,000
Benefits paid	(10,134,000)	(7,674,000)
Fair value of plan assets at end of year	106,602,000	101,424,000
Funded status	\$ (303,580,000)	(209,035,000)
Amount recognized in the balance sheet:		
Noncurrent liability	\$ (303,580,000)	(209,035,000)
Amount recognized in unrestricted net assets:		
Prior service credit	\$ 28,527,000	34,105,000
Net actuarial loss	(187,389,000)	(99,102,000)
Total	\$ (158,862,000)	(64,997,000)

Notes to Financial Statements

June 30, 2012 and 2011

	_	2012	2011
Weighted average assumptions used to determine benefit obligation: Discount rate at end of year	\$	4.05%	5.60%
Components of net periodic benefit cost: Service cost Interest cost Expected return on plan assets Amortization of: Prior service credit Actuarial loss Net periodic post-retirement benefit cost	- \$	7,627,000 16,794,000 (8,417,000) (5,578,000) 6,236,000 16,662,000	10,762,000 16,423,000 (6,819,000) (3,829,000) 8,280,000 24,817,000
Weighted average assumptions used to determine net periodic benefit cost: Discount rate for the year Expected long term rate of return	· =	5.60% 8.00	5.43% 8.00
	_	2012	2011
Other changes in plan assets and benefit obligations recognized in unrestricted net assets: Net actuarial (loss) gain Amortization of: Prior service (credit) cost Actuarial loss	\$	(94,523,000) (5,578,000) 6,236,000	10,272,000 33,266,000 8,280,000
Total recognized as a (decrease) increase in unrestricted net assets	\$ _	(93,865,000)	51,818,000

Notes to Financial Statements June 30, 2012 and 2011

Expected amounts amortized from unrestricted net assets into net periodic benefit cost for fiscal year ending 2013 include prior service credit of \$5,578,000 and actuarial loss of \$(14,637,000).

Estimated gross benefit payments (net of	
participants' contributions):	
Fiscal year ending 2013	\$ 10,270,000
Fiscal year ending 2014	11,514,000
Fiscal year ending 2015	12,793,000
Fiscal year ending 2016	14,203,000
Fiscal year ending 2017	15,573,000
Fiscal year ending 2018 – 2022	99,871,000
Estimated gross amount of subsidy receipts:	
Fiscal year ending 2013	\$ 742,000
Fiscal year ending 2014	876,000
Fiscal year ending 2015	1,011,000
Fiscal year ending 2016	1,158,000
Fiscal year ending 2017	1,333,000
Fiscal year ending 2018 – 2022	9,618,000
Estimated net benefits payments:	
Fiscal year ending 2013	\$ 9,528,000
Fiscal year ending 2014	10,638,000
Fiscal year ending 2015	11,782,000
Fiscal year ending 2016	13,045,000
Fiscal year ending 2017	14,240,000
Fiscal year ending 2018 – 2022	90,253,000

For measurement purposes, the initial trend rates vary by coverage. The health maintenance organization rate is 7.00%, the preferred provider organization (PPO) Medical Pre-65 rate is 6.25%, the PPO Medical Post-65 rate is 6.25%, the PPO Rx rate is 7.00% and administrative fees are 5.0%. Trend rates grade down to an ultimate rate of 5.0% in 2018 and later.

Assumed health care cost trend rates have a significant effect on the amounts reported for the health care plans. A one-percentage point change in assumed health care cost trend rates would have the following effects:

	_	One-percentage point increase	One-percentage point decrease
Effect on total service and interest cost components	\$	5,026,000	(3,945,000)
Effect on post-retirement benefit obligation		79,654,000	(63,368,000)

Notes to Financial Statements June 30, 2012 and 2011

The following tables summarize as of June 30, 2012 and 2011 the RF's defined benefit post-retirement plan's investments held in the VEBA trust, measured at fair value on a recurring basis including the liquidity redemption and notification provisions (amounts recorded to nearest thousand). The hierarchy and inputs to valuation techniques to measure fair value of the plan's investments are the same as outlined above in note 6.

		2012				
	Level 1	Level 2	Level 3	Total	Redemption frequency	Days' notice
Investments:						
Cash equivalents	\$ 271,000	_	_	271,000	Daily	1
Mutual funds:					•	
U.S. government fixed income	9,851,000	_	_	9,851,000	Daily	1
U.S. equities	14,190,000	_	_	14,190,000	Daily	1
Foreign equities	21,604,000	_	_	21,604,000	Daily	1
Real estate	3,281,000	_	_	3,281,000	Daily	1
Exchange traded funds:					•	
Real estate	3,892,000	_	_	3,892,000	Daily	4
Commodities	3,709,000	_	_	3,709,000	Daily	4
Energy stocks	1,782,000	_	_	1,782,000	Daily	4
Alternative investments:					•	
Absolute multistrategy return	_	15,482,000	_	15,482,000	Quarterly	95
Hedged equities	_	24,925,000	_	24,925,000	Quarterly	95
U.S. equities	_	979,000	_	979,000	Quarterly	45
Real estate	_	_	1,125,000	1,125,000	See note 6(a)	N/A
Private equity			5,511,000	5,511,000	See note 6(a)	N/A
	\$ 58,580,000	41,386,000	6,636,000	106,602,000		

	2011					
	Level 1	Level 2	Level 3	Total	Redemption frequency	Days' notice
Investments:						
Cash equivalents \$	1,663,000	_		1,663,000	Daily	1
Mutual funds:						
U.S. government fixed income	15,533,000	_	_	15,533,000	Daily	1
U.S. equities	10,186,000	_	_	10,186,000	Daily	1
Foreign equities	12,194,000	_	_	12,194,000	Daily	1
Real estate	3,924,000	_	_	3,924,000	Daily	1
Exchange traded funds:						
Real estate	3,922,000	_	_	3,922,000	Daily	4
Commodities	3,017,000	_	_	3,017,000	Daily	4
Energy stocks	1,707,000	_	_	1,707,000	Daily	4
Alternative investments:						
Absolute multistrategy return	_	15,535,000	_	15,535,000	Quarterly	95
Hedged equities	_	24,180,000	_	24,180,000	Quarterly	95
U.S. equities	_	1,008,000	_	1,008,000	Quarterly	45
Foreign equities	_	4,816,000	_	4,816,000	Quarterly	90
Real estate	_	_	144,000	144,000	See note 6(a)	N/A
Private equity			3,595,000	3,595,000	See note 6(a)	N/A
\$	52,146,000	45,539,000	3,739,000	101,424,000		

Notes to Financial Statements June 30, 2012 and 2011

A summary of activity for investments with Level 3 fair value measurements for the years ended June 30, follows (amounts rounded to nearest thousand):

	_	2012	2011
Balance, beginning of year	\$	3,739,000	1,893,000
Purchases		3,419,000	1,762,000
Sales		(503,000)	(328,000)
Realized gains		203,000	· —
Realized losses		(12,000)	(7,000)
Net unrealized (losses) gains	_	(210,000)	419,000
Balance, end of year	\$_	6,636,000	3,739,000

There has been no significant transfer activity between Level 1 and Level 2 investments during fiscal 2012 and 2011. The RF has unfunded commitments to the plan's alternative fund investments at June 30, 2012 of approximately \$7.0 million.

(a) Investment Policies and Strategies

The plan's primary investment goal is to meet the ongoing obligations while minimizing contributions and controlling risks. Ordinarily, the asset allocation plan would be structured such that short-term liabilities are primarily funded with lower volatility short-term assets, intermediate-term liabilities are funded with investments that exhibit moderate volatility and the longer-term liabilities are funded by long-term assets. In addition, the plan's asset allocation is structured to meet a long-term-targeted return. Borrowing from the diversification techniques developed under the modern portfolio theory, the plan's assets are invested so that total portfolio risk exposure and risk adjusted returns meet the plan's long-term total return goal.

The RF's investment managers under agreement to manage the plan assets kept in the VEBA trust, exercise full investment discretion within the investment policy approved by the RF board of directors, and guidelines as described in the respective investment agreements. However, assets must be managed with the care, skill, prudence and diligence that a prudent investment professional in similar circumstances would exercise. Investment practices must comply with the applicable laws and regulations.

(b) Basis Used to Determine the Overall Expected Long-Term Rate of Return on Assets Assumption

The RF works with a consultant to develop long-term rate of return assumptions used to model and determine the overall asset allocation. The return assumptions used in the asset allocation analysis are based on a building block approach in which risk premium is calculated for each asset class and adjusted for current market conditions including, but not limited to, current market valuations, yield, inflation and various economic indicators.

Notes to Financial Statements June 30, 2012 and 2011

The plan's asset allocation, utilizing various investment vehicles, as of June 30, 2012, as shown in the table below, is expected to meet the plan's expected return.

Asset class	Target	Ranges	Actual
Cash	2%	0%-10%	12.9%
Fixed income	10	5%-20%	4.0
Equities:			
Global equities	25	20%-33%	34.5
Hedged equities	16	10%-30%	18.7
Private equity	10	0%-10%	4.1
Absolute return	15	5%-25%	11.3
Real assets:			
Inflation-protected	5	3%-10%	0.5
Commodities	5	2%-7%	5.2
Global real estate	12	4%-14%	8.8

(11) Retirement Plan

The RF maintains a noncontributory Section 401(a) retirement plan for substantially all nonstudent employees. Contributions are based on a percentage of earnings and range from 8% to 15%, depending on date of hire. In addition, the RF provides an additional pension contribution if an employee retires and meets the age and service requirements for retiree health insurance. This additional contribution is calculated by multiplying the value of the employee's accrued sick leave, up to a maximum of 200 days, by the employee's contribution rate at the time of retirement. Employees become fully vested after completing one year of service (a one-year waiting period from their date of hire). At that time, contributions begin and they gain ownership of all future contributions made to their retirement accounts while employed by the RF.

Contributions are allocated to individual employee accounts. Vested participants have the option of having contributions to their accounts deposited in either the Teachers Insurance and Annuity Association (TIAA), which offers a guaranteed income account, the College Retirement Equities Fund (CREF), which offers an assortment of mutual funds, stocks, bonds, real estate, and money market investments, or a selection of nonproprietary funds that are offered on TIAA-CREF's platform. The payroll for RF employees covered by TIAA-CREF for the years ended June 30, 2012 and 2011 was approximately \$371,764,000 and \$361,045,000 respectively. The RF pension contributions were approximately \$31,557,000 and \$30,794,000 for the years ended June 30, 2012 and 2011, respectively. These contributions are equal to 100% of the required contribution for the year.

(12) Long-Term Debt and Other Long-Term Liabilities

The RF entered into an agreement during 2002 with the City of Albany Industrial Development Agency (IDA) whereby the IDA issued both a taxable and a tax-exempt series of bonds for the purpose of providing funds to acquire a parcel of real estate together with the existing building thereon. The RF occupies and conducts most of its central office operations from this location. At June 30, 2012, the tax-exempt bond debt totaled \$5,205,000 with maturity in 2032 at an interest rate of 0.32% at June 30,

Notes to Financial Statements June 30, 2012 and 2011

2012. The taxable bond debt was extinguished in 2009. Subsequent to June 30, 2012, the tax-exempt bonds bear interest at variable rates as established by the remarketing agent. The adjustable rate for the tax exempt bonds will not exceed 12% per annum. The RF must meet certain liquidity requirements while the tax-exempt bonds are outstanding.

In January 2006, the RF entered into an interest rate swap agreement with the Bank of New York (BNY) wherein the RF agreed to pay BNY a fixed rate of interest equal to 3.615% on the original tax-exempt bond issuance total of \$5,600,000 and to receive from BNY a payment equal to 70% of the London Interbank Offered Rate (LIBOR) as published by the British Banker's Association (BBA). The monthly interest rate is calculated using the weighted average method applied to the daily USD-LIBOR-BBA rate. The agreement expires coincident with the maturity of the bonds in 2032. The RF's net benefit or obligation under the swap agreement is accounted for as an asset or liability at fair value in the financial statements. The estimated fair value of the swap at June 30, 2012 and 2011 was \$(1,180,495) and \$(630,011), respectively. The balances are included within other liabilities in the accompanying balance sheets; see note 7.

During fiscal 2012, the RF took on two more debt agreements: A note payable to the Simons Foundation for a loan made to fund the RF's payment, as a founding member of the New York Genome Center, of \$2.5 million; and another note for \$2.5 million to provide Upstate Medical University (Upstate) with collateral on its debt to the NYS Dormitory Authority to facilitate Upstate's purchase of the Community General Hospital.

A summary of long-term debt as well as other long-term liabilities is as follows:

	Balance June 30, 2011	Additions	Reductions	Balance June 30, 2012	Current Portion
Long-term debt:					
Capital lease obligations \$	18,666	27,000,000	3,157,459	23,861,207	6,452,681
Loan – Genome Ctr (SB)	_	2,564,100	_	2,564,100	
Adv. Demand Note (Upstate)	_	2,500,000	_	2,500,000	481,812
Bonds payable	5,375,000		170,000	5,205,000	175,000
Total long-term debt	5,393,666	32,064,100	3,327,459	34,130,307	7,109,493
Other long-term liabilities: Post-retirement benefit					
obligation	209,035,000	94,545,000	_	303,580,000	_
Deposits held for others	1,460,785	29,054	252,285	1,237,554	_
Other liabilities	4,469,453	1,066,119		5,535,572	
Total other long-term					
liabilities	214,965,238	95,640,173	252,285	310,353,126	
Total long-term liabilities \$	220,358,904	127,704,273	3,579,744	344,483,433	7,109,493
indoffices \$\phi\$	220,330,707	121,101,213	3,377,777	511,105,155	7,107,173

Notes to Financial Statements June 30, 2012 and 2011

Future payments of long-term debt as of June 30, 2012 are as follows:

		Bonds and no	tes payable	ayable Capital leases		Total		
	P	rincipal	Interest	Principal	Interest	Principal	Interest	
Fiscal year:								
2013	\$	656,812	20,120	6,452,681	913,109	7,109,493	933,229	
2014		1,150,878	19,400	6,738,508	627,281	7,889,386	646,681	
2015		1,165,631	18,660	7,037,035	328,754	8,202,666	347,414	
2016		261,679	17,880	3,632,983	58,466	3,894,662	76,346	
2017		200,000	17,080	· · · —	· —	200,000	17,080	
2018 - 2022		1,105,000	72,440	_	_	1,105,000	72,440	
2023 - 2027		1,300,000	48,020	_	_	1,300,000	48,020	
2028 - 2032		4,089,100	19,400	_	_	4,089,100	19,400	
2033		340,000				340,000		
Total	\$1	0,269,100	233,000	23,861,207	1,927,610	34,130,307	2,160,610	

See above for interest rates

Interest rate 4.11-4.58%

Line of Credit

The RF maintains an unsecured line of credit in the amount of \$65,000,000 of which \$28,176,445 and \$29,200,000 were used as of June 30, 2012 and 2011 respectively. The terms of the line include the specification that each draw must be repaid within one year. During this fiscal year, the borrowing rate ranged between 0.94% and 2%.

(13) Revenues

Revenues, by type, consist of the following for the years ended June 30:

	_	2012	2011
Federal grants and contracts	\$	548,261,142	578,392,151
State grants and contracts		145,903,894	152,211,386
Local grants and contracts		8,437,878	11,374,555
Private grants and contracts		179,392,842	180,286,492
Investment income		4,909,081	6,472,452
Net realized and unrealized gains on investments		471,531	13,449,097
Gifts, capital gifts and grants		57,649	20,116
Inventions and licenses income		10,853,368	11,924,304
Other income	_	40,131,442	20,657,568
Total revenues	\$	938,418,827	974,788,121

Notes to Financial Statements June 30, 2012 and 2011

(14) Expenses

Expenses consist of the following for the years ended June 30:

2012		2011	
\$	101,926,516	108,603,265	
	500,459,836	516,469,626	
	110,737,279	139,845,824	
	21,151,153	19,925,125	
	2,492,122	1,797,863	
	26,815,877	37,625,767	
	136,791,558	122,958,216	
	4,136,780	2,973,094	
	9,938	11,783	
	71,784	· —	
	15,561,752	4,465,283	
	729,808	212,499	
_	255,858	243,133	
\$	921,140,261	955,131,478	
	\$ \$ \$_	\$ 101,926,516 500,459,836 110,737,279 21,151,153 2,492,122 26,815,877 136,791,558 4,136,780 9,938 71,784 15,561,752 729,808 255,858	

(15) Commitments and Contingencies

Lease Obligations

In addition to the long-term leases with FRMC, described in note 4(k), the RF contracts with various entities to lease space as part of its mission to support SUNY research and university-industry-government partnerships. Future minimum payments, as of June 30, 2012, for lease terms in excess of one year are as follows:

Fiscal year		FRMC	Other	Total	
2013	\$	25,014,458	7,632,753	32,647,211	
2014		43,014,458	6,378,756	49,393,214	
2015		43,014,458	5,698,556	48,713,014	
2016		43,014,458	2,735,308	45,749,766	
2017		43,014,458	2,229,379	45,243,837	
Thereafter	_	254,013,859	2,288,699	256,302,558	
Total	\$	451,086,149	26,963,451	478,049,600	

Collateral

As mentioned in note 5, the RF has placed \$10 million in collateral for a loan furnished by the Dormitory Authority of the State of New York to SUNY Upstate Medical University in order to facilitate the purchase of Community General Hospital. This collateral will be released at the rate of \$1 million per year over the next 10 years.

Notes to Financial Statements June 30, 2012 and 2011

Contingencies

The RF has certain contingencies resulting from litigation and claims, incident to the ordinary course of business. As of financial statement issuance date, there was an audit pending conclusion by the New York State Office of the State Comptroller (OSC). Relating to the same facts and circumstances as the OSC audit, there is an investigation by the New York State Inspector General and Attorney General. Additionally, there is an open investigation relating to programs at Buffalo State College. Potential liability resulting from these matters cannot reasonably be determined at this time. However, management believes that the probable resolution of such contingencies will not likely materially affect the financial position or operations of the RF.

(16) Subsequent Events

The RF considers events or transactions that occur after the balance sheet date, but before the financial statements are issued, to provide additional evidence relative to certain estimates or to identify matters that require additional disclosure. These financial statements covering the year ended June 30, 2012 were available to be issued on September 27, 2012 and subsequent events have been evaluated through that date.

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Research and Development Cluster:	number	i ass-un ough Number	expenditures	expenditures	grantor
Department of Agriculture:					
Agricultural Research Service	10.000		\$ 65,776		
Animal and Plant Health Inspection Service Cooperative State Research Service	10.000 10.000		181,794 960,660		
Department of Agriculture	10.000		57,442		
Forest Service National Institute of Food and Agriculture	10.000 10.000		819,042 2,829		
Natural Resources Conservation Service	10.000		243,451		
Northeastern States Research Cooperative	10.000		55,022		
Rural Development Connecticut Agricultural Experiment Station	10.000 10.025	N/A	(12,994)	8,464	2,373,022
NYS Department of Environmental Conservation	10.025	AMO7795		46,358	
Regents of the University of California, Riverside	10.025	S000438		23,768	70.460
Regents of the University of California, Riverside University of Maine at Orono	10.025 10.200	S000530 Z540601 UMS834		870 9,110	79,460
West Virginia University Research Corporation	10.200	98464KSUNYIT		18,380	27,490
North Carolina State University Trustees of Indiana University	10.206 10.206	2008143003 IN4394211SUNY		4,731 20,818	25,549
University of Vermont	10.302	FF3001		(962)	(962)
Connecticut Agricultural Experiment Station	10.310	CAESAC201103		65,323	
University of Delaware University of North Carolina at Charlotte	10.310 10.310	21924 N/A		49,025 2,978	117,326
Kansas State University	10.500	S09047		25	117,520
Kansas State University	10.500	S10050		718	743
Consortium for Research on Renewable Industrial Materials (CORRIM)	10.652	318		6,496	
University of Maine at Orono	10.652	UMS751		4,672	
University of New Hampshire	10.652	12013		41,348	
University of New Hampshire University of New Hampshire	10.652 10.652	PZ100006 PZ11002		11,406 57,162	
University of Vermont	10.652	22603		2,764	
University of Vermont University of Vermont	10.652 10.652	23281 25878/Bowden/Beier		2,673 21,735	
University of Vermont	10.652	25878/Bowden/Nyland		20,164	
Watershed Agricultural Council	10.652	349		61,348	229,768
NYS Department of Environmental Conservation Washington State University	10.664 10.664	AMO7854 112560G002550		90,532 (82)	90,450
NYS Department of Environmental Conservation	10.675	AMO8410		70,245	70,245
NYS Department of Environmental Conservation	10.683	N/A		12,566	12,566
Syracuse Center of Excellence in Environmental and Energy System	10.761	N/A		1,929	1,929
Total Department of Agriculture			2,373,022	654,564	3,027,586
Department of Commerce:			2,373,022	054,504	3,027,300
National Institute of Standards and Technology	11.000		196,344		
National Oceanic and Atmospheric Administration	11.000		3,652,221		
NMFS Northeast Fisheries Science Center ARRA General Motors Corporation	11.000 11.609	GVS00978	15,955	214,285	3,864,520 214,285
Fugro Earth Data Incorporated	11.008	2009		15,449	15,449
Regents of the University of Michigan Board of Regents of the University of Wisconsin System	11.303 11.417	3001413893 256K255		3,364 3,209	3,364
Ohio State University Research Foundation	11.417	250K255 RF01110243		32,659	
University of Illinois Urbana Champaign	11.417	20100224704 A2287		7,325	
University of Vermont University of Vermont	11.417 11.417	25605-51215/Watzin/Abbey N/A		72,483 53,135	168.811
University of North Carolina at Wilmington	11.430	200904A		6,229	6,229
University of Michigan	11.432	3001092444		147,134	147,134
Ducks Unlimited Incorporated University Corporation for Atmospheric Research	11.463 11.467	US-NY-156-1 S1071092		42,007 28,039	42,007
University Corporation for Atmospheric Research	11.467	Z1068146		12,352	40,391
Florida Fish and Wildlife Conservation Commission University of Tennessee	11.472 11.478	11187 A120074S001		12,726 13,839	12,726
Woods Hole Oceanographic Inst	11.478	A100654		102,139	
Woods Hole Oceanographic Inst	11.478	S117968		12,005	127,983
Howard University Howard University	11.481 11.481	7341000035576 631017H040948		106,669 82,240	188,909
Nanoelectronics Research Corporation (NERC)	11.609	2006NE1463		1,438,109	1,438,109
Total Department of Commerce			3,864,520	2,405,397	6,269,917
Department of Defense:					
Air Force Office of Scientific Research	12.000		4,625,086		
Air Force Research Laboratory Air Force Rome Lab Griffis Air Force Base	12.000 12.000		1,784,737 957,663		
Army Armament Research and Development Command	12.000		4,478		
Army Corps Engineers	12.000		461,469		
Army Humphreys Engineer Center Support Activity Army Medical Research Acquisition Activity	12.000 12.000		77,236 3,627,063		
Army Medical Research and Material Command	12.000		39,228		
Army Picatinny Arsenal Army Research Development & Engineering Command	12.000 12.000		1,039,473 1,905,415		
Army Research Institute	12.000		71,955		
Army Research Laboratory	12.000		854,049		
Army Research Office Defense Advanced Research Projects Agency (DARPA)	12.000 12.000		1,089,868 1,782,332		
Defense Threat Reduction Agency	12.000		253,108		
Department of Defense	12.000		395,401		
Fleet Industrial and Supply Center (FISC) San Diego National Geospatial Intelligence Agency	12.000 12.000		71,547 30,737		
National Security Agency	12.000		51,382		
Naval Undersea Warfare Center	12.000		19,462		

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Navy Experimental Diving Unit	12.000		\$ 1,014,748		
Vary Office of Naval Research	12.000		4,377,798		
lavy Research Laboratory	12.000		674,000		
Vavy Space & Naval Warfare Systems Ctr San Diego	12.000		3,236,603		28,444,838
ntelligence Advanced Research Projects Activity (IARPA)	12.630		2,240,685		2,240,685
Vational Security Agency	12.901		60,282		60,282
lational Security Agency Legis Technology	12.902 12.000	57-TFPA-BUFF-0118	89,613	10,021	89,613
andro Computational Solutions LLC	12.000	ACS-11-AF10-BT09/11; PO#11		37,417	
BBN Technologies Corporation	12.000	9500009218		688,807	
BerrieHill Research Corporation	12.000	N/A		60,011	
CACI Technologies Inc	12.000	S09100357		52,360	
Charles River Analytics Inc	12.000	SC0907002 (ERMINE II)		107,487	
Charles River Analytics Inc Creare Incorporated	12.000 12.000	SC1003801 55635		116,169 96,937	
CUBRC Incorporated	12.000	765701		(4,929)	
CUBRC Incorporated	12.000	770601		129,026	
CUBRC Incorporated	12.000	07774-04		352,121	
CUBRC Incorporated	12.000	07782-01		127,296	
CUBRC Incorporated	12.000	07797S1		44,179	
CUBRC Incorporated	12.000	07809S1		136,774	
Dow Corning Corporation	12.000 12.000	WASH68431831 4440158606		40,694 93,097	
Government of Israel Ministry of Defense Graphene Devices Limited	12.000	N68335-10-C-0566		6,868	
Graphene Devices Limited	12.000	W15QKN-11-C-0041		2,890	
Griffiss Institute	12.000	G219-Buffalo2011		9,557	
ntelligent Automation Incorporated	12.000	Subcontract #: 880-1		21,901	
Litware Incorporated	12.000	K000139-S02		235,714	
ower Photonic Corporation	12.000	N/A		587	
ower Photonic Corporation	12.000	STTR2009		118,906	
Quanterion Solutions Incorporated Reaction Engineering International	12.000 12.000	2010DACS02 REI 5118		570 11,849	
Reaction Engineering International	12.000	REI 5118-1		172,294	
SciTech Services Incorporated	12.000	11-DOTC-09-01-INIT066-04		97,135	
JES Inc	12.000	S-875-040-006		20,590	
JES Inc	12.000	S-875-060-014		5,952	
ES Inc	12.000	S-875-060-017		116,144	
Jniversal Technology Corporation	12.000	11-T5690-05-C1		46,221	
Jniversity of Central Florida	12.000	232896 WIEDZT 10 C 4 607		34,407	2,989,052
Primet Precision Materials Incorporated ReliaCoat Technologies LLC	12.114 12.114	W15P7T-10-C-A607 N/A		35,233 26,111	61,344
APIC Corporation	12.300	SOW2		2,552,276	01,344
Arsenal Business & Technology Partnership	12.300	W15QKN0790001		1,208,910	
Brookhaven Science Associates LLC	12.300	162129		128,714	
Dartmouth College	12.300	5009595000P00010		45,125	
Florida Atlantic University	12.300	FA87501120089/P0205577		44,376	
Hypres Incorporated	12.300	HYPN0001409C0529		33,152	
Hypres Incorporated Hypres Incorporated	12.300 12.300	HYPN0001409C0687 N/A		76,863 197,748	
Maxion Technologies Incorporated	12.300	SCM3052011001		40,000	
Oregon State University	12.300	N0268AA		33,079	
Pennsylvania State University	12.300	3866SBUONR0557		26,917	
Pennsylvania State University	12.300	4049RFSUNYONR1123		106,739	
Pennsylvania State University	12.300	4147RFSUNYONR0557		37,901	
oar Technology Incorporated	12.300	1016101		21,200	4 52 5 002
Virginia Polytechnic Institute and State Univ	12.300 12.351	45004419191 POY554529		83,082 172,580	4,636,082
Arizona Board of Regents Arizona State University	12.351	Subagreement No. 09-205		119,745	
Humintell LLC	12.351	S-001071.01		172,582	
anderbilt University	12.351	21243S1		23,133	
'irginia Polytechnic Institute and State Univ	12.351	45010419191		110,391	598,431
Daemen College	12.420	11-1 0082-UB-2		184,039	
Geneva Foundation	12.420	S 121301 W81XWH0920162		24,353	
Hauptman Woodward Medical Research Institute Inc	12.420	6200		48,944	
Health Research Inc	12.420	57-8087-01 57-8088-02		9,668 5 932	
Health Research Inc	12.420 12.420	57-8090-01		5,932 34,623	
Neuren Pharmaceuticals Incorporated	12.420	Neu2566TBI001		37,230	
Research Corporation of Long Island	12.420	SC090068		113,968	
Research Corporation of Long Island	12.420	W81XWH1011032 Sub1		47,065	
Rutgers University	12.420	S1031970		767,112	
Iniversity of Dayton Research Institute	12.420	RSC10040 4407020001		18,847	
Iniversity of Mississippi	12.420	1101042		371,442	1,663,223
HexaTech Incorporated HexaTech Incorporated	12.431	11022201 12012401		83,901 10,220	
Jniversity of Wisconsin at Madison	12.431 12.431	090K926		69	94,190
University of Wisconsin at Wadison	12.599	6201110200A		159,115	159,115
I VI Incorporated	12.630	FA86501121063		77,486	10,,110
mperial Machine and Tool Company	12.630	223099JS		34,108	
nperial Machine and Tool Company	12.630	23538JS		25,379	
ockheed Martin Corporation	12.630	BBMKS6355		357,140	494,113
Altusys Corporation	12.800	Prime # FA8750-11-C-0138		41,751	
Sensors Incorporated	12.800	STTR Phase II		241,231	
tegents of the University of Minnesota	12.800	A001650201		303,475	
ensor Electronic Technology Incorporated	12.800	N/A 2268402248801		30,465	
Syracuse University Crustees of the California State University	12.800 12.800	2368402248S01 S9-94504 A1		117,563 103,375	
Justees of the California State University Juiversity of California at Santa Barbara	12.800 12.800	S9-94504 A1 KK1236		103,375 42,642	
	12.800	6770		299,278	
University of California Berkeley University of Maryland	12.800	Z809301		25,282	

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
University of Rochester	12.800	414917-G 5-89812	\$	134,277	gi aliwi
University of Texas	12.800	0200-07BU		21,304	
University of Virginia University of Wisconsin at Madison	12.800 12.800	GG11221-133954 F308114		89,039 107,268	
Wyle Laboratories Incorporated	12.800	AP2336FY10 "TAT 0145"		6,788	
Wyle Laboratories Incorporated	12.800	APSC01043 A10160-S049		79,378	1,723,026
BAE Systems Controls Incorporated General Electric Global Research	12.910 12.910	209630 PO400059756		5,839 130,832	
Massachusetts Institute of Technology	12.910	5710003112		97,021	
Northrop Grumman Corporation	12.910	11HOD22MSC/8200155875		14,253	
Palo Alto Institute for Research and Education Inc University of Florida	12.910 12.910	MP307372 N/A		65,653 11,630	
University of Florida	12.910	UFEIES1005013SNY		42,739	367,967
Total Department of Defense			30,835,418	12,786,543	43,621,961
Central Intelligence Agency:	13.000		157 290		
Central Intelligence Agency Science Applications International Corp	13.000	P010090692	157,380	75,939	233,319
Total Central Intelligence Agency			157,380	75,939	233,319
Department of Housing and Urban Development:	14000		175.550		155.550
Department of Housing and Urban Development New York City Department of Homeless Services	14.000 14.524	N/A	175,559	8,769	175,559 8,769
Buffalo Municipal Housing Authority	14.889	N/A		117,034	117,034
Total Department of Housing and Urban Development			175,559	125,803	301,362
Department of the Interior: Department of the Interior	15.000		150,095		
Fish and Wildlife Service	15.000		170,853		
Geological Survey	15.000		188,650		
National Park Service Cornell University	15.000 15.000	N/A	272,879	3,494	
LUMCON	15.000	UBFnd08-1 674176C		(20,315)	
NYS Department of Environmental Conservation	15.000 15.000	AMO7821		50,309 2,063	818,028
NYS Department of Environmental Conservation Trustees of Princeton University	15.423	N/A 1636		6,411	6,411
Central Michigan University	15.608	N/A		17,905	*,***
Duke University National Fish and Wildlife Foundation	15.608 15.608	11NFWF1049 20050129020		22,656 9,468	50,029
NYS Department of Environmental Conservation	15.611	AMO6396		90,459	30,029
NYS Department of Environmental Conservation	15.611	AMO7944		31,123	
NYS Department of Environmental Conservation Texas Parks and Wildlife	15.611 15.615	AMO8082 407348		239,867 24,551	361,449
Texas Parks and Wildlife	15.615	419446		15,030	
University of Arizona	15.615	Y502685		15,516	55,097
NYS Department of Environmental Conservation Florida Fish and Wildlife Conservation Commission	15.630 15.634	AM06757 10068		14,469 67,737	14,469
NYS Department of Environmental Conservation	15.634	AM07297		31,477	
Texas Parks and Wildlife New Jersey Department of Environmental Protection,	15.634	407709		24,614	123,828
Division of Fish and Wildlife	15.655	PO# 7619391		3,965	3,965
Cornell University	15.805	611039379		692	
Cornell University Cornell University	15.805 15.805	640389612 640389616		19,977 6,352	
Cornell University	15.805	N/A		9,491	36,512
University of Southern California	15.807	146303		38,440	38,440
University of Florida AmericaView	15.808 15.815	UF09148 N/A		58,409 9,458	58,409 9,458
Rome Area Chamber of Commerce	15.926	GA-2255-11-017		2,369	2,369
Total Department of the Interior			782,477	795,987	1,578,464
Department of Justice: Department of Justice	16.000		1,385,489		1,385,489
ARRA NYS Division of Criminal Justice Services	16.738	C632563	-,,	64,196	64,196
University of Texas at El Paso University of Texas at El Paso	16.300 16.300	2630016763 2630018934		51,951 106,122	158,073
University of Maryland	16.541	Z955101		111,832	111,832
National Opinion Research Center	16.550	6761SUNY01		60,224	60,224
General Electric Global Research Iowa State University	16.560 16.560	400103885 SC-11-356		30,857 42,349	
University of Missouri – St Louis	16.560	2006JVFX0011		3,149	76,355
Rochester Institute of Technology	16.609	30997-02		25,092	25,092
Broome Tioga BOCES Broome Tioga BOCES	16.726 16.726	00106695-00 00106696-00		6,354 5,612	
Broome Tioga BOCES	16.726	DOJ#2010-JU-FX-0055		6,553	
Broome Tioga BOCES	16.726	N/A	1 295 490	30,544	1,930,324
Total Department of Justice Federal Reserve System:			1,385,489	544,835	1,730,324
Federal Reserve Bank of New York	18.000		20,399		20,399
Total Federal Reserve System			20,399		20,399
Department of State: Department of State	19.000		413,939		
US Civilian Research and Development Foundation	19.000	AZB220610BA08		11,400	425,339
Total Department of State			413,939	11,400	425,339
Department of Transportation: Federal Highway Administration	20.000		1,423,577		
Federal Transit Administration	20.000		21		

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

National Transportation Safety Board 20,000 N/A 5 3,228 1,426,826	Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
PR American Incorporated 20,200 NA	1 1 1 1	·	Tass through runner		capenaren	
CUBEC Incorporated CUBEC Incorporation CUBEC Incorporation CUBEC Incorporation CUBEC Incorporation CUBEC Incorporation CUBEC Incorporation Rand Corporation Rand Computation Research Foundation of City University of New York 20,701 Research Foundation of City University of New York 20,701 Research Foundation of City University of New York Research Foundation of City University of New York 20,701 Research Foundation of City University of New York Research Foundation of City University of New York 20,701 Research Foundation of City University of			N/A	9 5,220	29,321	
CUBER Locorposed 20.205 0705787 9.73 1.544.508 1.544.5						
NYS Eshension Department 20.202						
Research Foundation of City University of New York						1,675,518
National Academy of Sciences Research Foundation of City University of New York	Rand Corporation					
Research Foundation of City Discretion of New York 20.701 49111-47-23 3.944						
Research Foundation of City University of New York 20.701 491111373 1.21 1.2						108,491
Research Foundation of City University of New York Research Foundation of City University of New York 2070 49111;23:25 12,34 12,34 12,34 12,34 12,34 12,34 13,	Research Foundation of City University of New York	20.701	491111323		4,649	
Research Foundation of Cip University of New York	Research Foundation of City University of New York					
Research Foundation of City University of New York 20.701 4911-37-23 17.647						
Research Foundation of City University of New York 20.701 491113021 15.17 15						
Research Foundation of City University of New York 20.701 49113123 12.73 14.74 14.75 14.	Research Foundation of City University of New York	20.701				
Research Foundation of City University of New York 20.701 4911.32-21 14.734 14.754 15.50500031A 15.505 1						
Research Foundation of City University of New York 20701 559050003A 1.81,897 205.500 Total Department of Transportation 20.761 521109290 1.426,826 2.164 189 3.591,015 Total Department of Transportation 22.000 DW445 522 793.707 248,110 1.041,817 Total US Postal Service 22.000 DW448556 793.707 248,110 1.041,817 Total US Postal Service 22.000 DW488566 793.707 248,110 1.041,817 Total US Postal Service 22.000 DW488566 793.707 248,110 1.041,817 Appalachian Regional Commission 44,843 44,843 44,843 44,843 Appalachian Regional Commission 44,843 44,843 44,843 44,843 44,843 Appalachian Regional Commission 44,000 1.041,817 44,843 44						
Total Department of Transportation		20.701				205,506
US Portal Service	Cornell University	20.761	521109290		11,326	11,326
Posts Service 22,000	•			1,426,826	2,164,189	3,591,015
Lockheed Marrin		22,000		702 707		
Location			DW461522	193,101	212,171	
Appalachian Regional Commission						1,041,817
Appalachian Regional Commission	Total US Postal Service			793,707	248,110	1,041,817
Library of Congress Broom Toga BOCES Company Congress		23.000		44,843		44,843
Proton Floga BOCES 42,002	Total Appalachian Regional Commission			44,843		44,843
National Acromatics and Space Administration:		42.002	N/A		650	650
ARRA - Goddard Space Flight Center	_					650
ARRA - Goddard Space Flight Center	National Aeronautics and Space Administration:					
Goddard Space Flight Center	ARRA - Goddard Space Flight Center					
John C Stemis Space Center						
John H Glenn Research Center at Lewis Field						
Lumar and Planetary Institute	John H Glenn Research Center at Lewis Field					
Lyndon B Johnson Space Center						
Marshall Space Flight Center 43,000 37,961 Washington 43,000 N/A 23,603 Autonomous Exploration Incorporated 43,000 N/A 23,603 Colorado State University 43,000 6511000038170 4316 Howard University 43,000 6511000038170 4,316 Howard University 43,000 6511000038170 4,316 Innosense LLC 43,000 N/A 28,628 Jef Propulsion Laboratory 43,000 1388189 80,118 Rochester Institute of Technology 43,000 114-610 40,743 Space Telescope Science Institute 43,000 1157G01230801A 28,036 University of Pittsburgh 43,000 0050000 4042002 8,781 4,346,398 American Astronomical Society 43,001 N/A 1,832 American Museum of Natural History 43,001 10,74 1,832 Arizona State University 43,001 122,8404 12893 5,635 Cornell University of University 43,001 61420-2929 15,407 </td <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>						
Autonomous Exploration Incorporated 43,000 Colorado State University 43,000 Gol 19191 16,017 Howard University 43,000 Gol 1000029457 44,005 Howard University 43,000 Gol 10000038170 4,316 InnoSense LLC 43,000 N/A 28,628 LDE Topulsion Laboratory 43,000 1388189 80,118 Rochester Institute of Technology 43,000 31164-01 388189 80,118 Rochester Institute of Technology 43,000 HSTGO 1230801A 28,036 University of Pittsburgh 43,000 RRR-000139 114,590 University of Pittsburgh 43,000 N/A 28,036 University of Pittsburgh 43,001 University of Pittsburgh 43,001 12009 107,099 Arizona State University 43,001 1228404 12893 5,635 Cornell University 43,001 1228404 12893 5,635 Cornell University 43,001 1228404 12893 5,635 Cornell University 43,001 1238103 30,568 Jet Propulsion Laboratory 43,001 1373103 30,568 Jet Propulsion Laboratory 43,001 14878780 24,962 Jet Propulsion Laboratory 43,001 Jet Propulsion Laboratory 4						
Colorado State University				175,965		
Howard University						
Howard University 43,000 60511000038170 4,316 1000058170 1000058170 23,628 1000058170 10000						
Jet Propulsion Laboratory	Howard University	43.000	60511000038170		4,316	
Rochester Institute of Technology						
Space Telescope Science Institute						
University of Pittsburgh American Astronomical Society American Museum of Natural History American Museum of Natural History Arizona State University Al 3.001 Al 12009 Arizona State University Al 3.001 Cornell University Al 3.001 Al 1228404 12893 5,635 Cornell University Al 3.001 Cornell University Al 3.001 Al 14209293 Arizona State University Al 3.001 Al 14209294 Arizona State University Al 3.001 Al 14209295 Arizona State University Al 3.001 Al 14209295 Arizona State University Al 3.001 Al 1437103 Al						
American Astronomical Society 43.001 N/A 1,832 American Museum of Natural History 43.001 120099 107,999 Arizona State University 43.001 61420-9293 15,407 Cornell University 43.001 61420-9293 15,407 Cornell University 43.001 614209294 7,654 Cornell University 43.001 614209295 13,500 Jet Propulsion Laboratory 43.001 1373103 30,568 Jet Propulsion Laboratory 43.001 1438780 24,962 Jet Propulsion Laboratory 43.001 1437128 2,613 Johns Hopkins University Applied Physics Laboratory 43.001 1373103 15,580 JPL Caltech 43.001 13790 15,580 JPL Caltech 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Mortana State University 43.001 G25008W1818 19,224 </td <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>						
American Museum of Natural History Arizona State University 43.001 1228404 12893 5.635 Cornell University 43.001 61420-9293 15,407 Cornell University 43.001 61420-9293 15,407 Cornell University 43.001 614209294 7.654 Cornell University 43.001 Jet Propulsion Laboratory 43.001 SUNY000752CN109 Suntynomore Su						4,346,398
Arizona State University 43.001 1228404 12893 5.635 Cornell University 43.001 614209293 15,407 Cornell University 43.001 614209294 7.654 Cornell University 43.001 614209295 13,500 Jet Propulsion Laboratory 43.001 1373103 30,568 Jet Propulsion Laboratory 43.001 1373103 30,568 Jet Propulsion Laboratory 43.001 1438780 24,962 Jet Propulsion Laboratory 43.001 103790 15,580 JPL Caltech 43.001 103790 15,580 JPL Caltech 43.001 1242685 42,144 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY00089CN115 660,721 Montana State University 43.001 G25008W1818 19,224 National Space Biomedical Research Institute 43.001 SMST01603 305,420 National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30,895 Planetary Science Institute 43.001 663 9,957 Regents of the University of California 43.001 GO21303X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTG01120003A 7,873 University of Houston						
Cornell University 43.001 614209294 7,654 Cornell University 43.001 614209295 13,500 Jet Propulsion Laboratory 43.001 1373103 30,568 Jet Propulsion Laboratory 43.001 1438780 24,962 Jet Propulsion Laboratory 43.001 1438780 22,613 Johns Hopkins University Applied Physics Laboratory 43.001 103790 15,580 JPL Caltech 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Montana State University 43.001 SUNY00089CN115 60,721 National Space Biomedical Research Institute 43.001 SMST01603 305,420 National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30,895 Planetary Science Institute 43.001 693 9,957 Regents of the University of California 43	Arizona State University	43.001	1228404 12893		5,635	
Cornell University 43.001 614209295 13,500 Jet Propulsion Laboratory 43.001 1373103 30,568 Jet Propulsion Laboratory 43.001 1438780 24,962 Jet Propulsion Laboratory 43.001 1438780 24,962 John Shopkins University Applied Physics Laboratory 43.001 103790 15,580 JPL Caltech 43.001 1242685 42,144 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000899CN115 60,721 Montana State University 43.001 G25008W1818 19,224 National Space Biomedical Research Institute 43.001 SMST01603 305,420 National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30,895 Planetary Science Institute 43.001 639 30,995 Regents of the University of California 43.001 639 9,957 Regents of the University of California <						
Jet Propulsion Laboratory						
Jet Propulsion Laboratory 43.001 1438780 24,962 Jet Propulsion Laboratory 43.001 1457128 2,613 Johns Hopkins University Applied Physics Laboratory 43.001 103790 15,580 JPL Caltech 43.001 1242685 42,144 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000752CN119 66,721 Montana State University 43.001 SUNY000752CN115 60,721 Mational Space Biomedical Research Institute 43.001 SMST01603 305,420 National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30,895 Planetary Science Institute 43.001 693 9,957 Regents of the University of California 43.001 693 9,957 Regents of the University of California 43.001 GO213023X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Researc						
Johns Hopkins University Applied Physics Laboratory 43.001 103790 15,580 JPLCaltech 43.001 1242685 42,144 Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000899CN115 60,721 Montana State University 43.001 G25008W1818 19,224 National Space Biomedical Research Institute 43.001 SMST01603 305,420 National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30,895 Planetary Science Institute 43.001 693 9,957 Regents of the University of California 43.001 693 9,957 Regents of the University of California 43.001 G0213023X 17,237 Smithsonian Astrophysical Observatory 43.001 G0213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTG01161606A 19,629 Spa						
JPLCaltech			1457128			
Metron Aviation Incorporated 43.001 SUNY000752CN109 84,800 Metron Aviation Incorporated 43.001 SUNY000899CN115 60,721 Montana State University 43.001 G25008W1818 19,224 National Space Biomedical Research Institute 43.001 SMST01603 305,420 National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30,895 Planetary Science Institute 43.001 693 9,957 Regents of the University of California 43.001 695 9,957 Smithsonian Astrophysical Observatory 43.001 GO213023X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTG01161606A 19,629 Space Telescope Science Institute 43.001 HSTG0120003A 7,873 University of Houston 43.001 R110127 43,070						
Metron Aviation Incorporated 43.001 SUNY000899CN115 60.721 Montana State University 43.001 G25008W1818 19,224 National Space Biomedical Research Institute 43.001 SMST01603 305.420 National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30.895 Planetary Science Institute 43.001 693 9.957 Regents of the University of California 43.001 693 9.957 Regents of the University of Everythysical Observatory 43.001 GO213023X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTG01161606A 19,629 Space Telescope Science Institute 43.001 HSTG01220003A 7,873 University of Houston 43.001 R110127 43,070	Metron Aviation Incorporated	43.001	SUNY000752CN109			
National Space Biomedical Research Institute 43.001 SMST01603 305,420 National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30,895 Planetary Science Institute 43.001 693 9,957 Regents of the University of California 43.001 095SNB140 72,432 Smithsonian Astrophysical Observatory 43.001 GO213023X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTG01161606A 19,629 Space Telescope Science Institute 43.001 HSTG01220003A 7,873 University of Houston 43.001 R110127 43,070	Metron Aviation Incorporated	43.001	SUNY000899CN115		60,721	
National Space Biomedical Research Institute 43.001 SMST01702 44,117 Planetary Science Institute 43.001 639 30,895 Planetary Science Institute 43.001 693 9,957 Regents of the University of California 43.001 095SNB140 72,432 Smithsonian Astrophysical Observatory 43.001 GO213023X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTG01161606A 19,629 Space Telescope Science Institute 43.001 HSTG01220003A 7,873 University of Houston 43.001 R110127 43,070						
Planetary Science Institute 43.001 639 30.895 Planetary Science Institute 43.001 693 9.957 Regents of the University of California 43.001 0955NB140 72,432 Smithsonian Astrophysical Observatory 43.001 GO213023X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTG0120003A 19,629 Space Telescope Science Institute 43.001 HSTG01220003A 7,873 University of Houston 43.001 R110127 43,070						
Regents of the University of California 43.001 0995SNB140 72,432 Smithsonian Astrophysical Observatory 43.001 GO213023X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188JD 11,765 Space Telescope Science Institute 43.001 HSTG01161606A 19,629 Space Telescope Science Institute 43.001 HSTG01220003A 7,873 University of Houston 43.001 R110127 43,070	Planetary Science Institute	43.001	639		30,895	
Smithsonian Astrophysical Observatory 43.001 GO213023X 17,237 Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTG01161606A 19,629 Space Telescope Science Institute 43.001 HSTG01220003A 7,873 University of Houston 43.001 R110127 43,070						
Smithsonian Astrophysical Observatory 43.001 GO213030X 16,907 Southwest Research Institute 43.001 A99188ID 11,765 Space Telescope Science Institute 43.001 HSTGO1161606A 19,629 Space Telescope Science Institute 43.001 HSTGO1220003A 7,873 University of Houston 43.001 R110127 43,070						
Southwest Research Institute 43.001 A99188JD 11,765 Space Telescope Science Institute 43.001 HSTG01161606A 19,629 Space Telescope Science Institute 43.001 HSTG01220003A 7,873 University of Houston 43.001 R110127 43,070						
Space Telescope Science Institute 43.001 HSTG01220003A 7,873 University of Houston 43.001 R110127 43,070	Southwest Research Institute	43.001	A99188JD		11,765	
University of Houston 43.001 R110127 43,070						
	University of Kansas Center for Research Incorporated	43.001	FY2012-039		56,903	
University of Maryland 43.001 Z662302 964	University of Maryland	43.001	Z662302		964	

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal granter/federal cubdivision or pass through granter	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal
Federal grantor/federal subdivision or pass-through grantor Virginia Institute of Marine Science Jet Propulsion Laboratory	43.001 43.002	NNX11AD47G/715676-712683 \$1369094		10,872 15,470	1,080,680
Rensselaer Polytechnic Institute Total National Aeronautics and Space Administration	43.002	B10554	3,957,561	49,209 1,534,196	5,491,757
National Foundation on the Arts and Humanities:			3,937,301	1,534,190	3,491,737
National Endowment for the Arts National Endowment for the Humanities Institute of Museum and Library Services Indiana University University of Vermont Drexel University Drexel University	45.000 45.000 45.000 45.149 45.163 45.312 45.312	103070290 24579-SUNY Initial 219059-3629 N/A	9,511 47,855 82,041	(9,738) 32,694 14,505 7,682	139,407 (9,738) 32,694 22,187
Total National Foundation on the Arts and Humanities			139,407	45,143	184,550
National Science Foundation: ARRA – National Science Foundation	47.082 47.000 47.041 47.049 47.050 47.070 47.074 47.075	13671 0930634 658019 vl/HN RX2220491SUNY 24143 DMR0959486 SC3600251008 1003574	6,722,653 477,847 5,715,703 12,015,599 7,190,224 7,620,633 4,846,405 3,083,958		6,722,653 477,847 5,715,703 12,015,599 7,190,224 7,620,633 4,846,405 3,083,958
National Science Foundation National Science Foundation	47.076 47.078	9500009444 20100658206	3,663,270 602,939		3,663,270 602,939
National Science Foundation National Science Foundation	47.079 47.080	2008113802 N/A	154,958 1,673,916		154,958 1,673,916
ARRA Board of Supervisors of Louisiana State University and Agricultural an ARRA California State University Fresno ARRA Georgetown University ARRA Trustees of Boston College ARRA University of Vermont	47.082 47.082 47.082 47.082 47.082	55666 SC3600251008 RX2220491SUNY 13671 24143 DMR0959486	1,073,710	228,039 3,204 50,225 20,147 26,976	328,591
Hudson Valley Community College NISE Network	47.000 47.000	1003574 N/A		148,887 2,302	
NISE Networks University of Illinois Urbana Champaign Board of Trustees of the Leland Stanford Junior University Cornell University Esensors Incorporated North Carolina State University	47.000 47.000 47.041 47.041 47.041 47.041	N/A 20100658206 24145260-46334-C 62101-9359 N/A 2008113802		2,502 116,998 48,547 220,861 25,020 61,689	268,187
Northeastern University Ohio State University Research Foundation Orthogonal Incorporated Purdue University Regents of the University of California Rensselaer Polytechnic Institute Rochester Institute of Technology Syracuse University University of Nevada Reno University of Nevada Reno University of Weabington	47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041 47.041	50194778051 N/A Agreement 10124210 NEES-4101-31871 00006218 P DP14774832 A11647 30950-01 25914-02967-01 CUREE Subcontract No 6 UNR1169/132011713NB/11BP179399 576722		4,056 17,373 27,646 1,711,560 92,569 45,306 72,770 10,947 139,707 136,250 89,634	
William Marsh Rice University Columbia University Columbia University Occidental College Portland State University Regents of the University of California, Santa Cruz Tecona Technologies Incorporated Trustees of Princeton University	47.041 47.049 47.049 47.049 47.049 47.049 47.049 47.049	R3B592 11525191 PHY0612811 Sub 11 G478 208HAM122 S0183166 N/A 2033		199,384 13,440 61,744 28,274 73,225 45,738 17,122 20,743	2,903,319
University of Texas at Dallas Brown University Colorado State University Colorado State University Consortium for Ocean Leadership Consortium for Ocean Leadership Pennsylvania State University University of Houston	47.049 47.050 47.050 47.050 47.050 47.050 47.050 47.050	100472 00000360 PO# P267976 G304515 G36241 BA94 T331A46 3638-SUNY-NSF-5019 R110111		111,975 23,684 10,024 17,654 63,649 11,637 13,360 18,114	372,261
University of Illinois Urbana Champaign Computing Research Association	47.050 47.070	20100658201 CIFB136		779,446 64,936	937,568
Computing Research Association Chicago Zoological Society Columbia University Cornell University Cornell University Pennsylvania State University University of Bochester University of Rochester	47.070 47.074 47.074 47.074 47.074 47.074 47.074 47.074 47.074	CIFC136 DEB1146198 R01HL098037 462228052 614689518 4192-RFSUNY-NSF-2742 DEB0620910 414626G		92,650 11,141 72,204 4,884 29,640 222,227 43,961 25,654	157,586
University of Tennessee Washington State University Association for Institutional Research Eastern Michigan University Trustees of Boston University University of Arkansas University of California at San Diego University of California at San Diego	47.074 47.074 47.075 47.075 47.075 47.075 47.075 47.075	OR 1288500101 107476G002259 RG11-35 EMU 20080217 GC207663NGD SA0908001 10321141-SUB PO#10295473-003		24 197,613 31,553 380 34,270 6,036 28,546 41,830	607,348
University of Maryland University of New Hampshire Cache Corporation Children's Hospital of Philadelphia Cornell University	47.075 47.075 47.076 47.076 47.076	Z314801 12017 Agrmt #0IE96 960172RSUB 58217-9649		55,563 3,260 79 46,237 986	201,438
Illinois State University	47.076	07C210-01B		152,782	200,084

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Consortium for Ocean Leadership William Marsh Rice University	47.079 47.079	BA78 R3C75D	\$	12,019 128,380	140,399
Total National Science Foundation			53,768,105	6,116,781	59,884,886
Small Business Administration: Small Business Administration	59.000	107/142	52,347		
Connecticut Center for Advanced Technology Incorporated Total Small Business Administration	59.000	10K142	52,347	44,853	97,200 97,200
Department of Veterans Affairs:			32,347	44,655	97,200
Department of Veterans Affairs Veterans Admin Western New York Healthcare Systems Veterans Administration Medical Ctr at Northport Veterans Affairs Medical Center	64.000 64.000 64.000 64.000		40,347 427,476 444,001 80,568		992,392
Total Department of Veterans Affairs			992,392		992,392
Environmental Protection Agency: Environmental Protection Agency Battelle Memorial Institute MACTEC Inc NYS Department of Environmental Conservation Regents of the University of Michigan Syracuse University University of Connecticut Village of Cooperstown Tioga County Soil and Water Conservation District Upper Susquehanna Coalition Central Michigan University Clarkson University Clarkson University Great Lakes Observing System	66.000 66.000 66.000 66.000 66.000 66.202 66.437 66.460 66.461 66.469 66.469 66.469	227310 EPW09208 AM07887 3001524233 2235401668504 7052 N/A EPA-R2-09WPDG EPA-R3-CBP-09-02 GLOGEOG6120 375325741 375325742 PO CLKSN70630 EPA63606	1,201,151	13,605 1,959 8,204 90 37,954 5,239 13,138 7,203 27,966 253,872 106,165 392,977 91,483	1,225,009 37,954 5,239 13,138 7,203 27,966
Indiana University University of Illinois Urbana Champaign New England Interstate Water Pollution Cntrl Comm	66.469 66.481	GL00E766010/Hites4340133 20100393202 A1571 X797187301		6,663 10,153 25,290	861,313 25,290
Monroe County NYS Department of Environmental Conservation	66.600 66.600	N/A AM06907		33,032 6,698	39,730
Onondaga Environmental Institute Total Environmental Protection Agency	66.606	N/A	1,201,151	(2,959) 1,038,732	(2,959)
Department of Energy:			1,201,131	1,036,732	2,239,883
ARRA - Department of Energy Argonne National Laboratory Bonneville Power Administration Department of Energy Fermi National Accelerator Laboratory Los Alamos National Laboratory National Energy Technology Laboratory National Energy Technology Laboratory National Nuclear Security Administration ARRA NYS Energy Research and Development Authority ARRA Florida Power & Light Company ARRA Suffolk County Department of Public Works ARRA Florida State University ARRA Long Island Power Authority ARRA University of Minnesota Los Alamos National Security LLC Regents of the University of California Sandia National Laboratories URS Energy and Construction Incorporated UT Battelle LLC Brookhaven Science Associates LLC Aegis Technology Alliance for Sustainable Energy LLC Aliance for Sustainable Energy LLC Battelle Memorial Institute Battelle Memorial Institute Battelle Memorial Institute Battelle Memorial Institute Brookhaven Science Associates LLC Brookhaven Science Associate	81.049 81.049 81.049 81.049 81.049 81.049 81.049 81.049 81.000 81.000 81.000 81.001 81.019 81.022 81.122 81.000	18928 18971 19717 N/A CP1664 R01433-ARRA DEOE0000220 A000211512 Subcontract: 138559 6978802 1111356 RES1100874 4000103107 201657 Agreement 09061228 AGJ04029001 AGJ11185401 174303 88495 113152 136630 160434 162350 162689 181030 181069 193838 196106 196905 200263 200758 200934 201565 205534 214956 218213 219291 Subaward No. 615771 562879319 Agreement 10083057 DEEE0003492	769,111 41,735 437,346 19,953,099 25,563 1,961 90,419 247,016	298,745 304,852 435,883 28,243 42,175 31,471 971,754 12,405 59,537 78,741 113,831 29,802 25,736 49,373 14,237 45,334 27,517 13,643 314,402 34,697 56,854 (159) 48,517 2,412 102,258 43,391 47,798 107,903 13,999 52,929 4,506 48,014 90,270 39,687 12,619 19,319 18,294 39,808 32,667 4,730 19,545	21,566,250 603,597 435,883 70,418 31,471 984,159 307,647 49,373

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Kansas State University	81.049	S11017	\$	10,528	grantor
Lawrence Berkeley National Laboratory	81.049	6807111	Ψ	24,686	
Lawrence Berkeley National Laboratory	81.049	6909679		4,552	
Lawrence Berkeley National Laboratory Lawrence Livermore National Laboratory	81.049 81.049	6969219 B593287		111,061 18,746	
Materials Development Incorporated	81.049	MDISBU101101/DE-SC0004648		28,904	
Plasma Technology Inc	81.049	N/A		1,369	
President and Fellows of Harvard College Rensselaer Polytechnic Institute	81.049 81.049	1331835041153 A12141		56,322 165,294	
Sandia National Laboratories	81.049	11113562		8,845	
TreadStone Technologies Incorporated	81.049	DOEEERE01SBU01		19,445	
Trustees of Princeton University University Corporation for Atmospheric Research	81.049 81.049	1909 Z1155381		(131) 39,462	
University of Delaware	81.049	23044		12,472	
University of Houston	81.049	DESC0001284		39,844	
University of Rochester University of Texas at Arlington	81.049 81.049	414091G 2608013661		531,106 24,928	
UT Battelle LLC	81.049	4000092310		10,902	
UT Battelle LLC	81.049	4000101997		125,866	2,498,075
South Dakota State University Alliance for Sustainable Energy LLC	81.079 81.087	3TD155 ZGB04064201		99,291 107,456	
CleanTech Partners Incorporated	81.087	DEFG3606GO16108		(508)	
Cold Spring Harbor Laboratory	81.087	51030113		25,602	
Cold Spring Harbor Laboratory Consortium for Plant Biotechnology Research Incorporated	81.087 81.087	51030212 GO12026317		131,932 9,563	
Consortium for Research on Renewable Industrial	61.067	GO12020317		9,303	
Materials (CORRIM)	81.087	418		10,781	
Giner Electrochemical Systems Lawrence Berkeley National Laboratory	81.087	N/A		22,215	406,332
Lawrence Berkeley National Laboratory Lawrence Berkeley National Laboratory	81.117 81.117	6807148 6951372		319,394 70,337	389,731
Board of Trustees of the Leland Stanford Junior University	81.124	2175022040367A		139,901	139,901
C and S Engineering	81.214	Job No. E16.022.004		1,063	
C and S Engineering	81.214	Job. No. E16.029.002		5,132	6,195
Total Department of Energy			21,566,250	5,922,782	27,489,032
Department of Education:					
Department of Education ARRA NYS Office of Children and Family Services	84.000 84.390	1102226	5,722,387	1,000	5,722,387 1,000
Michigan Technological University	84.116	090367Z1		42,133	42,133
Aspen Systems Incorporated	84.133	4833		40,416	
Carnegie Mellon University	84.133 84.133	Subrecipient No 1100028-221807 141765-Buffalo		313,439 2,457	
Duke University Duke University	84.133	Subaward No: 343-0053		133,488	489,800
Albany City School District	84.165	U165A100043		199,472	199,472
Broome Tioga BOCES Broome Tioga BOCES	84.184	N/A		816,345	061.521
Arizona State University	84.184 84.305	USDOE Q184L090208 10340		145,186 90,773	961,531
Rutgers University	84.305	Subaward No. 3585		174,988	265,761
Every Person Influences Children Incorporated	84.310	U310A060031		10,378	10,378
University of Connecticut	84.324	7091/FRS#525818		127,447	127,447
Total Department of Education			5,722,387	2,097,522	7,819,909
National Archives and Records Administration:	00.000		70.200		70.200
Natl Historical Publications & Records Commission	89.000		79,388		79,388
Total National Archives and Records Administration			79,388		79,388
Institute of Peace:					
Institute of Peace	91.000		24,521		24,521
Total Institute of Peace			24,521		24,521
Department of Health and Human Services:					
ARRA – Eunice Kennedy Shriver National Institute of Child Health & Development	02.701		E76 170		
ARRA – Fogarty International Center	93.701 93.701		576,478 10,963		
ARRA – National Cancer Institute	93.701		585,726		
ARRA – National Center for Complementary & Alternative Medic	93.701		11,038		
ARRA – National Center for Research Resources ARRA – National Ctr on Minority Health & Health Disparities	93.701 93.701		3,879,657 691,976		
ARRA – National Eye Institute	93.701		152,283		
ARRA - National Heart Lung and Blood Institute	93.701		625,717		
ARRA – National Inst of Arthritis Musculoskeletal & Skin ARRA – National Inst of Biomedical Imaging and Bioenginee	93.701 93.701		1,237,932 316,333		
ARRA - National Inst of Diabetes Digestive Kidney Disease	93.701		199,180		
ARRA - National Inst of Environmental Health Sciences	93.701		151,079		
ARRA – National Inst of Neurological Disorders & Stroke ARRA – National Inst on Deafness & Other Comm Disorders	93.701 93.701		1,024,353 77,375		
ARRA – National Institute for Alcohol Abuse & Alcoholism	93.701		824,560		
ARRA - National Institute for Nursing Research	93.701		70,798		
ARRA – National Institute of Allergy & Infectious Disease	93.701		3,036,860		
ARRA – National Institute of Dental & Craniofacial Res ARRA – National Institute of General Medical Sciences	93.701 93.701		498,317 714,988		
ARRA – National Institute of General Medical Sciences ARRA – National Institute of Mental Health	93.701		53,439		
ARRA – National Institute on Aging	93.701		19,749		15 0:
ARRA – National Institute on Drug Abuse ARRA – National Center for Research Resources	93.701 93.702		558,576 93,510		15,317,377 93,510
Administration for Children and Families	93.086		68,497		68,497
Administration for Children and Families	93.600		17,438		17,438
Agency for Healthcare Research and Quality Agency for Toxic Substances and Disease Registry	93.226 93.208		322,228 95,008		322,228 95,008
Centers for Disease Control and Prevention	93.000		39,306		39,306

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Course for Demon Camerical and Prevention 9.136 9.257	Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Courtes Deseare Custor and Prevention 9.252					expenditures	
Center for Disease Cumol and Preventions			\$			
Centre in Present Control and Provention						
Course Medicare and Medicare and Medicare (Services 9,300 9,316.83 31,46.85 1,50.16.25 1,50.16.						
Batton Development 19,804 19,804 19,805						
Banker Recember Shirtner Narianal Bantiene of Child Health & 93,954 \$0.438, \$0.448, \$0.4						
Haman Development		93.864		58,154		58,154
Figure Determinated Corner 9.954 (3.418) (3.41		02.965		6 425 242		6 425 242
Seguing International Courter 19,8899 28,980 29,480 29,480 29,480 29,480 29,480 29,480 29,480 29,480 29,480 29,480 29,480 29,480 29,480 29,480 29,441 29,442 29,444 29,4						
Health Resources and Services Admin						
Islah Bisauran and Services Admin						
Islamb Resources and Services Admin						
Salanda Cancer Institute						
National Cancer Institute						
National Cancer Institute						
National Cancer Institute National Cancer Institute National Cancer Institute National Cancer Institute National Caret for Research Resources 93.309 National Caret for Research Resources 93.307 National Caret for Research Resources 93.307 National Caret for Research Resources 93.307 National Exp Institute 93.308 National Level Institute 93.309 National Level Institute 93.300 National Level Institute 93.300 National Heart Lung and Blood Institute 93.301 National Heart Lung and Blood Institute 93.302 National Heart Lung and Blood Institute 93.307 National Heart Lung and Blood Institute 93.317 National Heart Lung and Blood Institute 93.318 National Heart Lung and Blood Institute 93.318 National Heart Lung and Blood Institute 93.318 National Heart Caret March March Science 93.329 National Heart Caret March Science 93.320 National Heart Caret March Science 93.321 National Heart Caret March Science 93.323 National Heart Caret March Science 93.323 National Heart Caret March Science 93.323 National Heart Caret March Science 93.327 National Heart Lung And Blood Institute 93.328 National Heart Caret March Science 93.329 National Heart Caret March Science 93.320 Nati						
National Cancer Institute National Courte for lighty Precention and Courtor 93.99 National Courte for lighty Precention and Courtor 93.90 National Core on Minority Health/Relatib Dispurities 93.07 National Light Lings and Blood Institute 93.07 National Heart Lings and Blood Institute 93.08 National Heart Lings and Blood Institute 93.09 National Heart Lings and Blood Institute 93.87 National Heart Lings and Blood Institute 93.88 National Heart Lings and Blood Institute 93.87 National Heart Lings and Blood Institute 93.88 National Heart Lings and Blood Institute 93.89 National Heart Lings and Blood Institute 93.80 National Heart Lings and Blood Institute 93.80 National Heart Lings and Blood Institute 93.80 National Heart Lings and Blood Institute 93.81 National Heart Lings and Blood Institute 93.81 National Heart Lings and Blood Institute 93.81 National Heart Lings and Blood Institute 93.82 National Heart Lings and Blood Institute 93.81 National Heart Lings and Blood Institute 93.82 National Heart Completes Operative Kings Disease 93.83 National Heart Lings and Blood Institute 93.83 National Heart Lings	National Cancer Institute	93.396		3,196,033		3,196,033
National Center for Binary Prevention and Center of Binary Pre						
National Center for Research Resources 93.389 2.207.282 2.20						
National Cre on Munority Health Educations						
National Februshine						
National Heart Lung and Blood Institute						
National Heart Lung and Blood Institute						
National Heart Lung and Blood Institute 93.838 93.839 93.830 93.830 93.830 93.830 93.830 93.830 93.830 93.830 93.830 93.830 93.846 93.846 93.847 83.8486 93.84866 93.8486 93.8486 93.8486 93.8486 93.8486 93.8	National Heart Lung and Blood Institute			1,557		1,557
National Heard Lung and Blood Institute 93.839 \$3.172						
Mational Human Genome Research Institute						
National last of Arthritis Muscalosketal & Skin 93.310 \$1,171						
National last of Biomedical Imaging and Biorenginee 93.286 2.280.925 2.280.925 National last of Biomedical Imaging and Biorenginee 93.286 2.280.925 2.280.925 National last of Biomedical Imaging and Biorenginee 93.286 99.00.000 99.00.000 National last of Piocheche Dispaire Kuthep Disease 93.478 99.000 99.00.000 National last of Piocheche Dispaire Kuthep Disease 93.478 99.000 99.00.000 National last of Piocheche Dispaire Kuthep Disease 93.478 99.000 99.00.000 National last of Piocheche Dispaire Kuthep Disease 93.171 99.000 99.00.000 9						
National last of Diabetes. Digestive Kidney Disease 33.497 Altional last of Diabetes. Digestive Kidney Disease 33.497 Altional last of Environmental Health Sciences 33.487 Altional last of Environmental Health Sciences 33.473 Altional Institute for Alcelol Abuse & Alcoholism 33.271 Altional Institute for Alcelol Abuse & Alcoholism 33.271 Altional Institute for Alcelol Abuse & Alcoholism 33.272 Altional Institute for Nursing Research 33.361 Altional Institute for Nursing Research 33.361 Altional Institute for Nursing Research 33.361 Altional Institute for Nursing Research 33.362 Altional Institute of Companies Alcelor Sciences 33.373 Altional Institute of Companies Alcelor Sciences 33.370 Altional Institute of Companies Alcelor Sciences 33.373 Altional Institute of General Medical Sciences 33.387 Altional Institute of Health 33.282 Altional Institute of Health 33.282 Altional Institute of Medical Altional Institute of Health 33.293 A						
National last of Diabetes Digestive Kishey Disease 93.49	National Inst of Biomedical Imaging and Bioenginee	93.286		2,580,925		2,580,925
National last of Penvionamial Health Sciences 93.113 4.494,163 4.494,163 4.294,163 6.030,1755 6.0	National Inst of Diabetes Digestive Kidney Disease					
National last of Deurlace & Browde 33.853 National last on Deurlaces & Chirc from Disorders 33.173 National last on Deurlaces & Chirc from Disorders 33.173 National Institute for Actor) Abuse & Aktoholism 32.717 National Institute for Actor) Abuse & Aktoholism 32.718 National Institute Occupational Safety & Health 32.62 National Institute of Chirace Safeticinos Disease 33.853 National Institute of Allergy & Infectious Disease 33.855 National Institute of Allergy & Infectious Disease 33.855 National Institute of Pental & Crainoficial Res 33.117 National Institute of General Medical Sciences 33.119 National Institute of General Medical Sciences 33.379 National Institute of General Medical Sciences 33.389 National Institute of General Medical Sciences 33.387 National Institute of Mental Health 33.292 National Institute of Mental Health 33.293 National Institute of Mental Health 33.294 National Institute of Mental Health 33.295 National Institute of Mental Health 33.295 National Institute of Mental Health 33.295 National Institute of Me						
National Inst on Deafness & Other Comm Disorders 93.173						
National Institute for Alcohol Abuse & Alcoholism 93.273 National Institute for Alcohol Abuse & Alcoholism 93.273 National Institute for Nating Research 93.261 National Institute for Nating Research 93.262 National Institute Corpational Safety & Health 93.262 National Institute Corpational Safety & Health 93.262 National Institute Corpational Safety & Health 93.262 National Institute of Captage & Infections Disease 93.263 National Institute of Captage & Infections Disease 93.263 National Institute of Captage & Infections Disease 93.263 National Institute of Dental & Canadical Res 93.121 National Institute of Dental & Canadical Res 93.121 National Institute of General Medical Sciences 93.300 National Institute of General Medical Sciences 93.310 National Institute of General Medical Sciences 93.337 National Institute of General Medical Sciences 93.357 National Institute of General Medical Sciences 93.379 National Institute of Health 93.273 National Institute of Health 93.273 National Institute of Health 93.372 National Institute of Health 93.374 National Institute of Health 93.385 National Institute of Mental Health 93.387 National Institute of Mental Health 93.388 National Institute of Mental Health 93.389 National Institute of Mental Health 93.389 National Institute of Mental Health 93.389 Nat						
National Institute for Actools Abuse & Alcoholism 17,581,956 18,581,961 18,58						
National Institute Occupational Safety & Heath 93.262 1,154,056 1,154,05	National Institute for Alcohol Abuse & Alcoholism					
National Institute of Allergy & Infectious Disease 1,807,661 1,807,661 1,807,662 1,247,288 1,247						
National Institute of Allergy & Infectious Disease National Institute of Dental & Crionificial Res 93.121						
National Institute of Dental & Camiofacial Res National Institute of General Medical Sciences 93.000 1310.416 National Institute of General Medical Sciences 93.310 1,630.117 1,						
National Institute of General Medical Sciences 93.000 310.416 310.41						
National Institute of General Medical Sciences 93.30 1,630,117 1,630,1						
National Institute of General Medical Sciences 93.837						
National Institute of General Medical Sciences 93.859 22,374.831 22,374.831 23,374.831	National Institute of General Medical Sciences	93.390		72,159		72,159
National Institute of Health						
National Institute of Health 93.273 604,668 604,668 National Institute of Health 93.395 12,294 12,294 National Institute of Mental Health 93.281 120,499 130,432 184,3287 9,843,287 National Institute of Mental Health 93.281 120,429 144,533						
National Institute of Health 93.395 12.294						
National Institute of Mental Health 93.242 9,843.287 9,843.287 National Institute of Mental Health 93.281 120.429 120.429 National Institute on Mental Health 93.282 144.533 144.533 National Institute on Drug Abuse 93.279 8.366.566 2,147.378 National Institute on Drug Abuse 93.213 8.366.566 8.366.566 Ant'I Center for Complementary & Alternative Medic 93.219 8.36.566 8.36.566 Office of Public Health and Science 93.974 191.872 191.872 Substance Abuse & Mental Health Services Admin 93.243 125.118 125.118 US National Library of Medicine 93.879 HHSN27120090019C/PO GRT286028 23.099 23.099 ARRA Lawrence Berkeley National Laboratory 93.701 210.098 23.099 23.099 ARRA Bio-Signal Group Corporation 93.701 1RC3NS0765801 102.291 40.856 ARRA Bio-Signal Group Corporation 93.701 RS20090553-07 101.016 26.139 ARRA Diagham and Womens Hospital 93.701 RS20000553-07 101.0						
National Institute of Mental Health 93.281 120.429 120.429 120.429 144.533 144.533 144.533 144.533 National Institute on Aging 93.866 2.147.378 2.14						
National Institute on Aging 93.866 2,147.378 8,346.566 8,436.566 8						
National Institute on Drug Abuse 93.279 8,346,566 8,346,566 846,566 Nat'l Center for Complementary & Alternative Medic 93.213 839,687 839,687 191,872 191,						
Nat Center for Complementary & Alternative Medic 93.213 839.687 93.9687 93.9687 93.9687 93.9687 93.974 91.872						
Office of Public Health and Science 93,974 191,872 191,872 Substance Abuse & Mental Health Services Admin 93,243 125,118 125,118 US National Library of Medicine 93,879 HRSN271200900019C/PO GRT286028 23,099 52,394 ARRA Feinstein Institute for Medicial Research 93,000 HHSN271200900019C/PO GRT286028 23,099 23,099 ARRA Albany Medical College 93,701 2716 40,856 40,856 ARRA Bosic Signal Group Corporation 93,701 IRC3NS0765801 102,291 101,016 ARRA Bosic Genes of the University of Oklahoma Health Sciences Center 93,701 RS20090553-07 101,016 26,139 ARRA Albany Medical College 93,701 RS20090553-07 101,016 26,139 ARRA Golorado State University 93,701 RS20090553-07 101,016 102,761 ARRA Albany Medical College 93,701 RS20090553-07 101,016 102,761 ARRA Golorado State University 93,701 8200 (5,589) 124,761 ARRA Dime Segrey 93,701 8200 (5,589)						
Substance Abuse & Mental Health Services Admin 93.243 125.118 125.11						
ÜS National Library of Medicine 93.879 52,394 52,394 ARRA Feinstein Institute for Medical Research 93.000 HHSN271200900019C/PO GRT286028 23,099 23,099 ARRA Lawrence Berkeley National Laboratory 93.172 Subcontract No. 7001829 383 383 ARRA Albany Medical College 93.701 2716 40,856 40,856 ARRA Boss Green Greents of the University of Oklahoma 93.701 1RCSNS0765801 101,016 ARRA Brigham and Womens Hospital 93.701 RS20090553-07 101,016 ARRA Colorado State University 93.701 106261 26,139 ARRA Enumes Corporation 93.701 8200 (5,589) ARRA Enumes Corporation University 93.701 N/A 2,610 ARRA Harvard School of Public Health 93.701 N/A 2,610 ARRA Institute for Matching Person and Technology 93.701 2272010 8,585 ARRA John Wayne Cancer Institute 93.701 PG 649986 35,525 ARRA Johns Hopkins University 93.701 PG 649986 35,525 ARRA Johns Hopkins Unive						
ARRA Feinstein Institute for Medical Research 93.000 HHSN271200900019C/PO GRT286028 23,099 23,099 ARRA Lawrence Berkeley National Laboratory 93.701 Subcontract No. 7001829 383 383 ARRA Biony Medical College 93.701 2716 40,856 40,856 ARRA Bion-Signal Group Corporation 93.701 IRCSNS0765801 102,291 ARRA Bord of Regents of the University of Oklahoma Health Sciences Center 93.701 RS20090553-07 101,016 ARRA Bigham and Womens Hospital 93.701 106261 26,139 ARRA Duke University 93.701 8200 (5,589) ARRA George Washington University 93.701 N/A 2,610 ARRA Hospital for Special Surgery 93.701 10D08 406 ARRA Hospital for Special Surgery 93.701 N/A 147,360 ARRA Institute for Matching Person and Technology 93.701 N/A 147,360 ARRA John Hospital University 93.701 P/D 649986 35,525 ARRA John Hospital University 93.701 P/D 649986 35,525						
ARRA Blany Medical College 93.701 2716 40,856 ARRA Bio-Signal Group Corporation 93.701 1RC3NS0765801 102,291 ARRA Bos-Greents of the University of Oklahoma Health Sciences Center 93.701 RS20090553-07 101,016 ARRA Bio-Bipham and Womens Hospital 93.701 106261 26,139 ARRA Colorado State University 93.701 G76821 120,761 ARRA Bio-Bipham and Womens Hospital 120,761 ARRA Deventure State University 93.701 RS2000 (5,589) ARRA Colorado State University 93.701 N/A 2,610 ARRA Emmes Corporation 93.701 N/A 2,610 ARRA George Washington University 93.701 1008 406 ARRA Hospital for Special Surgery 93.701 3U01HD0521020551 / 114050.0012 38,519 ARRA Hospital for Special Surgery 93.701 N/A 147.360 ARRA Hospital for Special Surgery 93.701 N/A 147.360 ARRA John Wayne Cancer Institute 93.701 PO# 649986 35,525 ARRA John Wayne Cancer Institute 93.701 2272010 8,885 ARRA John Wayne Cancer Institute 93.701 PO# 649986 35,525 ARRA MCLean Hospital Corporation 93.701 2R56DK01930235 / 2001192087 88,606 ARRA MCLean Hospital Corporation 93.701 SU01A15300418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Oklahoma Medical Research Foundation 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Oliversity 93.701 SBCA HASDK08858001 63,018 ARRA University of Horiversity of Pennsylvania 93.701 SEC2HL10149902 PG190522009 5,273 ARRA University of Florida 93.701 SBCA HA3DK08858001 63,018 ARRA University of Horiversity of Pennsylvania 93.701 SBCA HA3DK08858001 63,018 ARRA University of Horidan 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400	ARRA Feinstein Institute for Medical Research	93.000				23,099
ARRA Bio-Signal Group Corporation 93.701 1RC3NS0765801 102,291 ARRA Board of Regents of the University of Oklahoma Health Sciences Center 93.701 RS20090553-07 101,016 ARRA Brigham and Womens Hospital 93.701 106261 26,139 ARRA Clorolado State University 93.701 G76821 120,761 ARRA Duke University 93.701 8200 (5,589) ARRA Emmes Corporation 93.701 N/A 2,610 ARRA George Washington University 93.701 10008 406 ARRA Harvard School of Public Health 93.701 3U01HD05210205S1 / 114050.0012 38,519 ARRA Harvard School of Public Health 93.701 N/A 147,360 ARRA Harvard School of Public Health 93.701 N/A 147,360 ARRA Harvard School of Public Health 93.701 PO#649986 35,525 ARRA Jackson Laboratory 93.701 PO#649986 35,525 ARRA Jackson Laboratory 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 SU01H2087 83,606 ARRA McLean Hospital Corporation 93.701 SU01H3050418 179,459 ARRA Oregon Health & Science University 93.701 SU01A13500418 179,459 ARRA Oregon Health & Science University 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 SEC2HL10149902 PG190522009 5,273 ARRA University of Pennsylvania 93.701 SEC2HL1014902 PG190522009 5,273 ARRA University of Pennsylvania 93.701 SEC2HL1014902 PG190522009 5,273 ARRA University of Pennsylvania 93.701 SEC2HL1014902 PG190522009 5,273 ARRA University of Pennsylvania 93.701 PG/#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO/#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO/#M155209-C0.01 3,400	1001111 11111111	00.701	2716			383
ARRA Board of Regents of the University of Oklahoma Health Sciences Center 193.701 RS20090553-07 101,016 ARRA Brigham and Womens Hospital ARRA Colorado State University 93.701 106261 26,139 ARRA Colorado State University 93.701 RS200 (5,589) ARRA Emmes Corporation ARRA Emmes Corporation ARRA George Washington University 93.701 RNA RRA Emmes Corporation ARRA Harvard School of Public Health 93.701 RS200 RRARA Harvard School of Public Health 93.701 RS200 RRARA Harvard School of Public Health 93.701 RNA RRA Hospital for Special Surgery 93.701 RS201 RRA Hospital for Special Surgery 93.701 RRA Hospital for Special Surgery RRA John Wayne Cancer Institute 93.701 RCA029605 RRA John Wayne Cancer Institute 93.701 RCA029605 RRA John Wayne Cancer Institute 93.701 RRA Hospital Corporation 93.701 RRA Monteffore Hospital and Medical Center 93.701 RRA Monteffore Hospital and Medical Center 93.701 SUOI HISCONO70276-01 10,345 RRA Oklahoma Medical Research Foundation 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Oklahoma Medical Research Foundation 93.701 SEC2HL10149902 PG190522009 5,273 ARRA University of Honiversity of Pennsylvania 93.701 SEC2HL10149902 PG190522009 5,273 ARRA University of Florida 93.701 SECA HA3DKO8858001 63.018 RRA University of Florida 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400						
Health Sciences Center		93.701	1KC3NSU/65801		102,291	
ARRA Brigham and Womens Hospital 93.701 106261 26,139 ARRA Colorado State University 93.701 G76821 120,761 ARRA Duke University 93.701 8200 (5,589) ARRA Emmes Corporation 93.701 N/A 2,610 ARRA George Washington University 93.701 10008 406 ARRA Harvard School of Public Health 93.701 3U01HD05210205S1 / 114050.0012 38,519 ARRA Hospital for Special Surgery 93.701 N/A 147,360 ARRA Hospital for Special Surgery 93.701 N/A 147,360 ARRA Hospital for Special Surgery 93.701 2272010 8,855 ARRA Jackson Laboratory 93.701 2272010 8,855 ARRA Jackson Laboratory 93.701 P0 649986 35,525 ARRA Johns Hopkins University 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 2R56DK01930235 / 2001192087 83,606 ARRA McLean Hospital Corporation 93.701 1RC2NS070276-01 10,345 ARRA Oklahoma Medical Research Foundation 93.701 SU01A13500418 179,459 ARRA Oregon Health & Science University 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 SSC2HL10149902 PG190522009 5,273 ARRA University of Pennsylvania 93.701 SSC2HL10149902 PG190522009 5,273 ARRA University of Pennsylvania 93.701 SSC2HL10149902 PG190522009 5,273 ARRA University of Florida 93.701 SSC2HL10149902 PG190522009 5,273 ARRA University of Pennsylvania 93.701 SSC3339 2517528 7,487 ARRA University of Florida 93.701 SSCA HA3DK08858001 63,018 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Florida 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400		93 701	R\$20090553-07		101 016	
ARRA Colorado State University 93.701 G76821 120.761 ARRA Duke University 93.701 8200 (5,589) ARRA Emmes Corporation 93.701 N/A 2,610 ARRA George Washington University 93.701 10D08 406 ARRA Harvard School of Public Health 93.701 3U01HD0521020S51 / 114050.0012 38,519 ARRA Harvard School of Public Health 93.701 3U01HD0521020S51 / 114050.0012 38,519 ARRA Hospital for Special Surgery 93.701 N/A 147,360 ARRA Hospital for Special Surgery 93.701 2272010 8,555 ARRA John Wayne Cancer Institute 67 Matching Person and Technology 93.701 P0#649986 35,525 ARRA John Wayne Cancer Institute 93.701 2272010 8,585 ARRA John Wayne Cancer Institute 93.701 2272010 8,560 ARRA Monte Hospital For Special Surgery 93.701 28560K01930235 / 2001192087 83,606 ARRA Monte Hospital Corporation 93.701 1RC2NS070276-01 10,345 ARRA Monte Hospital and Medical Center 93.701 5U01A13500418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 5RC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 5SRC2HL10149902 PG190522009 5,273 ARRA Orthousers of the University of Pennsylvania 93.701 5SRC2HL10149902 PG190522009 5,273 ARRA University of California at San Francisco 93.701 SBCA 1R43DK08858001 63.018 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Florida 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO#M155209-C0.01 3,001340169						
ARRA Duke University 93.701 8200 (5.589) ARRA Emmes Corporation 93.701 N/A 2,610 ARRA Emmes Corporation 93.701 10D08 406 ARRA Harvard School of Public Health 93.701 3U01HD05210205S1 / 114050.0012 38,519 ARRA Harvard School of Public Health 93.701 3U01HD0521020SS1 / 114050.0012 38,519 ARRA Hospital for Special Surgery 93.701 NA 147,360 ARRA Hospital for Special Surgery 93.701 2272010 8,585 ARRA Jackson Laboratory 93.701 P0# 649986 35,525 ARRA Jackson Laboratory 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 IRC2NS070276-01 10,345 ARRA McLean Hospital Corporation 93.701 SU01A15500418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 SU01A15500418 179,459 ARRA Oregon Health & Science University 93.701 SRC2HL10149902 PG190522009 5,273 ARRA Trustees of the University of Pennsylvania 93.701 SSC2HL10149902 PG190522009 5,375 ARRA Trustees of the University of Pennsylvania 93.701 SBCA HA3DK08858001 63,018 ARRA Ultradian Diagnostics LLC 93.701 SBCA HA3DK0885801 63,018 ARRA University of Edifornia at San Francisco 93.701 SCA HASDKO8858001 63,018 ARRA University of Florida 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 93.001340169 20,313	ARRA Colorado State University					
ARRA George Washington University 93.701 10D08 406 ARRA Harvard School of Public Health 93.701 3U01HD05210205S1 / 114050.0012 38,519 ARRA Hospital for Special Surgery 93.701 NA 147,360 ARRA Institute for Matching Person and Technology 93.701 PN PO# 649986 35,525 ARRA John Wayne Cancer Institute 93.701 CA029605 966 ARRA John Wayne Cancer Institute 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 2R50KD01930235 / 2001192087 83,606 ARRA Johns Hopkins University 93.701 1RC2NS070275-01 10,345 ARRA Montefiore Hospital and Medical Center 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Oklahoma Medical Research Foundation 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Trustees of the University of Pennsylvania 93.701 SEC2HL10149902 PG190522009 5,273 ARRA Trustees of the University of Pennsylvania 93.701 SEC3HL30100AST 23,575 ARRA University of California at San Francisco 93.701 SECA IRA3DK08858001 63,018 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313	ARRA Duke University	93.701	8200		(5,589)	
ARRA Harvard School of Public Health 93.701 3U01HD0521020SS1 / 114050.0012 38,519 ARRA Hospital for Special Surgery 93.701 NA 147,360 ARRA Institute for Matching Person and Technology 93.701 2272010 8,585 ARRA Jackson Laboratory 93.701 P0# 649986 35,525 ARRA John Wayne Cancer Institute 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 2R56DK01930235 / 2001192087 83,606 ARRA McLean Hospital Corporation 93.701 1RC2NS070276-01 10,345 ARRA Oklahoma Medical Center 93.701 5U01A15500418 179,459 ARRA Oregon Health & Science University 93.701 5RC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University of Pennsylvania 93.701 ABMCS0010AST 23,575 ARRA Ultradian Diagnostics LLC 93.701 5S3339 2517528 7,487 ARRA University of Florida 93.701 SBCA 1R43DK08858001 63,018 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Miami 93.701 90H11052 29,922 ARRA University of Miami						
ARRA Hospital for Special Surgery ARRA Institute for Matching Person and Technology 93.701 2272010 85.55 ARRA Joekson Laboratory 93.701 PO# 649986 35,525 ARRA John Wayne Cancer Institute 93.701 ARRA John Hopkins University 93.701 2R56DK01930235 / 2001192087 88,606 ARRA Molns Hopkins University 93.701 ARRA Montefiore Hospital Corporation 93.701 ARRA Montefiore Hospital and Medical Center 93.701 ARRA Montefiore Hospital and Medical Center 93.701 SU01A13500418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 SRC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 ARRA Oregon Health & Science University 93.701 ARRA University of Pennsylvania 93.701 ARRA Ultradian Diagnostics LLC 93.701 SBCA 1R43DK08858001 63.018 ARRA Ultradian Diagnostics LLC 93.701 SFC2HL10149902 PG190522009 5,273 ARRA Ultradian Diagnostics LLC 93.701 SBCA 1R43DK08858001 63.018 ARRA University of California at San Francisco 93.701 UF11052 29,922 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami ARRA University of Miami 93.701 93.701 90.74185209-C0.01 3,300						
ARRA Institute for Matching Person and Technology 93.701 2272010 8,585 ARRA Jackson Laboratory 93.701 PO# 649986 35,525 ARRA John Wayne Cancer Institute 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 2R56DK01930235 / 2001192087 83,606 ARRA Melcan Hospital Corporation 93.701 1RC2NS070276-01 10,345 ARRA Montefiore Hospital and Medical Center 93.701 5U01A13500418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 SRC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 ABMCS0010AST 23,575 ARRA Trustees of the University of Pennsylvania 93.701 553339 2517528 7,487 ARRA Ultradian Diagnostics LLC 93.701 SBCA 1R43DK08858001 63,018 ARRA University of Eliotica 93.701 5733se 29,421 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Miami 93.701 P0#M155209-C0.01 3,400 ARRA University of Michigan 93.701 <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td></td<>						
ARRA Jackson Laboratory 93.701 PO# 649986 35.525 ARRA John Wayne Cancer Institute 93.701 CA029605 966 ARRA Johns Hopkins University 93.701 2R56DK01930235 / 2001192087 83,606 ARRA McLean Hospital Corporation 93.701 1RCNS070276-01 10,345 ARRA McLean Hospital Corporation 93.701 5U01A13500418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 5U01A13500418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 5RC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 ABMCS0010AST 23,575 ARRA Ultradian Diagnostics LLC 93.701 5S3339 2517528 7,487 ARRA Ultradian Diagnostics LLC 93.701 SBCA 1R43DK08858001 63,018 ARRA University of California at San Francisco 93.701 57338c 29,421 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Miami 93.701 93001340169 20,313						
ARRA John Wayne Cancer Institute 93.701 CA029605 8966 ARRA Johns Hopkins University 93.701 2R56DK01930235 / 2001192087 83,606 ARRA McLean Hospital Corporation 93.701 1RC2NS070276-01 10,345 ARRA Montefiore Hospital and Medical Center 93.701 5U1A13500418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 5RC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 ABMCS0010AST 23,575 ARRA Trustees of the University of Pennsylvania 93.701 553339 2517528 7,487 ARRA Uliversity of Edifornia at San Francisco 93.701 SBCA 1R43DK08858001 63,018 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Mimi 93.701 PO#M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313						
ARRA Johns Hopkins University 93.701 2R56DK01930235 / 2001192087 83.606 ARRA McLean Hospital Corporation 93.701 1RC2NS070276-01 10,345 ARRA Montefiore Hospital and Medical Center 93.701 5U01A13500418 179,459 ARRA Oklahoma Medical Research Foundation 93.701 5RC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 ABMCS0010AST 23,575 ARRA Trustees of the University of Pennsylvania 93.701 553339 2517528 7,487 ARRA Ultradian Diagnostics LLC 93.701 SBCA 1R43DK08858001 63,018 ARRA University of Edifornia at San Francisco 93.701 57338c 29,421 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313	ARRA John Wayne Cancer Institute	93.701	CA029605		966	
ARRA Montefiore Hospital and Medical Center 93.701 5U01A13500418 179.459 ARRA Oklahoma Medical Research Foundation 93.701 5RC2HL0149902 PG190522009 5,273 ARRA Orgon Health & Science University 93.701 ABMCS0010AST 23.575 ARRA Trustees of the University of Pennsylvania 93.701 553339 2517528 7,487 ARRA Uliversity of California at San Francisco 93.701 SBCA 1R43DK08858001 63.018 ARRA University of Florida 93.701 57338c 29,421 ARRA University of Minmi 93.701 UF11052 29.922 ARRA University of Michigan 93.701 90M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313	ARRA Johns Hopkins University	93.701				
ARRA Oklahoma Medical Research Foundation 93.701 5RC2HL10149902 PG190522009 5,273 ARRA Oregon Health & Science University 93.701 ABMCS0010AST 23,575 ARRA Trustees of the University of Pennsylvania 93.701 553339 2517528 7,487 ARRA Ultradian Diagnostics LLC 93.701 SBCA 1R43DK08858001 63,018 ARRA University of California at San Francisco 93.701 57338c 29,421 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Miami 93.701 PO#M15209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313						
ARRA Oregon Health & Science University 93.701 ABMCS0010AST 23,575 ARRA Trustees of the University of Pennsylvania 93.701 553339 2517528 7,487 ARRA University of California at San Francisco 93.701 SBCA 1R43DK08858001 63,018 ARRA University of California at San Francisco 93.701 5733sc 29,421 ARRA University of Florida 93.701 UF11052 29,922 ARRA University of Minim 93.701 PO#M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313						
ARRA Trustees of the University of Pennsylvania 93.701 55339 2517528 7,487 ARRA Ultradian Diagnostics LLC 93.701 SBCA 1843DK08858001 63,018 ARRA University of California at San Francisco 93.701 5733sc 29,421 ARRA University of Florida 93.701 UFI 1052 29,922 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313						
ARRA Ultradian Diagnostics LLC 93.701 SBCA 1R43DK08858001 63.018 ARRA University of California at San Francisco 93.701 5733se 29.421 ARRA University of Florida 93.701 UF11052 29.922 ARRA University of Miami 93.701 P0#M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313						
ARRA University of California at San Francisco 93.701 5733sc 29,421 ARRA University of Florida 93.701 UFI 1052 29,922 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313			SBCA 1R43DK08858001			
ARRA University of Florida 93.701 UFI 1052 29.922 ARRA University of Miami 93.701 PO#M155209-C0.01 3,400 ARRA University of Michigan 93.701 3001340169 20,313						
ARRA University of Michigan 93.701 3001340169 20,313	ARRA University of Florida	93.701	UF11052		29,922	
Arka Uliversity of Prisourgil 95.701 9004041 (62)						
	ARRA UNIVERSITY OF PRESDUIGH	93./01	9004041		(62)	

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
ARRA University of Rochester	93.701	100049-N	\$	139,411	gruntor
ARRA University of Rochester ARRA Board of Regents of the University of Oklahoma	93.701	100050D 1DP4GM09685001		98,703	1,313,316
Health Sciences Center	93.715	20101741		2,381	
ARRA Health Research Inc	93.715	35004101		32,930	35,311
ARRA Health Research Inc	93.723	35003201		54,728	54,728
ARRA Mayo Clinic Rochester Minnesota ARRA Social and Scientific Systems Incorporated	93.728 93.855	90TR000201 CRBDCR01S0900304		130,044 9,821	130,044 9,821
ARRA Massachusetts Institute of Technology	93.859	5710003090		36,135	36,135
ARRA St Lukes Roosevelt Institute for Health Science	93.867	NORDIC-IIHTT – SITE 261		1,876	1,876
Auxagen Incorporated	93.000	2079363 CALGB ID 323		44,210	
Brigham and Womens Hospital Columbia University	93.000 93.000	N/A		37,535 38,584	
Feinstein Institute for Medical Research	93.000	PO# GRT286028B		78,576	
Health Research Inc	93.000	CA108888-01A1		(47)	
International Centre for Diarrhoeal Disease Research Materials Development Incorporated	93.000 93.000	N/A MDISBU101001		15,195 46,541	
Mount Sinai Medical Center	93.000	N/A		2,850	
University of Alabama Birmingham	93.000	CASG112		653	
University of South Florida	93.000	1U01DK61055		14,318	
University of South Florida	93.000	PO 0000145782		74,488	
Washington University Westat Corporation	93.000 93.000	2911966A WU-10-95 Subcontract #- 8489-S-02		18 (61)	352,860
Senior Services of Albany	93.052	90CG2549		(163,910)	(163,910)
Retreat Incorporated	93.086	N/A		45,472	45,472
North Carolina Agricultural and Technical State University	93.103	240535A		35,162	35,162
Chautauqua County Department of Mental Hygiene Orange County Dept of Mental Health	93.104 93.104	N/A N/A		162,068 279,964	442.022
Orange County Dept of Mental Health Health Research Inc	93.104 93.110	N/A 15-0594-02		279,964 48,366	442,032
Health Research Inc	93.110	3941-02 15-0453-02		6,763	
Health Research Inc	93.110	4156-01		38,661	
Health Research Inc	93.110	4170-01		9,479	
Health Research Inc	93.110	4170-02		71,990	175,259
Alaska Community Action on Toxics Columbia University	93.113 93.113	NIESR01UNIVERSITYATALBANY 537761 1R01ES01634801A2		8,968 25	
Health Research Inc	93.113	418801		21,851	
Oregon Health & Science University	93.113	GCROE0137A		201,928	
Oregon Health & Science University	93.113	GCROE0160A		20,015	
Rutgers University University of Illinois Urbana Champaign	93.113 93.113	\$1576652 2007-00634-01		14,259 9,519	
University of Hillions Orbana Champaign University of Kansas Medical Center Research	93.113	2007-00034-01		9,519	
Institute Incorporated	93.113	QK850573		151,161	
University of Medicine & Dentistry of New Jersey	93.113	3R01ES0101599104S1		152,959	
University of New England	93.113	23000171745		37,218	
Wayne State University Yale University	93.113 93.113	WSU11104 A07626M10A10363		126,798 44,740	789,441
University of Minnesota	93.114	S6526115102		58,811	702,441
Wayne State University	93.114	P043898		(6,435)	52,376
Curators of the University of Missouri Kansas City at Kansas City	93.121	00036541/00032781		184,498	
Forsyth Institute Georgia Health Sciences University	93.121 93.121	21127UBUFF-2335 258051		438,332 19,919	
GlycoMira Limited Liability Corporation	93.121	238031 N/A		25,946	
Massachusetts Institute of Technology	93.121	5710003093		175,634	
New York University	93.121	F678802		100,973	
Regents of the University of Michigan	93.121	3001642450 - 7R21ED018820-03		7,088	
Regents of the University of Minnesota University of Bristol	93.121 93.121	P001901002 5R01DE01669004		188,172 132,896	
University of Louisville	93.121	OGMB1007988		31,054	
University of Miami	93.121	M155397-C0.01		17,681	
University of North Carolina at Chapel Hill	93.121	5-51216		417,518	
University of Pennsylvania	93.121	558734/2793935		30,204	1,769,915
NY Alliance for Donation NY Alliance for Donation	93.134 93.134	D71HS09611 D71HS22062		15,355 83,828	
NY Alliance for Donation	93.134	R39OT22058-01-00		69,777	
Upstate New York Transplant Services Inc	93.134	R39OT150610101		75,360	244,320
Mount Sinai Medical Center	93.135	N/A		11,217	
Mount Sinai Medical Center	93.135	SC6272424 U01DD00019505 SC6345686		17,286	40,330
Mount Sinai School of Medicine Health Research Inc	93.135 93.136	15046401		11,827 6,395	40,550
Research Foundation of City University of New York	93.136	41717A		10,402	
University of Maryland	93.136	Z022901		92,462	
University of Rochester	93.136	UR Account 415560-G		16,225	
Washington University Washington University	93.136	WU-HT-11-38/2911857P WU-HT-12-37/2917511T		11,077 6,471	143,032
Columbia University		W U-III-12-3//271/3111		8,251	8,251
	93.136 93.145	10 (Acct # 5-39396)			
Columbia University	93.145	10 (Acct. # 5-39396) 539397			96,326
Westat Corporation	93.145 93.145 93.153	539397 8530S030		96,326 847,820	96,326
Westat Corporation Westat Corporation	93.145 93.145 93.153 93.153	539397 8530S030 N01HD33345		96,326 847,820 29,320	96,326 877,140
Columbia University Westat Corporation Westat Corporation Cornell University Legison Laboratory	93.145 93.145 93.153 93.153 93.173	539397 8530S030 N01HD33345 Subaward 59774-9213		96,326 847,820 29,320 62,395	
Westat Corporation Westat Corporation Cornell University Jackson Laboratory	93.145 93.145 93.153 93.153 93.173 93.173	539397 85308030 N01HD33345 Subaward 59774-9213 664444		96,326 847,820 29,320 62,395 27,905	
Westat Corporation Westat Corporation Cornell University Jackson Laboratory Jackson Laboratory	93.145 93.145 93.153 93.153 93.173	539397 8530S030 N01HD33345 Subaward 59774-9213		96,326 847,820 29,320 62,395	
Westat Corporation Westat Corporation Cornell University Jackson Laboratory Jackson Laboratory Purdue University University of California at San Diego	93.145 93.145 93.153 93.153 93.173 93.173 93.173 93.173	539397 8530S030 N01HD33345 Subaward 59774-9213 664444 P.O. 647819 4102-22866 10293738003		96,326 847,820 29,320 62,395 27,905 16,851 146,641 41,648	877,140
Westat Corporation Westat Corporation Cornell University Jackson Laboratory Jackson Laboratory Purdue University University of California at San Diego University of Maryland Baltimore	93.145 93.145 93.153 93.153 93.173 93.173 93.173 93.173 93.173	539397 8530S030 N01HD33345 Subaward 59774-9213 664444 P.O. 647819 4102-22866 10293738003 SR00000302		96,326 847,820 29,320 62,395 27,905 16,851 146,641 41,648 86,733	877,140 382,173
Westat Corporation Westat Corporation Cornell University Jackson Laboratory Jackson Laboratory Jackson Laboratory University University of California at San Diego University of Maryland Baltimore Jealmer Chiropractic University Foundation	93.145 93.145 93.153 93.153 93.173 93.173 93.173 93.173 93.173 93.173 93.173	539397 8530S030 N01HD33345 Subaward 59774-9213 664444 P.O. 647819 4102-22866 10293738003 SR00000302 1U19AT00413701		96,326 847,820 29,320 62,395 27,905 16,851 146,641 41,648 86,733 (128)	877,140
Westat Corporation Westat Corporation Cornell University Jackson Laboratory Jackson Laboratory Purdue University University of California at San Diego University of Maryland Baltimore Palmer Chiropractic University Foundation Children's Hospital of Philadelphia	93.145 93.145 93.153 93.153 93.173 93.173 93.173 93.173 93.173 93.213	539397 8530S030 N01HD33345 Subaward 59774-9213 664444 P.O. 647819 4102-22866 10293738003 SR00000302 1U19AT00413701 951118R5UB		96,326 847,820 29,320 62,395 27,905 16,851 146,641 41,648 86,733 (128) 180,302	877,140 382,173 (128)
Westat Corporation Westat Corporation Cornell University Jackson Laboratory Jackson Laboratory Purdue University University of California at San Diego University of Maryland Baltimore Palmer Chiropractic University Foundation	93.145 93.145 93.153 93.153 93.173 93.173 93.173 93.173 93.173 93.173 93.173	539397 8530S030 N01HD33345 Subaward 59774-9213 664444 P.O. 647819 4102-22866 10293738003 SR00000302 1U19AT00413701		96,326 847,820 29,320 62,395 27,905 16,851 146,641 41,648 86,733 (128)	877,140 382,173
Westat Corporation Westat Corporation Cornell University Jackson Laboratory Jackson Laboratory Purdue University University of California at San Diego University of Maryland Baltimore Palmer Chiropractic University Foundation Children's Hospital of Philadelphia University of Florida University of South Carolina Bowling Green State University	93.145 93.145 93.153 93.153 93.173 93.173 93.173 93.173 93.173 93.213 93.226 93.226 93.226 93.233 93.239	539397 8530S030 N01HD33345 Subaward 59774-9213 664444 P.O. 647819 4102-22866 10293738003 SR00000302 IU19AT00413701 951118R5UB UF JAX10925 122004 PO#41574 10450045SUNYALB		96,326 847,820 29,320 62,395 27,905 16,851 146,641 41,648 86,733 (128) 180,302 11,308 121,026	382,173 (128) 191,610
Westat Corporation Westat Corporation Cornell University Jackson Laboratory Jackson Laboratory Jackson Laboratory University University of California at San Diego University of Maryland Baltimore Palmer Chiropractic University Foundation Children's Hospital of Philadelphia University of Florida University of South Carolina	93.145 93.145 93.153 93.153 93.173 93.173 93.173 93.173 93.173 93.213 93.226 93.226 93.233	539397 8530S030 N01HD33345 Subaward 59774-9213 664444 P.O. 647819 4102-22866 10293738003 SR00000302 1U19AT00413701 951118R5UB UF JAX10925 122004 PD#41574		96,326 847,820 29,320 62,395 27,905 16,851 146,641 41,648 86,733 (128) 180,302 11,308 121,026	382,173 (128) 191,610 121,026

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor fount Sinai School of Medicine search Foundation for Mental Hygiene ocial and Scientific Systems Incorporated rustees of Boston University rustees of Columbia University	93.242 93.242 93.242 93.242	Pass-through Number 5U01MH08350104 025525444609 9031742B PO#95006	\$ 22.121	
esearch Foundation for Mental Hygiene ocial and Scientific Systems Incorporated rustees of Boston University rustees of Columbia University	93.242 93.242		32,131	
ocial and Scientific Systems Incorporated ocial and Scientific Systems Incorporated ocial and Scientific Systems Incorporated rustees of Boston University rustees of Columbia University			76,484	
ocial and Scientific Systems Incorporated ocial and Scientific Systems Incorporated rustees of Boston University rustees of Columbia University	93.242	BRSIMPCTS11000012001301	121,184	
ocial and Scientific Systems Incorporated rustees of Boston University rustees of Columbia University	93,242	BRSIMPCTS11000012001807 BRSIMPCTS11000012001808	54,418 73,676	
rustees of Boston University rustees of Columbia University	93.242	BRSIMPCTS12000012002332	23,153	
	93.242	76665/RA528935BAJ	59,928	
	93.242	527696	(1)	
Iniversity of California at San Diego Iniversity of California at San Diego	93.242 93.242	10279541004 10279541005	5,848 54,321	
Iniversity of California at San Diego	93.242	10279341003	6,183	
Iniversity of California at San Diego	93.242	10293040003	34,434	
Iniversity of California at San Diego	93.242	10300308002	(130)	
Iniversity of Illinois at Chicago	93.242	5R01MH08983002 2009061000102	56,880	
Iniversity of Rochester University of Southern California	93.242 93.242	PO 413461-G/UR 5-29870 H39738	33,267 97,360	
Iniversity of Southern California	93.242	H40038	69,647	
Iniversity of Southern California	93.242	H46585	80,495	
Iniversity of Washington	93.242	729130	14,937	
ale University	93.242	A07383M10A10441	6,498	0.62.020
ale University addictions Care Center of Albany Incorporated	93.242 93.243	M12A11380 (A08021) N/A	2,223 61,440	962,038
CRP Incorporated	93.243	277080218	20,449	81,889
Iniversity of Cincinnati	93.262	5180	62,278	62,278
Iniversity of Mississippi	93.273	66953-01	12,757	12,757
egents of the University of California, San Francisco	93.279	5437sc	121,955	
kidmore College	93.279	32135UA 554671	11,476	
rustees of the University of Pennsylvania rustees of the University of Pennsylvania	93.279 93.279	554671 557226	53,416 358,250	
Iniversity of Alabama	93.279	UA11-047	49,752	
Iniversity of Arizona	93.279	21205	5,690	
Iniversity of Maryland	93.279	Z016101	432,391	
Iniversity of Miami	93.279	5P50DA02458404 M161655	26,084	1 210 020
Iniversity of Miami lealth Research Inc	93.279 93.283	M168590 5P50DA02458405 15043903	159,814 93,208	1,218,828
lealth Research Inc	93.283	15043903	159,918	
lealth Research Inc	93.283	3152-06	4,670	
Iealth Research Inc	93.283	3152-07	22,751	
lealth Research Inc	93.283	3152-08	15,909	296,456
ouisiana State University	93.286 93.286	54957 R01EB01008701 PO54957 UTSI08002	230,587 93,170	
Iniversity of Tennessee Vashington University St Louis	93.286	WUHT1205	77,972	401,729
lealth Research Inc	93.307	55-1022-01	3,191	3,191
oard of Trustees of the Leland Stanford Junior University	93.310	26491520-33465-L	101,284	
Iniversity of Delaware	93.310	24730	33,051	134,335
Varren Stress Management Dregon State University	93.361 93.389	2R42NR010496 P0274AA	58,039 24,167	58,039
tony Brook Biotechnology	93.389	1R41RR03211201A1	46,035	
aconic Farms	93.389	N/A	(59,350)	
Iniversity of Rochester	93.389	415358G 5UL1RR02416005	2,517	
Iniversity of Rochester	93.389	415359-G	(3,722)	
University of Rochester	93.389	415650-G SITE 094 – 184	30,130	39,777
american College of Surgeons Oncology Group astern Cooperative Oncology Group	93.393 93.393	PSASBUAK00	16,553 6,291	
lealth Research Inc	93.393	1R21CA14118701A1	2,514	
Iealth Research Inc	93.393	55098901	269,278	
lealth Research Inc	93.393	55-1009-01	15,655	
lealth Research Inc	93.393	55-1011-01	36,904	
lealth Research Inc lealth Research Inc	93.393 93.393	55-7704-05 55-7901-03/6-01	23,622 9,359	
lealth Research Inc	93.393	55-8008-01	9,636	
Ienry M Jackson Fdn for Adv of Military Med Inc	93.393	Subaward No. 661990	11,130	
Massachusetts Institute of Technology	93.393	5710002095	41,528	
University of Miami	93.393	66615E POM155492	(8,923)	
Iniversity of Pennsylvania	93.393	547791 10001746 PO 0000144038	19,847	454,002
Iniversity of Utah dvanced BioMedical Machines Incorporated	93.393 93.394	N/A	2,600	434,002
lealth Research Inc	93.394	18-01	6,324	
Iealth Research Inc	93.394	431801	14,719	
Memorial Sloan Kettering Cancer Center	93.394	BD513795	6,212	
urdue University	93.394	410238842	56,669	
rustees of Columbia University Vitatex Inc	93.394 93.394	2 (Acct. #5-30079) 1R44CA14004701	82,611 168,148	
ale University	93.394	M11A11084 A08002	19,947	357,230
american College of Surgeons Oncology Group	93.395	309	6,577	
Chem-Master International Incorporated	93.395	2R44CA13239602A1	326,491	
Cornell University	93.395	576119120	164,411	
iynecologic Oncology Group iynecologic Oncology Group	93.395 93.395	02522530 218IRF CTSU 2746970 27469056	69,642 45,580	
iynecologic Oncology Group	93.395	27469036	73,532	
lealth Research Inc	93.395	40909	15,313	
Iealth Research Inc	93.395	13-01/58-0978-03	12,372	
lealth Research Inc	93.395	15-01	14,229	
lealth Research Inc	93.395	40940	10,162	
lealth Research Inc lealth Research Inc	93.395 93.395	27-01 55-0523-27	4,973 96,391	
lealth Research Inc	93.395	55-0815-08	725	
Iealth Research Inc	93.395	55-0818-15 / 28-01	33,805	
Iealth Research Inc	93.395	55-0839-06	5,009	
Iealth Research Inc	93.395	55094101 55-0978-01	91,675	

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Health Research Inc	93.395	55-1017-01	\$	18,192	
Health Research Inc	93.395	55700716	•	19,912	
ealth Research Inc	93.395	57-0912-09		55,639	
ohn Wayne Cancer Institute	93.395	CA029605		145	
National Childhood Cancer Foundation National Childhood Cancer Foundation	93.395 93.395	14052 985431158 20719		65,219 10,987	
Vational Childhood Cancer Foundation	93.395	20719		17,451	
National Childhood Cancer Foundation	93.395	CA09854309 - 020851		5,384	
SABP Foundation Incorporated	93.395	TFED36 37 38 39-345		6,944	
Jniversity of Chicago	93.395	N/A		50,957	
Weill Medical College of Cornell University West Virginia University	93.395 93.395	1N01CN43302WA22 10376SUNY 1D43CA153707		168,271 84,307	
West Virginia University	93.395	10376SUNY5D43CA153707		176,527	1.651.916
Advanced BioMedical Machines Incorporated	93.396	N/A		598,279	1,051,510
Albert Einstein College of Medicine	93.396	95263574		24,108	
Albert Einstein College of Medicine	93.396	95263883		3,548	
Health Research Inc	93.396	55094801		1,757	550.750
Health Research Inc Cornell University	93.396	57-0983-06 58407-9121		23,068 100,613	650,760
Jornell University Health Research Inc	93.397 93.397	58407-9121 41244		43,897	
Health Research Inc	93.397	55-5603-35		302,302	
lealth Research Inc	93.397	55-7753-01		28,409	
Health Research Inc	93.397	55-7755-01		63,524	
Health Research Inc	93.397	57-1190-02		44,959	_
Mount Sinai School of Medicine	93.397	25840614609		46,199	629,903
Iealth Research Inc	93.398	55-1012-01 N/A		3,886	3,886
merican College of Radiology lope Foundation	93.399 93.399	N/A CA37429		2,938 96,432	
tope Foundation Tatl Surgical Adj for Breast Bowel Cancer	93.399	N/A		96,432 15,589	
outhwest Oncology Group	93.399	CA37429		24,447	139,406
VYS Office of Children and Family Services	93.558	C003076		47,103	
IYS Office of Children and Family Services	93.558	C004009		947,538	994,641
Veill Medical College of Cornell University	93.583	223 5U01NS5032403		5,122	5,122
IYS Office of Children and Family Services	93.590	C003035		7,080	409.610
IYS Office of Children and Family Services yracuse University	93.590 93.630	C004010 25011 02739 SO1		402,530 20,519	20,519
Iniversity of Southern Maine	93.652	6100038890		49,716	20,319
niversity of Southern Maine	93.652	UMS0663770042060100016481122		14,892	64,608
righam and Womens Hospital	93.704	106458		138,436	138,436
Mayo Clinic Rochester Minnesota	93.728	N/A		19,988	19,988
Albert Einstein College of Medicine	93.837	95263218		23,634	
Columbia University	93.837	1 579819		90,663	
Columbia University Columbia University	93.837 93.837	530533 537766		167,201 200,798	
Columbia University	93.837	586630		391,446	
Columbia University	93.837	586636		60,513	
Columbia University	93.837	N/A		(3,702)	
Columbia University	93.837	P01HL047540		86,316	
Columbia University	93.837	R01AG035015		14,326	
Columbia University Columbia University	93.837 93.837	R01HL077612 R01HL094423		15,473 14,326	
Fred Hutchinson Cancer Research Center	93.837	724515		26,172	
New England Research Institute	93.837	N/A		11.843	
rovid Pharmaceuticals Incorporated	93.837	Grant Number: 1R43HL103037-01		22,184	
ransonic System Incorporated	93.837	2R44HL08202202		1,431	
rustees of Columbia University in the City of New York	93.837	576630/PO#G02882		40,662	
Trustees of the University of Pennsylvania	93.837	548973/2719457		137,012	
rustees of the University of Pennsylvania	93.837 93.837	556681 \$4550321628		127,886	
Jniversity of California Berkeley Jniversity of Chicago	93.837	SA550321628 R01HL059199 44709		(81) 72,765	
Iniversity of Cheago	93.837	1000894731		27,028	
University of Iowa	93.837	1001003032		5,886	
Iniversity of Michigan	93.837	3001128195		59,455	
University of Pittsburgh	93.837	0015124 116763		26	
Jniversity of Pittsburgh	93.837	0022964 1198353		26,326	1,905,721
Inversity of Rochester Jenry Ford Health System	93.837	451288G 5R21HL09280103 R01HL09257604		286,132 83,732	1,905,721
ohns Hopkins University	93.838	2001123424		94,077	
ohns Hopkins University	93.838	2001123424/2001124561		6,300	
ohns Hopkins University	93.838	2001124561		96,089	
ohns Hopkins University	93.838	PO2001123424		2,395	
ohns Hopkins University	93.838	PO2001124561		4,332	
Northwestern University	93.838	60026866 RF SUNY		80,388	
degents of the University of California degents of the University of California	93.838	5912sc		3,273 6,208	
tegents of the University of California, San Francisco	93.838 93.838	N/A 5913sc		12,185	
tegents of the University of Colorado	93.838	FY11.102.002		43,721	
University of Michigan	93.838	3001128187		43,577	
Iniversity of Rochester	93.838	415605-G		129,740	
Iniversity of Rochester	93.838	PO# 415700-G / UR# 5-24028		42,617	648,634
Brigham and Womens Hospital	93.839	107275		74,644	
red Hutchinson Cancer Research Center	93.839	704275		67,398	
Iealth Research Inc	93.839	55-0993-02/17-01 NIH Grant No: U10 HL083721		78,833	
lew England Research Institute aint Louis University	93.839 93.839	1-R01HL101917-03		147,392 86,824	
aint Louis University Vashington University	93.839	1-R01HL101917-03 WU09331		310	455,401
Medical University of South Carolina	93.846	MUSC08128		17,484	455,401
redical University of South Carolina				150	
	93.846	3001557464		150	
Regents of the University of Michigan University of Rochester	93.846	PO# 414067- 001-G		3,858	21,492
Regents of the University of Michigan University of Rochester George Washington University	93.846 93.847	PO# 414067- 001-G N/A		3,858 231,606	21,492
wedical University of South Caronnal Regents of the University of Michigan University of Rochester George Washington University George Washington University Oregon Research Institute	93.846	PO# 414067- 001-G		3,858	21,492

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Rensselaer Polytechnic Institute	93.847	A12224	\$	58,356	g. unio
Jtah State University	93.847	11039401	ų.	43,358	320,430
Brigham and Womens Hospital	93.853	105111		10,561	
Cincinnati Childrens Hospital Medical Center	93.853	107759		3,911	
Columbia University Emmes Corporation	93.853 93.853	7U01NS04397507 N/A		6,844 24,941	
ohns Hopkins University	93.853	U01NS06285102 2000765681		4,868	
ohns Hopkins University	93.853	U01NS062851032000765681		18,081	
Loyola University Stritch School of Medicine	93.853	LU203676		10,173	
Massachusetts General Hospital	93.853	219314 U01NS05259205		39,441	
Massachusetts General Hospital Massachusetts General Hospital	93.853 93.853	219714 5U01NS049640		24,548 233,371	
Massachusetts General Hospital	93.853	U01NS04964006		851,538	
Massachusetts General Hospital	93.853	U01NS04964006 216785		47,124	
Medical University of South Carolina	93.853	MUSC08110		25,968	
Medical University of South Carolina Medical University of South Carolina	93.853 93.853	MUSC08-140 MUSC009-057		75,424 66,746	
Meharry Medical College	93.853	100223HK035		92,000	
Mount Sinai School of Medicine	93.853	1U01NS045719		11,665	
Photon Migration Technologies Corporation	93.853	2R44NS049734-02		2,610	
Population Diagnostics	93.853	N/A		15	
Regents of the University of California	93.853	6873sc		13,237	
Regents of the University of California Regents of the University of California, San Francisco	93.853 93.853	6881sc 5845sc		63 1,975	
Jniversity of British Columbia	93.853	F09-04855		15,443	
Jniversity of California at Los Angeles	93.853	1580 G HG266		1,500	
Jniversity of California at Los Angeles	93.853	1580GNB752 4441437JS29438		19,073	
Jniversity of Cincinnati	93.853	5U01NS0522205 COEUS003525		8,468	
Jniversity of Florida Board of Trustees Jniversity of Medicine & Dentistry of New Jersey	93.853 93.853	UF12124/P00099731 99-705		53,099 29,902	
Jniversity of Miami	93.853	M121189		5,800	
Jniversity of Miami	93.853	SITE 8 M159756		560	
Jniversity of Minnesota	93.853	N000936924		2,073	
Weill Medical College of Cornell University	93.853	135 5U01NS5032404		16,258	
Yale University Yale University	93.853 93.853	A07593 (M10A10547) A08082 (M10A10548)		24,585 14,306	1,756,171
Albert Einstein College of Medicine	93.855	95262222		151,524	1,730,171
Albert Einstein College of Medicine	93.855	95264885		60,750	
Brigham and Womens Hospital	93.855	104684		382,092	
Brigham and Womens Hospital	93.855	TBN		23,811	
Columbia University Columbia University	93.855 93.855	537762 7 537663		(348) 246,295	
Columbia University	93.855	7537664		87,049	
Los Angeles Biomedical Research Institute at Harbor-UCLA	75.055	7557001		07,015	
Medical Center	93.855	Project: 011000-07; PO#2031060		12,085	
Monash University	93.855	R01AI079330-01		110,125	
Montefiore Hospital and Medical Center Regents of the University of California	93.855 93.855	5U01AI3500418 07000751SUNYSB		84,217 594	
Social and Scientific Systems Incorporated	93.855	BRS-ACURE-Q-06-00140-T004		16,224	
Social and Scientific Systems Incorporated	93.855	BRS-ACURE-S-11-000039-001225		316,868	
Social and Scientific Systems Incorporated	93.855	BRS-ACURE-S-11-000039-001334		11,756	
Social and Scientific Systems Incorporated	93.855	BRS-ACURE-S-11-000039-001401		314,307	
Social and Scientific Systems Incorporated Stanford University	93.855 93.855	BRSIMPCTQ0600114T001001 25973560-140-A		(507) 67,449	
Stanford University	93.855	PY-2581-25176-A		42,770	
Super Pulse	93.855	UMU001		34,139	
Trustees of the University of Pennsylvania	93.855	558189		21,157	
Jniversity of Pittsburgh	93.855	898		37,722	
Jniversity of Pittsburgh Jniversity of Rhode Island	93.855 93.855	0017471 118010-1 1205110002866		135,185 96,876	
Jniversity of Rhode Island	93.855	1203110002000		73,865	
Jniversity of Rochester	93.855	415378G		22,959	
Jniversity of Rochester	93.855	415691G		24,506	
University of Texas Southwestern Medical Center at Dallas	93.855	RGC0000000062 (GMO-111201)		98,066	2,471,536
Colorado State University	93.856 93.856	G78131 NA		82,801 21,562	
Colorado State University Saint Louis University	93.856 93.856	NA N/A		16,272	
Social and Scientific Systems Incorporated	93.856	BRS ACURE Q 06 00140 T001		1,709	
Jniversity of Alabama Birmingham	93.856	N01AI30025		1,145	123,489
Albany Medical College	93.859	5R01GM09032507		4,256	
Bowling Green State University	93.859	10380097SUNYSB 106823		92,044	
Brigham and Womens Hospital Hauptman Woodward Medical Research Institute Inc	93.859 93.859	106823 6200		62,453 99,745	
Hocus Locus LLC	93.859	1R41GM09781101A1		62,255	
Mount Sinai School of Medicine	93.859	25477144609		36,019	
Mount Sinai School of Medicine	93.859	25477154609		72,805	
Mount Sinai School of Medicine	93.859	25477544609 25477554600		8,987 45.712	
Mount Sinai School of Medicine	93.859	25477554609 25477744609		45,712 24,909	
				52,195	
Mount Sinai School of Medicine Mount Sinai School of Medicine	93.859 93.859				
wount Sinai School of Medicine Mount Sinai School of Medicine Mount Sinai School of Medicine	93.859 93.859 93.859	25477754609 25500624609		125,818	
Mount Sinai School of Medicine Mount Sinai School of Medicine Dhio State University Research Foundation	93.859 93.859 93.859	25500624609 RF01098313		6,783	
Mount Sinai School of Medicine Mount Sinai School of Medicine Dhio State University Research Foundation Q Chem, Inc	93.859 93.859 93.859 93.859	25500624609 RF01098313 2R44GM084555-02		6,783 69,741	
Mount Sinai School of Medicine Mount Sinai School of Medicine Dhio State University Research Foundation 2 Chem, Inc 2 Chem, Inc	93.859 93.859 93.859 93.859 93.859	25500624609 RF01098313 2R44GM084555-02 R44GM081928-02		6,783 69,741 63,402	
Mount Sinai School of Medicine Mount Sinai School of Medicine Johio State University Research Foundation Chem, Inc Chem, Inc Cepents of the University of California	93.859 93.859 93.859 93.859 93.859 93.859	25500624609 RF01098313 2R44GM084555-02 R44GM081928-02 6814817		6,783 69,741 63,402 107,094	
Mount Sinai School of Medicine Mount Sinai School of Medicine Dhio State University Research Foundation Chem, Inc Chem, Inc Regents of the University of California Regents of the University of California	93.859 93.859 93.859 93.859 93.859 93.859 93.859	25500624609 RF01098313 2R44GM084555-02 R44GM081928-02 6814817 6914226		6,783 69,741 63,402 107,094 86,851	
Mount Sinai School of Medicine Mount Sinai School of Medicine Mibio State University Research Foundation Chem, Inc C	93.859 93.859 93.859 93.859 93.859 93.859	25500624609 RF01098313 2R44GM084555-02 R44GM081928-02 6814817		6,783 69,741 63,402 107,094 86,851 49,419 13,826	
Mount Sinai School of Medicine Mount Sinai School of Medicine Jhio State University Research Foundation 2 Chem, Inc 2 Chem, Inc Regents of the University of California	93.859 93.859 93.859 93.859 93.859 93.859 93.859 93.859	25500624609 RF01098313 2R44GM084555-02 R44GM081928-02 6814817 6914226 1R41GM09051301		6,783 69,741 63,402 107,094 86,851 49,419	

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Scripps Research Institute	93.859	5-21779	S capenantares	10,201	gruntor
Scripps Research Institute Scripps Research Institute	93.859	5-24470	φ	49,646	
University of California at Davis	93.859	SUB06002896SBAMD1		94,068	
University of Connecticut	93.859	100276		21,090	
University of Connecticut	93.859	UCHC6-26653433		44,748	
University of Delaware University of Delaware	93.859 93.859	20511 27996		14,046 29,665	
University of Illinois Urbana Champaign	93.859	20080169801		175,443	
University of New Mexico	93.859	86922087Q0		208,939	
University of Pittsburgh	93.859	0012097 118026-4		28,210	
University of Pittsburgh University of Pittsburgh	93.859 93.859	120971194374 9000613 11789612		70,014 6,175	
University of Washington	93.859	662223		33,548	
Washington University	93.859	2917153T – WU1271		65,528	2,411,170
Board of Trustees of the University of Illinois	93.865	2010-06423-02-00KN		11,589	
Board of Trustees of the University of Illinois	93.865	20110165901/A1025		20,227	
Duke University	93.865	A326001		5,392	
Georgia State University Harvard University	93.865 93.865	SP00010461 114074		123,199 76,156	
Harvard University	93.865	114074 114074.0776TDF		665,566	
InvoTek Incorporated	93.865	N/A		3,298	
New York University	93.865	F607707/UW408786		29,892	
Oregon Health & Science University	93.865	GVOLL0275D		179,003	
Pennsylvania State University	93.865	SUNHD038992		19,409	
University of Rochester University of Texas Medical Branch at Galveston	93.865 93.865	415599-G 11-073; PO# UOSPC-0000000615		116,278	
University of Texas Medical Branch at Galveston University of Texas Medical Branch at Galveston	93.865	12-013; UOSPC-0000000615 12-013; UOSPC-0000000639		813 22,835	1,273,657
Board of Regents of the University of Wisconsin System	93.866	269K964		63,179	1,273,037
Columbia University	93.866	530018 1U01AG03297301A2		28,790	
Columbia University	93.866	530026 5U01AG02871304		11,069	
Duke University	93.866	HHSN260200500010C		20,964	
Hebrew Rehabilitation Center for the Aged	93.866	101090049		7,893	
Judge Baker Childrens Center	93.866 93.866	NA 224122GDH		92,107	
National Bureau of Economic Research Incorporated National Bureau of Economic Research Incorporated	93.866	334133SBU 33413504SBU		37,270 6,055	
Regents of the University of California, San Francisco	93.866	5993sc		103,168	
Rush University Medical Center	93.866	1R01AG04003901A1		39,939	
Trustees of Princeton University	93.866	1792		115,772	
University of California at San Francisco Board of Regents of the University of Oklahoma Health Sciences Center	93.866 93.867	5992SC RS2006234802A2		9,389 37,271	535,595
Board of Regents of the University of Oklahoma	75.807	K32000234802A2		37,271	
Health Sciences Center	93.867	RS20091440-01		38,135	
Board of Regents of the University of Wisconsin System	93.867	356K403		23,633	
Emmes Corporation	93.867	SITE 045 HHSN260200500007C		24,392	
Indiana University	93.867	IUB4635527SUNY		46,984	
Mount Sinai School of Medicine St Lukes Roosevelt Institute for Health Science	93.867 93.867	25550814609 NORDIC01		134,522 4,586	309,523
New York University	93.879	070992 00262		50,007	309,323
University of Illinois at Chicago	93.879	Sub Under R01LM010817		88,958	
University of Pittsburgh	93.879	020808406807UPSTATE		9,900	148,865
Erie County Medical Center	93.917	N/A		35	
Erie County Medical Center	93.917	N/A 257405		45,925	
Health Research Inc Health Research Inc	93.917 93.917	357405 357406		123,112 37,483	206,555
Erie County Medical Center	93.918	N/A		30,026	30,026
Health Research Inc	93.944	15334023		172,795	
Health Research Inc	93.994	14046201		257,700	
Health Research Inc	93.994	14080501		312,716	743,211
Total Department of Health and Human Services			189,950,747	29,399,680	219,350,427
Corporation for National and Community Service: Service Collaborative of WNY Incorporated	94.000	N/A		2,813	2,813
Total Corporation for National and Community Service Social Security Administration:				2,813	2,813
Regents of the University of Michigan Regents of the University of Michigan	96.007 96.007	3001657985UM1107 3002043721UM1212		25,874 73,455	99,329
Total Social Security Administration	70.007	30020137210111212		99,329	99,329
Department of Homeland Security:					
Department of Homeland Security	97.000		556,165		556,165
University of Maryland	97.061	Z930121		2,802	
University of Maryland	97.061	Z930301		1 2 720	
University of Maryland University of Southern California	97.061 97.061	Z941302 152401		3,729 28,221	
University of Southern California	97.061	158871		59,709	94,462
University of Maryland	97.108	Z980101		58,084	58,084
Total Department of Homeland Security			556,165	152,546	708,711
Agency for International Development:					
Higher Education for Development	98.001	EPPA00090000500		117,342	
National Academy of Sciences	98.001	PGAP281491		38,670	156,012
Total Agency for International Development			_	156,012	156,012
			220 204 000		
Total Research and Development Cluster			320,284,000	66,423,806	386,707,806

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

	CFDA		Direct	Pass- through	Total expenditures by CFDA and federal
Federal grantor/federal subdivision or pass-through grantor	number	Pass-through Number	expenditures	expenditures	grantor
Other Programs: Department of Agriculture:					
Higher Education Challenge Grants	10.217		\$ 76,551		76,551
Higher Education Multicultural Scholars Program NYS Office of Children and Family Services	10.220 10.551	C003085	(1,556)	147,971	(1,556)
NYS Office of Children and Family Services	10.551	C003083 C003087		79,007	
NYS Office of Children and Family Services	10.551	C004034		945,438	
NYS Office of Children and Family Services NYS Office of Children and Family Services	10.551 10.551	C02267 C026267		(4) 24,182	
NYS Office of Children and Family Services	10.551	N/A		884,142	
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	10.551 10.551	C002100 C002231		(4,916) (3,245)	
NYS Office of Temporary & Disability Assistance	10.551	C003065		893,213	
NYS Office of Temporary & Disability Assistance	10.551	C003066		109,824	
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	10.551 10.551	C003069 C003070		37,631 447,637	
NYS Office of Temporary & Disability Assistance	10.551	C003071		429,624	
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	10.551 10.551	N/A N/A		507,876 2,562,982	7,061,362
NYS Department of Health	10.557	C025788		1,055,257	1,055,257
NYS Office of Temporary & Disability Assistance	10.561	C003068		88,675	88,675
Total Department of Agriculture			74,995	8,205,294	8,280,289
Department of Commerce:	11.000	NA 070701500001		15.000	15,000
Consortium for Ocean Leadership Investments for Public Works and Economic Development Facilities	11.008 11.300	NA07SEC4690001	1,797,958	15,000	15,000 1,797,958
North Country Council Incorporated	11.307	N/A		57,484	57,484
Trade Adjustment Assistance for Firms Sea Grant Support	11.313 11.417		1,089,446 49,080		1,089,446 49,080
Coastal Zone Management Estuarine Research Reserves	11.420		35,997		35,997
Measurement and Engineering Research and Standards	11.609		64,657		64,657
Patent and Trademark Technical Information Dissemination	11.900		9,911	72.494	9,911
Total Department of Commerce			3,047,049	72,484	3,119,533
Department of Defense: Department of Defense	12.000		2,455		2,455
Analytic Services Incorporated (ANSER)	12.114	10381	_,	154	154
Academy of Applied Sciences Academy of Applied Sciences	12.300 12.300	1039 1077 1136 1135		1,722 9,305	
Academy of Applied Sciences	12.300	W911NF0410226		15,596	26,623
Military Medical Research and Development Academy of Applied Sciences	12.420 12.431	N/A	261,848	3,000	261,848 3,000
Academy of Applied Sciences Academy of Applied Sciences	12.431	N/A N/A		10,674	3,000
Academy of Applied Sciences	12.630	N/A		2,428	22.626
Academy of Applied Sciences	12.630	W911NF1020076	264.202	9,534	22,636
Total Department of Defense			264,303	52,413	316,716
Department of the Interior: National Fish and Wildlife Foundation	15.608	20100074015		8,406	
National Fish and Wildlife Foundation	15.608	20110507002		242,362	250,768
Cornell University Hudson Valley Greenway Communities Council	15.805 15.904	611039592 N/A		10,891 5,000	10,891
Town of New Paltz Historic Preservation Commission	15.904	N/A		3,449	8,449
Cooperative Research and Training Programs – Resources of the National Park System	15.945		78,212		78,212
Total Department of the Interior	13.943		78,212	270,108	348,320
•			76,212	270,108	348,320
Department of Justice: Violence Against Women Act Court Training and Improvement Grants	16.013		52,565		52,565
City of Albany	16.523	JF111009E00		14,668	14,668
Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus	16.525		165,534		165,534
Syracuse University	16.560	2375002354SO1		6,454	6,454
NYS Office of Victim Services Schenectady County Drug Court	16.575 16.585	N/A T420101		18,827 5,341	18,827 5,341
New York State Office for the Prevention of Domestic Violence	16.588	T200834		4,953	4,953
New York State Office for the Prevention of Domestic Violence	16.590	C200833		65,351	65,351
Center for Problem Oriented Policing	16.710	N/A		20,302	20,302
Total Department of Justice			218,099	135,896	353,995
Department of Labor:					
GLOW Workforce Investment Board H-1B Job Training Grants	17.259 17.268	PY2011-GLOW-4	5,959	6,982	6,982 5,959
Community Based Job Training Grants	17.269		335,808		335,808
Total Department of Labor			341,767	6,982	348,749
Department of State:					
Department of State Georgetown University	19.000 19.000	SUNYCOBRX205093211C	8,991	17,326	
Georgetown University	19.000	SUNYCOBRX205093211C SUNYCOBRX205093212C		20,000	46,317
Institute of International Education	19.010	N/A	144.220	54,567	54,567
Professional and Cultural Exchange Programs – Citizen Exchanges General Department of State Assistance	19.415 19.700		144,238 328,769		144,238 328,769
EUR-Other	19.878		844		844
Total Department of State			482,842	91,893	574,735

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Department of Transportation:					
Niagara Frontier Transportation Authority	20.000	N/A	\$	12,418	12,418
Highway Research and Development Program	20.200 20.218	C003101	2,220	222 616	2,220
NYS Department of Transportation NYS Department of Transportation	20.218	C003101 C000377		232,616 (10,532)	232,616
NYS Department of Transportation	20.511	C000864		(2,014)	(12,546)
NYS Governors Traffic Safety Committee	20.600	C001921		57,260	
NYS Governors Traffic Safety Committee	20.600 20.600	C001922 C001923		63,890 201,758	
NYS Governors Traffic Safety Committee NYS Governors Traffic Safety Committee	20.600	C001923 C001924		94,485	
NYS Governors Traffic Safety Committee	20.600	C001960		65,218	
NYS Governors Traffic Safety Committee	20.600	C001983		153,311	
NYS Governors Traffic Safety Committee	20.600	DMV01C0020153700393		100,981	
NYS Governors Traffic Safety Committee NYS Governors Traffic Safety Committee	20.600 20.600	HS12012ITSMR00198 HS12012ITSMR00206088		441,995 187,502	
NYS Governors Traffic Safety Committee	20.600	HS12012ITSMR00200000		186,884	1,553,284
NYS Governors Traffic Safety Committee	20.602	CPS2011RFSUNY00263052		18,057	
NYS Governors Traffic Safety Committee	20.602	CPS2012RFSUNY00103052		5,982	24,039
NYS Governors Traffic Safety Committee NYS Governors Traffic Safety Committee	20.609 20.609	2011RF00303015 BUNY 2012 SUNY RF-00155 (015)		1,892 1,260	
NYS Governors Traffic Safety Committee	20.609	RF00150015		1,125	4,277
NYS Department of Transportation	20.701	AA07NS331		(5,750)	
Research Foundation of City University of New York	20.701	491110323		20,985	
Research Foundation of City University of New York Research Foundation of City University of New York	20.701 20.701	491110623 49111-24-21		(176) 6,777	21,836
-	20.701	49111-24-21			
Total Department of Transportation			2,220	1,835,924	1,838,144
Appalachian Regional Commission:	23.001		94,054		94,054
Appalachian Regional Development East Tennessee State University	23.001	22001115	94,034	2,949	2,949
Total Appalachian Regional Commission	25.011	22001113	94,054	2,949	97,003
11			94,034	2,949	97,003
National Aeronautics and Space Administration: Colorado State University	43.000	G39751		25,842	
National Aeronautics and Space Administration	43.000	039731	37,282	23,042	63,124
Science	43.001		62,231		03,121
Cornell University	43.001	61420-9292		7,857	
Greater Syracuse Chamber of Commerce	43.001	Multiple RTAs #		2,814	72,902
Total National Aeronautics and Space Administration			99,513	36,513	136,026
National Foundation on the Arts and Humanties:	45.004	124505		15.505	
Arts Midwest Promotion of the Arts_Grants to Organizations and Individuals	45.024 45.024	124685	201,867	15,697	217,564
New York Council for the Humanities	45.129	6618	201,007	1,710	1,710
Promotion of the Humanities - Division of Preservation and Access	45.149		132,287		132,287
Promotion of the Humanities – Fellowships and Stipends	45.160		68,941		68,941
Promotion of the Humanities – Professional Development Promotion of the Humanities – Public Programs	45.163 45.164		11,760 4,332		11,760 4,332
Promotion of the Humanities – Office of Digital Humanities	45.169		162,956		162,956
Museums for America	45.301		64,777		64,777
21st Century Museum Professionals	45.307		42,528		42,528
Total National Foundation on the Arts and Humanities			689,448	17,407	706,855
National Science Foundation:					
ARRA Trans-NSF Recovery Act Research Support	47.082		2,826,705		2,826,705
Engineering Grants Johns Hopkins University	47.041 47.049	PHY0969510	19,152	19,676	19,152
Mathematical and Physical Sciences	47.049	111100000010	264,279	17,070	
Rensselaer Polytechnic Institute	47.049	A10851		(52,084)	
University of Notre Dame	47.049	N/A		2,866	234,737
Brookhaven Science Associates LLC Geosciences	47.050 47.050	N/A	349,550	14,041	363,591
Computer and Information Science and Engineering	47.070		525		303,371
Computing Research Association	47.070	CIFA231		37,324	37,849
Biological Sciences	47.074		158,432		158,432
Social, Behavioral, and Economic Sciences Syracuse University	47.075 47.075	2565002884S01	68,821	22,009	90,830
•					90,830
Cornell University Drexel University	47.076 47.076	51306-8588 235713		108,627 67,678	
Education and Human Resources	47.076	233713	6,425,143	07,076	
New York City Board of Education	47.076	WO1011406 RF20111	., ., .	16,391	
Rensselaer Polytechnic Institute	47.076	B10536		3,181	
WGBH Educational Foundation William Marsh Rice University	47.076 47.079	K201001331 R3C754		2,000 12,493	6,623,020 12,493
•	47.079	K3C/34	10.112.607		
Total National Science Foundation			10,112,607	254,202	10,366,809
Small Business Administration:	50,000		124 620		124 (20
Small Business Administration Small Business Development Centers	59.000 59.037		134,620 8,110,164		134,620 8,110,164
Veterans Business Development	59.044		185,838		185,838
Federal and State Technology Partnership Program	59.058		2,559		2,559
Total Small Business Administration			8,433,181		8,433,181
			, .		

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Department of Veteran Affairs: Veterans Benefits Administration 64.000 \$ 18,129 Veterans Dependency and Indemnity Compensation for Service-Connected Death 64.110 1,150 Total Department of Veteran Affairs 19,279 — Environmental Protection Agency: Onondaga Environmental Institute 66.000 N/A 3,560 University of Connecticut 66.437 FRS 524972 6623 29,053 University of Connecticut 66.437 FRS 524972 6623 29,053 University of Connecticut 66.437 FRS 52322 -7131 27,423 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study 66.513 11,255 Science To Achieve Results (STAR) Fellowship Program 66.514 22,005 Ohio State University Research Foundation 66.611 RF01258366 22,920 Environmental Workforce Development and Job Training Cooperative Agreements 66.815 29,024 Total Environmental Protection Agency 85,204 65,567 Department of Energy: ARRA NYS Energy Research and Development Authority 81.000 26478 PO# ERDA1-0000027969 42,000 ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	18,129 1,150 19,279 3,560 56,476 11,255 22,005 5,531 22,922 29,024 150,771 42,000
Veterans Dependency and Indemnity Compensation for Service-Connected Death 64.110 1,150 19,279 —	1,150 19,279 3,560 56,476 11,255 22,005 5,531 22,920 29,024 150,771
Service-Connected Death	19,279 3,560 56,476 11,255 22,005 5,531 22,920 29,024 150,771
Environmental Protection Agency: Onondaga Environmental Institute 66.000 N/A 3,560 University of Connecticut 66.437 FRS 524972 6623 29,053 University of Connecticut 66.437 FRS 524972 6623 29,053 University of Connecticut 66.437 FRS 524972 6623 29,053 University of Connecticut 66.437 FRS 524972 6623 27,423 Greater Research Opportunities (GRO) Fellowships For 11,255 Undergraduate Environmental Study 66.513 11,255 Science To Achieve Results (STAR) Fellowship Program 66.514 22,005 Ohio State University Research Foundation 66.611 RF01258366 5,531 Pollution Prevention Grants Program 66.708 22,920 Environmental Workforce Development and Job Training Cooperative Agreements 66.815 29,024 Total Environmental Protection Agency 85,204 65,567 Department of Energy: ARRA NYS Energy Research and Development Authority 81.000 26478 PO# ERDA1-0000027969 42,000 ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	3,560 56,476 11,255 22,005 5,531 22,920 29,024 150,771
Onondaga Environmental Institute 66.000 N/A 3,560 University of Connecticut 66.437 FRS 524972 6623 29,053 University of Connecticut 66.437 FRS 524972 6623 29,053 Greater Research Opportunities (GRO) Fellowships For 11,255 27,423 Undergraduate Environmental Study 66.513 11,255 Science To Achieve Results (STAR) Fellowship Program 66.514 22,005 Ohio State University Research Foundation 66.611 RF01258366 5,531 Pollution Prevention Grants Program 66.708 22,920 22,920 Environmental Workforce Development and Job Training 29,024 29,024 Total Environmental Protection Agency 85,204 65,567 Department of Energy: 85,204 65,567 Department of Energy: 42,000 40,000 ARRA NYS Energy Research and Development Authority 81,041 17043 16,527	56,476 11,255 22,005 5,531 22,920 29,024 150,771
University of Connecticut 66.437 FRS 524972 6623 29,053 University of Connecticut 66.437 FRS 524972 6623 29,053 University of Connecticut 66.437 FRS 524972 6623 27,423 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study 66.513 11,255 Science To Achieve Results (STAR) Fellowship Program 66.514 22,005 Ohio State University Research Foundation 66.611 RF01258366 2,2005 Pollution Prevention Grants Program 66.708 22,920 Environmental Workforce Development and Job Training Cooperative Agreements 66.815 29,024 Total Environmental Protection Agency 85,204 65,567 Department of Energy: ARRA NYS Energy Research and Development Authority 81.000 26478 PO# ERDA1-0000027969 42,000 ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	56,476 11,255 22,005 5,531 22,920 29,024 150,771
University of Connecticut 66.437 FRS525322 -7131 27,423 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study 66.513 11,255 Science To Achieve Results (STAR) Fellowship Program 66.514 22,005 Ohio State University Research Foundation 66.611 RF01258366 22,200 Pollution Prevention Grants Program 66.708 22,920 Environmental Workforce Development and Job Training Cooperative Agreements 66.815 29,024 Total Environmental Protection Agency 85,204 65,567 Department of Energy: ARRA NYS Energy Research and Development Authority 81.001 26478 PO# ERDA1-0000027969 42,000 ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	11,255 22,005 5,531 22,920 29,024 150,771
Undergraduate Environmental Study 66.513 11,255 Science To Achieve Results (STAR) Fellowship Program 66.514 22,005 Ohio State University Research Foundation 66.611 RF01258366 22,920 Pollution Prevention Grants Program 66.708 22,920 Environmental Workforce Development and Job Training Cooperative Agreements 66.815 29,024 Total Environmental Protection Agency 85,204 65,567 Department of Energy: ARRA NYS Energy Research and Development Authority 81.000 26478 PO# ERDA1-0000027969 42,000	22,005 5,531 22,920 29,024 150,771
Science To Achieve Results (STAR) Fellowship Program 66.514 22,005 5,531 Ohio State University Research Foundation 66.611 RF01258366 22,920 Environmental Workforce Development and Job Training Cooperative Agreements 66.815 29,024 Total Environmental Protection Agency 85,204 65,567 Department of Energy: ARRA NYS Energy Research and Development Authority 81.000 26478 PO# ERDA1-0000027969 42,000 ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	22,005 5,531 22,920 29,024 150,771
Pollution Prevention Grants Program 66.708 22,920	22,920 29,024 150,771
Environmental Workforce Development and Job Training Cooperative Agreements 66.815 29,024	29,024 150,771
Total Environmental Protection Agency 85,204 65,567 Department of Energy: ARRA NYS Energy Research and Development Authority 81.000 26478 PO# ERDA1-000027969 42,000 ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	150,771
Department of Energy: ARRA NYS Energy Research and Development Authority ARRA NYS Energy Research and Development Authority 81.000 26478 PO# ERDA1-0000027969 42.000 ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	
ARRA NYS Energy Research and Development Authority 81.000 26478 PO# ERDA1-0000027969 42,000 ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	42,000
ARRA NYS Energy Research and Development Authority 81.041 17043 16,527	42,000
ARRA NYS Energy Research and Development Authority 81.041 18921 PO# ERDA1-000020091 109,760 ARRA NYS Energy Research and Development Authority 81.041 20835 79,942	
	252,282
ARRA Florida Power & Light Company 81.049 NA 77,354 ARRA Hudson Valley Community College 81.087 P0054841 32,165	77,354 32,165
ARRA Syracuse University 81.122 2429402619S02 179,294	
ARRA Syracuse University 81.122 24294-02619-S05 193,628 Brookhaven Science Associates LLC 81.003 200573 24,150	372,922 24,150
Brookhaven Science Associates LLC 81.049 103695 (226)	24,130
Brookhaven Science Associates LLC 81.049 109586 8,707 Brookhaven Science Associates LLC 81.049 109736 7,942	
BITOMINAVEIN SCIENCE ASSOCIATES LLC 81.049 111033 41,036	
Brookhaven Science Associates LLC 81.049 116222 105,553 Brookhaven Science Associates LLC 81.049 120259 41,036	
Brookhaven Science Associates LLC 81.049 120259 41,036 Brookhaven Science Associates LLC 81.049 125688 11,391	
Brookhaven Science Associates LLC 81.049 126924 7,957 Brookhaven Science Associates LLC 81.049 131205 41.594	
Brookhaven Science Associates LLC 81.049 131205 41,594 Brookhaven Science Associates LLC 81.049 133068 45,659	
Brookhaven Science Associates LLC 81.049 133610 38,103 Brookhaven Science Associates LLC 81.049 133655 41,036	
BITOMINAVEIN SCIENCE ASSOCIATES LLC 81.049 1350.5 41.050 BITOMINAVEIN SCIENCE ASSOCIATES LLC 81.049 1381.42 68,170	
Brookhaven Science Associates LLC 81.049 141064 35,469 Brookhaven Science Associates LLC 81.049 142862 5,309	
Brookhaven Science Associates LLC 81.049 145974 41.036	
Brookhaven Science Associates LLC 81.049 145975 41,036 Brookhaven Science Associates LLC 81.049 146480 39,731	
Brooklaven Science Associates LLC 81.049 14691 26,538	
Brookhaven Science Associates LLC 81.049 146692 26,169 Brookhaven Science Associates LLC 81.049 148216 48,998	
Brookhaven Science Associates LLC 81.049 148219 44,772	
Brookhaven Science Associates LLC 81.049 152437 5,887 Brookhaven Science Associates LLC 81.049 152774 25,677	
Brookhaven Science Associates LLC 81.049 154779 15,714	
Brookhaven Science Associates LLC 81.049 156046 39,061 Brookhaven Science Associates LLC 81.049 156761 38,686	
Brookhaven Science Associates LLC 81.049 157762 14,823	
Brookhaven Science Associates LLC 81.049 159218 18,229 Brookhaven Science Associates LLC 81.049 160949 31,812	
Brookhaven Science Associates LLC 81.049 163378 45,704	
Brookhaven Science Associates LLC 81.049 163652 35,376 Brookhaven Science Associates LLC 81.049 165854 2,855	
Brookhaven Science Associates LLC 81.049 166835 20,483	
Brookhaven Science Associates LLC 81.049 168050 6,263 Brookhaven Science Associates LLC 81.049 169062 36,234	
Brookhaven Science Associates LLC 81.049 170059 34,696	
Brookhaven Science Associates LLC 81.049 170819 36,243 Brookhaven Science Associates LLC 81.049 174401 46,780	
Brookhaven Science Associates LLC 81.049 177787 9,555	
Brookhaven Science Associates LLC 81.049 178925 7,378 Brookhaven Science Associates LLC 81.049 181220 38,610	
Brookhaven Science Associates LLC 81.049 182830 17,119	
Brookhaven Science Associates LLC 81.049 186450 3,755 Brookhaven Science Associates LLC 81.049 186451 3,003	
Brookhaven Science Associates LLC 81.049 187238 36,952	
Brookhaven Science Associates LLC 81.049 189766 45,505 Brookhaven Science Associates LLC 81.049 189902 32,416	
Brookhaven Science Associates LLC 81.049 191778 39,984	
Brookhaven Science Associates LLC 81.049 192487 45,505 Brookhaven Science Associates LLC 81.049 193725 5,273	
Brookhaven Science Associates LLC 81.049 194418 45,505	
Brookhaven Science Associates LLC 81.049 194757 42,083 Brookhaven Science Associates LLC 81.049 195417 7,130	
Brookhaven Science Associates LLC 81.049 196578 5,695	
Brookhaven Science Associates LLC 81.049 198136 30,301 Brookhaven Science Associates LLC 81.049 200309 33,137	
Brookhaven Science Associates LLC 81.049 201209 31,695	
Brookhaven Science Associates LLC 81.049 204886 25,177 Brookhaven Science Associates LLC 81.049 207081 3,742	
Brookhaven Science Associates LLC 81.049 209523 13,484	

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Brookhaven Science Associates LLC	81.049	209871	\$	18,880	
Brookhaven Science Associates LLC	81.049	210310		15,926	
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	210367 211201		22,626 11,029	
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049	212293		3,339	
Brookhaven Science Associates LLC	81.049	215204		2,957	
Brookhaven Science Associates LLC	81.049	218102		15,130	
Brookhaven Science Associates LLC	81.049	218981		3,803	
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	218985 218988		3,803 3,803	
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049	218993		3,803	
Brookhaven Science Associates LLC	81.049	218994		2,374	
Brookhaven Science Associates LLC	81.049	220884		3,614	
Brookhaven Science Associates LLC	81.049	220887	221 722	3,614	1,859,244
Renewable Energy Research and Development Solar Energy Consortium	81.087 81.087	SUNY332	221,723	32,506	254,229
Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical	01.007	50111332		32,300	234,227
Analysis/Assistance	81.117		46,012		46,012
NYS Energy Research and Development Authority	81.119	23797 PO# ERDA1-0000026077		1,805	1,805
Total Department of Energy			267,735	2,694,428	2,962,163
Department of Education:	04.200	G004011		74.00	
ARRA NYS Office of Children and Family Services	84.390	C004011 C026053		74,992	
ARRA NYS Office of Children and Family Services ARRA NYS Office of Children and Family Services	84.390 84.390	PO 0908783		5,456 13,124	93,572
ARRA NYS Education Department	84.395	C401688 5525120002		11,687	75,572
ARRA NYS Education Department	84.395	C401691 5525120005		162,241	173,928
ARRA NYS Office of Children and Family Services	84.399	C003054		43,862	43,862
Central Susquehanna Intermediate Unit	84.000	N/A		86,690	
NYS Commission On Quality Care and Adv for Persons with Dis NYS Higher Education Services Corporation	84.000 84.000	C111828 C112083		45,695 7,262	
Rochester City School District	84.000	PO # 2012002613		8,386	
Syracuse University	84.000	2394002628S01		14,918	
Syracuse University	84.000	2394002900SO1		15,996	178,947
Erie County Department of Social Services	84.002	ED 3056		86,687	
NYS Education Department Yonkers Public School District	84.002 84.002	C010002 25008		434,673 8,835	520 105
Buffalo City School District	84.010	F0004D21444EJN		36,036	530,195
Buffalo Public Schools	84.010	N/A		189,734	225,770
Alabama State Department of Education	84.011	C2U0195		34,201	
Arizona Department of Education	84.011	ADED12-00001025		21,971	
California Department of Education	84.011 84.011	CN090040		102,817	
Chandler (AZ) Unified School District #80 Chandler (AZ) Unified School District #80	84.011	N/A P075645		27 2,282	
Colorado Department of Education	84.011	N/A		6,304	
Deming New Mexico Public School District	84.011	PO 000051264		94	
Deming New Mexico Public School District	84.011	PO# 00-0054454		1,990	
Dougherty School District	84.011	N/A		2,464	
Florida Department of Education Florida Department of Education	84.011 84.011	9862171A1PE01 986-2172T-2PE01		222,133 277,257	
Gloucester County Special Services School District	84.011	NA		8,850	
Kentucky Department of Education	84.011	PON254011000007641		108,232	
Kentucky Department of Education	84.011	PON254012000003031		28,925	
Maine Department of Education	84.011	05A20110224X4091		455,440	
Maine Department of Education Migrant Education-State Grant Program	84.011 84.011	CT201112052584	547,970	318,675	
Mississippi Department of Education	84.011	1032018231B0A8EA901	347,570	86	
Mississippi Department of Education	84.011	1132018208BC80EA09032		53,738	
Mississippi State University	84.011	5040036112201		40,084	
Mississippi State University	84.011	050405-361160-01		7,654	
Northeast Arkansas Migrant Education Cooperative	84.011	N/A 0035100037 C400998		1,855 50	
NYS Education Department NYS Education Department	84.011 84.011	0035100037 C400998 0035110002 C400996		136,468	
NYS Education Department	84.011	0035110002 C400999		115,162	
NYS Education Department	84.011	0035110037 C400998		310,988	
NYS Education Department	84.011	0035120002 C400996		377,244	
NYS Education Department	84.011	0035120013 C400999		539,448	
NYS Education Department NYS Education Department	84.011 84.011	0035120037 C400998 C113239		703,438 147,042	
NYS Education Department NYS Education Department	84.011 84.011	C283316		69,514	
NYS Education Department	84.011	C400993 0035110005		302,382	
NYS Education Department	84.011	C400993 0035120005		943,443	
NYS Education Department	84.011	C401000 0035110022		263,409	
NYS Education Department	84.011	C401000 0035120022		592,737	
NYS Education Department NYS Education Department	84.011 84.011	C401003 0035110021 C401003 0035120021		134,404 558,903	
NYS Education Department NYS Education Department	84.011	C401003 0033120021 C990152		528,737	
NYS Education Department	84.011	C990263		1,519,780	
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Schedule of Expenditures of Federal Awards Year ended June 30, 2012

	CFDA		Direct	Pass- through	Total expenditur by CFDA and federa
Federal grantor/federal subdivision or pass-through grantor	number	Pass-through Number	expenditures	expenditures	grantor
Oregon Department of Education	84.011		\$	6,136	
Pennsylvania Department of Education	84.011	N/A		2,500	
Southwest Arkansas Migrant Education Cooperative	84.011	N/A		1,844	
Texas Education Agency	84.011	CT# 2620 PO# 28968		3,266	
Pexas Education Agency	84.011	CT# 2620 PO# 30363		13,338	0.566.51
Texas State University San Marcos	84.011	FS-04		53,233	9,566,51
Jniversity of Maine at Orono	84.015	P015A060022 UMS812		17,639	50.44
University of Maine at Orono	84.015	P015A10002211 UMS848		51,805	69,44
Jndergraduate International Studies and Foreign	04.016		170 120		170.40
Language Programs	84.016		170,429		170,42
Overseas Programs – Faculty Research Abroad	84.019	6000500	3,959	(2.522)	3,95
NYS Education Department	84.027	C008780		(2,622)	
NYS Education Department	84.027	C009494		219,981	
NYS Education Department	84.027	C010725		16,971	
NYS Education Department	84.027	C010831		497,654	
Jniversity of North Carolina at Charlotte	84.027	2011017701SUN		18,725	751.01
Jniversity of North Carolina at Charlotte	84.027	20120207-01-SUN	1.146.506	608	751,31
Higher Education_Institutional Aid	84.031		1,146,506		1,146,50
TRIO-Student Support Services	84.042		2,990,186		2,990,18
TRIO-Talent Search	84.044		909,464		909,46
TRIO-Upward Bound	84.047		3,831,506		3,831,50
efferson-Lewis BOCES	84.048	N/A		1,369	
NYS Education Department	84.048	8000110601		6,004	
VYS Education Department	84.048	8000116060		(1,130)	
NYS Education Department	84.048	8000116100		11,439	
NYS Education Department	84.048	8000116870		7,486	
NYS Education Department	84.048	8000116890		18,122	
NYS Education Department	84.048	8000116950		6,405	
NYS Education Department	84.048	8000126010		352,719	
NYS Education Department	84.048	8000126060		237,303	
NYS Education Department	84.048	8000126100		218,786	
NYS Education Department	84.048	8000126170		261,078	
NYS Education Department	84.048	8000126870		318,505	
NYS Education Department	84.048	8000126890		212,837	
NYS Education Department	84.048	8000126950		122,260	1,773,1
Women's Educational Equity Program	84.083		81,780		81,7
Excelsior College	84.116	N/A		23,981	
Fund for the Improvement of Postsecondary Education	84.116		718,440		
Research Foundation of City University of New York	84.116	46355J		2,194	744,6
NYS Office of Children and Family Services	84.126	N/A		10,646	
NYS Office of Temporary & Disability Assistance	84.126	C003066		12,685	
NYS Office of Temporary & Disability Assistance	84.126	C003069		1,653	
NYS Office of Temporary & Disability Assistance	84.126	N/A		15,571	40,5
Rehabilitation Long-Term Training	84.129		81,122		81,1
NYS Comm On Qual Care and Adv for Persons with Dis	84.133	C111829		30,573	30,5
Migrant Education-High School Equivalency Program	84.141		1,766		1,70
Florida Department of Education	84.144	9861161A1R001		56,313	
Florida Department of Education	84.144	9861162A2P001		27,053	
Georgia Department of Education	84.144	1131615100 414003610000073571		2,839	86,20
Migrant Education-College Assistance Migrant Program	84.149		449,620		449,63
VestEd	84.164	s11025		27,053	27,0
avits Fellowships	84.170		40,900		40,9
New York City Board of Education	84.173	331800880148/PL 611		71,242	
New York City Board of Education	84.173	331800880148/PL 619		10,581	
New York City Department of Education	84.173	N/A		139,138	220,9
Albany City School District	84.184	N/A		127,452	
Champlain Valley Educational Services	84.184	PO 11-01098		1,921	
Champlain Valley Educational Services	84.184	PO1200764		73,651	
Safe and Drug-Free Schools and					
Communities-National Programs	84.184		30,235		
Schenectady City School District	84.184	121841		135,177	368,4
Bilingual Education-Professional Development	84.195		569,925		569,9
East Bloomfield Central School	84.196	N/A		21,095	21,0
Graduate Assistance in Areas of National Need	84.200		491,536	,	491,5
Geneseo Migrant Center BOCES	84.213	24072025	. ,	7,573	,-
Geneseo Migrant Center BOCES	84.213	N/A		90,163	
Geneseo Migrant Center BOCES	84.213	N/A		4,194	101,9
Albion Central School	84.215	N/A		44,132	.01,7
Albion Central School	84.215	PO2305		1,389	
Broome Tioga BOCES	84.215	U215X070316		6,523	
Cortland County YMCA	84.215	170 5531 Foley		3,557	
Onondaga Cortland Madison Board of Education Services	84.215	170-3331 Foley 170-1091071-55657		76,469	
Onondaga Cortland Madison Board of Education Services Onondaga Cortland Madison Board of Education Services				2,334	
	84.215	N/A 1103805			162.7
JIster County BOCES	84.215	1103803	1 212 660	28,388	162,7
CRIO-McNair Post-Baccalaureate Achievement	84.217	9090110001 0401152	1,312,669	c coo	1,312,6
VYS Education Department	84.243	8080110001 C401152		6,689	
VYS Education Department	84.243	8080120001 C401152		58,051	64,7
	84.264		909,662		909,6
				1 202	
Edvantia Incorporated	84.283	C1145000406		1,302	
Rehabilitation Training-Continuing Education Edvantia Incorporated George Washington University George Washington University	84.283 84.283 84.283	C1145000406 10S19 11-S24		42,341 116,260	159,90

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
					grantor
Brentwood Union Free School District	84.287 84.287	N/A	\$	(116)	42.920
Lackawanna City School District Education Research, Development and Dissemination	84.305	N/A	103,370	42,945	42,829 103,370
Schenectady City School District	84.318	N/A	103,370	12,339	105,570
Schenectady City School District	84.318	PO 47095		(15,636)	(3,297)
Special Education – Personnel Development to Improve	0.4.225		1 225 512		1 225 512
Services and Results for Children with Disabilities Albany City School District	84.325	N/A	1,337,712	1 200	1,337,712
Gaining Early Awareness and Readiness for	84.334	N/A		1,890	
Undergraduate Programs	84.334		175,368		
NYS Higher Education Services Corporation	84.334	C111872		25,340	202,598
Child Care Access Means Parents in School	84.335	•••	61,192		61,192
Buffalo Board of Education	84.351	N/A		19,463	44.250
Buffalo City School District English Language Acquisition Grants	84.351 84.365	F0004F20440	147,180	24,795	44,258
Kentucky Department of Education	84.365	PON254011000007531	147,100	2,499	149,679
Buffalo Čity School District	84.366	N/A		32,727	
Buffalo Public Schools	84.366	N/A		14,203	
Syracuse City School District	84.366	N/A		35,554	100.264
Syracuse City School District Bank Street College of Education	84.366 84.367	PO# SCSD1-0000011867 11-2399-2863		17,880 26,509	100,364
Bank Street College of Education Bank Street College of Education	84.367	11-2399-2803		20,309 849	
NYS Education Department	84.367	0247110028 C401245		19,288	
NYS Education Department	84.367	0247110104 C401232		114,158	
NYS Education Department	84.367	0247120028 C401245		72,312	
NYS Education Department	84.367	0247120030 C401246		231,950	
NYS Education Department NYS Education Department	84.367 84.367	0247120104 C401232 C401241 0247110022		96,570 82,477	
NYS Education Department	84.367	C401241 0247110022 C401241 0247120022		91,865	
NYS Education Department	84.367	C401243 0247110026		41,043	
NYS Education Department	84.367	C401243 0247120026		36,602	
NYS Education Department	84.367	C401244 0247110027		177,436	
NYS Education Department	84.367	C401244 0247120027		257,894	
NYS Education Department NYS Education Department	84.367 84.367	C401246 - 0247110030 C401250 0247110034		222,086 103,565	
NYS Education Department	84.367	C401250 0247110034 C401250 0247120034		54,521	1.629.125
NYS Higher Education Services Corporation	84.378	CACGP003		6,480	1,025,125
NYS Higher Education Services Corporation	84.378	T112132		11,170	
NYS Office of Children and Family Services	84.378	C003016		40,366	
NYS Office of Children and Family Services	84.378	C004052		411,864	469,880
National Writing Project Corporation National Writing Project Corporation	84.928 84.928	01NY09 04NY10		22,028 37,015	
National Writing Project Corporation	84.928	04N110 08NY14		43,528	102,571
			16 112 407		
Total Department of Education			16,112,497	16,554,405	32,666,902
National Archives and Records Administration: National Historical Publications and Records Grants	89.003		17,940		17,940
Total National Archives and Records Administration			17,940	_	17,940
Department of Health and Human Services:					
ARRA Department of Health and Human Services	93.000		233,247		233,247
ARRA Albany County Dept for the Aging & Handicapped	93.048	N/A		593	593
ARRA Grants for Training in Primary Care Medicine and					
Dentistry Training and Enhancement	93.403	•	279,648	5 500	279,648
ARRA Trustees of Columbia University ARRA Trans-NIH Recovery Act Research Support	93.411 93.701	2	507 427	5,732	5,732 587,437
ARRA Trans-NIH Recovery Act Research Support ARRA – Immunization	93.712		587,437 95,671		95,671
ARRA Controltec Incorporated	93.713	N/A	75,071	23,746	23,746
ARRA NYS Office for the Aging	93.725	C100002		352,710	352,710
Horizon Care Center	93.000	C022659		106,220	
NYS Education Department	93.000	C113239		64,814	
NYS Education Department University of Pittsburgh	93.000 93.000	N/A 0020808406807UPSTATE2		29,166 1,976	202,176
Oswego County Office of Aging	93.044	N/A		4,484	4,484
Albany County Dept for the Aging & Handicapped	93.048	1067720010		(3,660)	.,
Albany County Dept for the Aging & Handicapped	93.048	1067720011		7,640	
Albany County Dept for the Aging & Handicapped	93.048	1067760017		9,208	
Albany County Dept for the Aging & Handicapped	93.048	148		1,953	
Albany County Dept for the Aging & Handicapped Albany County Dept for the Aging & Handicapped	93.048 93.048	180 277		10,138 14,828	
Albany County Dept for the Aging & Handicapped Albany County Dept for the Aging & Handicapped	93.048	471		35,042	
Albany County Dept for the Aging & Handicapped	93.048	473		49,202	
NYS Office for the Aging	93.048	90320		(128)	
NYS Office for the Aging	93.048	110021		23,670	147,893
Public Health Emergency Preparedness	93.069	C027452	405,172	114 606	405,172
NYS Department of Health Area Health Education Centers Point of Service Maintenance	93.092	C027452		114,686	114,686
and Enhancement Awards	93.107		966,960		966,960
Environmental Health	93.113		56,543		56,543
Preventive Medicine Residency Program	93.117		238,895		238,895
Oral Diseases and Disorders Research	93.121		63,458		63,458
Nurse Anesthetist Traineeships	93.124	15044501	34,838	57.262	34,838
Health Research Inc University of Medicine & Dentistry of New Jersey	93.135 93.142	15044501 2112 HWWT-Buffalo		57,263 13,634	57,263
University of Medicine & Dentistry of New Jersey	93.142	2112 HW W 1-Bullalo 2114 HDPT- Buffalo		8,878	
University of Medicine & Dentistry of New Jersey	93.142	2165 HWWT-Buffalo		59,345	
University of Medicine & Dentistry of New Jersey	93.142	2167 HDPT- Buffalo		30,715	112,572
AIDS Education and Training Centers	93.145		80,248		

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Columbia University	93.145	539396	\$	8,406	grantor
Columbia University	93.145	539397	Ψ	220,518	309,172
Coordinated Services and Access to Research for Women,	02.152		222 221		222 221
Infants, Children, and Youth Geriatric Training for Physicians, Dentists and	93.153		777,771		777,771
Behavioral/Mental Health Professionals	93.156		807,323		807,323
Research Related to Deafness and Communication Disorders	93.173		55,175		55,175
National Research Service Award in Primary Care Medicine	93.186	C010052	67,252	(5.016)	67,252
NYS Department of Health Health Research Inc	93.217 93.236	C019952 4108-02		(5,916) 73,830	(5,916) 73,830
Mental Health Research Grants	93.242	4100 02	61,645	75,050	61,645
Substance Abuse and Mental Health Services_Projects of					
Regional and National Significance	93.243		734,045		734,045
Advanced Nursing Education Grant Program Columbia University	93.247 93.249	1531614	1,269,630	1,385	1,269,630
Public Health Training Centers Grant Program	93.249	1331014	531.047	1,363	
Frustees of Columbia University	93.249	531614	,	37,132	569,564
Poison Center Support and Enhancement Grant Program	93.253		361,627		361,627
Occupational Safety and Health Program	93.262	40100P1	4,545,514	1.026	4,545,514
Onondaga County	93.268	49109R1		1,836	05 020
Onondaga County Alcohol National Research Service Awards for Research Training	93.268 93.272	N/A	66,137	94,002	95,838 66,137
Alcohol Research Programs	93.272		313,424		313,424
Orug-Free Communities Support Program Grants	93.276		131,791		131,791
Drug Abuse and Addiction Research Programs	93.279		24,656		24,656
Mental Health National Research Service Awards for					
Research Training	93.282		77,965		77,965
Centers for Disease Control and Prevention_Investigations	02.202		15 202		
and Technical Assistance Health Research Inc	93.283 93.283	15334023	15,392	6,349	
Health Research Inc	93.283	4115-01		12,408	
Health Research Inc	93.283	4115-02		73,422	107,571
Minority Health and Health Disparities Research	93.307		228,469		228,469
Advanced Nursing Education Traineeships	93.358		347,708		347,708
Nurse Education, Practice and Retention Grants	93.359		169,532		169,532
National Center for Research Resources	93.389	4154070	272,029	10.057	272,029
Jniversity of Rochester Cancer Research Manpower	93.393 93.398	415487G	501,378	19,957	19,957 501,378
Affordable Care Act (ACA) Grants for School-Based Health	93.396		301,376		301,376
Center Capital Expenditures	93.501		556,853		556,853
Health Research Inc	93.507	15060201	,	1,770	1,770
Affordable Care Act (ACA) Expansion of Physician Assistant					
Training Program	93.514		220,000		220,000
Trustees of Columbia University in the City of New York	93.516	530281		102,010	102,010
Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program	93.527		58,643		58,643
Institute for Family Health	93.530	N/A	36,043	13,056	13,056
NYS Office of Children and Family Services	93.558	C002120		(4)	13,030
NYS Office of Children and Family Services	93.558	C003075		347,735	
NYS Office of Children and Family Services	93.558	C003090		44,743	
NYS Office of Children and Family Services	93.558	C003091		419,444	
NYS Office of Children and Family Services	93.558	C003112		52,122	
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C004089 C004090		318,302 273,273	
NYS Office of Children and Family Services	93.558	C004090 C004091		202,305	
NYS Office of Children and Family Services	93.558	C02267		(5)	
NYS Office of Children and Family Services	93.558	C026267		25,080	
NYS Office of Children and Family Services	93.558	N/A		6,187,048	
NYS Office of Temporary & Disability Assistance	93.558	C001790		550,261	
NYS Office of Temporary & Disability Assistance	93.558	C002083		964,111	
NYS Office of Temporary & Disability Assistance	93.558	C003066		149,636	
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	93.558 93.558	C003069 C020718		51,740 44,811	
NYS Office of Temporary & Disability Assistance	93.558	N/A		4,886,000	
SUNY at Stony Brook	93.558	C001617		108,791	
SUNY at Stony Brook	93.558	C001618		83,307	
SUNY at Stony Brook		C001619		80,158	
	93.558			260,387	
SUNY at Stony Brook	93.558	C001620			
SUNY at Stony Brook SUNY at Stony Brook	93.558 93.558	C001620 C001621		90,603	
SUNY at Stony Brook SUNY at Stony Brook SUNY at Stony Brook	93.558 93.558 93.558	C001620 C001621 C001704		90,603 85,992	
SUNY at Stony Brook SUNY at Stony Brook SUNY at Stony Brook SUNY at Stony Brook	93.558 93.558 93.558 93.558	C001620 C001621 C001704 C001705		90,603 85,992 18,739	
SUNY at Stony Brook SUNY at Stony Brook SUNY at Stony Brook SUNY at Stony Brook SUNY at Stony Brook	93.558 93.558 93.558 93.558 93.558	C001620 C001621 C001704 C001705 C001706		90,603 85,992 18,739 135,693	
SUNY at Stony Brook SUNY at Stony Brook	93.558 93.558 93.558 93.558	C001620 C001621 C001704 C001705		90,603 85,992 18,739	15,526,028
SUNY at Stony Brook SUNY at Stony Brook NYS Office of Children and Family Services	93.558 93.558 93.558 93.558 93.558 93.558 93.558 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267		90,603 85,992 18,739 135,693 81,821 63,935 (1)	15,526,028
SUNY at Stony Brook NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558 93.558 93.558 93.558 93.558 93.558 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927	15,526,028
SUNY at Stony Brook NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463	15,526,028
SUNY at Stony Brook SUNY SOffice of Children and Family Services NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017)	15,526,028
SUNY at Stony Brook SUNY SOffice of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779)	15,526,028
SUNY at Stony Brook SUNY SOffice of Children and Family Services SYS Office of Children and Family Services SYS Office of Children and Family Services SYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235 C003064		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779) 475,420	15,526,028
SUNY at Stony Brook SUNY SOffice of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235 C003064 C003066		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779) 475,420 33,551	15,526,028
SUNY at Stony Brook NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235 C003064 C003066		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779) 475,420 33,551 53,392	15,526,028
SUNY at Stony Brook WYS Office of Children and Family Services WYS Office of Children and Family Services WYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235 C003064 C003066		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779) 475,420 33,551	15,526,028
SUNY at Stony Brook NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235 C003064 C003066 C003069 C003078		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779) 475,420 33,551 53,392 321,934	15,526,028
SUNY at Stony Brook SUNY SOffice of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235 C003064 C003069 C003078 C009878 C020746 N/A		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779) 475,420 33,551 53,392 321,934 89,620 14,191 29,912	15,526,028 1,054,613
SUNY at Stony Brook NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance NYS Office of Children and Family Services	93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235 C003064 C003066 C003069 C003078 C009878 C002746 N/A C02267		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779) 475,420 33,551 53,392 321,934 89,620 14,191 29,912 1,883	
SUNY at Stony Brook SUNY SOffice of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	93.558 93.558 93.558 93.558 93.558 93.558 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563 93.563	C001620 C001621 C001704 C001705 C001706 C001707 C001708 C02267 C026267 N/A C002229 C002235 C003064 C003069 C003078 C009878 C020746 N/A		90,603 85,992 18,739 135,693 81,821 63,935 (1) 6,927 39,463 (8,017) (1,779) 475,420 33,551 53,392 321,934 89,620 14,191 29,912	

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
NYS Office of Temporary & Disability Assistance	93.568	C003069	<u> </u>	19,732	
NYS Office of Temporary & Disability Assistance	93.568	N/A		10,094	52,039
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.575 93.575	C002007 C002101		1 538.610	
NYS Office of Children and Family Services	93.575	C002101 C002262		1,804,806	2,343,417
NYS Office of Temporary & Disability Assistance	93.576	C003066		1,318	_,,
NYS Office of Temporary & Disability Assistance	93.576	C003069		12,174	11.661
NYS Office of Temporary & Disability Assistance NYS Office of Children and Family Services	93.576 93.590	N/A C026560		1,169 14,764	14,661 14,764
NYS Office of Children and Family Services	93.596	C020300 C002282		119	14,704
NYS Office of Children and Family Services	93.596	C003075		26,709	
NYS Office of Children and Family Services	93.596	C003080		319,286	
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596 93.596	C003086 C003090		5,982,166 3,762	
NYS Office of Children and Family Services	93.596	C003090 C003091		35,176	
NYS Office of Children and Family Services	93.596	C003093		233,543	
NYS Office of Children and Family Services	93.596	C003112		4,373	
NYS Office of Children and Family Services	93.596	C004090		19,453	
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596 93.596	C02267 C026267		(1) 1,292	
NYS Office of Children and Family Services	93.596	N/A		5,870,775	
NYS Office of Temporary & Disability Assistance	93.596	C003066		34,814	
NYS Office of Temporary & Disability Assistance	93.596	C003069		11,791	
NYS Office of Temporary & Disability Assistance	93.596	N/A C001617		42,837	
SUNY at Stony Brook SUNY at Stony Brook	93.596 93.596	C001617 C001618		9,128 6,995	
SUNY at Stony Brook	93.596	C001618		6,724	
SUNY at Stony Brook	93.596	C001620		21,851	
SUNY at Stony Brook	93.596	C001621		7,606	
SUNY at Stony Brook	93.596	C001704		6,735	
SUNY at Stony Brook	93.596 93.596	C001705 C001706		1,467 10,609	
SUNY at Stony Brook SUNY at Stony Brook	93.596	C001700 C001707		6,409	
SUNY at Stony Brook	93.596	C001708		5,002	12,668,621
Head Start	93.600		304,291		304,291
Affordable Care Act – Preparedness and Emergency	02.000		470, 470		470 470
Response Learning Centers NYS Developmental Disabilities Planning Council	93.606 93.630	C022037	472,472	58,817	472,472 58,817
Child Welfare Research Training or Demonstration	93.648	C022037	4,824,920	30,017	30,017
Erie County Department of Social Services	93.648	ED1904	1,021,720	(12,431)	
Erie County Department of Social Services	93.648	ED2799		64,234	
Erie County Department of Social Services	93.648	ED2800		144,442	
Erie County Department of Social Services NYS Office of Children and Family Services	93.648 93.648	ED3058 C003059		168,929 33,263	5,223,357
NYS Office of Children and Family Services	93.658	C002120		(1)	3,223,337
NYS Office of Children and Family Services	93.658	C003075		45,312	
NYS Office of Children and Family Services	93.658	C003090		3,750	
NYS Office of Children and Family Services	93.658	C003091		162,297	
NYS Office of Children and Family Services	93.658	C003112		4,428 32,982	
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	C004090 C02267		32,982	
NYS Office of Children and Family Services	93.658	C026267		7,375	
NYS Office of Children and Family Services	93.658	N/A		1,136,950	
NYS Office of Temporary & Disability Assistance	93.658	C003066		36,791	
NYS Office of Temporary & Disability Assistance	93.658	C003069		8,687 35,082	
NYS Office of Temporary & Disability Assistance SUNY at Stony Brook	93.658 93.658	N/A C001617		5,082	
SUNY at Stony Brook	93.658	C001617		15,684	
SUNY at Stony Brook	93.658	C001619		8,131	
SUNY at Stony Brook	93.658	C001620		9,555	
SUNY at Stony Brook	93.658	C001621		9,150	
SUNY at Stony Brook SUNY at Stony Brook	93.658 93.658	C001704 C001705		8,239 763	
SUNY at Stony Brook					
SUNY at Stony Brook	93.658	C001706		4,880	
SUNY at Stony Brook	93.658 93.658	C001706 C001707		14,699	
NYS Office of Children and Family Services	93.658 93.658 93.658	C001706 C001707 C001708		14,699 6,136	1,555,960
	93.658 93.658 93.658 93.659	C001706 C001707 C001708 C002120		14,699 6,136 (2)	1,555,960
NYS Office of Children and Family Services	93.658 93.658 93.658 93.659 93.659	C001706 C001707 C001708 C002120 C003075		14,699 6,136 (2) 169,473	1,555,960
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658 93.658 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090		14,699 6,136 (2) 169,473 25,269	1,555,960
NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658 93.658 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112		14,699 6,136 (2) 169,473 25,269 69,724 30,079	1,555,960
NYS Office of Children and Family Services	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440	1,555,960
NYS Office of Children and Family Services	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079	1,555,960
NYS Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175	1,555,960
NYS Office of Children and Family Services SUNY at Stony Brook SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353	1,555,960
NYS Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175	1,555,960
NYS Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001620 C001621		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880	1,555,960
NYS Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001619 C001620 C001621		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601	1,555,960
NYS Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001620 C001621 C001704		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601 11,971	1,555,960
NYS Office of Children and Family Services SUNY Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001619 C001621 C001704 C001705 C001706		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601 11,971 83,212	1,555,960
NYS Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001620 C001621 C001705 C001706 C001706		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601 11,971 83,212 35,061	
NYS Office of Children and Family Services SUNY Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001619 C001621 C001704 C001705 C001706		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601 11,971 83,212 35,061 36,242	1,555,960 5,251,352
NYS Office of Children and Family Services SUNY at Stony Brook	93.658 93.658 93.658 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659 93.659	C001706 C001707 C001708 C002120 C003095 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001619 C001620 C001621 C001705 C001706 C001707 C001708 ED2795 ED2796		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601 11,971 83,212 35,061 36,242 66,847 85,447	
NYS Office of Children and Family Services SUNY at Stony Brook Erie County Department of Social Services Erie County Department of Social Services Erie County Department of Social Services	93.658 93.658 93.658 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001619 C001620 C001621 C001704 C001705 C001706 C001707 C001708 ED2795 ED2796 ED3054		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601 11,971 83,212 35,061 36,242 66,847 85,447 202,774	
NYS Office of Children and Family Services SUNY at Stony Brook Elic Young Sook SUNY at Stony Brook Elic County Department of Social Services Eric County Department of Social Services Eric County Department of Social Services Eric County Department of Social Services	93.658 93.658 93.658 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001619 C001620 C001705 C001706 C001707 C001708 ED2795 ED2796 ED3054 ED3055		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601 11,971 83,212 35,061 36,242 66,847 85,447 202,774 346,961	
NYS Office of Children and Family Services SUNY at Stony Brook Erie County Department of Social Services Erie County Department of Social Services Erie County Department of Social Services	93.658 93.658 93.658 93.659	C001706 C001707 C001708 C002120 C003075 C003090 C003091 C003112 C004090 N/A C001617 C001618 C001619 C001620 C001621 C001704 C001705 C001706 C001707 C001708 ED2795 ED2796 ED3054		14,699 6,136 (2) 169,473 25,269 69,724 30,079 127,440 4,259,079 71,175 36,353 47,675 156,120 46,880 45,601 11,971 83,212 35,061 36,242 66,847 85,447 202,774	

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	93.667	C003075	\$	264,108	grantor
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667	C003073 C003079	\$	754,332	
NYS Office of Children and Family Services	93.667	C003081		386,668	
NYS Office of Children and Family Services	93.667	C003083		390,313	
NYS Office of Children and Family Services	93.667	C003084		206,993	
NYS Office of Children and Family Services	93.667	C003090		39,299	
NYS Office of Children and Family Services	93.667	C003091		98,540	
NYS Office of Children and Family Services	93.667	C003112 C004090		45,658 170,336	
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667 93.667	N/A		5,092,255	
NYS Office of Temporary & Disability Assistance	93.667	C003072		489,524	
NYS Office of Temporary & Disability Assistance	93.667	C021087		554,932	
NYS Office of Temporary & Disability Assistance	93.667	C021093		113,397	
NYS Office of Temporary & Disability Assistance	93.667	C021255		57,946	
NYS Office of Temporary & Disability Assistance	93.667	C021262		8,278	
NYS Office of Temporary & Disability Assistance	93.667	N/A		2,791,558	
Ontario County Department of Social Services Rockland County Department of Social Services	93.667 93.667	N/A N/A		32,511 7,151	
SUNY at Stony Brook	93.667	C001617		104,138	
SUNY at Stony Brook	93.667	C001618		54,697	
SUNY at Stony Brook	93.667	C001619		67,425	
SUNY at Stony Brook	93.667	C001620		254,696	
SUNY at Stony Brook	93.667	C001621		76,243	
SUNY at Stony Brook	93.667	C001704		65,235	
SUNY at Stony Brook	93.667	C001705		16,282	
SUNY at Stony Brook	93.667	C001706		119,248	
SUNY at Stony Brook SUNY at Stony Brook	93.667 93.667	C001707 C001708		48,307 48,483	13,265,909
NYS Department of Health	93.767	N/A		769,109	769,109
NYS Department of Health	93.778	C022160		(400)	705,105
NYS Department of Health	93.778	N/A		2,300,958	
NYS Office of Children and Family Services	93.778	C02267		(2)	
NYS Office of Children and Family Services	93.778	C026267		24,167	
NYS Office of Children and Family Services	93.778	N/A		165,314	
NYS Office of Temporary & Disability Assistance	93.778	C003066		62,490	
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	93.778 93.778	C003069 N/A		3,003 147,713	2,703,243
Multiple Sponsors	93.779	N/A		23,376	2,703,243
NYS Department of Social Services	93.779	1687970		488,375	
NYS Office For People with Dev Disabilities	93.779	N/A		315,516	827,267
NYS Department of Health	93.791	N/A		135,185	135,185
Health Careers Opportunity Program	93.822		697,925		697,925
Cardiovascular Diseases Research	93.837		591,995		591,995
Lung Diseases Research	93.838		9,019		9,019
Diabetes, Digestive, and Kidney Diseases Extramural Research Kidney Diseases, Urology and Hematology Research	93.847 93.849		446,327 133,309		446,327 133,309
Extramural Research Programs in the Neurosciences and	75.047		155,507		155,507
Neurological Disorders	93.853		210,186		210,186
Allergy, Immunology and Transplantation Research	93.855		353,664		353,664
Biomedical Research and Research Training	93.859		1,892,462		1,892,462
Aging Research	93.866		53,755		
Research Foundation for Mental Hygiene	93.866	2.4581E+11		(43,442)	10,313
Vision Research	93.867		59,292		59,292
Medical Library Assistance Grants for Training in Primary Care Medicine and Dentistry	93.879		25,420		25,420
Health Care and Other Facilities	93.884 93.887		568,237 10,871		568,237 10,871
Fund for Public Health in New York	93.889	1210RESEA0061	10,671	22,017	10,671
Fund for Public Health in New York	93.889	12907400		231	
Health Research Inc	93.889	285606		254,334	
Health Research Inc	93.889	285706		6,002	
Health Research Inc	93.889	285707		3,761	
Health Research Inc	93.889	3348-04		4,478	
Health Research Inc	93.889	334805		19,169	215.060
Health Research Inc Health Research Inc	93.889 93.914	434901 233408		5,076 (322,914)	315,068
Public Health Solutions	93.914	06SCF364		261.052	
Public Health Solutions	93.914	07ESC364A		(14,229)	
Public Health Solutions	93.914	10MCM364		744,897	
Public Health Solutions	93.914	11ESP364		99,081	
Public Health Solutions	93.914	11ESR364		92,146	
United Way of Long Island	93.914	10331		(1,087)	
United Way of Long Island	93.914	10724		(15)	
United Way of Long Island	93.914	10725		(4)	
United Way of Long Island United Way of Long Island	93.914	10MAI6		(7) 403,028	
COUNTY IN AN OF LAMP INTAIN	93.914 93.914	11041 11331		90,804	
	93.914	11331		99,350	
United Way of Long Island				80,326	
United Way of Long Island United Way of Long Island		11725			
United Way of Long Island United Way of Long Island United Way of Long Island	93.914 93.914 93.914	11725 119800			
United Way of Long Island United Way of Long Island United Way of Long Island United Way of Long Island	93.914			3,734 13,104	
United Way of Long Island	93.914 93.914 93.914 93.914	119800 11MAI6 12331		3,734 13,104 32,214	
United Way of Long Island	93.914 93.914 93.914 93.914 93.914	119800 11MAI6 12331 12724		3,734 13,104 32,214 39,611	
United Way of Long Island	93.914 93.914 93.914 93.914 93.914 93.914	119800 11MAI6 12331 12724 12725		3,734 13,104 32,214 39,611 34,478	
United Way of Long Island	93.914 93.914 93.914 93.914 93.914 93.914	119800 11MAl6 12331 12724 12725 129800		3,734 13,104 32,214 39,611 34,478 2,500	
United Way of Long Island United Way of Island United Way of Long Island	93.914 93.914 93.914 93.914 93.914 93.914 93.914	119800 11MA16 12331 12724 12725 129800 12MA16		3,734 13,104 32,214 39,611 34,478 2,500 5,516	1,664,486
United Way of Long Island	93.914 93.914 93.914 93.914 93.914 93.914	119800 11MAl6 12331 12724 12725 129800		3,734 13,104 32,214 39,611 34,478 2,500	1,664,486

Schedule of Expenditures of Federal Awards Year ended June 30, 2012

Federal granter/federal cubdivision or nees through granter	CFDA number	Poss through Number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal
Federal grantor/federal subdivision or pass-through grantor		Pass-through Number			grantor
Health Research Inc Health Research Inc	93.917 93.917	357304 357305	\$	189,414 39,605	
Health Research Inc	93.917	393302		202,157	
Health Research Inc	93.917	393303		31,031	
Health Research Inc	93.917	437401		52,049	
Health Research Inc	93.917	N/A		21,221	576,157
Grants to Provide Outpatient Early Intervention Services with	02.010		1 117 207		1.116.207
Respect to HIV Disease Special Projects of National Significance	93.918 93.928		1,116,397 580,360		1,116,397 580,360
Hetrick-Martin Institute	93.939	1U65PS002605-01	300,300	94,802	94,802
Health Research Inc	93.940	128310		15,563	,,,002
Health Research Inc	93.940	128311		197,874	213,437
Health Research Inc	93.941	15031305		135,696	
Health Research Inc	93.941	15061901		17,130	
Health Research Inc Health Research Inc	93.941 93.941	15061902		5,215	
HIV Demonstration, Research, Public and Professional	93.941	N/A		23,299	
Education Projects	93.941		134,614		315,954
Family Services Inc	93.959	N/A	13 1,01 1	2,696	2,696
Columbia University	93.964	N/A		5,573	5,573
Clinton County Office for the Aging	93.969	N/A		10,314	
Geriatric Education Centers	93.969		6,449		
University of Rochester	93.969	415366G		8,526	76 421
University of Rochester Colorado Department of Public Health and Environment	93.969 93.978	415564G OEFHAEP11000125		51,142 16,694	76,431
Health Research Inc	93.978	15031304		(766)	
Preventive Health Services – Sexually Transmitted Diseases	75.776	13031304		(700)	
Research, Demonstrations, and Public Information					
and Education Grants	93.978		341,342		357,270
Health Research Inc	93.989	15029201		64,544	
International Research and Research Training	93.989		815,867		880,411
NYS Department of Health	93.991	C024260		90,109	90,109
NYS Department of Health NYS Department of Health	93.994 93.994	C020211 C021664		(432) 48,999	
NYS Department of Health	93.994	C021004 C026024		26,660	
NYS Department of Health	93.994	C026816		487,761	
NYS Department of Health	93.994	C026997		289,682	852,670
Total Department of Health and Human Services			28,886,297	62,424,792	91,311,089
•			20,000,277	02,424,772	71,311,007
Corporation for National and Community Service:	04.002		105 722		105 722
Retired and Senior Volunteer Program American Association of State Colleges and University	94.002 94.005	N/A	185,732	3,245	185,732
Research Foundation of City University of New York	94.005	49222B		12,559	_
Research Foundation of City University of New York	94.005	49222C		345	
Research Foundation of City University of New York	94.005	49222-D		16,936	
Research Foundation of City University of New York	94.005	49222E		2,614	
Research Foundation of City University of New York	94.005	49222F		10,591	
Research Foundation of City University of New York	94.005	49222G		5,902	
Rochester Institute of Technology	94.005	09LHAPA002 3116702		3,571	55,763
NYS Office of Children and Family Services NYS Office of Children and Family Services	94.006 94.006	C025606 C026419		52,320 178,755	
NYS Office of Children and Family Services	94.006	T011670		13,025	244,100
•	74.000	1011070			
Total Corporation for National and Community Service			185,732	299,863	485,595
Social Security Administration:					
NYS Office of Children and Family Services	96.001	C02267		(3)	
NYS Office of Temporary & Disability Assistance	96.001	C003066		98,458	
NYS Office of Temporary & Disability Assistance	96.001	C003069		1,754	
NYS Office of Temporary & Disability Assistance	96.001 96.001	C003077 N/A		43,879	226 470
NYS Office of Temporary & Disability Assistance	90.001	IN/A		92,382	236,470
Total Social Security Administration				236,470	236,470
Department of Homeland Security:					
City of Buffalo	97.000	PO 13404243-00		28,984	28,984
South Central Chiefs of Police Association Incorporated	97.005	N/A		7,077	7,077
NYS Emergency Management Office	97.042	C000468		6,772	
NYS Emergency Management Office	97.042	T000534	# ac.	5,468	12,240
Degrees at a Distance Program	97.103		7,301		7,301
Total Department of Homeland Security			7,301	48,301	55,602
Agency for International Development:					_
USAID Foreign Assistance for Programs Overseas	98.001		10,823,629		
American Council on Education	98.001	N/A	10,023,027	115,938	
Asia Foundation	98.001	31350203000		50,498	
Asia Foundation	98.001	31508400001		1,590,630	
Chemonics International Incorporated	98.001	AID696C0900001SUNY		11,484	
East-West Management Institute Incorporated	98.001	N/A		8,130	12,600,309
Total Agency for International Development			10,823,629	1,776,680	12,600,309
Total Other Programs			80,343,904		175,426,475
~				95,082,571	
Total expenditures of federal awards			\$ 400,627,904	161,506,377	562,134,281

See accompanying notes to schedule of federal awards.

Notes to Schedule of Expenditures of Federal Awards Year ended June 30, 2012

(1) Basis of Presentation

The accompanying schedule of expenditures of federal awards (the Schedule) presents the direct and indirect expenditures of federal financial assistance programs administered by The Research Foundation for the State University of New York (the RF) an entity defined in the financial statements.

The amounts reported were obtained from the RF's general ledger which is the source of the federal financial reports and is maintained on an accrual basis. However, the Schedule of Expenditures of Federal Awards is prepared on the cash basis. Negative amounts represent adjustments to expenditures reported in prior years in the normal course of business. Pass-through amounts are reported under the related Catalog of Federal Domestic Assistance (CFDA) number, as indicated on the schedule. CFDA and pass-through numbers are presented where available. Except for awards under the American Recovery and Reinvestment Act (ARRA), direct research and development awards are displayed in the Schedule in the aggregate by their federal agency subdivision.

(2) Matching Costs

Matching costs (i.e., the nonfederal share of program costs) are not included in the accompanying Schedule.

(3) Relationship to Federal Financial Reports

The regulations and guidelines governing preparation of federal financial reports do not match the accounting principles used by the RF to present amounts in the Schedule. Accordingly, the amounts reported in the federal financial reports do not necessarily agree with the amounts reported in the accompanying Schedule which is prepared on the basis, as explained in note 1.

(4) Direct and Indirect Federal Award Expenditures

Federal award expenditures consist of direct and indirect costs which are commonly referred to as facilities and administrative (F&A) costs. Direct costs are those that can be easily identified with an individual federally sponsored project. The salary of a principal investigator of a sponsored research project and the materials consumed by the project are examples of direct costs.

Unlike direct costs, indirect costs cannot be easily identified with an individual federally sponsored project. Indirect costs are the costs of services and resources that benefit both sponsored and nonsponsored projects and activities. Indirect costs consist of expenses incurred for administration, library, plant maintenance, and building and equipment depreciation.

The RF and federal agencies use an indirect cost rate to charge indirect costs to individual federally sponsored projects. The rate is a result of a number of complex cost allocation procedures that the RF uses to allocate its indirect costs to both sponsored and nonsponsored activities. Rates are negotiated with and approved by the RF's cognizant audit agency, the U.S. Department of Health and Human Services.

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2012

Indirect cost charges to federal awards for the year ended June 30, 2012 were as follows:

Research and development Other programs	\$_	107,359,119 18,910,074
Total	\$ _	126,269,193

(5) Clusters of Programs

Part 5 of the OMB Circular A-133 Compliance Supplement identifies those programs that are considered to be clusters of programs as defined by OMB Circular A-133 (§____.105). A cluster of programs means Federal programs with different CFDA numbers that are defined as a cluster of programs because they are closely related programs that share common compliance requirements. In addition to the Research and Development Cluster, identified in the Schedule of Expenditures of Federal Awards, the RF had the following additional clusters:

		Program expenditures
SNAP Cluster:	-	<u> </u>
Supplemental Nutrition Assistance Program (10.551) State Administrative Matching Grants for the Supplemental Nutrition	\$	7,061,362
Assistance Program (10.561)		88,675
Total SNAP Cluster	\$	7,150,037
Economic Development Cluster:		
Investments for Public Works and Economic Development Facilities (11.300)	\$	1,797,958
Economic Adjustment Assistance (11.307)	-	57,484
Total Economic Development Cluster	\$	1,855,442
Workforce Investment Act (WIA) Cluster:		
WIA Youth Activities (17.259)		6,982
Total WIA Cluster	\$	6,982
Highway Safety Cluster:		
State and Community Highway Safety (20.600)	\$	1,553,284
Occupant Protection Incentive Grants (20.602)		24,039
Safety Belt Performance Grants (20.609)	-	4,277
Total Highway Safety Cluster	\$	1,581,600

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2012

	 Program expenditures
Special Education Cluster: Special Education – Grants to States (84.027) Special Education – Preschool Grants (84.173)	\$ 751,317 220,961
Total Special Education Cluster	\$ 972,278
Title I, Part A Cluster: Title I Grants to Local Educational Agencies (84.010)	\$ 225,770
Total Title I, Part A Cluster	\$ 225,770
Vocational Rehabilitation Cluster: Rehabilitation Services – Vocational Rehabilitation Grants to States (84.126)	\$ 40,555
Total Vocational Rehabilitation Cluster	\$ 40,555
TRIO Cluster: TRIO – Student Support Services (84.042) TRIO – Talent Search (84.044) TRIO – Upward Bound (84.047) TRIO – McNair Post-Baccalaureate Achievement (84.217)	\$ 2,990,186 909,464 3,831,506 1,312,669
Total TRIO Cluster	\$ 9,043,825
Education of Homeless Children and Youth Cluster: Education of Homeless Children and Youth (84.196)	\$ 21,095
Total Education of Homeless Children and Youth Cluster	\$ 21,095
Health Centers Cluster: Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (93.527)	\$ 58,643
Total Health Centers Cluster	\$ 58,643
Aging Cluster: Special Programs for the Aging – Title II, Part B – Grants for Supportive Services and Senior Centers (93.044)	\$ 4,484
Total Aging Cluster	\$ 4,484
Immunization Cluster: Immunization (93.268) ARRA-Immunization (93.712)	\$ 95,838 95,671
Total Immunization Cluster	\$ 191,509

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2012

	_	Program expenditures
Education Technology State Grants Cluster: Education Technology State Grants (84.318)	\$_	(3,297)
Total Education Technology State Grant Cluster	\$ _	(3,297)
Independent Living Services for Older Individuals Who are Blind Cluster: Independent Living Services for Older Individuals Who are Blind (84.399)	\$_	43,862
Total Independent Living Services for Older Individuals Who are Blind Cluster	\$ _	43,862
Temporary Assistance for Needy Families (TANF) Cluster: Temporary Assistance for Needy Families (93.558)	\$_	15,526,028
Total Temporary Assistance for Needy Family Cluster	\$_	15,526,028
Child Care Cluster: Child Care and Development Block Grant (93.575) Child Care Mandatory and Matching Funds of the Child Care and Development Fund (93.596) ARRA – Child Care and Development Block Grant (93.713)	\$	2,343,417 12,668,621 23,746
Total Child Care Cluster	\$_	15,035,784
Head Start Cluster: Head Start (93.600) Total Head Start	\$ _ \$ _	304,291 304,291
Medicaid Cluster: Medical Assistance Program (93.778)	\$_	2,703,243
Total Medicaid Cluster	\$_	2,703,243
Disability Insurance/SSI Cluster: Social Security – Disability Insurance (96.001)	\$_	236,470
Total Disability Insurance/SSI Cluster	\$ _	236,470

Notes to Schedule of Expenditures of Federal Awards Year ended June 30, 2012

(6) Subrecipients

Of the federal expenditures presented in the schedule, the RF provided the federal awards to subrecipients as follows (for both Research and Development and Other Programs) for the year ended June 30, 2012:

Program title	Federal CFDA number	 Research and development	Other programs
Department of Agriculture	10.000	\$ 61,907	_
Plant and Animal Disease, Pest Control,			
and Animal Care	10.025	32,096	_
Forestry Research	10.652	189,602	
Urban and Community Forestry Program	10.675	89,820	_
Forest Health Protection	10.680	22,451	
Environmental Quality Incentives Program	10.912	3,514	
Sea Grant Support	11.417	1,227,657	_
Undersea Research	11.430	947	
Center for Sponsored Coastal Ocean			
Research_Coastal Ocean Program	11.478	2,062	
Measurement and Engineering Research		·	
and Standards	11.609	1,422,098	_
Department of Defense	12.000	457,171	
Collaborative Research and Development	12.114	11,313	_
Basic and Applied Scientific Research	12.300	182,220	
Military Medical Research and		·	
Development	12.420	399,253	_
Basic Scientific Research	12.431	662,958	_
Basic, Applied, and Advanced Research		,	
in Science and Engineering	12.630	885,707	_
Air Force Defense Research Sciences		,	
Program	12.800	129,515	
Research and Technology Development	12.910	1,480,426	
Community Development Block Grants/			
Brownfields Economic Development			
Initiative	14.246	148,680	
General Research and Technology Activity	14.506	20,010	
State Wildlife Grants	15.634	29,091	_
National Institute of Justice Research,			
Evaluation, and Development Project			
Grants	16.560	15,868	
Community Based Job Training Grants	17.269	·	58,460
Department of Transportation	20.000	71,185	· —
Highway Research and Development		,	
Program	20.200	2,567	_

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2012

Program title	Federal CFDA number	 Research and development	Other programs
National Aeronautics and Space			
Administration	43.000	\$ 79,748	
Science	43.001	77,875	
Aeronautics	43.002	36,903	
Exploration	43.003	44,093	
Engineering Grants	47.041	346,506	_
Mathematical and Physical Sciences	47.049	116,132	
Geosciences	47.050	27,455	_
Computer and Information Science and			
Engineering	47.070	140,285	
Biological Sciences	47.074	50,452	
Social, Behavioral, and Economic Sciences	47.075	81,163	_
Education and Human Resources	47.076	872,340	56,652
Polar Programs	47.078	31,788	_
International Science and Engineering			
(OISE)	47.079	25,048	
Office of Cyberinfrastructure	47.080	168,502	
Trans-NSF Recovery Act Research			
Support	47.082	183,197	1,721,799
Small Business Development Centers	59.037		4,917,125
Surveys, Studies, Research, Investigations,			
Demonstrations, and Special Purpose			
Activities Relating to the Clean Air Act	66.034	29,760	
Congressionally Mandated Projects	66.202	15,073	
Long Island Sound Program	66.437	1,979	
Great Lakes Program	66.469	226,227	
Department of Energy	81.000	603,597	
State Energy Program	81.041	18,723	
Office of Science Financial Assistance			
Program	81.049	2,086,329	
Renewable Energy Research and			
Development	81.087	306,050	202,443
Energy Efficiency and Renewable Energy			
Information Dissemination, Outreach,			
Training and Technical Analysis/			
Assistance	81.117		11,127
Department of Education	84.000		21,250
Migrant Education_State Grant Program	84.011		135,327
Women's Educational Equity Program	84.083		24,000
National Institute on Disability and			
Rehabilitation Research	84.133	845,714	

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Notes to Schedule of Expenditures of Federal Awards

Program title	Federal CFDA number	 Research and development	Other programs
Education Research, Development and			
Dissemination	84.305	\$ 742,533	_
Research in Special Education	84.324	275,708	_
Special Education – Personnel			
Development to Improve Services and			
Results for Children with Disabilities	84.325	45,000	
Gaining Early Awareness and Readiness	0.4.00.4		
for Undergraduate Programs	84.334	_	37,609
Improving Teacher Quality State Grants	84.367	_	291,965
Department of Education	84.395		11,250
Department of Health and Human Services	93.000	965,848	252 401
Public Health Emergency Preparedness	93.069	_	253,481
Area Health Education Centers Point of			
Service Maintenance and Enhancement	02 107		754 (12
Awards	93.107	452.060	754,613
Environmental Health	93.113	452,068	
Oral Diseases and Disorders Research	93.121	2,348,736	
Grants to Increase Organ Donations	93.134	45,871	
Coordinated Services and Access to			
Research for Women, Infants, Children, and Youth	02 152	404 020	
Research Related to Deafness and	93.153	484,828	_
Communication Disorders	93.173	80,792	
Research on Healthcare Costs,	93.173	00,792	
Quality and Outcomes	93.226	65,322	
Grants to States to Support Oral Health	93.220	05,522	_
Workforce Activities	93.236		46,575
Mental Health Research Grants	93.242	1,185,658	
Occupational Safety and Health Program	93.262	154,960	71,127
Alcohol Research Programs	93.273	5,477,355	
Drug Abuse and Addiction Research	75.275	3,177,333	
Programs	93.279	471,434	
Discovery and Applied Research for	75.277	171,151	
Technological Innovations to Improve			
Human Health	93.286	308,074	
Minority Health and Health Disparities	, , , , ,		
Research	93.307	162,646	11,760
Cancer Cause and Prevention Research	93.393	185,203	
Cancer Detection and Diagnosis Research	93.394	134,042	_
Cancer Treatment Research	93.395	241,256	_
		-	

Notes to Schedule of Expenditures of Federal Awards

Program title	Federal CFDA number	 Research and development	Other programs
Cancer Biology Research	93.396	\$ 151,336	
Cancer Control	93.399	77,410	
Temporary Assistance for Needy Families	93.558	· —	1,138,002
Child Support Enforcement	93.563	_	16,240
Child Care and Development Block Grant	93.575	_	2,220,641
Affordable Care Act – Preparedness and			, ,
Emergency Response Learning Centers	93.606	_	161,031
Child Welfare Research Training or			- ,
Demonstration	93.648		4,083,145
Adoption Assistance	93.659		150,127
Social Services Block Grant	93.667	_	8,750
Trans-NIH Recovery Act Research	, , , , ,		-,,,,,
Support	93.701	2,074,499	
Medical Assistance Program	93.778		168,289
Health Careers Opportunity Program	93.822		95,784
Cardiovascular Diseases Research	93.837	475,077	74,712
Blood Diseases and Resources Research	93.839	297,078	
Arthritis, Musculoskeletal and Skin	, , , , ,	_,,,,,,	
Diseases Research	93.846	247,691	
Diabetes, Digestive, and Kidney Diseases	, , , ,	,	
Extramural Research	93.847	1,353,647	
Kidney Diseases, Urology and	70.0.7	1,000,017	
Hematology Research	93.849	5,441	
Extramural Research Programs in the	75.017	3,111	
Neurosciences and Neurological			
Disorders	93.853	21,091	
Allergy, Immunology and Transplantation	70.000	21,071	
Research	93.855	620,887	
Biomedical Research and Research	75.055	020,007	
Training	93.859	1,264,689	89,434
Population Research	93.864	3,367	—
Child Health and Human Development	75.001	3,307	
Extramural Research	93.865	947,635	
Aging Research	93.866	199,309	
Vision Research	93.867	53,664	
HIV Emergency Relief Project Grants	93.914		365,710
Special Projects of National Significance	93.928		157,592
HIV Demonstration, Research, Public	75.720		131,372
and Professional Education Projects	93.941		98,278
and I foressional Education I fojects	73.771		70,270

Notes to Schedule of Expenditures of Federal Awards

Program title	Federal CFDA number		Research and development	Other programs
Family Planning_Service Delivery	02.074	Ф	105 500	
Improvement Research Grants International Research and Research	93.974	\$	105,538	_
Training Training	93.989		60,480	9,728
Preventive Health and Health Services				
Block Grant	93.991			10,000
Maternal and Child Health Services				
Block Grant to the States	93.994		_	229,290
USAID Foreign Assistance for Programs				
Overseas	98.001	_	104,595	1,307,870
Total amount provided to				
subrecipients		\$ _	35,853,825	19,011,186



KPMG LLP 515 Broadway Albany, NY 12207-2974

Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*

The Board of Directors
The Research Foundation for the State University of New York:

We have audited the financial statements of The Research Foundation for the State University of New York (the RF) as of and for the year ended June 30, 2012, and have issued our report thereon dated September 27, 2012. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

Management of the RF is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the RF's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the RF's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the RF's internal control over financial reporting.

A deficiency in internal control over financial reporting exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control over financial reporting, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the RF's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.



We noted certain matters that we reported to management of the RF in a separate letter dated September 27, 2012.

This report is intended solely for the information and use of the Board of Directors and management, federal agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.



September 27, 2012



KPMG LLP 515 Broadway Albany, NY 12207-2974

Independent Auditors' Report on Compliance with Requirements That Could Have a Direct and Material Effect on Each Major Program and on Internal Control over Compliance in Accordance with OMB Circular A-133

Board of Directors
The Research Foundation for the State University of New York:

Compliance

We have audited The Research Foundation for the State University of New York's (the RF) compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the RF's major federal programs for the year ended June 30, 2012. The RF's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to its major federal programs is the responsibility of the RF's management. Our responsibility is to express an opinion on the RF's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the RF's compliance with those requirements and performing such other procedures as we consider necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the RF's compliance with those requirements.

In our opinion, the RF complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012.

Internal Control over Compliance

Management of the RF is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the RF's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the RF's internal control over compliance.



A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information of the Board of Directors and management of the RF, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.



November 28, 2012

Schedule of Findings and Questioned Costs Year ended June 30, 2012

(1) Summary of Auditors' Results

Financial Statements

- a) An unqualified opinion based on our audit was issued on the financial statements of The Research Foundation for the State University of New York (the RF) as of and for the year ended June 30, 2012.
- b) The audit reported no significant deficiencies or material weaknesses in connection with the financial statements of the RF as of and for the year ended June 30, 2012.
- c) The audit reported no instances of noncompliance which are material to the basic financial statements of the RF as of and for the year ended June 30, 2012.

Single Audit

- d) The audit reported no significant deficiencies or material weaknesses in connection with the major federal programs of the RF for the year ended June 30, 2012.
- e) An unqualified opinion was issued on the RF's compliance with each of its major federal programs for the year ended June 30, 2012.
- f) There are no findings which are required to be reported under Section 510(a) of OMB Circular A-133 for the year ended June 30, 2012.
- g) The major federal programs of the RF for the year ended June 30, 2012 are as follows:

Name of federal program	CFDA number
Research and Development Cluster	Various
Temporary Assistance for Needy Families	93.558
Adoption Assistance	93.659
Office of Science Financial Assistance Program	81.049
Electricity Delivery and Energy Reliability, Research,	
Development and Analysis	81.122
ARRA Grants for Training in Primary Care Medicine	
and Dentistry Training	93.403

- h) The dollar threshold used to distinguish between Type A and Type B programs was \$3 million.
- i) The RF is considered to be a low risk auditee for the year ended June 30, 2012.

Schedule of Findings and Questioned Costs Year ended June 30, 2012

(2)	Findings Relating to the Financial Statements Reported in Accordance with Government Auditing Standards				
	None				
(3)	Findings and Ouestioned Costs Relating to Federal Awards				

None



SUMMARY OF PRIOR YEAR'S FINDINGS AND QUESTIONED COSTS

Prepared by Management of The Research Foundation for The State University of New York

(Not Covered by Auditors' Reports)

Summary of Prior Year's Findings and Questioned Costs

Prior Year Finding Reference	Program and CFDA Number	Compliance Requirement	Summary of Finding	Status
2011-01	Research and Development Cluster CFDA: 93.701 and 93.589 Federal Award Number: Various	Equipment and Real Property Management	During our audit procedures related to the RF's compliance with federal equipment requirements for its research and development programs we noted the following at Downstate Medical Center: • Property Status Change Request Forms authorizing equipment deletions were not approved by all designated campus officials. The Property Status Change Request Form is required to be completed and signed by the appropriate campus officials for a change in equipment status. Our entire sample of three Property Status Change Request Forms were signed by the RF Operations Manager, but did not contain the signatures of other designated campus officials. In addition, we noted that the Property Control Officer signature was pre-printed on the Property Status Change Request Forms and was not an original signature.	Downstate Medical Center is now requiring all designated campus officials approve equipment deletions. The Property Control Form has been changed to remove the pre-printed Property Control Officer signature and the forms now specify "appropriate approvals" as those of the Property Control Officer and the Campus Operations Manager, or Designee.

Summary of Prior Year's Findings and Questioned Costs

Prior Year Finding Reference	Program and CFDA Number	Compliance Requirement	Summary of Finding	Status
2011-02	Child Care Development Fund Cluster CFDA: 93.596 State Contract Number: C026022, C-26292	Eligibility	During our audit procedures related to the RF's compliance with eligibility requirements for the EIP programs operated under the Child Care Development Fund Cluster, we were unable to re-perform certain eligibility requirements included on the RF application for 5 of the 30 program participants selected, since all supporting documentation was not retained.	The RF Office of Internal Audit Services has incorporated into their campus audit procedures, steps that will review compliance with the RF's established policies and procedures to ensure that individuals receiving scholarships under the Educational Incentive Program are eligible, in accordance with the Office of Children and Family Services contract requirements. The project is now keeping all original source documents and will do so for the appropriate retention period.