

Auditors' Reports as Required by Office of Management and Budget (OMB) Circular A-133 and Governing Auditing Standards and Related Information

Year ended June 30, 2015

Auditors' Report Required by Office of Management and Budget (OMB) Circular A-133 and Governing Auditing Standards and Related Information

Year ended June 30, 2015

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KPMG LLP 515 Broadway Albany, NY 12207-2974

Independent Auditors' Report

The Board of Directors
The Research Foundation for
The State University of New York:

Report on the Financial Statements

We have audited the accompanying financial statements of The Research Foundation for The State University of New York (the RF), which comprise the balance sheets as of June 30, 2015 and 2014, and the related statements of activities and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditors consider internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the RF as of June 30, 2015 and 2014, and the changes in its net deficit and its cash flows for the years then ended in accordance with U.S. generally accepted accounting principles.



Other Matter - Supplementary Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying supplementary schedule of expenditures of federal awards is presented for purposes of additional analysis, as required by Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the supplementary schedule of expenditures of federal awards is fairly stated, in all material respects, in relation to the financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated September 30, 2015 on our consideration of the RF's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the RF's internal control over financial reporting and compliance.



September 30, 2015, except as to the supplementary schedule of expenditures of federal awards, which is as of December 23, 2015

Balance Sheets

June 30, 2015 and 2014

		2015	2014
Assets	_		
Current Assets	ф	105.200	210 200
Cash and cash equivalents	\$	185,200	219,200
Accounts receivable, net		291,212,178	236,460,187
Advances to others		7,407,192	9,786,123
Investments		168,386,829	204,291,066
Due from broker for securities sold		2.057.000	4,769,930
Other current assets	=	3,057,908	7,778,487
Total current assets	_	470,249,307	463,304,993
Noncurrent Assets			
Fixed assets, net		158,025,440	141,067,205
Intangible assets, net		53,655,224	65,605,630
Other noncurrent assets	=	53,219,412	41,552,324
Total noncurrent assets	_	264,900,076	248,225,159
Total assets	\$ _	735,149,383	711,530,152
Liabilities and Net Deficit	_		
Current Liabilities			
Accounts payable and accrued expenses	\$	73,908,597	86,826,254
Accrued compensation		11,458,522	10,290,934
Accrued leave		30,307,870	30,407,655
Deferred revenue		115,369,652	150,274,576
Deposits held for others		24,554,690	27,361,223
Due to broker for securities purchased		-	-
Current portion of capital lease obligations		3,632,983	7,037,035
Current portion of long-term debt		195,000	1,165,631
Line of credit and other short-term debt	_	23,309,040	6,300,000
Total current liabilities	_	282,736,354	319,663,308
Noncurrent Liabilities			
Deposits held for others		-	-
Postretirement benefit obligation		398,570,748	278,648,537
Other deferred revenue		182,832,148	152,450,318
Capital lease obligations, net of current portion		-	3,632,983
Long-term debt, net of current portion		4,470,000	7,230,870
Other liabilities	=	6,494,920	6,302,916
Total noncurrent liabilities	<u>-</u>	592,367,816	448,265,624
Total liabilities	_	875,104,170	767,928,932
Unrestricted net assets (deficit):			
Undesignated Assets		87,842,954	62,597,474
Reserved for future postretirement benefit costs		(227,797,741)	(118,996,254)
Total net deficit	-	(139,954,787)	(56,398,780)
Total liabilities and net deficit	\$	735,149,383	711,530,152
Total manifest and not deficit	Ψ =	. 33,1 17,303	. 11,000,102

See accompanying notes to financial statements.

Statements of Activities

Years ended June 30, 2015 and 2014

	2015	2014
Revenue:		
Grants awarded for research and other sponsored activities: Federal \$ Federal flow through New York State Private and other	263,404,408 119,875,473 117,392,038 271,432,403	271,787,918 119,627,027 182,399,137 217,437,139
Total grants awarded for research and other sponsored activities	772,104,322	791,251,221
Indirect cost recoveries: Federal Federal flow through New York State Private and other	78,144,376 24,287,925 6,198,911 14,581,966	82,788,697 25,484,532 5,971,086 12,602,185
Total indirect cost recoveries	123,213,178	126,846,500
Other research-related income: Inventions and licenses Third-party service centers Agency fees Fixed price awards Amortization of deferred gain Other	10,504,002 14,125,248 7,316,917 25,080,260 8,000,000 7,689,774	20,278,155 14,293,512 6,937,816 5,879,488 8,000,000 8,386,727
Total other research-related income	72,716,201	63,775,698
Investment income, net	11,320,151	20,067,417
Total revenue	979,353,852	1,001,940,836
Expenses: Sponsored programs and other activities Indirect administrative and support expenses:	775,429,495	790,813,430
Salaries Fringe benefits Professional services Supplies Depreciation Other	64,583,437 37,304,243 17,317,079 7,580,080 13,691,241 9,254,456	64,609,295 29,392,600 16,745,285 6,737,148 13,286,452 35,230,552
Total indirect administrative and support expenses	149,730,536	166,001,332
Other research related expenses: Inventions and licenses Third party service centers Other	10,264,035 14,342,244 4,342,062	18,289,998 14,396,605 5,514,425
Total other research-related expenses	28,948,341	38,201,028
Total expenses	954,108,372	995,015,790
Change in net assets from revenue and expenses Postretirement related changes other than net periodic benefit cost	25,245,480 (108,801,487)	6,925,046 5,525,727
Change in net deficit	(83,556,007)	12,450,773
Net deficit at beginning of year	(56,398,780)	(68,849,553)
Net deficit at end of year \$	(139,954,787)	(56,398,780)

See accompanying notes to financial statements.

Statements of Cash Flows

Years ended June 30, 2015 and 2014

	_	2015	2014
Cash flows from operating activities:	-	<u>. </u>	
Federal grants and contracts	\$	481,376,031	497,122,427
State and local grants and contracts		80,756,034	269,154,563
Private gifts and grants		297,055,688	269,940,551
Other receipts		245,627,347	264,860,139
Salaries and wages payments		(407,084,505)	(404,974,774)
Employee benefits payments		(156,869,171)	(151,460,348)
Payments to suppliers and vendors		(470,267,684)	(549,420,197)
Operating interest and dividends Distribution from BSA partnership		784,515 2,042,688	1,930,425 1,527,145
Interest payments on capital debt and notes		(498,787)	(816,083)
Other payments		(86,131,899)	(81,197,190)
Net cash (used in) provided by operating activities		(13,209,743)	116,666,658
Cash flows from investing activities:			
Proceeds from sales of investments		265,168,714	350,591,844
Purchases of investments		(212,913,880)	(356,149,579)
Cash paid for purchases of fixed and intangible assets	-	(41,262,595)	(84,949,519)
Net cash provided by (used in) investing activities		10,992,239	(90,507,254)
Cash flows from financing activities:			
Principal payments on long-term debt and capital lease obligations		(8,268,536)	(8,035,547)
Proceeds from line of credit		259,277,681	58,020,435
Payments on line of credit	-	(248,825,641)	(76,817,423)
Net cash provided by (used in) financing activities	-	2,183,504	(26,832,535)
Net decrease in cash and cash equivalents		(34,000)	(673,131)
Cash and cash equivalents, beginning of year	-	219,200	892,331
Cash and cash equivalents, end of period	\$	185,200	219,200
Reconciliation of change in net assets to net cash (used in)			
provided by operating activities:			
Change in net assets	\$	(83,556,007)	12,450,773
Adjustments to reconcile change in net assets to net cash			
(used in) provided by operating activities			
Realized and unrealized gains on investments		(8,313,786)	(17,098,205)
Change in fair value of interest rate swap		19,188	(14,717)
Net change in equity investment of BSA partnership		(179,162)	488,358
Depreciation and amortization		43,434,896	37,025,118
Loss on disposal of fixed assets Accretion of deferred gain on sale leaseback transaction		98,112 (6,750,000)	184,753 (6,750,000)
Donated fixed assets		(528,236)	(4,661,875)
Change in assets and liabilities that provide (use) cash:		(328,230)	(4,001,073)
Accounts receivable and other assets		(59,319,569)	(35,847,823)
Accrued investment income		33,673	1
Accounts payable and accrued expenses		(11,849,854)	(6,615,570)
Other accruals and other liabilities		1,108,418	1,702,847
Deferred revenue		(4,523,094)	112,087,466
Deposits held for others		(2,806,533)	21,760,031
Post-retirement benefit obligation	-	119,922,211	1,955,501
Net cash (used in) provided by operating activities	\$	(13,209,743)	116,666,658

See accompanying notes to financial statements.

Notes to Financial Statements June 30, 2015 and 2014

(1) Organization

The Research Foundation for The State University of New York (RF) exists to serve The State University of New York (SUNY) by providing sponsored programs administration and innovation support services to SUNY faculty, students and staff who perform research in diverse fields including energy, environment, health sciences, neuroscience, materials and advanced manufacturing, and arts and humanities.

Aligned with SUNY's Research Innovation Strategy and New York's Innovation Agenda, the RF manages programs such as the SUNY Networks of Excellence, the Technology Accelerator Fund, and START-UP NY that maximize the collective impact of SUNY research.

The RF protects SUNY's intellectual property and connects business and industry to SUNY faculty to commercialize their inventions for the public good. The RF offers a full complement of technology transfer and business development services to promote innovation, entrepreneurship and economic development.

The RF comprises a central office and operating units at 31 campus locations across New York State. The RF is a private nonprofit educational corporation and is governed by a board of directors.

(2) Summary of Significant Accounting Polices

(a) Basis of Presentation

The accompanying financial statements of the RF are presented consistent with the Financial Accounting Standards Board Accounting Standards Codification (ASC) Topic 958, *Not-for-Profit Entities*. The RF currently has no assets with donor-imposed restrictions, and therefore all net assets are unrestricted and available for operations.

(b) Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make significant estimates and assumptions that affect the reported amounts of liabilities and net assets (deficit) and disclosures of contingencies as of the dates of the financial statements and the reported amounts of change in net assets (deficit) during the reporting periods. The most significant areas which are affected by the use of estimates include allowances for doubtful receivables, valuation of certain investments measured at net asset value, commitments and contingencies, useful lives of fixed assets and intangible assets, and certain actuarial assumptions that affect the postretirement benefit obligation. Actual results could differ from those estimates and the differences between estimates and actual results could be significant.

(c) Revenue Recognition

Grants awarded for research and other sponsored activities represent exchange transactions derived from grants, cost reimbursement contracts, and cooperative agreements that provide for the recovery of direct and indirect costs, and are subject to sponsor audit. Grants and contracts awarded for research and other sponsored activities are recognized to the extent of direct costs incurred. Amounts received in excess of expenditures are recorded as deferred revenue. Recoveries of indirect costs provided from grants and contracts are recorded as revenue in the year in which the costs are eligible for reimbursement.

Notes to Financial Statements June 30, 2015 and 2014

Investment income or loss includes dividends and interest, realized and unrealized gains and losses, and equity adjustments from the RF's investment in the Brookhaven Science Associates partnership. Purchases and sales of securities are recorded on a trade-date basis. Dividend income is recorded on the ex-dividend date. Interest income is recorded on the accrual basis of accounting. The average original purchase price of securities is used to determine the basis for computing realized gains or losses.

Inventions and licenses income consists of royalties received from licenses and is recognized on the accrual basis. The income is distributed based on SUNY's policy of apportioning up to 40% of the income to the inventors and the remaining 60% to the campuses. Campus shares of the income, spent under the provisions of the Bayh-Dole Act, and inventors' shares are reflected in the RF's administration and support expenses.

Other income is recognized on the accrual basis and primarily consists of third-party service center revenue, nonsponsored income from activities such as proceeds from the sale of equipment, and fees earned for administering human resources, payroll, purchasing and payables services to university-related organizations, such as foundations or clinical practice plans.

(d) Cash Equivalents

For the purpose of presenting the statements of cash flows, cash equivalents include short-term, highly liquid investments with an original maturity of three months or less at the time of purchase, exclusive of amounts classified as investments. Cash equivalents are stated at fair value and are considered a Level 1 financial asset.

(e) Investments

Investments are reported at fair value, which is generally the price that would be received from selling an asset in an orderly transaction between market participants at the measurement date. Certain investments in limited liability partnerships and corporations are measured at net asset value or its equivalent as a practical expedient to estimating fair value.

(f) Fixed and Intangible Assets

The title to equipment purchased using sponsored funds is generally retained by the grantor institution until such time as final disposition is determined. Accordingly, purchases of equipment charged to the respective grant or contract are not capitalized, except for equipment or infrastructure purchased under a sponsored program for the purpose of economic development and for which title is retained by the RF.

Fixed and intangible assets are stated at cost, net of accumulated depreciation and amortization, and are depreciated on a straight-line basis over the estimated useful lives of the assets. Using historical and industry experience, estimated useful lives, with the exception of land, range from five to 50 years. The RF monitors its long-lived assets for impairment indicators. If impairment indicators existed, the RF would perform the required analysis and, if applicable, would record impairment charges.

Notes to Financial Statements June 30, 2015 and 2014

Upon sale or retirement of capitalized assets, the cost and the related accumulated depreciation and amortization are removed from the accounts and a gain or loss is recorded. Depreciation and amortization expense for the years ended June 30, 2015 and 2014 was \$43.4 million and \$37.0 million, respectively.

(g) Deferred Revenue

Deferred revenue represents two types of activities: (1) surplus amounts for sponsored program activity that occur when funds are received in advance of spending and (2) surplus balances related to service centers that are established and maintained to provide a specific service to sponsored programs and other users.

Amounts estimated to be realized over a period greater than one year are reflected in noncurrent deferred revenue on the balance sheets and primarily stem from capitalized equipment purchased under sponsored programs related to economic development.

(h) Accrued Leave

RF employees are granted vacation and sick leave in varying amounts. In the event of termination or retirement, an employee is reimbursed for accumulated vacation up to a maximum of 30 days. Employees are not reimbursed for accumulated sick leave at termination; however, upon retirement up to 200 days of accumulated sick leave is considered in the computation of retirement benefits. Included in the leave accrual, is an accrual for the net obligation under the sick leave benefit amounting to \$2.3 million and \$2.4 million as of June 30, 2015 and 2014, respectively.

(i) Postretirement Benefit Obligation

The RF has a defined medical benefit postretirement plan covering substantially all of its nonstudent employees upon their retirement. The RF's postretirement obligations are based on calculations that incorporate various actuarial and other assumptions, including discount rates, mortality, assumed rates of return, compensation increases, turnover rates, and healthcare cost trend rates. The RF reviews its assumptions on an annual basis and makes modifications to the assumptions based on current rates and trends when it is appropriate to do so. The effect of modifications to those assumptions is recorded in other changes to net assets and amortized to net periodic cost over future periods using the 10% corridor method. The net periodic costs are recognized as employees render the services necessary to earn the postretirement benefits.

The RF maintains a Voluntary Employee Benefit Association (VEBA) trust for the postretirement benefit plan. The assets held in the VEBA trust reduce the accumulated postretirement benefit obligation, as reported on the accompanying balance sheets.

(j) Fair Value of Financial Instruments

The carrying amounts of accounts receivable, other current assets, accounts payable and accrued expenses, and deposits held for others approximate fair value due to the short duration of these financial instruments. The RF has two long-term notes receivable and, in both cases, no bad debt

Notes to Financial Statements June 30, 2015 and 2014

allowances were considered necessary as of June 30, 2015 and 2014, respectively, and no adverse information on collectability has been received as of financial statement issuance date.

Long-term debt and the line of credit bear interest at a variable rate that is not significantly different than current market rates for loans with similar maturities and credit quality and carrying value accordingly approximates fair value.

(k) Tax Status

The RF has been determined by the Internal Revenue Service to be an organization described in Internal Revenue Code (the Code) Section 501(c)(3) and, therefore, is exempt from federal income taxes on related income pursuant to Section 501(a) of the Code. Management has determined there are no uncertain tax positions as of June 30, 2015 and 2014.

(1) Other Information

Accounts receivable as of June 30, 2015 and 2014 are reported net of an allowance for doubtful accounts of approximately \$9.3 million.

Advances to others and deposits held for others represent amounts related to agency activity at the campus and affiliated organization locations.

Various SUNY employees perform work on RF sponsored grants. SUNY pays these employees directly, and is reimbursed by the RF on a monthly basis. The related amounts due to SUNY are included in accrued compensation and consist of both a known and estimated component. The total liability to SUNY at June 30, 2015 and 2014 is approximately \$6.7 million and \$7.4 million, respectively.

(m) **Reclassifications**

Certain 2014 amounts have been reclassified to conform to the 2015 presentation.

(3) Affiliated Organizations

Organizations affiliated with the RF have been established to facilitate partnerships and accelerate the growth of sponsored program and applied research opportunities at SUNY. The affiliated organizations are as follows:

(a) BioBAT, Inc. (formerly BioBAT Holdings, Inc.)

BioBAT, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) and the New York City Economic Development Corporation (on behalf of the City of New York) to develop the Brooklyn Army Terminal into a site for biotechnology and biopharmaceutical manufacturing, and research.

(b) Broad Hollow Bioscience Park, Inc.

Broad Hollow Bioscience Park, Inc. is a not-for-profit corporation formed by the RF (acting on behalf of Farmingdale State College) and Cold Spring Harbor Laboratory to operate an incubator facility on

Notes to Financial Statements June 30, 2015 and 2014

the Farmingdale State campus. Its purpose is to assist in the economic development of the region by attracting public and private funds to further biotechnology development through the commercialization of new technologies and the creation of new companies and jobs.

(c) Brookhaven Science Associates, LLC

Brookhaven Science Associates, LLC (BSA) is a not-for-profit limited liability company formed by the RF (acting on behalf of Stony Brook University) and Battelle Memorial Institute (Battelle). In 1998, the U.S. Department of Energy selected BSA to operate Brookhaven National Laboratory. BSA profits and losses are allocated 50% each to Battelle and the RF.

The accompanying financial statements of the RF include its share of the net earnings/loss of BSA based on the operating results for the years ended June 30, 2015 and 2014. The RF records distributions received as a reduction of the investment balance.

(d) Buffalo 2020 Development Corporation

Buffalo 2020 Development Corporation was formed by the RF (acting on behalf of University at Buffalo) and FNUB, Inc., a subsidiary of the University at Buffalo Foundation, to enable the purchase, development, and construction of research-based facilities and infrastructure on University at Buffalo property on the downtown Buffalo, New York campus. These facilities will support the research, academic and economic development mission of the SUNY campus at Buffalo.

(e) CUBRC, Inc.

CUBRC, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of the University at Buffalo) and other foundations. CUBRC, Inc.'s mission is to leverage the capabilities of scientists from academia and industry to provide economic opportunities in Western New York. CUBRC, Inc. competes for research programs that would not otherwise be available to the University at Buffalo.

(f) Downstate Technology Center, Inc.

Downstate Technology Center, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) and the Health Science Center at Brooklyn Foundation, Inc. to provide for the construction of an advanced biotechnology incubator adjacent to the SUNY Downstate Medical Center. The facility seeks to advance medical research, provide incubator space and assist in the economic development of the Borough of Brooklyn.

(g) Fort Schuyler Management Corporation

Fort Schuyler Management Corporation (FSMC) is a private, not-for-profit corporation, formed by the RF (acting on behalf of SUNY Polytechnic Institute (SUNY Poly) at the SUNY Institute of Technology (SUNYIT)) and the SUNYIT Foundation. FSMC will facilitate the construction of a nanotechnology and semiconductor development and manufacturing facility adjacent to the SUNYIT campus in partnership with local economic development institutions.

Notes to Financial Statements June 30, 2015 and 2014

(h) Fuller Road Management Corporation

Fuller Road Management Corporation (FRMC) is a private, not-for-profit corporation formed by the RF (acting on behalf of the University at Albany) and the University at Albany Foundation. FRMC provides for the construction of research facilities at SUNY Poly to promote research and development of early and late stage companies, and the creation of jobs, and the development of the region's economy.

(i) Long Island High Technology Incubator

Long Island High Technology Incubator (LIHTI) is a private, not-for-profit corporation formed by the RF (acting on behalf of Stony Brook University) and the Stony Brook Foundation, Inc. LIHTI's mission is the development of new high-technology companies.

(i) New York Genome Center

New York Genome Center (NYGC) is a private, not-for-profit corporation formed by the RF (acting on behalf of Stony Brook University) and other New York-based universities and health institutions. The RF participates in NYGC as an Institutional Founding Member. The mission of NYGC is to transform medical research and clinical care in and around New York City through the creation of what will become one of the largest genomics and bioinformatics facilities in North America.

(k) SUNY Fredonia Technology Incubator, Inc.

SUNY Fredonia Technology Incubator, Inc. (SFTI) is a private not-for-profit corporation formed by the RF (acting on behalf of SUNY Fredonia) and the Fredonia College Foundation to develop and manage a technology incubator facility in Dunkirk, New York. The incubator houses new technology companies in order to further the early stage business capacity of the region, create jobs, and promote economic development. This corporation was never funded and was dissolved during FY 2015.

(1) U.S. Photovoltaic Manufacturing Consortium, Inc.

The Photovoltaic Manufacturing Consortium (PVMC) is a private, not-for-profit corporation, formed by the RF (acting on behalf of SUNY Poly) and Sematech, Inc. to facilitate an industry-led consortium for cooperative research and development among industry, university, and government partners to accelerate the development, commercialization, and manufacturing of next-generation solar photovoltaic systems.

Notes to Financial Statements June 30, 2015 and 2014

(4) Investments

The following is the composition of net investment income for the years ended June 30:

	_	2015	2014
Dividends and interest	\$	784,515	1,930,425
Net realized and unrealized gains		8,313,786	17,098,205
Income from investment in BSA partnership	_	2,221,850	1,038,787
Total return on investments	\$	11,320,151	20,067,417

(5) Fair Value Measurements

Except for investments reported at net asset value (NAV) or its equivalent as a practical expedient to estimate fair value, fair value is measured using three levels as described below:

Level 1: Inputs are unadjusted quoted market prices in active markets for identical assets or liabilities that are assessable at the measurement date.

Level 2: Inputs are other than quoted prices in active markets that are observable either directly or indirectly and fair value is determined through the use of models or other valuation methodologies.

Level 3: Inputs used in valuation are unobservable.

The following is a description of the valuation methodologies used for investments measured at fair value:

Investments in limited liability partnerships and corporations represent investments measured at NAV or its equivalent and consist of a diversified portfolio of hedge funds of funds, real estate, domestic and foreign equity funds, fixed income securities and private equity funds in various investment vehicles. These investments, which are not exchange traded, do not have readily determinable fair values. These investments are typically redeemable at NAV under the terms of the investment agreements. Estimates of fair value are made using NAV per share or its equivalent as a practical expedient and are not required to be categorized in the fair value hierarchy due to the adoption of FASB ASU 2015, *Fair Value Measurements: Disclosures for Investments in Certain Entities that Calculate NAV per Share (or its Equivalent)* (ASU 2015-07) as of June 30, 2015. The fair value amounts attributed to these investments continue to be presented in the tables below to permit reconciliation of the fair value hierarchy to the amounts presented in the balance sheet. As a result of the retrospective application of ASU 2015-07, the June 30, 2014 investment information below has been restated from prior years to remove the investments measured at NAV or its equivalent from Level 2 and 3 in the fair value hierarchy.

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although RF believes its valuation methodologies are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine fair value of certain financial instruments could result in a materially different fair value measurement at the reporting dates.

Notes to Financial Statements June 30, 2015 and 2014

The following tables summarize, as of June 30, 2015 and 2014, the RF's investments that are measured at fair value on a recurring basis as well as the liquidity redemption and notification provisions:

			2015			
	Total	Level 1	Level 2	Level 3	Redemption frequency	Days notice
Investments:						
Cash equivalents	5 19,431,739	19,431,739	_	_	Daily	1
Mutual and exchange traded funds:						
U.S. government fixed income	19,652,694	19,652,694	_	_	Daily	1
U.S. equities	3,189,270	3,189,270	_	_	Daily	1
Commodities	4,260,688	4,260,688	_	_	Daily	1
Foreign equities	8,248,122	8,248,122	_	_	Daily	1 to 4
Real estate	6,542,819	6,542,819	_	_	Daily	1 to 4
Other	354,216	354,216	_	_	Daily	4
Investments measured at NAV or its equivalent:						
Absolute multistrategy return	28,146,886	_	_	_	Quarterly	45 to 95
Credit securities	10,813,297	_	_	_	Quarterly	30 to 45
Global equities	16,739,587	_	_	_	Qtrly/Annual	30 to 90
Hedged equities	29,872,352	_	_	_	Quarterly	95
U.S. equities	7,259,377	_	_	_	Quarterly	45
Private equity	10,847,635	_			See (a) below	N/A
Total Investment at Fair Value	165,358,682	61,679,548	_	_		
Investment in BSA partnership	3,028,147	_			N/A	N/A
Total Investments	6 168,386,829	61,679,548	_			

				2014			
		Total	Level 1	Level 2	Level 3	Redemption frequency	Days notice
Investments:							
Cash equivalents	\$	38,008,299	38,008,299	_	_	Daily	1
Mutual and exchange traded funds:							
U.S. government fixed income		18,030,713	18,030,713	_	_	Daily	1
U.S. corporate credit securities		1,226,784	1,226,784	_	_	Daily	1
U.S. equities		8,416,071	8,416,071	_	_	Daily	1
Commodities		5,050,322	5,050,322	_	_	Daily	1
Foreign equities		19,765,829	19,765,829	_	_	Daily	1 to 4
Real estate		9,046,600	9,046,600	_	_	Daily	1 to 4
Other		1,218,074	1,218,074	_	_	Daily	4
Investments measured at NAV or its equivale	nt:						
Absolute multistrategy return		39,667,882	_	_	_	Quarterly	45 to 95
Credit securities		8,976,109	_	_	_	Mthly/Qtrly	30 to 45
Global equities		4,785,807	_	_	_	Annual	90
Hedged equities		31,264,663	_	_	_	Quarterly	95
U.S. equities		7,775,874	_	_	_	Quarterly	45
Private equity		8,209,054		_	<u> </u>	See (a) below	N/A
Total Investment at Fair Value		201,442,081	100,762,692	_	_		
Investment in BSA partnership		2,848,985	_	_		N/A	N/A
Total Investments	\$	204,291,066	100,762,692	_			

(a) Private equity fund investments include non-controlling shares or interests in funds where the controlling general partner serves as the investment's manager. Such investments are generally not

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Notes to Financial Statements June 30, 2015 and 2014

eligible for redemption from the fund or general partner, but can potentially be sold to third-party buyers in private transactions. It is the intent of the RF to hold these investments until the fund has fully distributed all proceeds to the investors.

There has been no transfer activity among levels for investments during fiscal years 2015 and 2014. The RF has unfunded commitments to private equity investments as of June 30, 2015 of approximately \$6.0 million.

(6) Other Assets and Other Liabilities

Other assets and liabilities consist of the following at June 30:

	_	2015	2014
Other assets:			
Current:			
Royalties receivable	\$	3,010,658	7,439,032
Miscellaneous	_	47,250	339,455
Total other current assets	_	3,057,908	7,778,487
Noncurrent:			
Loans receivable		5,505,461	11,037,789
Deferred compensation assets		5,713,951	5,314,535
NFX lease prepaid	_	42,000,000	25,200,000
Total other noncurrent assets		53,219,412	41,552,324
Total other assets	\$ _	56,277,320	49,330,811
Other liabilities – noncurrent:			
Deferred compensation obligation	\$	5,713,951	5,314,535
Miscellaneous		780,969	988,381
Total other liabilities	\$_	6,494,920	6,302,916

The RF maintains a deferred compensation plan established in accordance with Section 457(b) of the IRC. Plan assets are a part of the general assets of the RF, which are subject to claims of creditors of the RF. The assets consist of mutual funds, which involve Level 1 inputs under the fair value hierarchy, variable annuities which involve Level 2 inputs, and annuity contracts, which involve Level 3 inputs. The significant majority of these holdings are categorized in Levels 1 and 2.

Notes to Financial Statements June 30, 2015 and 2014

(7) Fixed Assets

Fixed assets consist of the following at June 30:

Fixed asset classification		Fixed assets June 30, 2014	Additions	Dispositions/ retirements	Fixed assets June 30, 2015
Building	\$	6,500,000	_	_	6,500,000
Office furniture and research equipment		140,065,750	34,649,201	1,393,417	173,321,534
Information systems		33,278,310			33,278,310
Construction in progress	-	58,448,012	7,141,636		65,589,648
Total fixed assets	_	238,292,072	41,790,837	1,393,417	278,689,492
Less accumulated depreciation:					
Building		1,560,000	130,000	_	1,690,000
Office furniture and equipment		62,620,304	24,509,355	1,295,305	85,834,354
Information systems	-	33,044,563	95,135		33,139,698
Total accumulated					
depreciation	-	97,224,867	24,734,490	1,295,305	120,664,052
Fixed assets, net	\$	141,067,205	17,056,347	98,112	158,025,440

There is no depreciation expense associated with construction in progress assets as they have not yet been placed in service. Upon being placed in service, the assets, primarily consisting of research equipment, will be classified in the appropriate categories above and depreciated over their useful lives.

The amortization expense for the sale-leaseback asset was \$6.8 million for June 30, 2015 and 2014. The sale-leaseback transactions resulted in a gain that has been deferred and recognized over the life of the lease. This asset is being concurrently amortized and netted with the recognition of the deferred gain and results in no net increase to fixed assets.

Notes to Financial Statements June 30, 2015 and 2014

(8) Intangible Assets

Intangible assets at June 30 consist of the following:

Intangible asset classification	Intangible assets June 30, 2014	Additions	Dispositions/ retirements	Intangible assets June 30, 2015
Technology licenses Software upgrade costs	\$ 89,200,000 4,002,031			89,200,000 4,002,031
Total intangible assets	93,202,031			93,202,031
Less accumulated amortization: Technology licenses Software upgrade costs	27,463,000 133,401	11,150,000 800,406		38,613,000 933,807
Total accumulated amortization	27,596,401	11,950,406		39,546,807
Intangible assets, net	\$ 65,605,630	(11,950,406)		53,655,224

The approximate annual amortization of the intangible assets over future years are as follows:

Fiscal year ending 2016	\$ 11,950,000
Fiscal year ending 2017	11,950,000
Fiscal year ending 2018	11,950,000
Fiscal year ending 2019	10,304,000
Fiscal year ending 2020	5,313,000
Fiscal year ending 2021	2,188,000

(9) Postretirement Benefit Obligation

(a) Plan Information

The RF sponsors a defined benefit postretirement plan that covers substantially all nonstudent employees. The plan provides postretirement medical benefits and is contributory for employees hired after 1985. Retirees who were hired after 1985 are subject to cost sharing requirements with respect to medical coverage. With respect to dental coverage, retirees must pay the full premium cost of the coverage selected.

(b) Plan Funded Status and Related Assumptions

Annual contributions to fund the plan are made by the RF pursuant to a funding policy established by the board of directors.

Notes to Financial Statements June 30, 2015 and 2014

For payment of benefits under the plan, the RF established a VEBA trust with Bank of New York Mellon as the trustee. The VEBA trust held assets of \$169.8 million and \$148.7 million as of June 30, 2015 and 2014, respectively. Current obligations are assumed to be paid out of the trust assets, with the remaining unfunded obligation reflected as a noncurrent liability of the RF. There were approximately 6,900 and 6,600 participants in the plan as of July 1, 2014 and 2013.

The following table sets forth the plan's funded status reconciled with the amount shown in the accompanying financial statements at June 30:

	_	2015	2014
Change in benefit obligation:			
Benefit obligation at beginning of year	\$	427,323,643	405,432,951
Service cost		15,866,297	11,516,614
Interest cost		20,271,144	17,275,032
Plan participants' contributions		881,732	710,361
Retiree drug subsidy receipts		239,016	376,010
Plan amendments		_	(603,917)
Actuarial loss		115,557,012	2,884,499
Benefits paid	_	(11,740,622)	(10,267,907)
Benefit obligation at end of year	_	568,398,222	427,323,643
Change in plan assets:			
Fair value of plan assets at beginning of year		148,675,106	124,828,913
Return on plan assets		10,789,948	15,399,193
Employer contributions		20,982,294	17,628,536
Plan participants' contributions		881,732	710,361
Retiree drug subsidy receipts		239,016	376,010
Benefits paid	_	(11,740,622)	(10,267,907)
Fair value of plan assets at end of year	_	169,827,474	148,675,106
Funded status and amount recognized in balance sheet	\$ _	(398,570,748)	(278,648,537)
Reserve for future postretirement benefit costs:			
Prior service credit	\$	14,404,324	20,339,647
Net actuarial loss	Ψ	(242,202,065)	(139,335,901)
	Φ.		
Total	\$ =	(227,797,741)	(118,996,254)

Notes to Financial Statements

June 30, 2015 and 2014

	2015	2014
Weighted average assumptions used to determine benefit obligation:		
Discount rate at end of year	4.45%	4.30%
Components of net periodic benefit cost:	Φ 15.066.205	11 51 6 61 4
Service cost	\$ 15,866,297 20,271,144	11,516,614
Interest cost Expected return on plan assets	(11,958,556)	17,275,032 (9,995,708)
Amortization of:	(11,750,550)	(7,775,700)
Prior service credit	(5,935,323)	(6,032,260)
Actuarial loss	13,859,456	8,435,084
Net periodic benefit cost	\$ 32,103,018	21,198,762
Weighted average assumptions used to determine net periodic benefit cost:		
Discount rate for the year	4.30%	4.72%
Expected long-term rate of return	7.50%	7.50%
	2015	2014
Other changes in plan assets and benefit obligations recognized in unrestricted net assets:		
Net actuarial (loss) gain	\$ (116,725,620)	2,518,986
Prior service credit		603,917
Amortization of:	(5.025.222)	(6,000,060)
Prior service credit Actuarial loss	(5,935,323)	(6,032,260) 8,435,084
	13,859,456	0,433,004
Total recognized as (decrease) increase	ф. (100 001 40 7)	5 505 707
in unrestricted net assets	\$ (108,801,487)	5,525,727

Expected amounts amortized from unrestricted net assets into net periodic benefit cost for fiscal year ending 2016 include prior service credit of \$5.9 million and actuarial loss of \$18.1 million.

Estimated net benefit payments over future years are as follows:

Fiscal year ending 2016	\$ 12,272,000
Fiscal year ending 2017	13,582,000
Fiscal year ending 2018	15,056,000
Fiscal year ending 2019	16,675,000
Fiscal year ending 2020	18,323,000
Fiscal years ending 2021–2025	117,057,000

Notes to Financial Statements June 30, 2015 and 2014

For measurement purposes, the initial trend rates vary by coverage. The health maintenance organization rate is 8.25%, the preferred provider organization (PPO) Medical Pre-65 rate is 8.00%, the PPO Medical Post-65 rate is 7.50%, the PPO prescription rate is 6.75%, and administrative fees are 5.00%. Trend rates grade down to an ultimate rate of 5.00% in 2023 and later.

Assumed healthcare cost trend rates have a significant effect on the amounts reported for the healthcare plans. A one-percentage point change in assumed healthcare cost trend rates would have the following effects:

	_	One-percentage point increase	One-percentage point decrease
Effect on total service and interest cost components	\$	9,158,090	(6,893,669)
Effect on postretirement benefit obligation		124,199,311	(96,108,251)

(c) Fair Value of Plan Assets

The following tables summarize as of June 30, 2015 and 2014 the RF's defined benefit postretirement plan's investments held in the VEBA trust, including the redemption and notification provisions. The hierarchy and inputs to valuation techniques to measure fair value of the plan's investments are outlined above in note 5.

				2015			
	_	Total	Level 1	Level 2	Level 3	Redemption frequency	Days' notice
Investments:							
Cash equivalents	\$	2,370,990	2,370,990	_	_	Daily	1
Mutual and exchange traded funds:				_	_	•	
U.S. government fixed income		13,209,807	13,209,807	_	_	Daily	1
U.S. equities		9,889,246	9,889,246	_	_	Daily	1
Foreign equities		11,335,864	11,335,864	_	_	Daily	1 to 4
Real estate		9,031,810	9,031,810	_	_	Daily	1 to 4
Commodities		4,968,731	4,968,731	_	_	Daily	1
Investments measured at NAV or its equiva-	alent:						
Absolute multistrategy return		29,060,857	_	_	_	Quarterly	45 to 95
Hedged equities		39,613,360	_	_	_	Quarterly	95
U.S. equities		1,355,078	_	_	_	Quarterly	45
Private equity		15,242,797	_	_	_	See note 5(a)	N/A
Credit securities		8,522,863	_	_	_	Mthly/Qtrly	30 to 45
Global equities	_	25,226,071	_	_		Mthly/Qtrly	30 to 60
	\$	169,827,474	50,806,448	_	_		

Notes to Financial Statements June 30, 2015 and 2014

				2014			
	•	Total	Level 1	Level 2	Level 3	Redemption frequency	Days' notice
Investments:							
Cash equivalents	\$	1,131,027	1,131,027	_	_	Daily	1
Mutual and exchange traded funds:						•	
U.S. government fixed income		11,815,714	11,815,714	_	_	Daily	1
U.S. equities		16,485,114	16,485,114	_	_	Daily	1
Foreign equities		20,915,043	20,915,043	_	_	Daily	1 to 4
Real estate		7,106,851	7,106,851	_	_	Daily	1 to 4
Commodities		4,142,921	4,142,921	_	_	Daily	1
Investments measured at NAV or its equival	ent:						
Absolute multistrategy return		27,552,782	_	_	_	Quarterly	45 to 95
Hedged equities		32,882,768	_	_	_	Quarterly	95
U.S. equities		1,451,491	_	_	_	Quarterly	45
Private equity		13,406,650	_	_	_	See note 5(a)	N/A
Credit securities		6,998,939	_	_	_	Mthly/Qtrly	30
Global equities		4,785,806				Quarterly	45
	\$	148,675,106	61,596,670				

There has been no transfer activity among levels for investments during fiscal years 2015 and 2014. The RF has unfunded commitments to the plan's private equity investments at June 30, 2015 of approximately \$24.9 million.

(d) Investment Policies and Strategies

The plan's primary investment goal is to meet the ongoing obligations while minimizing contributions and controlling risks. This would result in funding short-term liabilities with lower volatility short-term assets, intermediate-term liabilities with moderate-volatility assets and longer-term liabilities with long-term assets.

By use of this structure, and by the diversification of assets, the total portfolio risk exposure and risk adjusted returns meet the plan's long-term total return goal.

The RF's investment managers, under agreement to manage the plan assets kept in the VEBA trust, exercise full investment discretion within the investment policy approved by the RF board of directors, and guidelines as described in the respective investment agreements. Assets must be managed with the care, skill, prudence, and diligence that a prudent investment professional in similar circumstances would exercise, in compliance with applicable laws and regulations.

(e) Basis Used to Determine the Overall Expected Long-Term Rate of Return on Assets Assumption

The RF works with a consultant to develop long-term rate of return assumptions used to model and determine the overall asset allocation. The return assumptions used in the asset allocation analysis are based on a building block approach in which risk premium is calculated for each asset class and adjusted for current market conditions including, but not limited to, current market valuations, yield, inflation, and various economic indicators.

Notes to Financial Statements June 30, 2015 and 2014

The plan's asset allocation, utilizing various investment vehicles, as of June 30, 2015, as shown in the table below, is expected to meet the plan's expected return:

Asset class	Long-term target	Short-term target	Ranges	Actual
Cash	2%	1%	0%-10%	1%
Fixed income	10	5	5%-30%	13
Equities:				
Global equities	25	33	20%-50%	32
Hedged equities	16	17	0%-25%	19
Private equity	10	3	0%-25%	6
Absolute return	15	14	0%-20%	14
Real assets:				
Inflation-protected	5	3	0%-10%	3
Commodities	5	6	0%-10%	3
Global real estate	12	9	5%-20%	9

(10) Retirement Plan

The RF maintains a noncontributory Section 401(a) retirement plan for substantially all nonstudent employees. Contributions are based on a percentage of earnings and range from 8% to 15%, depending on date of hire. In addition, the RF provides an additional pension contribution if an employee retires and meets the age and service requirements for retiree health insurance. This additional contribution is calculated by multiplying the value of the employee's accrued sick leave, up to a maximum of 200 days, by the employee's contribution rate at the time of retirement. Employees become eligible to participate after completing one year of service (a one-year waiting period from their date of hire). At that time, contributions begin and they gain ownership of all future contributions made to their retirement accounts while employed by the RF.

Contributions are allocated to individual employee accounts. Vested participants have the option of having contributions to their accounts deposited in either the Teachers Insurance and Annuity Association (TIAA), which offers a guaranteed income account, the College Retirement Equities Fund (CREF), which offers an assortment of mutual funds, stocks, bonds, real estate, and money market investments, or a selection of nonproprietary funds that are offered on TIAA-CREF's platform. The payroll for RF employees covered by TIAA-CREF for the years ended June 30, 2015 and 2014 was approximately \$362.3 million and \$358.6 million, respectively. The RF pension contributions were approximately \$30.4 million and \$30.1 million for the years ended June 30, 2015 and 2014, respectively. These contributions are equal to 100% of the required contribution for the year.

Notes to Financial Statements June 30, 2015 and 2014

(11) Long-Term Debt

A summary of long-term debt is as follows:

	_	Balance June 30, 2015	Balance June 30, 2014
Long-term debt: Capital lease obligations; see note (a) below	\$	3,632,983	10,670,018
Loan payable to Simons Foundation; 3.25% annual interest;			
forgiven in 2015		_	2,500,000
Demand note; noninterest bearing; paid in 2015			1,046,501
Bonds payable to Albany Industrial Development Agency			
(AIDA); see note (b) below	_	4,665,000	4,850,000
Total long-term debt	\$ _	8,297,983	19,066,519

- (a) As of June 30, 2015, there are two sale-leaseback transactions for capital equipment reflected in the financial statements. Each sale-leaseback was originally for \$13.5 million, for a total of \$27.0 million. Both transactions required treatment as capital leases, with ownership of the underlying assets reverting to the RF at the end of the four-year lease terms, in September 2015 and March 2016.
- (b) The RF has an interest rate swap agreement, on the variable-rate AIDA bonds payable, with the Bank of New York to pay a fixed rate of interest of 3.615%. The bond payable matures in 2032.

Future scheduled payments of long-term debt as of June 30, 2015 are as follows:

	Bonds and no	otes payable	Capital	leases	Total		
	Principal	Interest	Principal	Interest	Principal	Interest	
Fiscal year:							
2016	\$ 195,000	17,880	3,632,983	58,466	3,827,983	76,346	
2017	200,000	17,080	_	_	200,000	17,080	
2018	205,000	16,260	_	_	205,000	16,260	
2019	215,000	15,400	_	_	215,000	15,400	
2020	220,000	14,520	_	_	220,000	14,520	
Thereafter	3,630,000	93,680			3,630,000	93,680	
Total	\$ 4,665,000	174,820	3,632,983	58,466	8,297,983	233,286	

Line of Credit

The RF maintains an unsecured line of credit in the amount of \$65.0 million of which \$23.3 million and \$6.3 million were outstanding as of June 30, 2015 and 2014, respectively. During fiscal 2015, the borrowing rate ranged between 1.0–1.5%; it was 1.1% at June 30, 2015.

Notes to Financial Statements June 30, 2015 and 2014

(12) Revenue

Revenue, by type, consist of the following for the years ended June 30:

	_	2015	2014
Federal grants and contracts	\$	485,712,182	499,688,174
State grants and contracts		113,806,971	173,530,906
Local grants and contracts		9,783,978	14,839,317
Private grants and contracts		286,014,369	230,039,324
Investment income		3,006,365	2,969,212
Net realized and unrealized gains on investments		8,313,786	17,098,205
Gifts, capital gifts and grants		536,294	155,450
Inventions and licenses income		10,504,002	20,278,155
Other income	_	61,675,905	43,342,093
Total revenue	\$	979,353,852	1,001,940,836

(13) Expenses

Total expenses for the years ended June 30, 2015 and 2014 have been classified below for the purpose of supplemental analysis on a basis other than that required by ASC Topic 958, Not-for-Profit Entities:

	_	2015	2014
Instruction	\$	91,728,694	79,220,386
Research		535,046,536	562,313,004
Public service		114,806,212	122,705,649
Academic support		21,970,311	20,840,798
Student services		2,099,792	2,092,139
Institutional support		129,307,206	149,208,522
Scholarships and fellowships		4,279,139	4,153,872
Auxiliary enterprises		162,812	94,251
Operations and maintenance	_	10,675,875	16,361,215
Total portion functionalized	\$_	910,076,577	956,989,836
Depreciation and amortization expense		43,434,896	37,025,118
Interest expense on capital related debt		498,787	816,083
Loss on disposal of fixed assets	_	98,112	184,753
Total unallocated expenses	_	44,031,795	38,025,954
Total	\$	954,108,372	995,015,790

Notes to Financial Statements June 30, 2015 and 2014

(14) Commitments and Contingencies

Lease Obligations

The RF contracts with FRMC and various other entities to lease space as part of its mission to support SUNY research and partnerships. Future minimum payments, as of June 30, 2015, for lease terms in excess of one year are as follows:

Fiscal year		FRMC		Other	Total
2016	\$	43,014,458		3,473,929	46,488,387
2017		43,014,458		2,500,632	45,515,090
2018		43,014,458		1,829,938	44,844,396
2019		43,014,458		1,714,841	44,729,299
2020		43,014,458		1,595,674	44,610,132
Thereafter	_	124,970,485	1	2,078,911	137,049,396
Total	\$ _	340,042,775	2	23,193,925	363,236,700

In May 2005, the RF, as tenant, and FRMC, as landlord, executed an agreement for the lease of clean room facilities, which are used for nanotechnology-related research and development at SUNY Poly. Rent payments made by the RF pursuant to the agreement for each of the years ended June 30, 2015 and 2014 were approximately \$7.0 million. The annual rental payment may escalate annually at a rate not to exceed one percent. The term of the lease is from May 20, 2005 through September 30, 2035.

In November 2011, the RF, as tenant, and FRMC, as landlord, executed an agreement for a second lease of clean room facilities, which are used for nanotechnology research and development activities at the NanoFabXtension (NFX) facility at SUNY Poly. The term of the lease payments is from January 1, 2013 through December 31, 2021, at an annual amount of \$36.0 million.

Collateral

In fiscal year 2012, the RF placed \$10 million in collateral for a \$10 million loan furnished by the Dormitory Authority of the State of New York to SUNY Upstate Medical University in order to facilitate the purchase of Community General Hospital. This collateral is being released at the rate of \$1 million per year over a period of 10 years, starting January 1, 2013. The pledged collateral balance was \$7.5 million as of June 30, 2015.

Contingencies

During the ordinary course of business, the RF is exposed to risks associated with legal proceedings and claims. A liability is recognized with respect to legal proceedings and claims if incurrence of a loss is probable and the amount of loss is reasonably estimable. During fiscal year 2015, two such matters recognized as liabilities in the prior year were settled for amounts that approximated the amounts previously accrued. There is currently an ongoing investigation relating to programs at one of the SUNY campuses. Potential liability resulting from this matter cannot reasonably be determined at this time.

Notes to Financial Statements June 30, 2015 and 2014

(15) Subsequent Events

The RF considers events or transactions that occur after the balance sheet date, but before the financial statements are issued, to provide additional evidence relative to certain estimates or to identify matters that require additional disclosure. These financial statements covering the year ended June 30, 2015 were available to be issued on September 30, 2015 and subsequent events have been evaluated through that date.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Research and Development Cluster:					
U.S. Department of Agriculture: Agricultural Research Service	10.000		\$ 175,805		
Animal and Plant Health Inspection Service	10.000		68,550		
Cooperative State Research Service	10.000		822,240	_	_
Forest Service National Institute of Food and Agriculture	10.000 10.000		509,722 1,109,681	_	_
Natural Resources Conservation Service	10.000		65,075	_	_
Northeastern States Research Cooperative United States Endowment for Forestry and Communities Incorporated	10.000 10.000	2012008	26,665	85,086	2,862,824
NYS Department of Environmental Conservation	10.025	AMO7795	_	52,169	2,802,824
Regents of the University of California Riverside	10.025	S000630	_	17,191	
Regents of the University of California Riverside University of Maryland	10.025 10.200	S000703 2555103	_	18,439 56,641	87,799 56,641
Fort Valley State University	10.216	2013CANFVSU13008	_	26,973	26,973
Connecticut Agricultural Experiment Station	10.310 10.310	CAESAC201103 2011072101SUN	=	81,650 21,783	_
University of North Carolina at Charlotte Pennsylvania State University	10.310	4716SUNYUSDA9703	_	222,839	_
GreenWood Resources	10.310	20116800530407 PO 2014.10.29	_	24,360	_
Purdue University Cornell University	10.310 10.310	8000067013-AG 70695-10242	_	23,543 20,066	394,241
Drexel University	10.312	211030		29,348	29,348
Cornell University	10.320	629649765	_	16,746	16,746
Watershed Agricultural Council University of New Hampshire	10.652 10.652	349 PZ11002	_	20,717 5,805	_
University of Vermont	10.652	25878/Bowden/Nyland	_	22,318	_
University of New Hampshire University of Vermont	10.652 10.652	12013 25878/Bowden/Beier	_	4,281 26,217	_
University of Vermont	10.652	23281 Bevilacqua	_	(947)	_
University of Maine at Orono	10.652	UMS886	_	3,384	_
Watershed Agricultural Council United States Endowment for Forestry and Communities Incorporated	10.652 10.652	442 E15-07	_	18,372 4,451	104,598
NYS Department of Environmental Conservation	10.664	AMO7854		81,138	81,138
NYS Department of Environmental Conservation	10.675	AMO8410	_	36,655	36,655
NYS Department of Environmental Conservation	10.683	AM08622		8,468	8,468
Total U.S. Department of Agriculture			2,777,738	927,693	3,705,431
U.S. Department of Commerce:	11.000		115.610		
U.S. Department of Commerce National Institute of Standards and Technology	11.000 11.000		115,619 176,149	=	_
National Oceanic and Atmospheric Administration	11.000		3,535,811	_	_
Nature Conservancy	11.000	SUNYUBCWFFstudy91714 SUNY ESF CW FF Study 9-15-14	_	12,371	2 965 666
Nature Conservancy New York State Trade Adjustment Assistance Center	11.000 11.300	N/A	_	25,716 41,874	3,865,666 41,874
University of California at San Diego	11.417	S9000619	_	3,942	_
University of Illinois Urbana Champaign University of Vermont	11.417	20140234209 Grant Code AA188	_	6,034	_
University of Vermont University of Vermont	11.417 11.417	25605-Bowden 28155SUBSUNY	_	1,860 71,021	_
University of Vermont	11.417	29092	_	98	_
University of Vermont University of Vermont	11.417 11.417	28930 SUNY Platts N/A	_	96 31,827	
Cornell University	11.417	70039 10356	_	1,254	_
Cornell Cooperative Extension	11.431	RFTD12	_	34,720	150,852
University of Michigan University of Michigan	11.432 11.432	3003218264 3002475301	_	66,234 81,976	148,210
Ducks Unlimited Incorporated	11.463	USNY1561	_	50,485	50,485
University Corporation for Atmospheric Research Florida Fish and Wildlife Conservation Commission	11.467 11.472	S1071092 11187	_	4,283 1,131	4,283
University of Tennessee	11.478	A120074S001	_	41,961	1,131 41,961
Howard University	11.481	1000047290	_	20,771	_
Howard University Cornell University	11.481 11.483	00081311000047290 7215510236	_	93,976 10,866	114,747
University of Miami	11.483	S1512		6,420	17,286
Nanoelectronics Research Corporation (NERC)	11.609	2013NE2399	_	1,678,251	
University of Nebraska	11.609	2605210129006		174,167	1,852,418
Total U.S. Department of Commerce			3,827,579	2,461,334	6,288,913
U.S. Department of Defense:	12.000		2024 474		
Air Force Office of Scientific Research Air Force Research Laboratory	12.000 12.000		2,934,474 2,308,481	_	_
Air Force Rome Lab Griffiss Air Force Base	12.000		1,046,209	_	_
Army Corps Engineers Army Medical Research Acquisition Activity	12.000 12.000		266,894 2,913,798	_	_
Army Medical Research Acquisition Activity Army Medical Research and Material Command	12.000		118,329	_	_
Army Picatinny Arsenal	12.000		314,114	_	_
Army Research Development & Engineering Command Army Research Laboratory	12.000 12.000		1,317,680 213,543	_	_
Army Research Office	12.000		1,391,688	_	_
Defense Advanced Research Projects Agency (DARPA)	12.000		422,480	_	_
Department of Defense Intelligence Advanced Research Projects Activity (IARPA)	12.000 12.000		146,122 2,833,939	_	_
National Geospatial Intelligence Agency	12.000		40,228	_	_
National Security Agency	12.000		905	_	_
Naval Sea Systems Command NAVSUP Fleet Logistics Center San Diego	12.000 12.000		15,203 75,057	_	_
Navy Experimental Diving Unit	12.000		527,168	_	_
Navy Office of Naval Research Navy Research Laboratory	12.000		1,943,433	_	_
Navy Research Laboratory Navy Space& Naval Warfare Systems Ctr San Diego	12.000 12.000		378,358 765,674	_	=
Magnolia Optical Technologies Incorporated	12.000	W911QX13C0073		271,503	_
Massachusetts Institute of Technology	12.000	5710003641 P140001870	_	594,360	_
EWA Government Systems Incorporated CUBRC Incorporated	12.000 12.000	P140001879 07774-04	_	21,724 16,304	=
University of Čentral Florida	12.000	232896	_	72,889	_
AECOM BerrieHill Research Corporation	12.000	BTRP3438	_	499 33,604	=
CUBRC Incorporated	12.000 12.000	N/A 07862S1	_	33,604 82,210	
CUBRC Incorporated	12.000	07875S2	_	122,558	_
Intelligent Automation Incorporated Andro Computational Solutions LLC	12.000 12.000	9591 ACS12AF10BT0905	_	870 168,934	_
	12.000			130,734	

See accompanying notes to supplementary schedule of expenditures of federal awards.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
CUBRC Incorporated	12.000	07952S1	s —	74,924	_
CUBRC Incorporated	12.000	07951S1	_	44,366	_
Rensselaer Polytechnic Institute	12.000	A71625	_	9,648	_
Cherokee Nation Technology Solutions LLC Cherokee Nation Technology Solutions LLC	12.000 12.000	N/A N/A	_	83,004 101,296	_
CUBRC Incorporated	12.000	07719.07.01	_	55,958	_
Sentient Science Corporation	12.000	N/A	_	43,225	_
CUBRC Incorporated	12.000	07932S2	_	98,068	_
Science Applications International Corp	12.000	P010206758	_	26,187	_
Griffiss Institute University of Maryland	12.000 12.000	14-09 Ext 32 Z9885001	_	42,810 213,518	22,152,236
Rockwell Collins Incorporated	12.114	4504947155	_	22,620	22,620
Florida Institute for Human and Machine Cognition	12.300	FA875012203481	_	265,801	
Arsenal Business & Technology Partnership	12.300	W15QKN0790001	_	(25,001)	_
Hypres Incorporated	12.300	HYPN0001409C0529	_	2,204	_
Oregon State University Sherwin-Williams	12.300 12.300	N0268AA 12754414	_	104,391 128,896	_
Charles River Analytics Inc	12.300	SC1200801	_	30,266	_
Scientific Systems Company Incorporated	12.300	N6660413C1404	_	116,644	_
Florida Institute for Human and Machine Cognition	12.300	FA87501220348	_	30,226	_
CUBRC Incorporated	12.300	N/A	_	17,763	_
High Performance Technologies Incorporated IBM Corporation	12.300 12.300	14463 PO#896 TO#001 CSMKY06005 5004630250	_	50,002	
Arizona Board of Regents	12.351	POY554529	_	140,616 114,457	861,808
Arizona State University	12.351	Subagreement No. 09-205	_	52,297	
Arizona State University	12.351	14436	_	68,902	235,656
CH2MHILL	12.360	1306092	_	71,973	71,973
Daemen College	12.420	11-1 0082-UB-2	_	(8,791)	_
Hauptman Woodward Medical Research Institute Inc Rutgers University	12.420 12.420	6200 S1031970	_	93,050 1,231	_
Wake Forest University Health Sciences	12.420	WFUHS441020SR-10	_	174,933	_
University of Mississippi	12.420	N/A	_	323,933	_
TRUE Research Foundation POC	12.420	W81XWH090163-15013A	_	(22,348)	_
Health Research Inc	12.420	14401	_	4,985	_
University of Illinois at Chicago	12.420	W81XWH140281	_	36,603	_
Cherokee Nation Technology Solutions LLC Cherokee Nation Technology Solutions LLC	12.420 12.420	N/A W81XWH12D00440013	_	249,468 112,445	965,509
University of North Carolina at Charlotte	12.431	2013035802SUN	_	61,580	705,507
University of Toledo	12.431	F20142	_	13,352	_
Staib Instruments Incorporated	12.431	A13A0110305	_	(80)	_
ReliaCoat Technologies LLC	12.431	N/A	_	14,892	—
Tetra Tech Incorporated Virginia Polytechnic Institute and State Univ	12.431 12.630	1112140 43295719191	_	10,263 13,259	100,007
Imperial Machine and Tool Company	12.630	27404JS		260	_
II VI Incorporated	12.630	FA86501121063	_	885	_
Florida International University	12.630	80000217701	_	52,338	_
University of Hawaii at Manoa	12.630	MA140023	_	9,013	
Virginia Polytechnic Institute and State Univ Optoelectronic Nanodevices LLC	12.630 12.800	43291719191 N/A	_	124,792 5,060	200,547
Nano-Bio Manufacturing Consortium	12.800	Development Agr. 27-Jan-14	_	162,924	_
Trustees of the California State University	12.800	S9-94504 A1	_	(21,656)	_
Regents of the University of Minnesota	12.800	A001650201	_	211,941	_
University of California Berkeley	12.800	00006770	_	71,934	_
University of California at Santa Barbara Electron Energy Corporation	12.800 12.800	KK1236 FA955013C0037	_	153,441	_
Regents of the University of Minnesota	12.800	A002727302	_	120,495	_
ATA Aerospace LLC	12.800	NM14503	_	1,000	_
ATA Aerospace LLC	12.800	NM14367	_	1,000	_
Board of Regents of the University of Nebraska	12.800	2014123SC1	_	35,022	741,161
Government of Israel Ministry of Defense University of Florida	12.902 12.910	4440477335 UFEIES1205018SNY	_	140,061 47,560	140,061
Massachusetts Institute of Technology	12.910	5710003112	_	21	_
Massachusetts Institute of Technology	12.910	5710003504	_	1,361,285	_
Analog Photonics LLC	12.910	HR001113C0071	_	272,473	_
Micron Technology Inc	12.910	HR00111190009	_	93,480	_
BAE Systems Controls Incorporated Palo Alto Institute for Research and Education Inc	12.910 12.910	209630 MP307372	_	47,106 (2,566)	_
Carnegie Institution of Washington	12.910	41043402	_	129,488	_
BAE Systems Information & Electronic Systems Integration Inc	12.910	845226/SLIN# 1.1	_	29,539	_
BAE Systems Information & Electronic Systems Integration Inc	12.910	8452263/SLIN# 3.1		161,262	2,139,648
Total U.S. Department of Defense			19.973.777	7,657,449	27,631,226
-					
Central Intelligence Agency: Central Intelligence Agency	13.000		10,867		
Leidos	13.000	P01055110	- 10,007	112,429	123,296
Total Central Intelligence Agency			10.867	112.420	122 206
			10,807	112,429	125,290
U.S. Department of Housing and Urban Development:	11000		50.041		
U.S. Department of Housing and Urban Development Niagara Frontier Transportation Authority	14.000 14.000	N/A	52,261	351,639	403,900
Housing Trust Fund Corporation	14.269	NYRISE	_	1,239,561	403,900
Housing Trust Fund Corporation	14.269	N/A		499,608	1,739,169
New York City Department of Homeless Services	14.524	N/A	_	335	335
Total U.S. Department of Housing and Urban Development			52,261	2,091,143	2,143,404
			32,201	2,0/1,173	2,173,707
U.S. Department of the Interior:	15.000		(A 0.CE)		
U.S. Department of the Interior Fish and Wildlife Service	15.000		(4,865) 322,637	_	_
Geological Survey	15.000		483,270	_	_
National Park Service	15.000		772,874	_	_
NYS Department of Environmental Conservation	15.000	AM08643	_	2,383	
NYS Department of Environmental Conservation NYS Department of Environmental Conservation	15.000	AMO8634	_	13,915	1,590,214
NYS Department of Environmental Conservation University of Illinois Urbana Champaign	15.231 15.605	AMO8625 20140484601	_	605,430 5,005	605,430 5,005
Central Michigan University	15.608	N/A	_	13,906	13,906
NYS Department of Environmental Conservation	15.611	AMO8884	_	201,764	201,764

See accompanying notes to supplementary schedule of expenditures of federal awards.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
NYS Department of Environmental Conservation	15.611	AMO8649	s	733,490	
Wildlife Management Institute Incorporated	15.628	201303	_	55,102	788,592
Tioga County Soil and Water Conservation District	15.631	N/A	_	5,182	5,182
Texas Parks and Wildlife	15.634	T43	_	(105)	(105)
Smithsonian Institution New Jersey Department of Environmental Protection, Division of Fish and Wildlife	15.634 15.655	N/A PO# 7619391 PO# 7635524	_	14,928 57	14,985
Board of Trustees of the University of Illinois	15.662	2013044180	_	6,794	6,794
University of California at Santa Cruz	15.663	S0184014	_	4,160	4,160
University of Maine at Orono	15.677	UMS958	_	39,780	_
University of Maine at Orono	15.677	UMS988	_	34,851	
University of Connecticut Cornell University	15.677 15.805	68986 611039379	_	18,120	92,751
Cornell University	15.805	640389840	_	3,319 10,671	_
Michigan State University	15.805	RC100125SUNY		3,194	
Cornell University	15.805	6403810430	_	2,215	19,399
AmericaView	15.815	AV14NY01	_	19,486	19,486
University of Wisconsin at Madison	15.820	590K015	_	7,589	7,589
Raymond W Harvey Post 703 American Legion National Parks of New York Harbor	15.926 15.954	ABPP Grant #GA-2287-13-012 P13PX22027	_	34,449	34,449 5
	13.934	1 131 A22021			
Total U.S. Department of the Interior			1,573,916	1,835,690	3,409,606
U.S. Department of Justice:	16000		1.104.020		
U.S. Department of Justice	16.000 16.000	C637173	1,186,938	190,939	1 277 077
NYS Division of Criminal Justice Services University of Maryland	16.541	Z955101	_	97,180	1,377,877 97,180
General Electric Global Research	16.560	400190560	_	86,701	97,180
State of Minnesota	16.560	49727		56,663	143,364
Total U.S. Department of Justice			1,186,938	431,483	1,618,421
U.S. Department of State:			72.0032.00		
U.S. Department of State	19.000		726,092	_	726,092
President and Fellows of Middlebury College	19.901	SPMECO12CA1010	_	4,363	_
President and Fellows of Middlebury College	19.901	SPMECO13CA1006		4,451	8,814
Total U.S. Department of State			726,092	8,814	734,906
U.S. Department of Transportation:					
U.S. Department of Transportation	20.000		779,457	_	_
Federal Highway Administration	20.000		14,178	_	_
National Transportation Safety Board	20.000		32,137		_
Research Foundation of City University of New York National Academy of Sciences	20.000 20.000	499973424 NCHRP172	_	2,268 63,746	_
Research Foundation of City University of New York	20.000	499972325		9,157	
Research Foundation of City University of New York	20.000	499971625	_	14,870	_
Town of Amherst	20.000	2012.052	_	58,674	_
Research Foundation of City University of New York	20.000	499974125	_	51,813	_
Research Foundation of City University of New York	20.000	491982626	_	2,122	
Board of Regents of the University of Wisconsin System	20.000	601K204	_	17,785	1,046,207
National Academy of Sciences Rowan University	20.200 20.200	HR1293 N/A	_	97,625 20,509	118,134
Research Foundation of City University of New York	20.200	55513-01-27	_	69,830	110,134
NYS Education Department	20.205	C010915	_	1,378,936	_
NYS Education Department	20.205	C010915	_	224,229	_
NYS Education Department	20.205	C010915	_	77,675	_
NYS Education Department	20.205	C010915 555050305	_	236,202	_
Research Foundation of City University of New York Stantec Consulting Services Inc.	20.205 20.205	PIN 1759.42	_	69,748 1,034	_
C and S Engineering	20.205	Job 119.392.001		3,579	2,061,233
NYS Department of Transportation	20.218	C003101	_	112,411	_
NYS Department of Transportation	20.218	C003101	_	56,179	168,590
KLD Labs Incorporated	20.313	BAA20142FRA	_	35,503	35,503
NYS Governors Traffic Safety Committee Research Foundation of City University of New York	20.600 20.701	C002091 499972824	_	51,229 5,738	51,229
Research Foundation of City University of New York	20.701	499971525	_	5,234	_
Research Foundation of City University of New York	20.701	499973225	_	24,175	_
University Transportation Research Center	20.701	499975124	_	9,181	_
Research Foundation of City University of New York	20.701	499971725	_	14,763	_
Research Foundation of City University of New York Research Foundation of City University of New York	20.701 20.701	555050603A 499974625		45,283 8,524	_
Research Foundation of City University of New York Research Foundation of City University of New York	20.701	4999/4625 N/A	_	8,524 31,570	_
Research Foundation of City University of New York	20.701	N/A	_	106,122	_
Research Foundation of City University of New York	20.701	499974225	_	53,798	_
Research Foundation of City University of New York	20.701	491982526		36,464	340,852
Total U.S. Department of Transportation			825,772	2,995,976	3,821,748
U.S. Postal Service:					
U.S. Postal Service	22.000	DWACIESS	484,323		-
Lockheed Martin	22.000	DW461522		203,801	688,124
Total U.S. Postal Service			484,323	203,801	688,124
National Aeronautics and Space Administration:					
Ames Research Center	43.000		714,475	_	_
Goddard Space Flight Center	43.000		2,444,637 292,386	_	_
John C Stennis Space Center Langley Research Center	43.000 43.000		292,386 38,721	_	_
Lyndon B Johnson Space Center	43.000		305,532	_	_
Marshall Space Flight Center	43.000		61,641	_	_
Washington	43.000		100,205	_	_
Howard University	43.000	NNX10AQ11A	_	21	_
Jet Propulsion Laboratory	43.000	1472163	_	(92)	_
Jet Propulsion Laboratory Jet Propulsion Laboratory	43.000 43.000	1473194 1500382	_	41,519 43,213	_
Unisys Corporation	43.000	RKR-000139	_	26,095	_
Rochester Institute of Technology	43.000	31164-01	_	28,126	_
National Institute of Aerospace	43.000	N/A	_	2,493	_
Space Telescope Science Institute	43.000	HSTGO1230801A	_	9,423	_

See accompanying notes to supplementary schedule of expenditures of federal awards.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
National Institute of Aerospace	43.000	N/A	\$	6,445	4,114,840
University of Miami	43.001	S130011	_	18,675	_
Georgia Institute of Technology Remote Sensing Systems	43.001 43.001	RD396G1 6003	_	9,630 68,150	_
Cornell University	43.001	61420-9293	_	21,199	_
University of Kansas Center for Research Incorporated	43.001	FY2012-039	_	32,690	_
Jpl Caltech	43.001	1242685	_	34,968	_
Jet Propulsion Laboratory	43.001	1373103	_	7,834	_
Metron Aviation Incorporated Planetary Science Institute	43.001 43.001	SUNY000752CN109 639	=	119 108	_
Cornell University	43.001	614209295	_	15,715	_
Regents of the University of California	43.001	0995SNB140	_	111,849	_
Planetary Science Institute	43.001	693	_	15,654	_
Jet Propulsion Laboratory	43.001	1438780 SUNY000899CN115	_	96,761	_
Metron Aviation Incorporated Smithsonian Astrophysical Observatory	43.001 43.001	GO213030X	_	1,214 819	_
Arizona State University	43.001	1228404 12893		9,312	_
Space Telescope Science Institute	43.001	HSTGO1249001A	_	42,238	_
Jet Propulsion Laboratory	43.001	1457128	_	167,886	_
Jet Propulsion Laboratory Jet Propulsion Laboratory	43.001 43.001	1467483 1472792	_	83,917 54,061	_
TRS Technologies Incorporated	43.001	1404 – 120518315702	_	55,457	_
National Space Biomedical Research Institute	43.001	SMST03401	_	181,010	_
Smithsonian Astrophysical Observatory	43.001	GO213068A	_	13,151	_
Smithsonian Astrophysical Observatory	43.001	GO314060A	_	11,563	_
Johns Hopkins University Applied Physics Laboratory Space Telescope Science Institute	43.001 43.001	118431 HSTGO1338810A	_	8,983 2,753	_
Smithsonian Astrophysical Observatory	43.001	GO213076A	_	10,330	_
National Space Biomedical Research Institute	43.001	SMST03401	_	270,430	_
Johns Hopkins University Applied Physics Laboratory	43.001	123733	_	18,029	_
Colorado State University	43.001	G655801	_	44,330	_
Cornell University University of Maryland	43.001 43.001	614209294 Z662302	_	306 2,439	_
University of Maryland University of Maryland	43.001	Z669001	_	12,820	_
California Institute of Technology	43.001	1519738	_	16,482	_
Smithsonian Astrophysical Observatory	43.001	AR516005X	_	4,769	1,445,651
Rensselaer Polytechnic Institute	43.002	B10554	_	5,321	_
Georgia Institute of Technology University of Maryland	43.002 43.009	RD368G1 19983 Z6917002	_	64,818 705	70,844
	43.009	19983 2091/002			
Total National Aeronautics and Space Administration			3,957,597	1,673,738	5,631,335
National Foundation on the Arts and Humanities:					
Institute of Museum and Library Services	45.000		28,314	_	_
National Endowment for the Arts National Endowment for the Humanities	45.000 45.000		13,200 62,955	_	104,469
University of Vermont	45.163	28807 SUB51672 SUNY	02,933	28,129	28,129
Northern Kentucky University	45.169	40010141	_	9,068	9,068
Drexel University	45.312	219059-3629	_	30,984	_
Florida State University	45.312	R01713		3,435	34,419
Total National Foundation on the Arts and Humanities			104,469	71,616	176,085
National Science Foundation:					
National Science Foundation	47.000		62,571,891	_	_
Hudson Valley Community College	47.000	1003574	_	121,650	62,693,541
North Carolina State University Sepragen Corporation	47.041 47.041	2008113802 N/A	_	11,491 13,072	_
GLAUCONIX LLC	47.041	1448900	_	54,310	_
Jade Therapeutics Incorporated	47.041	IIP1430921	_	11,803	_
University of Washington	47.041	576722	_	3,866	_
Cornell University	47.041	62101-9359	_	20,330	_
Northeastern University Research Foundation of City University of New York	47.041 47.041	50194778051	_	66,781 2,549	_
Purdue University	47.041	40B66-A NEES410131871	_	586,422	_
Board of Supervisors of Louisiana State University and Agricultural and			_	,	_
Mechanical College	47.041	81656	_	21,608	_
University of Akron	47.041	01211SUNY	_	10,585	_
University of Delaware Green Sulfcrete	47.041 47.041	33803 N/A	_	20,774 8,193	_
Cornell University	47.041	6999010138		31,024	
University of Tennessee	47.041	A130207S001	_	9,747	872,555
Texas A M University	47.047	02S130238	_	1,164	_
Rensselaer Polytechnic Institute Regents of the University of California Riverside	47.049	A12535	_	97,084	_
Trustees of Princeton University	47.049 47.049	S-000527 00002036	_	139,824 4,680	
Pennsylvania State University	47.049	4860SUNYNSF5660		17,752	
Regents of the University of California, Santa Cruz	47.049	S0183166	_	31,511	_
Columbia University	47.049	11GG006141 PHY1119200 - 52519	_	47,774	_
Central Washington University University of Notre Dame	47.049 47.049	13588791 PO 0000049820	_	6,080 1,440	_
American Physical Society	47.049	N/A N/A		19,833	_
American Physical Society	47.049	N/A	_	1,875	
Board of Regents of the University of Nebraska	47.049	2505210178008	_	7,378	_
Utah State University	47.049	14034401	_	8,369	
Brigham Young University Arizona Board of Regents	47.049 47.050	14-0415 124740	=	6,250 15,857	391,014
University of Hawaii	47.050	MA130046	_	5,993	_
Colorado State University	47.050	G36241	_	86,544	_
University of Illinois Urbana Champaign	47.050	20120097504	_	617,326	_
University of Southern California	47.050	33510313		3,486	_
University of California at San Diego	47.050	41997522 S9000556	_	50,401	_
University of Illinois Urbana Champaign Virginia Institute of Marine Science	47.050 47.050	20120097507 A0484 718403 712684	_	85,205 13,165	_
Massachusetts Institute of Technology	47.050	5710003846	_	6,645	_
Brown University	47.050	00000360 PO#PUR-0002028	_	4,013	_
University of New Hampshire	47.050	14068	_	47,307	
University of Rhode Island	47.050	0001928081814	_	70,508	1,006,450

 $See \ accompanying \ notes \ to \ supplementary \ schedule \ of \ expenditures \ of \ federal \ awards.$

 $Supplementary\ Schedule\ of\ Expenditures\ of\ Federal\ Awards$

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Pennsylvania State University	47.070	4910SUNYNSF8669	s —	73,278	_
University of North Carolina at Chapel Hill	47.070	537214	_	134,629	_
College of New Jersey (TCNJ)	47.070	F1495SUNYF 1348275	_	95,280	_
California Institute of Technology	47.070 47.070	67A1095206 EQ201471	_	37,375	242.762
EdLab Group Pennsylvania State University	47.074	4192-RFSUNY-NSF-2742	_	2,200 58,998	342,762
Washington State University	47.074	107476G002259	_	1,130	_
Columbia University	47.074	R01HL098037/PO#COLUM0000014928	_	59,708	_
Chicago Zoological Society	47.074	DEB1146198	_	39,548	_
University of Rochester University of Georgia	47.074 47.074	416079G RR2728155054266	_	36,616 1,346	_
New York Botanical Garden	47.074	NYBG120619705Cortland	_	10,827	_
Cary Institute of Ecosystem Studies	47.074	3248200201729	_	8,116	_
University of Missouri – St. Louis	47.074	000355351	_	8,778	_
Cornell University	47.074	614689518	_	55,970	_
University of Tennessee New York Botanical Garden	47.074 47.074	A130200S001 NYBG120619706Syracuse		20,203 10,136	
Syracuse University	47.074	2645603378S01		19,081	
Washington State University	47.074	118996 G003356	_	140,433	470,890
Saint Francis University	47.075	SES1126175SMESSNER	_	5,354	_
University of California at San Diego	47.075 47.075	10321141-SUB 008149/L13-4500067447	_	55,458	_
University of Cincinnati Columbia University	47.075 47.075	R01HL115941	_	78,874 45,832	
Ohio University	47.075	UT17679		4,698	
Research Foundation of City University of New York	47.075	40C87A	_	696	_
Syracuse University	47.075	2565002884S01	_	3,607	_
Syracuse University	47.075 47.076	2706603627S01	20.016	47,258	241,777
National Science Foundation (ARRA) University of Maryland	47.076	Z415101	38,816	67,085	
Hudson Valley Community College	47.076	1406405	_	112,640	_
Syracuse University	47.076	2499201836S01	_	19,923	_
Drexel University	47.076	235713	_	7,581	_
New York City Board of Education	47.076	WO1011406 RF20111	_	6,116	_
University of Chicago California State University San Bernardino	47.076 47.076	FP058222B GT14159	_	13,044 2,061	267,266
University of California at Los Angeles	47.079	2155GQA198	_	74	207,200
William Marsh Rice University	47.079	R3C75D	_	78,768	_
Michigan Technological University	47.079	1110090Z5 PO# P0090504	_	29,647	
William Marsh Rice University	47.079	R3C754	_	17,293	125,782
Virginia Polytechnic Institute and State Univ University of Tennessee	47.080 47.080	47845519191 A120848S003	_	17,973 2,848	20,821
National Science Foundation (ARRA)	47.080	A1208483003	2,006	2,040	20,621
National Science Foundation (ARRA)	47.082		1,654	_	_
National Science Foundation (ARRA)	47.082		123,022	_	_
National Science Foundation (ARRA)	47.082		58,047	_	_
National Science Foundation (ARRA) National Science Foundation (ARRA)	47.082 47.082		325,474	_	_
National Science Foundation (ARRA)	47.082		525,474	_	_
National Science Foundation (ARRA)	47.082		6,426	_	_
National Science Foundation (ARRA)	47.082		21,343	_	_
North Carolina State University	47.082	2009012001		72,746	610,773
Total National Science Foundation			63,148,734	3,894,897	67,043,631
Small Business Administration:			·	<u> </u>	·
Connecticut Center for Advanced Technology Incorporated	59.000	12K031	_	9,758	9,758
Total Small Business Administration				9,758	9,758
				2,730	7,750
U.S. Department of Veterans Affairs: Canandaigua VA Medical Center	64.000		7.223		
U. S. Department of Veterans Affairs	64.000		223,218	_	_
Veterans Admin Western New York Healthcare Systems	64.000		805,458	_	_
Veterans Affairs Medical Center	64.000		48,671	_	_
Veterans Administration Medical Ctr at Northport	64.000		553,734	_	
Veterans Medical Center of Brooklyn	64.000		208,033		1,846,337
Total U.S. Department of Veterans Affairs			1,846,337		1,846,337
Environmental Protection Agency:					
Environmental Protection Agency	66.000		1,035,285	_	_
Battelle Memorial Institute	66.000	227310	_	221	_
AMEC	66.000	EPW09028	_	3,459	_
Onondaga Environmental Institute NYS Department of Environmental Conservation	66.000 66.000	N/A AMO9283	_	3,696 17,965	1,060,626
University of Connecticut	66.437	PO 22356 KFS 5617390		3,514	3,514
Adirondack Park Agency	66.461	N/A	_	30,718	30,718
Central Michigan University	66.469	GL00E006120	_	193,476	_
Ducks Unlimited Incorporated	66.469	USNY1702	_	100,944	_
Indiana University Cornell University	66.469 66.469	GL00E766010/Hites4340133 6850010015	_	3,338 232,830	
Indiana University	66.469	BL4339905SU	_	3,403	_
Clarkson University	66.469	375325741	_	69,496	_
Clarkson University	66.469	375325742 PO CLKSN70630	_	234,146	
Clarkson University	66.469	10017901PO88281 3003048642	_	5,807	843,440
University of Michigan NYS Department of Environmental Conservation	66.509 66.600	3003048642 AMO6907	_	48,344 (2,990)	48,344
NYS Department of Environmental Conservation NYS Department of Environmental Conservation	66.600	AMO6907 AMO6907	_	142,824	139,834
NYS Department of Environmental Conservation	66.605	AM09358		32,426	32,426
Total Environmental Protection Agency			1,035,285	1,123,617	2,158,902
			1,000,200	1,123,017	2,130,702
U.S. Department of Energy: U.S. Department of Energy	81.000		15,515,539		
Argonne National Laboratory	81.000		97,270	=	_
Fermi National Accelerator Laboratory	81.000		140,834	_	_
Los Alamos National Laboratory	81.000		47,656	_	_
National Nuclear Security Administration					
Brookhaven Science Associates LLC	81.000 81.000	239355	226,547	43,404	_

See accompanying notes to supplementary schedule of expenditures of federal awards.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Bechtel Marine Propulsion Corporation	81.000	7015811	\$ —	121,243	_
Pacific Northwest National Laboratory Regents of the University of California	81.000 81.000	225511 6978802	_	69,203 167,978	_
UT Battelle LLC	81.000	4000120146	_	20,539	_
Battelle Memorial Institute	81.000	209110	_	108,589	_
Regents of the University of California, Lawrence Berkeley National Laboratory	81.000	7063673	_	68,868	_
URS Energy and Construction Incorporated	81.000	RES1302652	_	10,725	_
National Renewable Energy Laboratory National Renewable Energy Laboratory	81.000 81.000	ZEJ-5-42250-01 XGJ-5-52226-01	_	19,786 14,150	_
Helios-NRG LLC	81.000	DESC0005004003B		9,871	_
Battelle Energy Alliance LLC	81.000	00147069	_	40,357	_
Los Alamos National Security LLC	81.000	308122	_	17,044	16,739,603
Department of Energy (ARRA)	81.049	1221025041152	77,019	20.001	_
President and Fellows of Harvard College MicroXact Incorporated	81.049 81.049	1331835041153 DESC0008238	_	20,001 44,830	_
Aegis Technology	81.049	Agreement 09061228	_	5,467	_
Central Michigan University	81.049	Subaward Agreement 621191	_	148,047	_
Rensselaer Polytechnic Institute	81.049	A12141	_	93,517	_
University of Houston	81.049	DESC0001284	_	1,600	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	162350 200263	_	1,103 23,147	_
Brookhaven Science Associates LLC	81.049	200203	_	26,384	_
Materials Development Incorporated	81.049	MDISBU101101/DE-SC0004648	_	13,499	_
Battelle	81.049	174303	_	40,183	_
Sandia National Laboratories	81.049	1275961	_	147,482	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	219291 219169	_	5,800 308,584	_
Colorado State University	81.049	G46131	_	59,518	_
Battelle Energy Alliance LLC	81.049	00129362	_	195,122	_
Brookhaven Science Associates LLC	81.049	241375	_	83,763	_
Brookhaven Science Associates LLC	81.049	246940	_		_
Sydor Instruments Limited Liability Corporation ThermoLift Incorporated	81.049 81.049	Sydor DEFOA0000823	_	20,378 20,834	_
Brookhaven Science Associates LLC	81.049	256725	_	212,967	_
Brookhaven Science Associates LLC	81.049	255667	_	98,000	_
Sandia National Laboratories	81.049	1431089	_	98,192	_
Brookhaven Science Associates LLC	81.049	263414	_	40,152	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	263422 278191	_	4,549 16,370	
Brookhaven Science Associates LLC	81.049	281703		41,662	
Brookhaven Science Associates LLC	81.049	284006	_	18,063	_
Brookhaven Science Associates LLC	81.049	0000279018	_	18,039	_
UT Battelle LLC	81.049	4000137360	_	49,932	_
UT Battelle LLC Reservoir Labs Incorporated	81.049 81.049	4000137988 DESC00009678 ReservoirPUMA		10,401 85,854	
Sandia National Laboratories	81.049	1577660		2,593	2.033.052
Battelle Memorial Institute	81.064	238532	_	14,522	14,522
South Dakota State University	81.079	3TD155	_	100,402	100,402
University of Florida	81.086	UFDSP00010466	_	4,280	4,280
Intrinsiq Materials Incorporated Carnegie Institution of Washington	81.087 81.112	DEEE0006684 41046922	_	13,056 48,983	13,056
University of Rochester	81.112	416231G	_	383,448	432,431
Lawrence Berkeley National Laboratory	81.117	6951372	_	152,947	152,947
Purdue University	81.121	410564826	_	4,825	4,825
Long Island Power Authority (ARRA)	81.122	DEOE0000220 DEOE0000220	_	708,886	1 564 504
Long Island Power Authority (ARRA) Board of Trustees of the Leland Stanford Junior University	81.122 81.124	60541887107908	_	855,618 87,185	1,564,504 87,185
Fairfield Crystal Technology LLC	81.135	N/A	_	40,184	40,184
Total U.S. Department of Energy			16,104,865	5,082,126	21,186,991
U.S. Department of Education:					
U.S. Department of Education	84.000	0002/771	4,119,639		4,119,639
Michigan Technological University Duke University	84.116 84.133	090367Z1 Subaward No: 343-0053	_	54,070 486	54,070
Carnegie Mellon University	84.133	Subrecipient No 1100028-221807	_	21,299	
SEDL	84.133	058914	_	2,069	_
Carnegie Mellon University	84.133	1100047319444	_	232,951	_
Luna Innovations Incorporated	84.133	2998ARM1SSUNY 2998ARM1SSUNY	_	1 47 227	_
Luna Innovations Incorporated Georgia Institute of Technology	84.133 84.133	RD262G1		147,237 96,772	500,815
City School District of Albany	84.165	U165A10004312		585	585
University of Connecticut	84.324	PO# 5009/KFS#5258180	_	165,236	_
Canisius College	84.324	N/A	_	122,602	287,838
Research Foundation of City University of New York (ARRA)	84.395	54863A		66,338	66,338
Total U.S. Department of Education			4,119,639	909,646	5,029,285
National Archives and Records Administration: Natl Historical Publications & Records Commission	89.000		56,644	_	56,644
Total National Archives and Records Administration	07.000		56,644		56,644
U.S. Department of Health and Human Services: Administration for Children and Families	93.000		95,740		
Administration for Condition and Paintnes Administration For Community Living	93.000		15,724	_	
Agency for Healthcare Research and Quality	93.000		772,259	_	_
Assistant Secretary for Preparedness and Response	93.000		104,009	_	_
Centers for Disease Control and Prevention Centers for Disease Control and Prevention	93.000 93.000		2,377,585	_	_
Centers for Disease Control and Prevention Eunice Kennedy Shriver National Institute of Child Health & Human Dev	93.000		14,661 5,274,420	_	_
Fogarty International Center	93.000		958,615	_	_
Food and Drug Administration	93.000		100,695	_	_
Health Resources and Services Admin	93.000		325,394	_	_
National Cancer Institute National Center for Research Resources	93.000 93.000		13,001,723 258	_	_
National Eye Institute	93.000		7,386,899	_	_
National Heart Lung and Blood Institute	93.000		8,847,946	_	_
National Human Genome Research Institute	93.000		11,452	_	_

See accompanying notes to supplementary schedule of expenditures of federal awards.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
National Institute of Arthritis Musculoskeletal & Skin	93.000		\$ 3,169,042	_	_
National Institute of Biomedical Imaging and Bioengineering	93.000		3,270,725	_	_
National Institute of Diabetes Digestive Kidney Disease National Institute of Environmental Health Sciences	93.000 93.000		6,486,211	_	_
National Institute of Neurological Disorders & Stroke	93.000		3,592,600 7,545,557	_	_
National Institute on Deafness & Other Comm Disorders	93.000		4,014,154		
National Institute for Alcohol Abe & Alcoholism	93.000		13,492,609	_	_
National Institute for Nursing Research	93.000		241,799	_	_
National Institute Occupational Safety & Health	93.000		1,217,443	_	_
National Institute of Allergy & Infectio Disease National Institute of Dental & Craniofacial Res	93.000 93.000		15,819,286 5,664,356	_	_
National Institute of General Medical Sciences	93.000		22,102,269	_	_
National Institute of Health	93.000		1,123,008	_	_
National Institute of Mental Health	93.000		10,581,923	_	_
National Institute on Aging	93.000		2,771,742	_	_
National Institute on Drug Abuse	93.000		8,091,544	_	_
National Institute on Minority Health & Health Disparities	93.000		841,728	_	_
National Institutes of Health Office of the Director Natl Ctr for Complementary & Alternative Medicine	93.000 93.000		2,581,122 122,730	_	_
National Library of Medicine	93,000		519,091	_	_
Substance Abe & Mental Health Services Admin	93.000		27,133	_	_
Columbia University	93.000	N/A	_	55	_
University of South Florida	93.000	PO 0000145782	_	31,876	_
Axio Research Corporation	93.000	AIM HIGH Study	_	1,449	_
Temple University of the Commonwealth System of Higher Education	93.000	3101070577502	_	26,633	_
Health Research Inc. Stanford University	93.000 93.000	446101 60274290-106517-B	_	325	_
National Foundation for the Centers for Disease Control and Prevention Incorporated	93.000	689Buffalo		68,676 2,045	
Health Research Inc.	93.000	473601		101,432	_
KeraNetics Limited Liability Corporation	93.000	HHSO100201200007C	_	34,966	_
Trustees of Columbia University in the City of New York	93.000	R01HL117832	_	14,667	_
Feinstein Institute for Medical Research	93.000	SUNY01 NONARRA	_	24,712	_
Brigham and Womens Hospital	93.000	CALGB ID 323 Site NY141	_	45,120	_
Cherokee Nation Technology Solutions LLC	93.000	CNTSSUNY001	_	238,503	_
University of South Florida Children's Hospital of Philadelphia	93.000 93.000	1U01DK61055 N/A	_	8,963 4,498	_
Massachusetts General Hospital	93.000	N/A N/A	_	2,482	_
Research Foundation for Mental Hygiene	93.000	1R21MH101564-01	_	8,239	_
ABT Associates Incorporated	93.000	42385	_	38,537	_
Association of American Medical Colleges	93.000	U24MD006960	_	29,432	_
Association of American Medical Colleges	93.000	N/A	_	151,546	_
University of Florida	93.000	UFDSP00010666	_	6,018	153,403,626
Health Research Inc.	93.073	15073901	_	7,824	
Health Research Inc. Health Research Inc.	93.073 93.074	5U01DD00103202 334807	_	47,508 1,540	55,332
Trustees of the University of Pennsylvania	93.074	561560	_	22,123	1,540
Health Research Inc.	93.077	147-01	_	28,824	50,947
Retreat Incorporated	93.086	90FK0047	_	40,534	
Retreat Incorporated	93.086	90FK0047	_	79,615	120,149
North Carolina Agricultural and Technical State University	93.103	240535A	_	1,553	1,553
Orange County Dept of Mental Health	93.104	N/A	_	35,565	_
Coordinated Care Services Inc.	93.104	N/A	_	40,060	_
Chautauqua County Department of Mental Hygiene Coordinated Care Services Inc.	93.104 93.104	N/A N/A		80,422 117,850	273,897
Health Research Inc.	93.110	4170-03		1,638	273,077
Health Research Inc.	93.110	415603	_	32,497	_
Mount Sinai School of Medicine	93.110	025365424609	_	(67)	_
Mount Sinai School of Medicine	93.110	025365434609	_	20,875	54,943
Wayne State University	93.113	WSU11104	_	93,990	_
Alaska Community Action on Toxics	93.113	NIESR01UNIVERSITYATALBANY	_	34,037	_
University of Iowa Regents of the University of Colorado	93.113 93.113	1001153009 FY13659001	_	250,749 14,007	_
Regents of the University of Colorado Regents of the University of Minnesota	93.113	P003982601		101	
University of Kansas Medical Center Research Institute Incorporated	93.113	QK850573		3,449	
Syracuse University	93.113	SP25865103330S01	_	5,624	_
Syracuse University	93.113	SP25865103330S02	_	20,058	_
Syracuse University	93.113	SP25865403819S01	_	5,777	427,792
Forsyth Institute	93.121	21127UBUFF-2335	_	555,811	_
Curators of the University of Missouri Forsyth Institute	93.121 93.121	0039118 21127UBUFF-2335	_	195,436 34,400	_
Regents of the University of Minnesota	93.121	P001901002		27,936	
University of Pennsylvania	93.121	558734/3051869	_	138,730	
University of Pittsburgh	93.121	00262361205171	_	14,839	_
New York University	93.121	F714602	_	263,387	_
Imagination Software Corporation	93.121	N/A	_	32,906	_
Regents of the University of Minnesota	93.121	P001901002	_	12,366	_
University of North Carolina at Chapel Hill	93.121	532962	_	35,257	_
West Virginia Univ. Research Corp. on behalf of West Virginia Univ. Trustees of Indiana University	93.121	12842UB IN4688353SUNY	_	90,262 71,008	_
Materials Development Incorporated	93.121 93.121	MDISBU91301/1R43DE02297901A1	_	3,795	_
Indiana University	93.121	IN4688356SUNY	_	25,227	_
University of North Carolina at Chapel Hill	93.121	5032962	_	368,922	_
University of Connecticut	93.121	UCH653171569	=	31,965	_
University of Utah	93.121	10036053	_	69,517	_
University of Utah	93.121	10036055	_	27,024	
Traverse Biosciences Incorporated	93.121	TRB-N0224	_	11,659	2,010,447
Upstate New York Transplant Services Inc. Board of Trustees of the University of Illinois	93.134 93.134	N/A 20120240901		37,458 59,261	_
NY Alliance for Donation	93.134	R39OT27803	_	39,261 87,777	184,496
University of Rochester	93.135	416324G	_	66,719	66,719
Research Institute at Nationwide Childrens Hospital	93.136	524338	_	14,413	14,413
Rutgers University	93.142	8032	_	8,637	-
Rutgers University	93.142	8032	_	13,335	_
Rutgers University	93.142	8148	_	30,399	
Rutgers University Dartmouth College	93.142 93.143	8148 1444		59,282 22,814	111,653
Darunoum Conege	93.143	1999	_	22,814	_

See accompanying notes to supplementary schedule of expenditures of federal awards.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Dartmouth College	93.143	R155	s —	1,902	
Columbia University Columbia University	93.145 93.145	3 (GG00640403) 3GG00640404	_	6,208 78,307	30,924
Erie County Medical Center	93.153	H12HA24856	_	77,172	155,479
Westat Corporation	93.153	N01HD33345	_	12	_
Westat Corporation Westat Corporation	93.153 93.153	N01HD33345 HHSN267200800001C 8530S030	_	216 (106)	_
Westat Corporation	93.153	HHSN267200800001C 8530S030	_	201	
Westat Corporation	93.153	HHSN275201300003C	_	103,240	_
Westat Corporation	93.153	HHSN275201300003C	_	272,329	375,892
Jackson Laboratory Board of Regents of the University of Wisconsin System	93.173 93.173	647819 411K972	_	53,492 130,696	_
MicroTransponder Incorporated	93.173	MTT02		91,684	_
Syracuse University	93.173	2627203362S01	_	14,989	_
Duke University	93.173	N/A	_	21,132	
University of Georgia Mount Sinai School of Medicine	93.173 93.184	RR209-110/S000709 025893314609	_	8,921 10,655	320,914 10,655
Lucigen Corporation	93.213	1R43AT00875601	_	13,133	13,133
University of Michigan	93.226	3002430434		17,811	- 15,155
Virginia Commonwealth University	93.226	PD302776SC103991	_	27,624	_
Board of Regents of the University of Oklahoma Health Sciences Center	93.226	RS2012139906	_	9,999	_
Joint Commission Medstar Health Research Institute	93.226 93.226	1R18HS02264701A1 GM005150	_	13,206	127.255
Northeastern University	93.242	50202678050	_	68,715 193,489	137,355
University of Rochester	93.242	416155G	_	46,578	
Tetra Discovery Partners	93.242	R44MH091791	_	113,943	_
RTI International	93.242	2340021265451723L	_	10,212	_
University of Southern California	93.242 93.242	PO50006835 H40038 H46585/PO#50006060	_	24,340	_
University of Southern California Columbia University	93.242	5GG00572705/POG03481	_	(1)	_
New York University School of Medicine	93.242	PO M130033219 1201978	_	9,089	_
Columbia University	93.242	G04340 109443 5GG00572706	_	4,701	_
Trustees of Columbia University in the City of New York	93.242	1GG00572706	_	169,686	_
New York University School of Medicine	93.242	1201978	_	10,483	_
Washington University Research Foundation for Mental Hygiene	93.242 93.242	PO2923074Y WU15326 9031742B PO#95006	_	2,457 36,746	_
University of California at San Diego	93.242	10293040006		(3,489)	_
Trustees of the University of Pennsylvania	93.242	562318	_	8,776	_
Oregon Health & Science University	93.242	1002908RFSUNY	_	34,824	_
University of North Carolina at Chapel Hill	93.242	532720	_	39,599	_
Broad Institute Incorporated	93.242	521076355000000685	_	176,651	_
University of California at San Diego Trustees of the University of Pennsylvania	93.242 93.242	10293040007 564938	_	67,794 60,854	_
University of North Carolina at Chapel Hill	93.242	5032720	_	9,764	1,016,496
Addictions Care Center of Albany Incorporated	93.243	N/A	_	64,442	-
Research Foundation of City University of New York	93.243	7F044B	_	8,656	73,098
Health Research Inc.	93.251	495001	_	18,232	18,232
University of Pittsburgh University of Massachusetts Lowell	93.262 93.262	00210481221853 N/A	_	3,816 2,500	_
Icahn School of Medicine at Mount Sinai	93.262	025365344609	_	2,300	_
Health Research Inc.	93.262	15059204	_	15,492	22,026
Curators of the University of Missouri	93.273	C000442361	_	41,803	_
Yale University	93.273	M114A11767A09390	_	6,021	=
Pacific Institute for Research and Evaluation University of Maryland	93.273 93.279	0743 Z016101	_	113,755 415,188	161,579
Trustees of the University of Pennsylvania	93.279	561377		1,908	
Drexel University	93.279	2325605826	_	35,161	
University of Chicago	93.279	FP056206-A	_	369,580	_
New York University School of Medicine	93.279	M140025755 102691	_	1,390	_
Icahn School of Medicine at Mount Sinai	93.279	025543514609	_	21,992	_
New York University School of Medicine University of Texas Health Science Center	93.279 93.279	PO#M140025755 G13A00000066501/ 0009050	_	104,205 173,215	
Mount Sinai Medical Center	93.279	025561614609	_	35,436	
Athghin Biotechnology Incorporated	93.279	1R41DA03898301	_	21,419	_
Albany Medical College	93.279	R01DA02783505	_	14,487	_
New York Blood Center	93.279	3044244	_	40,155	_
Trustees of the University of Pennsylvania University of Alabama	93.279 93.279	PO3240994 563980 UA15042	_	58,152 21,379	1,313,667
Cerner Corporation	93.283	200201141872	_	88,348	1,515,007
University of South Carolina	93.283	11520FB35	_	147,940	_
Health Research Inc.	93.283	477901	_	97,312	_
Health Research Inc.	93.283	477902	_	184,480	
University of South Carolina	93.283	11520FB44	_	110,881	628,961
Washington University St Louis Brigham and Womens Hospital	93.286 93.286	WUHT1205 106368	_	13,846 2,604	_
Brigham and Womens Hospital	93.286	109443		80.087	
Louisiana State University	93.286	54957 R01EB01008701 PO54957	_	253,240	349,777
University of Rochester	93.297	416226-G	_	(2)	_
University of Rochester	93.297	416494-G	_	26,341	26,339
Carter Consulting Florida International University	93.305 93.307	3002085 80000209406	_	11,594 9,670	11,594 9,670
University of Delaware	93.310	24730	_	39,122	9,070
Board of Trustees of the Leland Stanford Junior University	93.310	26491520-33465-L	_	70,644	_
University of Minnesota	93.310	P20MD008782	_	1,000	110,766
University of Rochester	93.350	416311-G	_	3,504	_
University of Rochester University of Rochester	93.350 93.350	416322G 416486G	_	1,499 21,506	26,509
Oregon State University	93.351	P0362AA	_	108,757	108,757
NexBio Incorporated	93.360	DASA181204	_	338	
Arteriocyte Incorporated	93.360	20131016v1	_	523,890	524,228
Warren Stress Management	93.361	2R42NR010496	_	4,070	_
University of Rochester	93.361	416554G	_	30,989	35,059
Health Research Inc. Health Research Inc.	93.393 93.393	55098901 73-01		110,329 (177)	
Health Research Inc.	93.393	5401	_	10,956	_
Health Research Inc.	93.393	7501	_	3,746	_
Health Research Inc.	93.393	9401	_	1,634	_
Health Research Inc.	93.393	10701	_	5,127	_
Health Research Inc.	93.393	11501 WU14213		62,461	_
Washington University	93.393	W U 14213	_	16,571	_

 $See \ accompanying \ notes \ to \ supplementary \ schedule \ of \ expenditures \ of \ federal \ awards.$

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
American College of Surgeons Oncology Group	93.393	SITE 094 - 184	s —	68	_
Eastern Cooperative Oncology Group	93.393	PSASBUAK00	_	18,611	_
Medicon Incorporated	93.393	2R42CA153662	_	13,561	_
Health Research Inc Health Research Inc	93.393 93.393	15073601 7502	_	2,463 19,566	_
Kaiser Foundation Research Institute	93.393	1159289UB		17,286	282,202
Health Research Inc	93.394	431801	_	3,372	
Trustees of Columbia University	93.394	2 (Acct. #5-30079)	_	123,567	_
Health Research Inc	93.394	12501	_	64,633	_
Vitatex Inc Cold Spring Harbor Laboratory	93.394 93.394	1R44CA14004701 55070317	_	(1,626) 382,738	_
Regents of the University of Minnesota	93.394	P003977801	_	49,508	_
Cold Spring Harbor Laboratory	93.394	55070317	_	62,318	684,510
Cornell University	93.395	576119120	_	14,328	_
Health Research Inc	93.395	55094101	_	(41,640)	_
Health Research Inc Health Research Inc	93.395 93.395	5201 1-01	_	16,882 17,827	_
Health Research Inc	93.395	1501	_	2,436	
Health Research Inc	93.395	2002	_	4,416	
For Robin Incorporated	93.395	R41CA176951	_	19,323	_
Health Research Inc	93.395	4902	_	5,383	_
NSABP Foundation Incorporated	93.395	TFED36 37 38 39-345	_	9,667	_
National Childhood Cancer Foundation	93.395	14052 985431158	_	99	_
Gynecologic Oncology Group John Wayne Cancer Institute	93.395 93.395	27469070 CA029605	_	63,940 2,776	_
Children's Hospital of Philadelphia	93.395	U10CA098543	_	32,383	
Gynecologic Oncology Group	93.395	27469056	_	24,259	_
West Virginia University	93.395	10376SUNY5D43CA153707	_	5,324	_
Children's Hospital of Philadelphia	93.395	CCOP	_	1,536	_
NSABP Foundation Incorporated	93.395	TIND345	_	3,260	_
Children's Hospital of Philadelphia Children's Hospital of Philadelphia	93.395 93.395	AALL17PI AALL1131	_	130 17	_
Health Research Inc	93.395	13501		66,015	
For Robin Incorporated	93.395	3R41CA17695101S1	_	37,974	_
Health Research Inc	93.395	15101	_	15,914	_
Health Research Inc	93.395	13502	_	24,740	_
For Robin Incorporated	93.395	N/A	_	74,852	_
Emory University	93.395	T252106	_	19,533	421,374
Health Research Inc	93.396 93.396	10801 14020195	_	32,479	_
Weill Medical College of Cornell University Health Research Inc	93.396	13601	_	16,624 3,690	
Health Research Inc	93,396	3903	_	23,602	76,395
Mount Sinai School of Medicine	93.397	025840614609	_	53,554	
Board of Regents of the University of Wisconsin System	93.397	397K526	_	58,369	_
Cornell University	93.397	58407-9121	_	30,071	_
Health Research Inc	93.397	7802	_	2,909	_
Health Research Inc University of Pittsburgh	93.397 93.397	8502 00357621238411	_	3,727 33,460	_
Health Research Inc	93.397	12601	_	7,220	
Health Research Inc	93.397	132-01	_	3,635	_
Health Research Inc	93.397	13701	_	192,367	_
Health Research Inc	93.397	8503	_	33,964	_
Health Research Inc	93.397	7803	_	15,286	_
Health Research Inc	93.397	12402	_	37,691	_
University of Pittsburgh Children's Hospital of Philadelphia	93.397 93.397	901121612544301 BIQSFPCOGAALL113111XS198	_	39,170 667	512,090
NYS Office of Children and Family Services	93.558	C004756	_	497,690	497,690
NYS Office of Children and Family Services	93.590	C004755		32,611	477,070
NYS Office of Children and Family Services	93.590	C005023	_	118,156	_
NYS Office of Children and Family Services	93.590	C005029	_	971,678	1,122,445
NYS Developmental Disabilities Planning Council	93.630	T024307	_	38,523	
NYS Developmental Disabilities Planning Council	93.630	C024308	(120)	8,224	46,747
Eunice Kennedy Shriver National Institute of Child Health & Human Dev (ARRA) Children's Hospital of Philadelphia	93.701 93.701	U10CA098534	(120)	22,059	_
Children's Hospital of Philadelphia	93.701	U10CA098543	_	(13,910)	
Children's Hospital of Philadelphia	93.701	N/A		31,163	_
Duke University (ARRA)	93.701	PROMISE Site #385	_	21,189	_
Emmes Corporation (ARRA)	93.701	N/A		228	60,609
National Center for Research Resources (ARRA)	93.702		11,544,517	_	
National Center for Research Resources (ARRA) Brigham and Womens Hospital	93.702 93.704	106458	291,021	118,947	11,835,538
NYS Office For People with Dev Disabilities	93.704	T024507	_	47,805	118,947 47,805
Brigham and Womens Hospital	93.837	N/A	_	8,700	47,005
Fred Hutchinson Cancer Research Center	93.837	0000800553	_	30,047	_
University of Iowa	93.837	1001440180	_	16,244	_
Columbia University	93.837	P01HL047540	_	65,996	_
Yale University	93.837	VIRGO	_	(154)	_
Columbia University Columbia University	93.837 93.837	R01HL077612 R01HL094423	_	13,872 10,216	_
University Of Rochester	93.837	R01HL094423 415494G001	_	7,378	_
University of Pittsburgh	93.837	0022964 1198353	_	24,390	_
Brigham and Womens Hospital	93.837	PREDETERMINE	_	141	_
Baylor College of Medicine	93.837	5600856261	_	(40)	_
Columbia University	93.837	GG006590-16 G04096	_	14	_
Columbia University	93.837	1GG00659015 PO G03820	_	(51)	_
Trustees of Columbia University in the City of New York Advanced Diamond Technologies Incorporated	93.837 93.837	PO G03820 2R44HL10853402	_	20,968 72,001	_
Columbia University	93.837	GG00659024	_	126,964	_
Columbia University	93.837	1GG00659015		704,966	_
Trustees of the University of Pennsylvania	93.837	556681/3181801		37,714	_
University of Rochester	93.837	415711G005	_	_	_
University of Chicago	93.837	R01HL05919944709	_	41,394	_
University of Rochester	93.837	451288G 5R21HL09280103	_	2,540	_
New England Research Institute Rochester Institute of Technology	93.837 93.837	PITCHHF 3153501	_	2,419 4,350	1 100 060
University of Rochester	93.837	415605-G	_	4,350 124,850	1,190,069
Johns Hopkins University	93.838	2001123424/2001124561	_	124,830	_
Temple University of the Commonwealth System of Higher Education	93.838	400591-05775-02/P0106008	_	3,000	_
University of Rochester	93.838	PO# 416479G / UR# 5-23890	_	16,582	_
Johns Hopkins University	93.838	2001124561	_	2,084	_
Johns Hopkins University	93.838	2001123424	_	24,961	_

 $\label{thm:companying} See accompanying notes to supplementary schedule of expenditures of federal awards.$

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Childrens Hospital of Boston	93,838	74159	s —	39,503	_
University of Rochester	93.838	5-24166 415782G	_	17,274	_
Regents of the University of California	93.838	20130221001	_	21,027	_
Rutgers University Columbia Presbyterian Medical Center	93.838 93.838	8147/PO767823 5GG001759	_	10,404 8,745	_
Oklahoma Medical Research Foundation	93.838	N/A	_	31,068	_
Oklahoma Medical Research Foundation	93.838	024503SUNY	_	2,629	_
Seattle Childrens Hospital	93.838	10997SUB	_	2,192	304,434
Brigham and Womens Hospital	93.839	107275	_	67,523	_
University of Iowa Saint Louis University	93.839 93.839	W000605080 2R01HL062565	_	54,691 147	_
Case Western Reserve University	93.839	N01HC92558	_	54,201	_
Cincinnati Childrens Hospital Medical Center	93.839	132981 PO3100342084	_	5,162	_
Cincinnati Childrens Hospital Medical Center	93.839	132981	_	41,613	_
Invenux LLC	93.839	R42HL11908601	_	607	
Saint Louis University Regents of the University of Colorado	93.839 93.847	00031846-1 FY14688003	_	72,867 11,866	296,811
Connecticut Children's Medical Center	93.847	1217929610	_	5,160	_
Regents of the University of California Los Angeles	93.847	1556GQC024	_	98,268	_
Regents of the University of Michigan	93.847	3002597885	_	22,177	_
Seattle Childrens Hospital	93.847	10781SUB	_	118,463	_
ICET Incorporated Theragnostic Technologies Incorporated	93.847 93.847	2143ARM2SICET 1R41DK100205	_	13,426 742	_
George Washington University	93.847	5GRD1314EA21	_	164,337	
George Washington University	93.847	5GRD1314EA21	_	560,832	_
George Washington University	93.847	STOPP2D 2U01DK06123008	_	5,005	_
George Washington University	93.847	N/A	_	243,535	_
Connecticut Children's Medical Center Joslin Diabetes Center	93.847 93.847	1317929607 N/A	_	1,641 115,679	
Joslin Diabetes Center	93.847	N/A	_	7,149	_
American Gastroenterological Association	93.847	003	_	11,164	_
New York University School of Medicine	93.847	14Ao0000248901	_	40,993	_
University of Texas Southwestern Medical Center at Dallas	93.847 93.847	151111 600100D01	_	54,794	_
Swedish Health Services Ultradian Diagnostics LLC	93.847	600100B01 N/A	_	5,491 63,653	1,544,375
Loyola University Stritch School of Medicine	93.853	203676		29,398	
Regenerative Research Foundation	93.853	5009RFSUNY	_	1,165	_
University of Medicine & Dentistry of New Jersey	93.853	99-705	_	7,995	_
Medical University of South Carolina Yale University	93.853 93.853	MUSC08-140 A07593 (M10A10547)	_	2,647 11,223	_
Cincinnati Childrens Hospital Medical Center	93.853	107759		4,207	_
Johns Hopkins University	93.853	2000795137	_	22,378	_
Emmes Corporation	93.853	N/A	_	56,908	_
Regents of the University of California	93.853	6881sc	_	9,434	_
University of Miami Board of Trustees of the University of Alabama Birmingham	93.853 93.853	N/A 000377209052	_	1,041 74,114	_
Tetra Discovery Partners	93.853	U01NS078034		75,471	_
The Rectors & Visitors of the University of Virginia	93.853	GC12107143860	_	6,500	_
Medical University of South Carolina	93.853	MUSC08110	_	304	_
Medical University of South Carolina Rush University Medical Center	93.853 93.853	MUSCO09-057 5R01NS08481702	_	7,156 59,529	_
Regents of the University of Michigan	93.853	3002348608SHN	_	35,993	_
Regents of the University of Michigan	93.853	3002348718PIII	_	3,790	_
Regents of the University of Michigan	93.853	3002418981PNT	_	63,032	_
Hibernia Medical LLC Johns Hopkins University	93.853 93.853	R41NS067964 U01NS062851042000732191	_	121,020 21,819	_
Convergence Medical Devices	93.853	R44NS070385SUNY1		8,721	
Convergence Medical Devices	93.853	R44NS070385SUNY1	_	958	_
Massachusetts General Hospital	93.853	221328	_	1,303	_
Massachusetts General Hospital Johns Hopkins University	93.853 93.853	223370 2001954665	_	1,086 5,498	_
Massachusetts General Hospital	93.853	2001934003 N/A	_	6,112	_
Massachusetts General Hospital	93.853	223370	_	4,635	_
Massachusetts General Hospital	93.853	221328	_	3,264	_
Massachusetts General Hospital	93.853	219714	_	4,171	_
Massachusetts General Hospital Massachusetts General Hospital	93.853 93.853	NN102 SPRINT-MS NN102SPRINTSite176	_	41,821 12,238	_
Johns Hopkins University	93.853	2001948073	_	35,280	_
Beth Israel Deaconess Medical Center	93.853	01027200	_	3,818	744,029
Trustees of the University of Pennsylvania	93.855	558189	_	39,597	_
Brigham and Womens Hospital Brigham and Womens Hospital	93.855	110404	_	17,135	_
University of Rhode Island	93.855 93.855	108402 071420144509		(3,262) 74,914	
Brigham and Womens Hospital	93.855	109925		297,379	
Mayo Clinic Rochester Minnesota	93.855	64006114	_	91,554	_
University of Rochester	93.855	416340	_	25,095	_
Brigham and Womens Hospital	93.855	110285 1R41AI10042801	_	128,817	_
Codagenix Incorporated Medical University of South Carolina	93.855 93.855	MUSC12125	_	35 (35,552)	_
Columbia University	93.855	7GG006382	_	44,995	_
Johns Hopkins University	93.855	2UM1AI06863208/2002110194	_	206,368	_
Johns Hopkins University Brigham and Womens Hospital	93.855 93.855	2002110194	=	203,075	_
Brigham and Womens Hospital Brigham and Womens Hospital	93.855 93.855	104684 1081771R56AI09188901A1	_	(3) 879	_
Case Western Reserve University	93.855	RES507056	_	940	_
Case Western Reserve University	93.855	RES507056	_	65,628	_
Trustees of the University of Pennsylvania	93.855	558189	_	50,443	=
Brigham and Womens Hospital AI Biosciences Incorporated	93.855 93.855	N/A	_	8,412 2,031	_
Al Biosciences Incorporated Monash University	93.855 93.855	N/A R01AI111965		2,031 121,354	_
Brigham and Womens Hospital	93.855	110637	_	9,443	_
University of Southern California	93.855	54287099	_	39,700	_
University of Rochester	93.855	416461G	_	47,425	_
Massachusetts Institute of Technology AMPLYX Pharmaceuticals Incorporated	93.855 93.855	5710003776 1R43AI11246701		25,134 15,007	_
University of Rhode Island	93.855 93.855	071420144509	_	90,403	_
Codagenix Incorporated	93.855	N/A	_	99,061	1,666,007

 $Supplementary\ Schedule\ of\ Expenditures\ of\ Federal\ Awards$

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Brigham and Womens Hospital Icahn School of Medicine at Mount Sinai	93.859 93.859	106823 0255-6991-4609	\$ <u> </u>	54,213 46,107	_
University of Illinois Urbana Champaign	93.859	20080169801	_	4,480	_
Hauptman Woodward Medical Research Institute Inc	93.859	6200	_	46,735	_
Rutgers University	93.859	S1479920	_	4,924	_
University of Delaware Arizona Board of Regents	93.859 93.859	27996 72279	_	103,301 14,516	
University of California at San Diego	93.859	S9000280	_	7,535	_
Rutgers University	93.859	S1887800	_	11,856	_
MatTek Corporation	93.859	N/A	_	383	_
Rutgers University	93.859	S2085186	_	269,260	_
Mount Sinai School of Medicine University of New Mexico	93.859 93.859	025500624609 86922087Q0	_	84,077 19,805	_
Washington University	93.859	2917153T WU1271		146,191	_
Regents of the University of California	93.859	6814817	_	94,831	_
MassTech Incorporated	93.859	N/A	_	84	_
Memorial Sloan Kettering Cancer Center	93.859	BD5152653	_	(5,017)	_
Novan Incorporated	93.859 93.859	HHSO100201300013C 2009037030100	_	395	_
University of Illinois Urbana Champaign Icahn School of Medicine at Mount Sinai	93.859	025434614609		46,676 10,912	
Icahn School of Medicine at Mount Sinai	93.859	025567914609		45,446	
Memorial Sloan Kettering Cancer Center	93.859	BD516697 SKI PI Tan	_	67,381	_
Icahn School of Medicine at Mount Sinai	93.859	025434624609	_	57,707	_
Memorial Sloan Kettering Cancer Center	93.859	BD516697 SKI PI Tan	_	3,450	_
University of Pittsburgh	93.859	9000613 11789612	_	(5,742)	_
President and Fellows of Harvard College Western University of Health Sciences	93.859	167905 5080626 1R01GM11058801A1	_	29,875	_
William Marsh Rice University	93.859 93.859	R22323		21,155 136,383	
William Marsh Rice University	93.859	R21873	_	11,588	_
Sanford Burnham Medical Research Institute	93.859	5870112565SUNY01	_	103,079	_
Dartmouth College	93.859	1516	_	58,297	_
Regents of the University of Michigan	93.859	3003364929	_	68,944	1,558,827
New York Blood Center	93.865	3043651	_	72,360	_
Georgia State University	93.865	SP00010461	_	108,225	_
University of Rochester University of Rochester	93.865 93.865	416403 415741-G	_	102,636 134,782	_
Regents of the University of California; University of California, San Diego	93.865	35847020		705	
Board of Regents of the University of Oklahoma Health Sciences Center	93.865	RS2013076901	_	10,000	_
University of Texas Medical Branch at Galveston	93.865	15016	_	1,543	_
Johns Hopkins University	93.865	2002066914	_	358,169	_
New York University	93.865	F607707/UW408786-2	_	15,342	_
Harvard University	93.865	11407409765041196	_	59,618	_
Harvard University Syracuse University	93.865 93.865	N/A SP26043203312S01	_	615,344 11,352	_
The Rectors & Visitors of the University of Virginia	93.865	GC12123 147513	_	239,891	_
Pennsylvania State University	93.865	SUNYHD038992	_	21,153	1,751,120
Judge Baker Childrens Center	93.866	N/A	_	3,401	
Board of Regents of the University of Wisconsin System	93.866	269K964	_	50,714	_
University of Alabama	93.866	UA12061	_	232,367	_
Trustees of Princeton University	93.866	00002125	_	33,886	_
Oregon Health & Science University Columbia University	93.866 93.866	9008468SB 1GG007504 PO:G03414	_	57,838 71,682	_
Rush University Medical Center	93.866	5R01AG04003903		1,960	_
Rush University Medical Center	93.866	5R01AG04003903 5R01AG04003904		29,968	
National Bureau of Economic Research Incorporated	93.866	3342151SUNY	_	33,691	_
University of Rochester	93.866	416472G	_	85,783	601,290
Children's Hospital of Philadelphia	93.867	3209850815	_	5,102	_
Medical University of South Carolina	93.867	MUSC14002	_	15,049	_
Emmes Corporation	93.867 93.867	SITE 045 HHSN260200500007C	_	498	_
New England College of Optometry New England College of Optometry	93.867	372109012013 372109012014		5,980 15,632	
Jaeb Center for Health Research Incorporated	93.867	U10EY11751	_	27,532	_
Salus University	93.867	877012014SUNY	_	3,600	_
Regents of the University of Minnesota	93.867	A003838501 44313	_	1,316	_
St. Lukes Roosevelt Institute for Health Science (ARRA)	93.867	NORDIC-IIHTT – SITE 261	_	5,409	80,118
University of Illinois at Chicago	93.879	Sub Under R01LM010817	_	14,125	
Rutgers University Madison County Rural Health Council	93.879 93.912	P0708945 P0752659 N/A	_	132,737 8,322	146,862 8,322
Health Research Inc	93.912	N/A 357408		8,322 3,981	8,322 3,981
Erie County Medical Center	93.918	N/A	_	54,675	54,675
Health Research Inc	93.940	5U62PS00369203	_	30,920	30,920
Health Research Inc	93.945	3152-09	_	4,301	
Health Research Inc	93.945	3152-10	_	27,062	31,363
University of Rochester	93.952	416406	_	31,854	31,854
NYS Department of Health	93.991	C024260	_	63,728	_
NYS Department of Health	93.991	C024260	_	1,696	122.557
NYS Department of Health	93.991	018578 C026997	_	68,133	133,557
NYS Department of Health NYS Department of Health	93.994 93.994	C026997 C026997	_	(45) (714)	_
NYS Department of Health	93.994	C026997 C026997	_	129,385	_
NYS Department of Health	93.994	C026997	_	122,168	_
NYS Department of Health	93.994	C026816	_	475,940	_
NYS Department of Health	93.994	C026816		348,247	1,074,981
Total U.S. Department of Health and Human Services			164,398,870	24,819,694	189,218,564
S. Department of Homeland Security: U.S. Department of Homeland Security	97.000		317,260	_	317,260
IonSense Incorporated	97.000 97.002	N/A	317,200	7,042	7,042
NYS Division of Homeland Security and Emergency Management Services	97.039	40850009		497,388	-,012
NYS Division of Homeland Security and Emergency Management Services	97.039	40850009	_	1,416,753	1,914,141
University of Maryland	97.061	Z901212	_	230,817	-
University of Southern California	97.061	45788967 PO10140824	_	(2,652)	_
Blue Marble Space Institute of Science	97.061	2010ST061RE000104	_	585	_
University of Maryland University of Southern California	97.061 97.061	11060Z9566001 52729370	_	10,000	287,560
Applied Visions Incorporated	97.061 97.065	52729370 N/A	_	48,810 23,792	23,792
	71.003	•			
Total U.S. Department of Homeland Security			317,260	2,232,535	2,549,795

Supplementary Schedule of Expenditures of Federal Awards $Year\ ended\ June\ 30,\ 2015$

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Agency for International Development: Agency for International Development	98.000		\$ 61,261	_	61,261
Total Agency for International Development			61,261		61,261
Total Research and Development Cluster			286,590,224	58,543,439	345,133,663
Other Programs:					
U.S. Department of Agriculture:					
University of Vermont Higher Education – Institution Challenge Grants Program	10.215 10.217	ONE1420527806	7,658	8,174	8,174
Higher Education – Institution Challenge Grants Program	10.217		83,933	_	_
Higher Education – Institution Challenge Grants Program	10.217		238,409 76,000	_	330,000 76,000
Higher Education – Multicultural Scholars Grant Program Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA)	10.326		20,914	_	20,914
NYS Office of Temporary & Disability Assistance	10.551	C004555	_	(15,466)	
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	10.551 10.551	C004782 C004772	_	327,660 361,589	_
NYS Office of Temporary & Disability Assistance	10.551	C004777	_	145,640	_
NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	10.551 10.551	C004802 C004783	_	127,893 1,026,160	_
NYS Office of Lemporary & Disability Assistance NYS Office of Children and Family Services	10.551	C004783 C004800	_	40,844	
NYS Office of Temporary & Disability Assistance	10.551	C005072	_	230,872	_
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	10.551 10.551	C005075 C005074	_	337,859 854,597	_
NYS Office of Temporary & Disability Assistance	10.551	C005074 C005052	_	123,547	_
NYS Office of Children and Family Services	10.551	C005066	=	1,321	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	10.551 10.551	C005061 N/A	_	58,299 (70)	_
NYS Office of Children and Family Services	10.551	N/A	_	(1,956)	
NYS Office of Children and Family Services	10.551	N/A	_	_	_
NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	10.551 10.551	N/A N/A	_	12 20.000	_
NYS Office of Temporary & Disability Assistance	10.551	N/A	_	44,579	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	10.551 10.551	N/A N/A	_	(707) (488)	_
NYS Office of Temporary & Disability Assistance	10.551	N/A N/A	_	(15,222)	_
NYS Office of Temporary & Disability Assistance	10.551	N/A	_	11,369	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	10.551 10.551	C027193 C027199	_	11,871 46,834	_
NYS Office of Temporary & Disability Assistance	10.551	C021649	_	383,449	
NYS Office of Temporary & Disability Assistance	10.551 10.551	C021647 C021756	=	1,189,311 1,155,086	_
NYS Office of Temporary & Disability Assistance NYS Office of Children and Family Services	10.551	C021756 C023001	_	1,155,086	_
NYS Office of Children and Family Services	10.551	C023001	_	3,538	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	10.551 10.551	C027447 C027440	_	5,839 22,671	_
NYS Office of Children and Family Services	10.551	N/A	_	442	_
NYS Office of Temporary & Disability Assistance	10.551	C021749	_	340,575	6,853,451
NYS Department of Health NYS Department of Health	10.557 10.557	C025788 C025788	_	245,734 744,443	990,177
NYS Office of Temporary & Disability Assistance	10.561	C004773	_	48,217	-
NYS Office of Temporary & Disability Assistance	10.561	C005056	_	42,313	_
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	10.561 10.561	C021539 C021533	_	103,142 4.065	_
NYS Office of Temporary & Disability Assistance	10.561	C021534	_	6,416	_
NYS Office of Temporary & Disability Assistance	10.561 10.902	C021525	13,635	185,378	389,531
Soil and Water Conservation	10.902				13,635
Total U.S. Department of Agriculture			440,549	8,241,333	8,681,882
U.S. Department of Commerce: Consortium for Ocean Leadership	11.008	NA12SEC0080019		3	3
Trade Adjustment Assistance for Firms	11.313	NA123EC0080019	876,986		876,986
Sea Grant Support	11.417		56,440	_	_
Sea Grant Support Coastal Zone Management Estuarine Research Reserves	11.417 11.420		34,532 1.144	_	90,972 1,144
Measurement and Engineering Research and Standards	11.609		6,555	_	
Measurement and Engineering Research and Standards	11.609 11.609		27,511 2,850	_	36,916
Measurement and Engineering Research and Standards Science, Technology, Business and/or Education Outreach	11.620		10,973	_	30,910
Science, Technology, Business and/or Education Outreach	11.620		32,919		43,892
Total U.S. Department of Commerce			1,049,910	3	1,049,913
U.S. Department of Defense:					
Academy of Applied Sciences	12.300	1362 1366	, -	18	18
Military Medical Research and Development Military Medical Research and Development	12.420 12.420		4,636 3,729	_	8,365
Basic Scientific Research	12.431		11,866	_	11,866
Academy of Applied Sciences	12.630	N/A	_	570	_
Academy of Applied Sciences Language Grant Program	12.630 12.900	N/A	79.235	15,630	16,200
Language Grant Program Language Grant Program	12.900		13,024		92,259
Total U.S. Department of Defense					
			112,490	16,218	128,708
U.S. Department of Housing and Urban Development:			112,490	16,218	128,708
U.S. Department of Housing and Urban Development: City of Binghamton	14.254	Permanent Ordinance No.R13-107	112,490	1,516	1,516
U.S. Department of Housing and Urban Development: City of Binghamton Housing Trust Fund Corporation Total U.S. Department of Housing and Urban Development		Permanent Ordinance No.R13-107 1297DR113			

See accompanying notes to supplementary schedule of expenditures of federal awards. \\

Supplementary Schedule of Expenditures of Federal Awards $Year\ ended\ June\ 30,\ 2015$

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
U.S. Department of the Interior: Hudson River Valley Greenway	15.904	NHA1413	s —	2.425	2 425
Cooperative Research and Training Programs Resources of the National Park System	15.945	NHA1413	31,116	2,425	2,425
Cooperative Research and Training Programs Resources of the National Park System	15.945		1,633	_	_
Cooperative Research and Training Programs Resources of the National Park System	15.945		(326)	_	_
Cooperative Research and Training Programs Resources of the National Park System Cooperative Research and Training Programs Resources of the National Park System	15.945 15.945		15 2,180	_	_
Cooperative Research and Training Programs Resources of the National Park System	15.945		30.120	_	_
Cooperative Research and Training Programs Resources of the National Park System	15.945		47,486	_	_
Cooperative Research and Training Programs Resources of the National Park System	15.945		41,233	_	_
Cooperative Research and Training Programs Resources of the National Park System Cooperative Research and Training Programs Resources of the National Park System	15.945 15.945		21,733 20,391	_	195,581
	13.943			2,425	
Total U.S. Department of the Interior			195,581	2,425	198,006
U.S. Department of Justice: Buffalo Promise Neighborhood	16.000	N/A		92,646	92,646
Violence Against Women Act Court Training and Improvement Grants	16.013	IVA	143,629	72,040	143,629
NYS Office of Children and Family Services	16.523	N/A	_	67,118	67,118
Criminal Justice Research and Development_Graduate Research Fellowships	16.562	27/4	23,245		23,245
New York State Coalition Against Sexual Assault Westchester County	16.575 16.590	N/A N/A		273 3,926	273 3,926
Total U.S. Department of Justice	10.570	1471	166,874	163,963	330,837
•			100,874	103,903	330,637
U.S. Department of Labor: GLOW Workforce Investment Board	17.259	PY2013-GLOW-2	_	564	_
GLOW Workforce Investment Board	17.259	PY2014-GLOW-2	_	7,725	8,289
H-1B Job Training Grants	17.268		209,895	_	_
H-1B Job Training Grants Orange County Community College	17.268 17.268	8132	100,770	21,047	_
Orange County Community College	17.268	N/A	_	17,304	349,016
Monroe Community College	17.282	N/A		146,446	146,446
Total U.S. Department of Labor			310,665	193,086	503,751
U.S. Department of State:					
Investing in People in The Middle East and North Africa	19.021		68,391	_	68,391
Public Diplomacy Programs	19.040		1,334	_	1,334
Iran Assistance Program Professional and Cultural Exchange Programs – Citizen Exchanges	19.221 19.415		41,668 86,137	_	41,668
Professional and Cultural Exchange Programs – Citizen Exchanges	19.415		10,719		_
Professional and Cultural Exchange Programs – Citizen Exchanges	19.415		49,372	_	146,228
General Department of State Assistance	19.700		101,329		101,329
Total U.S. Department of State			358,950		358,950
U.S. Department of Transportation:					
NYS Department of Transportation Adirondack North Country Association	20.205 20.215	140162M N/A	_	16,582 45,666	16,582 45,666
New York City Department of Transportation	20.500	20121417763 FTANY04003500		387	387
NYS Governors Traffic Safety Committee	20.600	C002115	_	85,935	_
NYS Governors Traffic Safety Committee	20.600	C002097	_	77,288	_
NYS Governors Traffic Safety Committee NYS Governors Traffic Safety Committee	20.600 20.600	C002116 C002118		165,684 40,632	=
NYS Governors Traffic Safety Committee	20.600	RF00191015	_	264	_
NYS Governors Traffic Safety Committee	20.600	DMV01T0050963700393	_	6,920	_
NYS Governors Traffic Safety Committee	20.600	DMV01C0021493700393		74,302	=
NYS Governors Traffic Safety Committee NYS Governors Traffic Safety Committee	20.600 20.600	C002187 C002170	_	463,254 112,012	_
NYS Governors Traffic Safety Committee	20.600	C002170 C002189	_	269,881	_
NYS Governors Traffic Safety Committee	20.600	C002148	_	188,740	_
NYS Governors Traffic Safety Committee	20.600	C002188	_	209,110	1,694,022
NYS Governors Traffic Safety Committee Research Foundation of City University of New York	20.602 20.701	CPS2014RFSUNY00148099 499970325	_	12,987 31,720	12,987
Research Foundation of City University of New York	20.701	499970323		1,612	
Research Foundation of City University of New York	20.701	491980326	_	38,115	_
Research Foundation of City University of New York	20.701	491980226		13,392	84,839
Total U.S. Department of Transportation				1,854,483	1,854,483
Appalachian Regional Commission:					
Appalachian Area Development East Tennessee State University	23.002 23.011	22001515	16,662	2,700	16,662 2,700
Total Appalachian Regional Commission	25.011	22001313	16,662	2,700	19,362
			10,002	2,700	19,302
National Aeronautics and Space Administration: National Aeronautics and Space Administration	43.000		1,263	_	_
Colorado State University	43.000	G39751	- 1,203	2,859	
Jet Propulsion Laboratory	43.000	1493773	_	59,243	63,365
Science	43.001		5,903	_	_
Science Science	43.001 43.001		20,193 30,022	_	_
Science	43.001		69,755	_	_
Cornell University	43.001	61420-9292	_	10,000	135,873
Aeronautics	43.002		14,990	_	14,990
Exploration	43.003		63,685		63,685
Total National Aeronautics and Space Administration			205,811	72,102	277,913

See accompanying notes to supplementary schedule of expenditures of federal awards.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number		Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
National Foundation on the Arts and Humanities:						
Promotion of the Arts-Grants to Organizations and Individuals Arts Midwest	45.024 45.024	240956	\$	40,000	15,392	55,392
New York Council for the Humanities	45.129	8072		_	1,500	1,500
Promotion of the Humanities – Challenge Grants	45.130			77,450	_	77,450
Promotion of the Humanities – Division of Preservation and Access Promotion of the Humanities – Professional Development	45.149 45.163			53,426 140,994	_	53,426
Promotion of the Humanities – Professional Development	45.163			11,147	_	
Promotion of the Humanities – Professional Development	45.163			7,681	_	_
Promotion of the Humanities – Professional Development Museums for America	45.163 45.301			97,411 22,333	_	257,233 22,333
Board of Trustee of the Buffalo and Erie County Public Library	45.312	N/A		22,333	4,458	4,458
Total National Foundation on the Arts and Humanities			_	450,442	21,350	471,792
Small Business Administration:						
Small Business Development Centers	59.037			(6,507)	_	_
Small Business Development Centers Small Business Development Centers	59.037 59.037			52,911 54,856	_	_
Small Business Development Centers	59.037			14,661	_	_
Small Business Development Centers	59.037			54,051	_	_
Small Business Development Centers	59.037			3,140,945	_	_
Small Business Development Centers Small Business Development Centers	59.037 59.037			68,479 244,357	_	_
Small Business Development Centers	59.037			2,513,172	_	_
Small Business Development Centers	59.037			33,068	_	6,169,993
Veterans Outreach Program Veterans Outreach Program	59.044 59.044			27,827	_	202.184
Federal and State Technology Partnership Program	59.044			174,357 12,481		12,481
Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act)	59.064			551,108	_	- 12,401
Entrepreneurial Development Disaster Assistance (Disaster Relief Appropriations Act)	59.064		_	1,933,612		2,484,720
Total Small Business Administration			_	8,869,378		8,869,378
U.S. Department of Veteran Affairs : Veterans Benefits Administration	64.000			33,186		33,186
Veterans Dependency and Indemnity Compensation for Service-Connected Death	64.110			1,438		1,438
Total U.S. Department of Veteran Affairs				34,624		34,624
Environmental Protection Agency:						
NYS Environmental Facilities Corporation	66.000	584 C792050200 144702		_	63,804	63,804
Chautauqua County Science To Achieve Results (STAR) Fellowship Program	66.472 66.514	144702		1,616	5,502	5,502
Science To Achieve Results (STAR) Fellowship Program	66.514		_	3,990		5,606
Total Environmental Protection Agency			_	5,606	69,306	74,912
U.S. Department of Energy:						
U.S. Department of Energy U.S. Department of Energy	81.000 81.000			5,325 24,376	_	29,701
Brookhaven Science Associates LLC	81.049	133654		24,570	41,764	22,701
Brookhaven Science Associates LLC	81.049	138142		_	6,558	_
Brookhaven Science Associates LLC	81.049	146480		_	41,960	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	145975 145974		_	17,176 43,561	_
Brookhaven Science Associates LLC	81.049	148216			+5,501	
Brookhaven Science Associates LLC	81.049	148219		_	9,167	_
Brookhaven Science Associates LLC	81.049 81.049	156046		_	30,871	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049	156761 163652			18,343 9,498	_
Brookhaven Science Associates LLC	81.049	163378		_	48,435	_
Brookhaven Science Associates LLC	81.049	170059		_	38,978	_
Brookhaven Science Associates LLC	81.049	169062		_	18,779	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	170819 174401		_	(1,627)	_
Brookhaven Science Associates LLC	81.049	181220		_	46,957	_
Brookhaven Science Associates LLC	81.049	189902		_	45,971	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	191778 189766		_	44,438 43,561	_
Brookhaven Science Associates LLC	81.049	194418			43,498	_
Brookhaven Science Associates LLC	81.049	192487		_	40,981	_
Brookhaven Science Associates LLC	81.049	198136		_	44,578	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	201209 207081		_	44,445 40,989	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049	204886		_	9,218	_
Brookhaven Science Associates LLC	81.049	210310		_	3,083	_
Brookhaven Science Associates LLC	81.049	210367		_	46,695	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	215204 218102		_	33,998 50,390	_
Brookhaven Science Associates LLC	81.049	218985		_	44,650	_
Brookhaven Science Associates LLC	81.049	218981		_	43,561	_
Brookhaven Science Associates LLC	81.049	218994		_	43,561	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	219733 218993		_	36,785 43,561	_
Brookhaven Science Associates LLC	81.049	220884		_	41,250	_
Brookhaven Science Associates LLC	81.049	220887		_	36,156	_
Brookhaven Science Associates LLC	81.049	222340		_	26,338	_
Brookhaven Science Associates LLC	81.049 81.049	226119 229738		_	43,561	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	229738 229098		_	44,812 45,156	_
Brookhaven Science Associates LLC	81.049	228672		_	38,781	_
Brookhaven Science Associates LLC	81.049	228930		_	16,797	_
Brookhaven Science Associates LLC	81.049 81.049	228952 228947			32,231 5,192	
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	228947 229147		_	5,192 39,630	_
Brookhaven Science Associates LLC	81.049	243787		_	43,561	_
Brookhaven Science Associates LLC	81.049	249024		_	41,753	_
Brookhaven Science Associates LLC	81.049	245049		_	43,561	_

See accompanying notes to supplementary schedule of expenditures of federal awards.

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Brookhaven Science Associates LLC	81.049		\$	18,565	_
Brookhaven Science Associates LLC	81.049	251582	_	7,224	_
Brookhaven Science Associates LLC	81.049	252853	_	57,144	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	252993 254688	_	42,919 57,712	_
Brookhaven Science Associates LLC	81.049	255470	_	53,780	
Brookhaven Science Associates LLC	81.049	258059	_	36,291	_
Brookhaven Science Associates LLC	81.049	256907	_	39,235	_
Brookhaven Science Associates LLC	81.049	261044	_	46,690	_
Brookhaven Science Associates LLC	81.049	262753	_	4,936	_
Brookhaven Science Associates LLC	81.049 81.049	261375 263026	_	19,819	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049	266892	_	43,521 7,622	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049	279312	_	39,481	_
Brookhaven Science Associates LLC	81.049	267465	_	45,639	_
Brookhaven Science Associates LLC	81.049	267077	_	45,639	_
Brookhaven Science Associates LLC	81.049	270748	_	9,810	_
Brookhaven Science Associates LLC	81.049	267472	_	45,639	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	272625 279649	_	35,248 7,373	
Brookhaven Science Associates LLC	81.049	285034	_	19,077	_
Brookhaven Science Associates LLC	81.049	292584	_	2,873	_
Brookhaven Science Associates LLC	81.049	270479	_	43,561	_
Brookhaven Science Associates LLC	81.049	279307	_	37,252	_
Brookhaven Science Associates LLC	81.049	270144	_	8,587	_
Brookhaven Science Associates LLC	81.049	270156	_	4,554	_
Brookhaven Science Associates LLC Brookhaven Science Associates LLC	81.049 81.049	271974 274574	_	34,665 16,414	_
Brookhaven Science Associates LLC	81.049	278008	_	33,550	_
Brookhaven Science Associates LLC	81.049	279514	_	37,854	_
Brookhaven Science Associates LLC	81.049	280936	_	1,062	_
Brookhaven Science Associates LLC	81.049	288825	_	7,061	_
Brookhaven Science Associates LLC	81.049	288447	_	3,662	
Brookhaven Science Associates LLC	81.049	289986		2,583	2,490,205
Conservation Research and Development Conservation Research and Development	81.086 81.086		29,019 14,294	_	43,313
Hudson Valley Community College (ARRA)	81.087	PO0066763PO0073415	14,294	3,252	3,252
Syracuse University (ARRA)	81.122	24294-02619-S05	_	7,050	7,050
			73,014	2,500,507	2,573,521
Total U.S. Department of Energy			/5,014	2,300,307	2,373,321
U.S. Department of Education:					
U.S. Department of Education	84.000	G111020	501,045		_
NYS Comm On Qual Care and Adv for Persons with Dis Rochester City School District	84.000 84.000	C111828 N/A	_	66,112 49,978	_
Rochester City School District	84.000	N/A		84,563	
Syracuse City School District	84.000	PO# SCSD1-0000030553	_	368,632	_
Syracuse City School District	84.000	PO# SCSD1-0000030556	_	392,760	1,463,090
Erie County Department of Social Services	84.002	ED3497	_	16,845	-
NYS Education Department	84.002	C010002	_	105,002	_
NYS Education Department	84.002	C012254	_	221,875	_
Erie County Department of Social Services Erie County Department of Social Services	84.002 84.002	ED3756 ED3754	_	4,752 85,701	434,175
Buffalo Public Schools	84.010	N/A	_	(32,756)	434,175 —
Buffalo Public Schools	84.010	N/A	_	(87,046)	_
Buffalo City School District	84.010	F0004D21440EAR	_	30,245	(89,557)
Migrant Education_State Grant Program	84.011		177,736	_	_
NYS Education Department	84.011	C113239	_	2	_
NYS Education Department NYS Education Department	84.011 84.011	C402220 0035140022 C113239	_	297,217 61,384	_
NYS Education Department	84.011	C113239	_	109,705	_
NYS Education Department	84.011	C402220 0035150022	_	544,426	_
NYS Education Department	84.011	C402222 0035140037	_	221,684	_
NYS Education Department	84.011	N/A	_	929	_
NYS Education Department	84.011	C402222 0035150037	_	766,510	_
NYS Education Department	84.011	N/A C402221 035140002	_	28,989	_
NYS Education Department NYS Education Department	84.011 84.011	C402221 035140002 C402221 0035150002	_	101,609 320,969	_
NYS Education Department	84.011	C400993 0035120005	_	(114)	_
NYS Education Department	84.011	C402224 0035140005	_	269,717	_
NYS Education Department	84.011	C402224 0035150005	_	919,660	_
META Associates	84.011	N/A	_	4,132	_
Mississippi State University	84.011	05040536119101	_	5,708	_
Oregon Department of Education Kentucky Department of Education	84.011 84.011	N/A PON25401300029261	_	3,199 44,090	_
Mississippi Department of Education	84.011	1432018246B9B1EA09001		2,054	_
NYS Education Department	84.011	C990333	_	272,569	_
NYS Education Department	84.011	C0990333	_	95,882	_
California Department of Education	84.011	CN130177	_	1,764	_
Kesselman Jones Incorporated	84.011	N/A	_	(2,677)	_
META Associates META Associates	84.011	N/A N/A	_	28,409 2,283	_
META Associates Missouri Department of Elementary and Secondary Education	84.011 84.011	N/A N/A	_	12,283	_
Center for Schools and Communities	84.011	N/A	_	3,404	_
Maine Department of Education	84.011	CT20131218*2243 VC1000076007	_	507,601	_
Alabama State Department of Education	84.011	J400343	=	34,097	_
Florida Department of Education	84.011	9862174T4PE01	_	251,821	_
Alabama State Department of Education	84.011	J400342	_	20,618	_
Texas Education Agency Pennsylvania Department of Education	84.011	CT 3155 PO 32708	_	5,956	= = =
Alabama State Department of Education	84.011 84.011	2013-25 C4U0397	_	7,278 14,584	_
Pennsylvania Department of Education	84.011	2013-26	_	31,092	_
Guymon Public Schools	84.011	N/A	_	27,168	_
Pennsylvania Department of Education	84.011	201427	_	202	_
Gloucester County Special Services School District	84.011	N/A	_	1,569	_
Maryland State Department of Education Center for Schools and Communities	84.011 84.011	N/A N/A	_	4,833 1,090	_
Center for Schools and Communities	04.011	17/74	_	1,090	_

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Chandler (AZ) Unified School District #80	84.011	N/A	\$ —	607	_
California Department of Education	84.011	CN140113	_	45,212	_
Southwest Arkansas Migrant Education Cooperative	84.011 84.011	N/A C0990333	_	676	_
NYS Education Department NYS Education Department	84.011 84.011	C990333 C990333	_	266,643 1,060,918	_
Arizona Department of Education	84.011	ADED15000003	_	32,660	_
Mississippi Department of Education	84.011	1532018231B0A2EA09001	_	40,755	_
Alabama State Department of Education	84.011	U500077	_	90,338	_
Alabama State Department of Education	84.011	C5U0069	_	53,580	_
Kentucky Department of Education	84.011	PON254015000005261	_	69,263	_
META Associates	84.011 84.011	N/A N/A	_	26,631	_
Kesselman Jones Incorporated Kentucky Department of Education	84.011	PON254014000037611		22,793 13,384	_
Georgia Department of Education	84.011	PO: 414003610000090294		590	_
Florida Department of Education	84.011	9862175T5PE01	_	328,714	_
Gloucester County Special Services School District	84.011	N/A	_	385	_
Oregon Department of Education	84.011	N/A	_	1,310	_
Maine Department of Education	84.011	20150106*2300 VC1000076007	_	304,785	_
Texas Education Agency Mississippi State University	84.011 84.011	3155PO33866 05040536493601	_	21,386 13,027	_
Central Susquehanna Intermediate Unit	84.011	N/A	_	2,788	_
Maryland State Department of Education	84.011	201435		2,137	
NYS Education Department	84.011	0035120013 C400999	_	(377)	_
NYS Education Department	84.011	C402223 0035140013	_	146,828	_
NYS Education Department	84.011	C402223 0035150013	_	642,848	_
South Carolina Department of Education	84.011	N/A	_	2,000	_
Central Susquehanna Intermediate Unit	84.011	N/A A58515DL2947	_	1,850	_
Indiana Department of Education Hornell City School District	84.011 84.011	N/A	_	27,225 8,390	8,428,777
University of Maine at Orono	84.015	UMS923	_	12,915	0,420,777
University of Maine at Orono	84.015	UMS985	_	34,146	47,061
NYS Education Department	84.027	C012095	_	3,974	
NYS Education Department	84.027	C012091	_	147,887	_
University of North Carolina at Charlotte	84.027	2014019101SUN	_	24,435	_
NYS Education Department	84.027	C012091	_	97,954	_
University of North Carolina at Charlotte NYS Education Department	84.027 84.027	PO P1524074 N/A	_	6,021 489,808	770,079
Higher Education-Institutional Aid	84.031	IVA	34,118	407,000	770,079
Higher Education-Institutional Aid	84.031		430,158	_	464,276
TRIO – Student Support Services	84.042		218,877	_	_
TRIO – Student Support Services	84.042		223,502	_	_
TRIO – Student Support Services	84.042		411,235	_	_
TRIO – Student Support Services	84.042		298,308	_	_
TRIO – Student Support Services TRIO – Student Support Services	84.042 84.042		284,164 440,484		_
TRIO – Student Support Services	84.042		504,125	_	_
TRIO – Student Support Services	84.042		275,158	_	_
TRIO – Student Support Services	84.042		270,146	_	_
TRIO – Student Support Services	84.042		230,179	_	3,156,178
TRIO - Talent Search	84.044		294,426	_	
TRIO – Talent Search TRIO – Upward Bound	84.044 84.047		261,323 237,991	_	555,749
TRIO – Upward Bound	84.047		367,658	_	_
TRIO – Upward Bound	84.047		13,714	_	_
TRIO – Upward Bound	84.047		277,307	_	_
TRIO – Upward Bound	84.047		397,081	_	_
TRIO – Upward Bound	84.047		325,509	_	_
TRIO – Upward Bound	84.047 84.047		653,464	_	2 524 026
TRIO – Upward Bound NYS Education Department	84.048	8000146995	252,212	17,249	2,524,936
NYS Education Department	84.048	8000156995		92,202	
NYS Education Department	84.048	8000146010	_	1,381	_
NYS Education Department	84.048	8000156010	_	254,071	_
NYS Education Department	84.048	8000146060	_	4,978	_
NYS Education Department	84.048	8000156060	_	159,291	_
NYS Education Department NYS Education Department	84.048 84.048	8000146100 8000156100	_	5,477 92,524	_
NYS Education Department	84.048	8000136100	_	350	_
NYS Education Department	84.048	8000156170	_	122,762	_
NYS Education Department	84.048	FA8000146890	_	49,388	_
NYS Education Department	84.048	8000156890	_	80,765	_
NYS Education Department	84.048	8000146870	_	4,747	
NYS Education Department Fund for the Improvement of Postsecondary Education	84.048 84.116	8000156870	5,183	155,494	1,040,679
Fund for the Improvement of Postsecondary Education Fund for the Improvement of Postsecondary Education	84.116 84.116		23,423	_	_
Fund for the Improvement of Postsecondary Education	84.116		4,939	_	_
Fund for the Improvement of Postsecondary Education	84.116		213,704	_	_
Fund for the Improvement of Postsecondary Education	84.116		30,974	_	278,223
NYS Office of Children and Family Services	84.126	C004802	_	20,332	_
NYS Office of Children and Family Services	84.126	C004808	_	24,946	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	84.126	C004800 C005060	_	15,301 998	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	84.126 84.126	C005060 C005066	_	20,527	_
NYS Office of Children and Family Services	84.126	C005061		23,797	_
NYS Office of Children and Family Services	84.126	C026892	_	29,934	_
NYS Office of Children and Family Services	84.126	C026875	_	110,937	_
NYS Education Department	84.126	C011548	_	42,117	_
NYS Office of Children and Family Services	84.126	N/A	_	(158)	_
NYS Office of Children and Family Services	84.126	N/A	_	(4)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	84.126 84.126	C027197 C026875	=	5,725 103,587	_
NYS Office of Children and Family Services	84.126	C020873 C027439	_	4,758	_
NYS Education Department	84.126	C012263		10,661	_
NYS Office of Children and Family Services (ARRA)	84.126	C026053	_	(3,266)	410,192
NYS Comm On Qual Care and Adv for Persons with Disabilities	84.133	C111829	_	44,166	, , ,
NYS Comm On Qual Care and Adv for Persons with Disabilities	84.133	C111829	_	24,460	68,626

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Florida Department of Education	84.144	9861164T4P001	s —	13,960	_
Florida Department of Education	84.144	9861165T5P001		48,288	62,248
Migrant Education – College Assistance Migrant Program New York City Department of Education	84.149 84.173	N/A	426,093	7,558	426,093
New York City Department of Education	84.173	N/A N/A	_	1,760	_
New York City Board of Education	84.173	331800880148/PL 619	_	165	_
New York City Board of Education	84.173	331800880148/PL 611	_	3,891	_
New York City Board of Education New York City Board of Education	84.173 84.173	331800880148/PL 611 331800880148/PL 619	_	12,628 18,425	_
New York City Department of Education	84.173	N/A		4,583	_
New York City Department of Education	84.173	N/A	_	136,344	185,354
East Bloomfield Central School	84.196	N/A	_	2,640	_
East Bloomfield Central School East Bloomfield Central School	84.196 84.196	N/A N/A	_	870 184	_
East Bloomfield Central School	84.196	N/A		1,776	_
East Bloomfield Central School	84.196	N/A	_	2,138	_
East Bloomfield Central School	84.196	N/A	_	5,235	
New York City Board of Education Graduate Assistance in Areas of National Need	84.196 84.200	0212154047	91.360	91,679	104,522
Graduate Assistance in Areas of National Need Graduate Assistance in Areas of National Need	84.200		138,967	_	_
Graduate Assistance in Areas of National Need	84.200		152,798	_	_
Graduate Assistance in Areas of National Need	84.200		157,593	_	540,718
Geneseo Migrant Center BOCES	84.213	N/A	_	(472)	(472)
Schenectady City School District Broome Tioga BOCES	84.215 84.215	N/A U215X070316	_	20,151	_
Onondaga Cortland Madison Board of Education Services	84.215	170-1091071-55657		19,880	
State University of New York	84.215	C002372	_	2,086,252	2,126,283
TRIO – McNair Post-Baccalaureate Achievement	84.217		277,655	_	_
TRIO – McNair Post-Baccalaureate Achievement	84.217 84.217		268,893	_	_
TRIO – McNair Post-Baccalaureate Achievement TRIO – McNair Post-Baccalaureate Achievement	84.217		267,401 210,135	_	1,024,084
Rehabilitation Training_Continuing Education	84.264		242,672	_	242,672
City School District of Albany	84.287	N/A	_	60,068	_
NYS Education Department	84.287	C402120 0187146057	_	49,531	_
NYS Education Department NYS Education Department	84.287 84.287	C402121 0187146058 C402121 0187156058		49,490 337,215	_
NYS Education Department	84.287	C402121 0107150050 C402120 0187156057		337,215	
Tully Central School District	84.287	N/A	_	2,997	_
Tully Central School District	84.287	N/A	_	17,605	
Tully Central School District Indian Education – Special Programs for Indian Children	84.287 84.299	N/A	34,370	8,602	862,723 34,370
University of North Carolina at Charlotte	84.324	2010078801SUN	34,370	859	34,370 859
Special Education – Personnel Development to Improve Services and Results for		20100700015011		037	0.57
Children with Disabilities	84.325		90,216	_	_
Special Education – Personnel Development to Improve Services and Results for Children with Disabilities	84.325		426,383	_	_
Special Education – Personnel Development to Improve Services and Results for			120,505		
Children with Disabilities Special Education – Personnel Development to Improve Services and Results for	84.325		8,588	_	_
Children with Disabilities	84.325		350,799	_	_
Special Education – Personnel Development to Improve Services and Results for Children with Disabilities	84.325		242,621	_	_
Special Education – Personnel Development to Improve Services and Results for Children with Disabilities	84.325		40,044		
Special Education - Personnel Development to Improve Services and Results for					
Children with Disabilities	84.325 84.335		300,630	_	1,459,282
Child Care Access Means Parents in School Syracuse University	84.335 84.336	2632003481S01	94,308	5,992	94,308
Syracuse University	84.336	SP26320203777S01	_	15,856	21,848
Buffalo City School District	84.351	F0004F20440RFR	_	25,255	25,255
Schenectady City School District	84.363	N/A		8,171	8,171
English Language Acquisition State Grants English Language Acquisition State Grants	84.365 84.365		332,628 434,407	_	_
English Language Acquisition State Grants	84.365		483,478		1,250,513
Buffalo Public Schools	84.366	N/A		360	_
Buffalo Public Schools	84.366	N/A	_	513	_
Buffalo City School District Cheektowaga Central School District	84.366 84.366	N/A NA	_	49,328 20,604	_
Harrisville Central School District	84.366	N/A		67,125	137,930
NYS Education Department	84.367	C401815 247140034	_	109,669	
National Writing Project Corporation	84.367	04NY10SEED20121A	_	1,135	_
NYS Education Department	84.367	C401811 0247140020	_	14,318	_
NYS Education Department NYS Education Department	84.367 84.367	C401811 0247150020 0247140022 C401812	_	148,170 86,916	_
National Writing Project Corporation	84.367	08NY14SEED2012		2,385	_
NYS Education Department	84.367	0247150022 C401812	_	88,890	_
NYS Education Department	84.367	C401818 0247140026	_	43,770	_
NYS Education Department	84.367	C401818 0247150026 01NY09SEED2012	_	35,626	_
National Writing Project Corporation National Writing Project Corporation	84.367 84.367	01NY09SEED2012 01NY09SEED2012	_	9,900 19,589	_
NYS Education Department	84.367	C401823 0247140027	_	78,942	_
NYS Education Department	84.367	0247140104 C401829	_	115,659	_
NYS Education Department	84.367	C401823 0247150027	_	327,595	_
NYS Education Department NYS Education Department	84.367 84.367	0247150104 C401829 0247140028 C401813	_	143,591 36,690	
NYS Education Department NYS Education Department	84.367 84.367	0247140028 C401813 0247150028 C401813	_	30,090 80,794	_
Bank Street College of Education	84.367	1123992865	_	11,960	_
Bank Street College of Education	84.367	1123992867	_	14,304	_
NYS Education Department	84.367	0247150034 0247150030 C401824	_	62,538	1 610 474
NYS Education Department Rochester City School District	84.367 84.377	0247150030 C401824 PO 2014004354	_	187,033 36,772	1,619,474
Rochester City School District	84.377	PO 2015003556		126,691	163,463
NYS Office of Children and Family Services	84.378	C004766	_	13,841	_
NYS Higher Education Services Corporation NYS Higher Education Services Corporation	84.378	T112290	_	33,146	_
NYS Higher Education Services Corporation NYS Higher Education Services Corporation	84.378 84.378	T112260 C112324	_	10,876 256,814	_
1.15 Inglier Education Services Corporation	04.570	C.12324	_	230,014	_

 $\label{thm:companying} See accompanying notes to supplementary schedule of expenditures of federal awards.$

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
NYS Office of Children and Family Services	84.378	C005012	s —	335,753	_
NYS Education Department	84.378	T112299	_	7,412	_
NYS Higher Education Services Corporation	84.378	C002709	_	216,300	_
NYS Higher Education Services Corporation	84.378	C002800	_	76,996	951,138
City School District of Albany (ARRA)	84.388	5123142110	_	280	280
NYS Education Department	84.395 84.395	N/A	_	264,515	_
Board of Cooperative Educational Services of Nassau County NYS Education Department (ARRA)	84.395 84.395	N/A C401691 5525140005	_	4,641 13,575	_
NYS Education Department (ARRA)	84.395	C401691 5325140005 C401691 5525150005		220,051	
NYS Education Department (ARRA)	84.395	5520140001 C401873	_	3,661	_
NYS Education Department (ARRA)	84.395	5520150001 C401873	_	33,997	_
NYS Education Department (ARRA)	84.395	C401688 5525140002	_	138,439	_
NYS Education Department (ARRA)	84.395	5520140002 C401872	_	91,188	_
NYS Education Department (ARRA)	84.395	C401688 5525150002	_	375,481	_
NYS Education Department (ARRA)	84.395	5520150002 C401872	_	74,580	1,220,128
National Writing Project Corporation	84.411	04NY10I32013	_	57,469	_
National Writing Project Corporation	84.411	04NY1013DP2015	_	2,393	
National Writing Project Corporation	84.411	04NY10i32013 SED #014-049	_	102,150	162,012
Binghamton University Binghamton University	84.412 84.416	C100022 SED MOU # 014-035	_	302,896 2,745,000	302,896 2,745,000
	64.410	C100022 SED MOU # 014-033			
Total U.S. Department of Education Vietnam Education Foundation:			12,730,182	22,593,453	35,323,636
Fellowship Program	85.802		24,158	_	24,158
Visiting Scholar Grants	85.803		25,932		25,932
Total Vietnam Education Foundation			50,090		50,090
			30,070		50,070
U.S. Department of Health and Human Services:	02.005		2 62 207		
U.S. Department of Health and Human Services	93.000		262,387	_	_
U.S. Department of Health and Human Services U.S. Department of Health and Human Services	93.000 93.000		6,291,171 17,912	_	_
NYS Education Department	93.000	N/A	17,912	(6,732)	_
NYS Education Department	93.000	N/A N/A		26,718	
NYS Aids Institute	93.000	N/A	_	22,960	_
NYS Department of Health	93.000	NA	_	22,700	_
Public Health Solutions	93.000	15SCG364	_	63,786	_
Community Counseling & Mediation	93.000	N/A	_	27,393	_
University of Pittsburgh	93.000	0020808409958Y3013	_	2,194	_
University of Pittsburgh	93.000	0020808409958SUNY1	_	39,507	6,747,296
Oneida County Office for the Aging Continuing Care	93.051	N/A	_	7,446	7,446
Public Health Emergency Preparedness	93.069		82,493	_	
Public Health Emergency Preparedness	93.069		212,119		294,612
Health Research Inc	93.074	486901	_	9,211	_
Public Health Solutions	93.074	13RFS01 76602	_	44,000	_
Public Health Solutions Public Health Solutions	93.074 93.074	14RFS02 / 76603 14RFS01 / 76603	_	4,963 3,912	62,086
NYS Office of Children and Family Services	93.090	N/A	_	153	02,080
NYS Office of Children and Family Services	93.090	C027191		39,233	
NYS Office of Children and Family Services	93.090	C027131 C027438	_	42,993	82,379
NYS Department of Health	93.092	C027452	_	(4,627)	02,577
NYS Department of Health	93.092	C027452	_	161,378	_
NYS Department of Health	93.092	C027452	_	137,120	293,871
Area Health Education Centers Point of Service Maintenance and Enhancement					
Awards	93.107		330,424	_	_
Area Health Education Centers Point of Service Maintenance and Enhancement Awards	93.107		547,990		878,414
University of Rochester	93.110	416471	347,990	13,847	13,847
Preventive Medicine and Public Health Residency Training Program, Integrative					,
Medicine Program, and National Coordinating Center for	93.117		16,307	_	16,307
Nurse Anesthetist Traineeships	93.124		21,797	_	_
Nurse Anesthetist Traineeships	93.124		26,309	_	48,106
Health Research Inc	93.136	495301		13,912	13,912
AIDS Education and Training Centers	93.145		3,262	_	_
AIDS Education and Training Centers	93.145		15,915	_	_
AIDS Education and Training Centers	93.145		279,884	_	_
AIDS Education and Training Centers Health Research Inc	93.145 93.145	N/A	125,273	32,377	_
Columbia University	93.145	1GG00640403/ SAPO# G04383	_	452	_
Columbia University Columbia University	93.145	1GG00640404/SAPO G05242	_	178,401	_
Health Research Inc	93.145	15335724	_	7,143	642,707
Coordinated Services and Access to Research for Women, Infants, Children, and Youth	93.153	***	83,650	-,	
Coordinated Services and Access to Research for Women, Infants, Children, and Youth	93.153		691,071	_	774,721
Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals	93.156		(2,799)	_	_
Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals	93.156		687,474	_	684,675
Substance Abuse and Mental Health Services - Projects of Regional and National					
Significance Substance Abuse and Mental Health Services – Projects of Regional and National	93.243		111,198	_	_
Significance Substance Abuse and Mental Health Services – Projects of Regional and National Significance	93.243 93.243		343,028 (1,148)		_
Substance Abuse and Mental Health Services – Projects of Regional and National				_	_
Significance Substance Abuse and Mental Health Services – Projects of Regional and National	93.243		70,522	_	_
Significance Substance Abuse and Mental Health Services – Projects of Regional and National	93.243		120,233	_	_
Significance Substance Abuse and Mental Health Services – Projects of Regional and National Significance	93.243 93.243		22,124 196,180	_	_
Significance Substance Abuse and Mental Health Services – Projects of Regional and National Significance	93.243		196,180 430,755	_	1,292,891
Advanced Nursing Education Grant Program	93.243 93.247		430,755 48,783	_	1,292,891
Advanced Nursing Education Grant Program Advanced Nursing Education Grant Program	93.247		48,783 1,760	_	_
Advanced Nursing Education Grant Program Advanced Nursing Education Grant Program	93.247		394,830	_	_
Advanced Nursing Education Grant Program Advanced Nursing Education Grant Program	93.247		5,590		_
Advanced Nursing Education Grant Program	93.247		359,760	_	810,723
Public Health Training Centers Program	93.249		77,559	_	77,559

 $See \ accompanying \ notes \ to \ supplementary \ schedule \ of \ expenditures \ of \ federal \ awards.$

Supplementary Schedule of Expenditures of Federal Awards

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Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Poison Center Support and Enhancement Grant Program	93.253		\$ 243,903	_	_
Poison Center Support and Enhancement Grant Program Occupational Safety and Health Program	93.253 93.262		258,989 102,335	_	502,892
Occupational Safety and Health Program	93.262		291	_	102,626
Onondaga County	93.268	N/A	_	9,118	_
Onondaga County Onondaga County	93.268 93.268	N/A N/A	_	6,167 28,073	_
Onondaga County	93.268	N/A	_	20,095	63,453
Drug-Free Communities Support Program Grants Drug-Free Communities Support Program Grants	93.276 93.276		174,400 29,921	_	204,321
Health Research Inc	93.329	491101	27,721	14,314	14,314
Health Research Inc	93.331	15060404		10,640	10,640
Advanced Education Nursing Traineeships Advanced Education Nursing Traineeships	93.358 93.358		2,798 325,201	_	_
Advanced Education Nursing Traineeships	93.358		61,065	_	_
Advanced Education Nursing Traineeships	93.358 93.358		209,410	_	
Advanced Education Nursing Traineeships Nurse Education, Practice Quality and Retention Grants	93.359		339,045 35,121	_	937,519
Nurse Education, Practice Quality and Retention Grants	93.359		158,888	_	194,009
ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (ARRA)	93.403		108,657		108,657
Affordable Care Act (ACA) Expansion of Physician Assistant Training Program	93.514		343,728		343,728
Community Service Society of New York	93.525	N/A	_	43,981	_
Community Service Society of New York Community Service Society of New York	93.525 93.525	N/A C028904	_	22,092 99,106	165,179
Institute for Family Health	93.530	N/A		(1,552)	103,179
Institute for Family Health	93.530	N/A	_	15,806	_
Institute for Family Health Institute for Family Health	93.530 93.530	N/A N/A	_	16,797 28,550	59,601
NYS Office of Children and Family Services	93.558	C004588		(102)	J9,001 —
NYS Office of Children and Family Services	93.558	C004807	_	156,585	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C004802 C004808	_	206,794 75,882	_
NYS Office of Children and Family Services	93.558	C004909		364,566	_
NYS Office of Children and Family Services	93.558	C004805	_	123,561	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C004799 C004798	_	230,151 31,564	_
NYS Office of Children and Family Services	93.558	C004798 C004801		406,559	_
NYS Office of Children and Family Services	93.558	C004800	_	235,308	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C004795 C005068	_	289,840 305,662	
NYS Office of Children and Family Services	93.558	C005060		244,089	
NYS Office of Children and Family Services	93.558	C005057	_	20,453	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C005069 C005059	_	94,558 82,964	
NYS Office of Children and Family Services	93.558	C005066	_	213,968	_
NYS Office of Children and Family Services	93.558	C005058	_	189,648	_
NYS Office of Children and Family Services SUNY at Stony Brook	93.558 93.558	C005061 C001847	_	215,739 86,750	
SUNY at Stony Brook	93.558	C001844	_	149,532	_
SUNY at Stony Brook	93.558	C001843	_	98,848	_
SUNY at Stony Brook SUNY at Stony Brook	93.558 93.558	C001845 C001846	_	444,976 144,715	_
SUNY at Stony Brook	93.558	C001895	_	64,394	_
SUNY at Stony Brook SUNY at Stony Brook	93.558 93.558	C001893 C001894	_	79,709 125,442	_
SUNY at Stony Brook	93.558	C027451	_	10,458	_
NYS Office of Children and Family Services	93.558	N/A	_	(81,681)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	N/A N/A	_	(28,056) (7,227)	_
NYS Office of Children and Family Services	93.558	N/A N/A	_	4,370	_
NYS Office of Children and Family Services	93.558	N/A	_	4,236	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	N/A N/A	_	(3,015) (10,896)	_
NYS Office of Children and Family Services	93.558	N/A	_	(71)	_
NYS Office of Children and Family Services	93.558	N/A	_	(1,972)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	N/A N/A	_	(1,831)	
NYS Office of Children and Family Services	93.558	N/A	_	12	
NYS Office of Children and Family Services	93.558	2006WAAX0026	_	2,352	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	N/A N/A	_	(35,441) 1,409	_
NYS Office of Children and Family Services	93.558	N/A	_	(720)	_
NYS Office of Children and Family Services	93.558	N/A	_	(497)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	N/A N/A	_	(17,101)	_
NYS Office of Children and Family Services	93.558	N/A	_	4,360	_
NYS Office of Children and Family Services	93.558	N/A	_	9,900	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	N/A N/A	_	7,095 (52)	_
NYS Office of Children and Family Services	93.558	C027192	_	1,455,131	_
NYS Office of Children and Family Services	93.558	C027200	_	236,982	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C027195 C027198	_	42,559 109,167	_
NYS Office of Children and Family Services	93.558	C027196	_	218,003	_
NYS Office of Children and Family Services	93.558	C027191	_	348,717	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C027197 C027193	_	73,866 322,329	_
NYS Office of Children and Family Services	93.558	C027199	_	122,018	_
Franklin County Department of Social Services	93.558	N/A	_	37,404	=
Franklin County Department of Social Services Clinton County Department of Social Services	93.558 93.558	N/A N/A	_	13,337 19,503	_
Essex County Department of Social Services	93.558	N/A	_	22,521	_
NYS Office of Children and Family Services	93.558	C023001	_	17,757	_
NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	93.558 93.558	C023001 C002083	_	8,363 88,591	_
or rempositing a Dissoluty i assistance	/3.330			00,271	

 $\label{thm:companying} See accompanying notes to supplementary schedule of expenditures of federal awards.$

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
NYS Office of Temporary & Disability Assistance	93.558	C002430	s —	28,178	
NYS Office of Children and Family Services	93.558	N/A	_	173,205	_
NYS Office of Children and Family Services	93.558	C027442	_	973,289	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C027443 C027444	_	41,753 90,267	_
NYS Office of Children and Family Services	93.558	C027447		250,346	_
NYS Office of Children and Family Services	93.558	C027440	_	143,072	_
NYS Office of Children and Family Services	93.558	C027441	_	64,405	_
NYS Office of Children and Family Services	93.558	C027448	_	214,515	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.558 93.558	C027439 C027438		44,456 314,409	
NYS Office of Temporary & Disability Assistance	93.558	N/A		4,360,803	14,372,712
NYS Office of Temporary & Disability Assistance	93.563	C004116	_	(330)	-
NYS Office of Temporary & Disability Assistance	93.563	C004775	_	417,740	_
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	93.563 93.563	C004776 C004774	_	474,789 237,024	_
NYS Office of Children and Family Services	93.563	C004774 C004802	_	39,555	_
NYS Office of Temporary & Disability Assistance	93.563	C004784	_	287,141	_
NYS Office of Children and Family Services	93.563	C004800	_	62,119	_
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	93.563	C005054	_	379,911	_
NYS Office of Temporary & Disability Assistance	93.563 93.563	N/A C005051	_	101,201 475,697	_
NYS Office of Temporary & Disability Assistance	93.563	C005073	_	250,980	_
NYS Office of Children and Family Services	93.563	C005066	_	35,771	_
NYS Office of Children and Family Services	93.563	C005061	_	44,389	_
NYS Office of Children and Family Services	93.563 93.563	N/A	_	(20)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.563	N/A N/A	_	(557)	_
NYS Office of Children and Family Services	93.563	N/A	_	4	_
NYS Office of Children and Family Services	93.563	N/A	_	(193)	_
NYS Office of Children and Family Services	93.563	N/A	_	(133)	_
NYS Office of Children and Family Services	93.563	C027193 C027199	_	3,373	_
NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	93.563 93.563	C02/199 C021649		13,249 34,697	
NYS Office of Temporary & Disability Assistance	93.563	C021255		106,064	
NYS Office of Temporary & Disability Assistance	93.563	C021761	_	59,309	_
NYS Office of Children and Family Services	93.563	C023001	_	4,382	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.563 93.563	C023001 C027447	_	2,381 3,912	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.563	C027447 C027440	_	15,241	_
NYS Office of Temporary & Disability Assistance	93.563	C021758	_	26,961	3,074,657
NYS Office of Children and Family Services	93.568	C004802	_	19,777	_
NYS Office of Children and Family Services	93.568	C004800	_	27,554	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.568	C005066	_	11,667	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.568 93.568	C005061 N/A	_	17,592 (5)	
NYS Office of Children and Family Services	93.568	N/A N/A		(139)	_
NYS Office of Children and Family Services	93.568	N/A	_		_
NYS Office of Children and Family Services	93.568	N/A	_	1	_
NYS Office of Children and Family Services	93.568	N/A	_	(109)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.568 93.568	N/A C027193		(75) 1,751	
NYS Office of Children and Family Services	93.568	C027193 C027199	_	6,879	_
NYS Office of Children and Family Services	93.568	C023001	_	2,275	_
NYS Office of Children and Family Services	93.568	C023001	_	966	_
NYS Office of Children and Family Services	93.568 93.568	C027447 C027440	_	1,587 6,187	05.000
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.575	C027440 C005060	_	22,612	95,908
NYS Office of Children and Family Services	93.575	C005057	_	1,698	_
NYS Office of Children and Family Services	93.575	C005069	_	7,850	_
NYS Office of Children and Family Services	93.575	C005067	_	229,174	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.575 93.575	C005059 C005066	_	6,901 142,260	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.575	C005068 C005053	_	243,127	_
NYS Office of Children and Family Services	93.575	C005058	_	15,739	_
NYS Office of Children and Family Services	93.575	C005061	_	70,367	_
NYS Office of Children and Family Services	93.575	C005065	_	3,768,960	_
SUNY at Stony Brook SUNY at Stony Brook	93.575 93.575	C001895 C001893	_	5,353 6,618	_
SUNY at Stony Brook	93.575	C001894	_	10,413	_
SUNY at Stony Brook	93.575	C027451	_	868	_
NYS Office of Children and Family Services	93.575	C023001	_	539	_
NYS Office of Children and Family Services	93.575	C002411	_	12,213	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.575 93.575	C002411	_	584,245 14,382	_
NYS Office of Children and Family Services	93.575	N/A C027442	_	80,926	_
NYS Office of Children and Family Services	93.575	C027443	_	3,465	_
NYS Office of Children and Family Services	93.575	C027444	_	7,502	_
NYS Office of Children and Family Services	93.575	C027447	_	20,522	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.575 93.575	C027440 C027441	_	3,440 5,352	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.575	C027441 C027448	_	21,581	_
NYS Office of Children and Family Services	93.575	C027448 C027439	_	32,958	_
NYS Office of Children and Family Services	93.575	C002411	=	1,452,409	6,771,475
NYS Office of Children and Family Services	93.576	C004802	_	1,665	_
NYS Office of Children and Family Services	93.576	C004800	=	14,265	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.576 93.576	C005066 C005061	_	8,420 1,841	26,191
NYS Office of Children and Family Services	93.596	C004117	_	(75)	-0,171
NYS Office of Children and Family Services	93.596	C004596	_	802	_
NYS Office of Children and Family Services	93.596	C004588	_	(6)	_
NYS Office of Children and Family Services	93.596	C004807 C004802	_	4,441	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596 93.596	C004802 C004808	_	51,629 59,588	_
NYS Office of Children and Family Services	93.596	C004806	_	319,122	
NYS Office of Children and Family Services	93.596	C004909	_	10,390	_

 $Supplementary\ Schedule\ of\ Expenditures\ of\ Federal\ Awards$

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
NYS Office of Children and Family Services	93.596		\$	3,511	_
NYS Office of Children and Family Services	93.596	C004797	_	224,102	_
NYS Office of Children and Family Services	93.596 93.596	C004799 C004798	_	6,538 895	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596	C004798 C004796	_	4,237,134	_
NYS Office of Children and Family Services	93.596	C004790 C004800	_	116,678	_
NYS Office of Children and Family Services	93.596	C004795	_	7,398	_
SUNY at Stony Brook	93.596	C001847	_	2,466	_
SUNY at Stony Brook	93.596	C001844	_	4,262	_
SUNY at Stony Brook	93.596	C001843	_	2,807	_
SUNY at Stony Brook SUNY at Stony Brook	93.596 93.596	C001845 C001846	_	12,655 4,107	_
NYS Office of Children and Family Services	93.596	N/A	_	(7,373)	_
NYS Office of Children and Family Services	93.596	N/A	_	(2,535)	_
NYS Office of Children and Family Services	93.596	N/A	_	(654)	_
NYS Office of Children and Family Services	93.596	N/A	_	395	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596 93.596	N/A N/A	_	(273)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596	N/A N/A	_	(4) (101)	_
NYS Office of Children and Family Services	93.596	N/A	_	(935)	_
NYS Office of Children and Family Services	93.596	N/A	_		_
NYS Office of Children and Family Services	93.596	N/A	_	1	_
NYS Office of Children and Family Services	93.596	2006WAAX0026	_	212	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596 93.596	N/A N/A	_	(2,774)	_
NYS Office of Children and Family Services	93.596	N/A	_	(20)	_
NYS Office of Children and Family Services	93.596	N/A	_	(1,003)	_
NYS Office of Children and Family Services	93.596	N/A	_	(1)	_
NYS Office of Children and Family Services	93.596	N/A	_	255	_
NYS Office of Children and Family Services	93.596	N/A	_	416	_
NYS Office of Children and Family Services	93.596 93.596	N/A C027192	_	(33)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596	C027192 C027200		41,297 6,753	
NYS Office of Children and Family Services	93.596	C027195	_	1,206	_
NYS Office of Children and Family Services	93.596	C027198	_	3,112	_
NYS Office of Children and Family Services	93.596	C027196	_	21,926	_
NYS Office of Children and Family Services	93.596	C027197	_	43,716	_
NYS Office of Children and Family Services	93.596	C027193	_	9,082	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.596 93.596	C027199 C023001	_	1,228 411	5,182,718
Head Start	93.600	C023001	(759)	411	(759)
Catholic Family Center of Rochester	93.605	N/A	(,5,)	40,023	-
Catholic Family Center of Rochester	93.605	N/A	_	85,055	125,078
NYS Developmental Disabilities Planning Council	93.630	C022123	_	23,247	_
NYS Developmental Disabilities Planning Council	93.630	C022123	_	16,196	_
NYS Developmental Disabilities Planning Council NYS Developmental Disabilities Planning Council	93.630 93.630	C024304 C024304	_	130,425 85,387	255,255
Child Welfare Research Training or Demonstration	93.648	C024304	(1,293)	65,567	233,233
Child Welfare Research Training or Demonstration	93.648		191,545	_	_
Child Welfare Research Training or Demonstration	93.648		35,209	_	_
Child Welfare Research Training or Demonstration	93.648		1,607,928	_	_
Child Welfare Research Training or Demonstration	93.648 93.648	ED2495	2,132,028	(2.459)	_
Erie County Department of Social Services Erie County Department of Social Services	93.648	ED2493 ED2493	_	(3,458) 638	_
Erie County Department of Social Services	93.648	ED2799	_	(16,596)	_
Erie County Department of Social Services	93.648	ED2800	_	(20,125)	_
Erie County Department of Social Services	93.648	ED3058	_	21,631	_
Eric County Department of Social Services	93.648	ED3265	_	18,598	3,966,105
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	C004588 C004807	_	(13) 9,099	_
NYS Office of Children and Family Services	93.658	C004807 C004802	_	41,012	_
NYS Office of Children and Family Services	93.658	C004808	_	13,287	_
NYS Office of Children and Family Services	93.658	C004909	_	50,897	_
NYS Office of Children and Family Services	93.658	C004805	_	7,949	_
NYS Office of Children and Family Services	93.658	C004799	_	26,622	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	C004798 C004801	_	1,834 27,890	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658	C004801 C004800	_	74,433	_
NYS Office of Children and Family Services	93.658	C004795	_	23,251	_
NYS Office of Children and Family Services	93.658	C005068	_	26,685	_
NYS Office of Children and Family Services	93.658	C005060	_	43,377	_
NYS Office of Children and Family Services	93.658	C005057	_	1,513	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	C005069 C005059	_	6,996 6,794	_
NYS Office of Children and Family Services	93.658	C005066	_	89,043	_
NYS Office of Children and Family Services	93.658	C005058	_	22,625	_
NYS Office of Children and Family Services	93.658	C005061	_	52,026	_
SUNY at Stony Brook	93.658	C001847	_	5,935	_
SUNY at Stony Brook	93.658	C001844	_	4,109	_
SUNY at Stony Brook SUNY at Stony Brook	93.658 93.658	C001843 C001845	_	7,335 92,556	_
SUNY at Stony Brook	93.658	C001846	_	13,562	_
SUNY at Stony Brook	93.658	C001895		5,611	_
SUNY at Stony Brook	93.658	C001893	_	7,552	_
SUNY at Stony Brook	93.658	C001894	_	22,233	_
SUNY at Stony Brook	93.658	C027451	_	558	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	N/A N/A	_	(8,268) (2,607)	_
NYS Office of Children and Family Services	93.658	N/A N/A	_	(1,642)	_
NYS Office of Children and Family Services	93.658	N/A	_	414	_
NYS Office of Children and Family Services	93.658	N/A	_	(256)	_
NYS Office of Children and Family Services	93.658	N/A	_	(2,357)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	N/A N/A	_	(19) (529)	- - - - - - - -
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658	N/A N/A	_	(825)	_
NYS Office of Children and Family Services	93.658	N/A	_	(023)	_
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Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
NYS Office of Children and Family Services	93.658	N/A	\$ —	3	_
NYS Office of Children and Family Services	93.658	2006WAAX0026	_	387	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	N/A N/A	_	(143) (3,060)	_
NYS Office of Children and Family Services	93.658	N/A N/A	_	396	_
NYS Office of Children and Family Services	93.658	N/A	_	4,836	_
NYS Office of Children and Family Services	93.658	N/A	_	(191)	_
NYS Office of Children and Family Services	93.658	N/A	_	(132)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	N/A N/A	_	(1,356)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658	N/A N/A	_	(4) 343	_
NYS Office of Children and Family Services	93.658	N/A	_	569	_
NYS Office of Children and Family Services	93.658	N/A	_	(24)	_
NYS Office of Children and Family Services	93.658	C027192	_	92,917	_
NYS Office of Children and Family Services	93.658	C027200 C027195	_	35,537	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	C027193 C027198	_	2,772 7,211	_
NYS Office of Children and Family Services	93.658	C027196	_	23,074	_
NYS Office of Children and Family Services	93.658	C027191	_	94,430	_
NYS Office of Children and Family Services	93.658	C300222 / C027201	_	148,529	_
NYS Office of Children and Family Services	93.658	C027197	_	27,749	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	C027193 C027199		23,417 12,832	
NYS Office of Children and Family Services	93.658	C023001		4,247	_
NYS Office of Children and Family Services	93.658	C023001	_	2,624	_
Albany County Department for Children Youth and Families	93.658	345	_	21,610	_
Albany County Department for Children Youth and Families	93.658	N/A	_	10,298	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	N/A C027442	_	14,730 78,962	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658	C027442 C027443	_	3,455	_
NYS Office of Children and Family Services	93.658	C027444	_	7,603	_
NYS Office of Children and Family Services	93.658	C027447	_	24,264	_
NYS Office of Children and Family Services	93.658	C027440	_	16,818	_
NYS Office of Children and Family Services	93.658	C027441	_	7,243	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.658 93.658	C027448 C027439	_	22,657 20,676	_
NYS Office of Children and Family Services	93.658	C027438	_	82,269	_
NYS Office of Children and Family Services	93.658	C02746	_	121,316	1,577,546
NYS Office of Children and Family Services	93.659	C004588	_	(43)	_
NYS Office of Children and Family Services	93.659	C004807	_	65,808	_
NYS Office of Children and Family Services	93.659	C004909	_	108,567	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659 93.659	C004805 C004799	_	50,728 76,831	_
NYS Office of Children and Family Services	93.659	C004799 C004798	_	13,265	_
NYS Office of Children and Family Services	93.659	C004801	_	164,666	_
NYS Office of Children and Family Services	93.659	C004795	_	97,388	_
NYS Office of Children and Family Services	93.659	C005068	_	157,093	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659	C005060	_	91,296	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659 93.659	C005057 C005069	_	10,921 50,489	_
NYS Office of Children and Family Services	93.659	C005059	_	43,293	_
NYS Office of Children and Family Services	93.659	C005058	_	88,369	_
SUNY at Stony Brook	93.659	C001847	_	35,101	_
SUNY at Stony Brook SUNY at Stony Brook	93.659 93.659	C001844 C001843	_	69,692 39,144	_
SUNY at Stony Brook	93.659	C001845		86,965	
SUNY at Stony Brook	93.659	C001846		53,062	
SUNY at Stony Brook	93.659	C001895	_	33,123	_
SUNY at Stony Brook	93.659	C001893	_	40,059	_
SUNY at Stony Brook	93.659 93.659	C001894 C027451	_	47,511	_
SUNY at Stony Brook NYS Office of Children and Family Services	93.659	N/A		5,909 (46,380)	
NYS Office of Children and Family Services	93.659	N/A		(16,584)	
NYS Office of Children and Family Services	93.659	N/A	_	(2,731)	_
NYS Office of Children and Family Services	93.659	N/A	_	2,629	_
NYS Office of Children and Family Services	93.659	N/A	_	(1,858)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659 93.659	N/A N/A	_	(15,490)	_
NYS Office of Children and Family Services	93.659	2006WAAX0026	_	1,063	_
NYS Office of Children and Family Services	93.659	N/A	_	(203)	_
NYS Office of Children and Family Services	93.659	N/A	_	(20,366)	_
NYS Office of Children and Family Services	93.659	N/A	_	2,644	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659 93.659	N/A N/A	_	7,141 (8,468)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659	N/A N/A	_	(7)	_
NYS Office of Children and Family Services	93.659	N/A	_	2,164	_
NYS Office of Children and Family Services	93.659	N/A	_	3,506	_
NYS Office of Children and Family Services	93.659	C027192	_	599,087	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659 93.659	C027200 C027195	_	66,895 17,436	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659	C02/195 C02/198		44,572	
NYS Office of Children and Family Services	93.659	C027198 C027196	_	136,642	_
NYS Office of Children and Family Services	93.659	C027191		641,787	_
NYS Office of Children and Family Services	93.659	C300222 / C027201	_	220,030	_
NYS Office of Children and Family Services	93.659	C027193		126,363	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659 93.659	N/A C027442		89,580	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659 93.659	C027442 C027443	_	509,330 21,731	_
NYS Office of Children and Family Services	93.659	C027444 C027444	_	46,807	_
NYS Office of Children and Family Services	93.659	C027447	_	122,679	_
NYS Office of Children and Family Services	93.659	C027441	_	30,668	_
NYS Office of Children and Family Services	93.659	C027448	_	134,543	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.659 93.659	C027438 C02746		564,831 179,791	4,889,069
Ontario County Department of Social Services	93.667	N/A	_	23,242	-,007,007
Ontario County Department of Social Services	93.667	N/A	_	21,692	_

 $\label{thm:companying} See accompanying notes to supplementary schedule of expenditures of federal awards.$

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
NYS Office of Children and Family Services	93.667	C004588	s	(62)	
NYS Office of Children and Family Services	93.667	C004807	_	74,628	_
NYS Office of Children and Family Services	93.667	C004909	_	123,166	_
NYS Office of Children and Family Services	93.667	C004805	_	95,135	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667 93.667	C004799 C004798	_	87,172 15,043	_
NYS Office of Children and Family Services	93.667	C004801	_	186,507	_
NYS Office of Children and Family Services	93.667	C004795	_	110,546	_
NYS Office of Children and Family Services	93.667	C005068	_	166,206	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667 93.667	C005060 C005057	_	96,587 11,553	_
NYS Office of Children and Family Services	93.667	C005069		53,411	
NYS Office of Children and Family Services	93.667	C005059	_	74,370	_
NYS Office of Children and Family Services	93.667	C005058	_	93,492	_
SUNY at Stony Brook SUNY at Stony Brook	93.667 93.667	C001847 C001844	_	39,812 79,012	_
SUNY at Stony Brook	93.667	C001844 C001843	_	64,488	_
SUNY at Stony Brook	93.667	C001845	_	98,589	_
SUNY at Stony Brook	93.667	C001846	_	60,201	_
SUNY at Stony Brook	93.667	C001895 C001893	_	35,032	_
SUNY at Stony Brook SUNY at Stony Brook	93.667 93.667	C001893 C001894	_	60,711 50,249	_
SUNY at Stony Brook	93.667	C027451	_	6,248	_
NYS Office of Children and Family Services	93.667	N/A	_	(64,561)	_
NYS Office of Children and Family Services	93.667	N/A	_	(22,639)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667 93.667	N/A N/A	_	(3,889) 3,510	_
NYS Office of Children and Family Services	93.667	N/A		(2,481)	_
NYS Office of Children and Family Services	93.667	N/A	_		_
NYS Office of Children and Family Services	93.667	2006WAAX0026	_	1,560	_
NYS Office of Children and Family Services	93.667	N/A	_	(27,564)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667 93.667	N/A N/A	_	(12,187) (64,826)	
NYS Office of Children and Family Services	93.667	N/A		(10)	
NYS Office of Children and Family Services	93.667	N/A	_	3,115	_
NYS Office of Children and Family Services	93.667	N/A	_	5,046	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667	C027192	_	679,386	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667 93.667	C027194 C027200	_	905,389 75,885	_
NYS Office of Children and Family Services	93.667	C027195	_	19,772	_
NYS Office of Children and Family Services	93.667	C027198	_	50,538	_
NYS Office of Children and Family Services	93.667	C027196	_	147,140	_
NYS Office of Children and Family Services	93.667 93.667	C027193 C021262	_	143,228 6,314	_
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	93.667	C021262 C021485	_	(3,830)	_
NYS Office of Temporary & Disability Assistance	93.667	C021479	_	131,599	_
NYS Office of Temporary & Disability Assistance	93.667	C021652	_	109,932	_
NYS Office of Temporary & Disability Assistance	93.667	C021645	_	402,929	_
NYS Office of Temporary & Disability Assistance Herkimer County Department of Social Services	93.667 93.667	C021754 N/A	_	210,589 97,355	_
Erie County Department of Social Services	93.667	ED3498		145,365	
Erie County Department of Social Services	93.667	ED3496	_	45,405	_
Erie County Department of Social Services	93.667	ED3753	_	205,369	_
Erie County Department of Social Services NYS Office of Children and Family Services	93.667 93.667	ED3755 N/A	_	463,423 94,722	_
NYS Office of Children and Family Services	93.667	C027442	_	538,777	_
NYS Office of Children and Family Services	93.667	C027445	_	761,740	_
NYS Office of Children and Family Services	93.667	C027443	_	22,982	_
NYS Office of Children and Family Services	93.667	C027444 C027447	_	49,489	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.667 93.667	C027447 C027441	_	129,708 32,434	_
NYS Office of Children and Family Services	93.667	C027448	_	144,876	_
Dutchess County Department of Community and Family Services	93.667	N/A	_	35,590	_
Dutchess County Department of Community and Family Services	93.667	N/A		27,694	7,215,904
Child Abuse and Neglect Discretionary Activities Mental and Behavioral Health Education and Training Grants	93.670 93.732		56,179 139,100	_	56,179
Nazareth College	93.732	0218125 SUB	139,100	992	140,092
NYS Office for the Aging	93.734	C120048	_	623,511	623,511
NYS Department of Health	93.767	NA	_	(109)	(109)
NYS Office of Children and Family Services	93.778	C004802	_	74,946	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.778 93.778	C004808 C004800	_	3,220 20,909	_
NYS Office of Children and Family Services	93.778	C005060	_	200	_
NYS Office of Children and Family Services	93.778	C005066	_	26,141	_
NYS Office of Children and Family Services	93.778	C005061	_	81,550	_
NYS Office of Children and Family Services	93.778	N/A	_	(40)	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.778 93.778	N/A N/A	_	(1,129) (296)	_
NYS Office of Children and Family Services	93.778	N/A	_	(270)	
NYS Office of Children and Family Services	93.778	N/A	_	7	_
NYS Department of Health	93.778	N/A	_	_	_
NYS Department of Health	93.778	N/A N/A	_	_	_
NYS Department of Health NYS Department of Health	93.778 93.778	N/A N/A	_	_	_
NYS Office of Children and Family Services	93.778	N/A	_	(423)	
NYS Office of Children and Family Services	93.778	N/A	_	(292)	_
NYS Office of Children and Family Services	93.778	N/A	_	(7)	_
NYS Office of Children and Family Services	93.778 93.778	C027193 C027199	_	7,265	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.778	C02/199 C023001	_	28,582 9,462	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.778	C023001 C023001	_	4,912	
NYS Department of Health	93.778	C029846	_	87,343	_
NYS Department of Health	93.778	C029875	_	239,547	_
NYS Office of Children and Family Services NYS Office of Children and Family Services	93.778 93.778	C027447 C027440	_	8,050 31,462	
NYS Office of Children and Family Services	93.778	C027440 C027439	_	6,032	627,441
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 $\label{thm:companying} See accompanying notes to supplementary schedule of expenditures of federal awards.$

 $Supplementary\ Schedule\ of\ Expenditures\ of\ Federal\ Awards$

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
NYS Office For People with Dev Disabilities	93,779	N/A	s –	113,068	
NYS Department of Social Services	93.779	N/A	_	108,629	_
NYS Office For People with Dev Disabilities	93.779	N/A	_	145,310	_
NYS Department of Social Services	93.779	N/A	_	5,240	_
NYS Office For People with Dev Disabilities	93.779	N/A	_	3,757	_
NYS Office For People with Dev Disabilities	93.779	N/A	_	52,653	_
NYS Office For People with Dev Disabilities	93.779	N/A	_	32,567	_
NYS Department of Health	93.779	N/A	_	16,313	_
NYS Department of Health	93.779	N/A	_	33,703	_
NYS Department of Health	93.779	N/A	_	43,467	621,393
NYS Office For People with Dev Disabilities NYS Department of Health	93.779 93.791	N/A N/A	_	66,686 10	021,393
Health Careers Opportunity Program	93.822	19/74	632,870		632,870
Grants for Primary Care Training and Enhancement	93.884		153,744		032,070
Grants for Primary Care Training and Enhancement	93.884		226,260	_	_
Grants for Primary Care Training and Enhancement	93.884		170,642	_	_
Grants for Primary Care Training and Enhancement	93.884		26,823	_	_
Grants for Primary Care Training and Enhancement	93.884		102,753	_	680,222
Health Care and Other Facilities	93.887		321,904	_	321,904
Health Research Inc	93.889	2856	_	5,108	5,108
Public Health Solutions	93.914	11ESP364	_	70,891	_
Public Health Solutions	93.914 93.914	11ESR364	_	7,173	_
Public Health Solutions Public Health Solutions	93.914	06SCF364 10MCM364	_	178,655 541,963	_
Public Health Solutions	93.914	10MCM364		242,002	_
Public Health Solutions	93.914	11ESP364		34,413	
United Way of Long Island	93.914	13724	_	(7)	_
United Way of Long Island	93.914	14724	_	120,362	_
United Way of Long Island	93.914	149800	_	4,995	_
United Way of Long Island	93.914	14725	_	164,993	1,365,440
Health Research Inc	93.917	437403	_	(1,358)	_
Health Research Inc	93.917	437404	_	136,192	_
Health Research Inc	93.917	489801 15-3357-24	_	56,902	_
Health Research Inc	93.917	357306	_	16,395	_
Health Research Inc Health Research Inc	93.917 93.917	393304 N/A	_	14,959 24,476	247,565
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	93.917	IN/A	930,948	24,476	247,303
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	93.918		591,573	_	1,522,522
Special Projects of National Significance	93.928		93,700		1,522,522
Special Projects of National Significance	93.928		129,709	_	_
Special Projects of National Significance	93.928		222,327	_	445,736
Hetrick-Martin Institute	93.939	N/A	_	377	_
Hetrick-Martin Institute	93.939	N/A	_	91,613	91,990
Public Health Solutions	93.940	13RFSUNY01	_	58,007	58,007
Health Research Inc	93.945	15067802	_	96,628	-
Health Research Inc	93.945	N/A	_	103,238	199,866
Council on Addiction Prevention and Education Council on Addiction Prevention and Education	93.959 93.959	N/A N/A	_	(46) 9,877	_
Family Services Inc	93.959	N/A N/A	_	13,770	23,601
American Academy of Family Physicians	93.962	N/A		2,939	2,939
Prevention and Public Health Fund (PPHF) Public Health Traineeships	93.964		77,157		77,157
University of Rochester	93.969	416274		25	
University of Rochester	93.969	416468G	_	15,270	_
Clinton County Office for the Aging	93.969	N/A	_	37,527	_
Clinton County Office for the Aging	93.969	N/A	_	4,685	57,507
Health Research Inc	93.977	15076301	_	15,048	_
Health Research Inc	93.977	15076301	_	71,237	_
Health Research Inc	93.977 93.977	15076302 428705	_	10,746	101 122
Health Research Inc NYS Department of Health	93.994	C022474	_	4,102 40,976	101,133
NYS Department of Health	93.994	C022474 C022474		1,686	_
NYS Department of Health	93.994	C025872	_	14,433	_
NYS Department of Health	93.994	C027551	_	38,429	_
NYS Department of Health	93.994	C027551	_	29,654	_
NYS Department of Health	93.994	C022457A	_	(39,335)	_
NYS Department of Health	93.994	C022457A	_	(33,109)	_
NYS Department of Health	93.994	C022457A	_	65,931	_
NYS Department of Health	93.994	C022457A	_	27,289	
NYS Department of Health	93.994	C025872		82,753	228,707
Total U.S. Department of Health and Human Services			22,107,912	49,023,229	71,131,141
Corporation for National and Community Service::					
Retired and Senior Volunteer Program	94.002		41,628	_	_
Retired and Senior Volunteer Program	94.002		1,944	_	43,572
American Association of State Colleges and Universities	94.005	N/A		(1,000)	(1,000)
NYS Office of Children and Family Services	94.006	T011730	_	2,679	2,679
Total Corporation for National and Community Service			43,572	1,679	45,251
			43,372	1,079	43,231
Social Security Administration:					
NYS Office of Children and Family Services	96.001	C004802	_	110,337	_
NYS Office of Children and Family Services	96.001	C004800	_	2,195	_
NYS Office of Children and Family Services	96.001 96.001	C005066 C005061	_	1,211	_
NYS Office of Children and Family Services NYS Office of Temporary & Disability Assistance	96.001 96.001	C005061 C021637	_	116,256 33,341	_
NYS Office of Temporary & Disability Assistance NYS Office of Temporary & Disability Assistance	96.001	C021746	_	21,532	284,872
	20.001				
Total Social Security Administration				284,872	284,872
U.S. Department of Homeland Security:					
U.S. Sailing Association	97.012	N/A	_	1,732	_
U.S. Sailing Association	97.012	N/A	_	7,500	9,232
NYS Division of Homeland Security and Emergency Management Services	97.042	T990953	_	15,364	_
NYS Division of Homeland Security and Emergency Management Services	97.042	N/A	_	32,271	_
NYS Division of Homeland Security and Emergency Management Services	97.042	N/A		26,679	74,314
Port Security Grant Program	97.056		13,470	_	13,470
Homeland Security-related Science, Technology, Engineering and Mathematics	07.104		20.756		20.756
(HS STEM) Career Development Program	97.104		20,756		20,756
Total U.S. Department of Homeland Security			34,226	83,546	117,772

 $\label{thm:continuous} See accompanying notes to supplementary schedule of expenditures of federal awards.$

Supplementary Schedule of Expenditures of Federal Awards

Year ended June 30, 2015

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through number	 Direct expenditures	Pass- through expenditures	Total expenditures by CFDA and federal grantor
Agency for International Development:					
USAID Foreign Assistance for Programs Overseas	98.001		\$ 1,639,557	_	_
USAID Foreign Assistance for Programs Overseas	98.001		2,092,577	_	_
USAID Foreign Assistance for Programs Overseas	98.001		1,317,072	_	_
USAID Foreign Assistance for Programs Overseas	98.001		3,869,864	_	_
USAID Foreign Assistance for Programs Overseas	98.001		2,750	_	_
Asia Foundation	98.001	31508400001	_	(8,656)	_
Deloitte Consulting Limited Liability Partnership	98.001	33710001SA13		14,955	8,928,119
Total Agency for International Development			8,921,820	6,299	8,928,119
Total Other Programs			56,178,358	86,134,840	142,313,198
Total Expenditures of Federal Awards			\$ 342,768,582	144,678,279	487,446,861

Notes to Supplementary Schedule of Expenditures of Federal Awards Year ended June 30, 2015

(1) Basis of Presentation

The accompanying schedule of expenditures of federal awards (the Schedule) presents the direct and indirect expenditures of federal financial assistance programs administered by The Research Foundation for The State University of New York (the RF).

The amounts reported were obtained from the RF's general ledger, which is the source of the federal financial reports and is maintained on an accrual basis. However, the Schedule is prepared on the cash basis. Negative amounts represent adjustments to expenditures reported in prior years in the normal course of business. Pass-through amounts are reported under the related Catalog of Federal Domestic Assistance (CFDA) number, as indicated on the schedule. CFDA and pass-through numbers are presented where available. Except for awards under the American Recovery and Reinvestment Act (ARRA), direct research and development awards are displayed in the Schedule in the aggregate by their federal agency subdivision.

(2) Direct and Indirect Federal Award Expenditures

Federal award expenditures consist of direct and indirect costs which are commonly referred to as facilities and administrative (F&A) costs. Direct costs are those that can be easily identified with an individual federally sponsored project. The salary of a principal investigator of a sponsored research project and the materials consumed by the project are examples of direct costs.

Unlike direct costs, indirect costs cannot be easily identified with an individual federally sponsored project. Indirect costs are the costs of services and resources that benefit both sponsored and nonsponsored projects and activities. Indirect costs consist of expenses incurred for administration, library, plant maintenance, and building and equipment depreciation.

The RF and federal agencies use an indirect cost rate to charge indirect costs to individual federally sponsored projects. The rate is a result of a number of complex cost allocation procedures that the RF uses to allocate its indirect costs to both sponsored and nonsponsored activities. Rates are negotiated with and approved by the RF's cognizant audit agency, the U.S. Department of Health and Human Services.

Notes to Supplementary Schedule of Expenditures of Federal Awards Year ended June 30, 2015

(3) Clusters of Programs

Part 5 of the OMB Circular A-133 Compliance Supplement identifies those programs that are considered to be clusters of programs as defined by OMB Circular A-133 (§____.105). These clusters of programs are Federal programs with different CFDA numbers that are closely related programs that share common compliance requirements. In addition to the Research and Development Cluster identified in the Schedule, the RF had the following additional clusters:

Program/Cluster Name		Expenditures
SNAP Cluster	\$	7,242,982
TRIO Cluster		7,260,948
TANF Cluster		14,372,712
CCDF Cluster		11,954,189
WIA Cluster		8,289
Highway Planning and Construction Cluster		16,582
Federal Transit Cluster		387
Highway Safety Cluster		1,707,009
Special Education Cluster (IDEA)		955,433
Medicaid Cluster		627,441

(4) Subrecipients

Of the federal expenditures presented in the schedule, the RF provided federal awards to subrecipients as follows (for both Research and Development and Other Programs) for the year ended June 30, 2015:

Program title	Federal CFDA number	 Research and development	Other programs
Grants for Agricultural Research, Special			
Research Grants	10.200	\$ 43,127	_
Higher Education – Institution Challenge Grants			
Program	10.217	_	126,721
Agriculture and Food Research Initiative (AFRI)	10.310	285,416	_
Forestry Research	10.652	7,839	_
National Fish and Wildlife Foundation	10.683	2,491	_
Sea Grant Support	11.417	944,040	_

Notes to Supplementary Schedule of Expenditures of Federal Awards Year ended June 30, 2015

Program title		Federal CFDA number	Research and development	Other programs
Center for Sponsored Coastal Ocean				
Research – Coastal Ocean Program	\$	11.478	8,263	
NOAA Programs for Disaster Relief	Ψ	11.170	0,203	
Appropriations Act – Non-construction and				
Construction		11.483	201,865	_
Measurement and Engineering Research and		11.103	201,003	
Standards Standards		11.609	1,677,722	_
Department of Defense		12.000	8,164	_
Basic and Applied Scientific Research		12.300	551,786	_
Military Medical Research and Development		12.420	200,666	_
Basic Scientific Research		12.431	704,372	
Basic, Applied, and Advanced Research in			,	
Science and Engineering		12.630	1,440,736	
Air Force Defense Research Sciences Program		12.800	1,000,505	
Research and Technology Development		12.910	474,456	
Central Intelligence Agency		13.000	10,867	_
Hurricane Sandy Community Development			-,	
Block Grant Disaster Recovery Grants				
(CDBG-DR)		14.269	555,697	243,981
Endangered Species Conservation and Recovery			,	,
Implementation Funds		15.657	2,456	_
Hurricane Sandy Disaster Relief Activities-FWS		15.677	30,361	_
Violence Against Women Act Court			,	
Training and Improvement Grants		16.013		34,662
H-1B Job Training Grants		17.268	_	17,859
Investing in People in The Middle East and				
North Africa		19.021	_	12,460
Bureau of Western Hemisphere Affairs (WHA)				
Grant Programs (including Energy and				
Climate Partnership for the Americas)		19.750	27,568	_
U.S. Department of Transportation		20.000	451,345	_
Highway Research and Development Program		20.200	14,317	_
Highway Planning and Construction		20.205	69,748	_
University Transportation Centers Program		20.701	2,454	_
National Aeronautics and Space Administration		43.000	139,488	
Science		43.001	375,045	10,997
Aeronautics		43.002	4,529	_
Education		43.008	1,650	_
Promotion of the Humanities_Research		45.161	35,360	_
Laura Bush 21st Century Librarian Program		45.313	4,000	_
Engineering Grants		47.041	344,568	_
Mathematical and Physical Sciences		47.049	1,065,688	_
Geosciences		47.050	169,022	_
Computer and Information Science and				
Engineering		47.070	295,560	`
Biological Sciences		47.074	4,345,980	

Notes to Supplementary Schedule of Expenditures of Federal Awards Year ended June 30, 2015

Program title		Federal CFDA number	Research and development	Other programs
Social, Behavioral, and Economic Sciences	_	47.075	113,822	
Education and Human Resources	Ψ	47.076	405,281	<u> </u>
Office of Cyberinfrastructure		47.080	6,823	<u> </u>
Trans-NSF Recovery Act Research Support		47.082	178,591	
Small Business Development Centers		59.037	170,391	3,707,006
Entrepreneurial Development Disaster		39.031		3,707,000
Assistance (Disaster Relief Appropriations		50.064		1 001 510
Act)		59.064	175 106	1,821,518
Long Island Sound Program		66.437	175,106	
Great Lakes Program		66.469	30,268	_
Science To Achieve Results (STAR) Research		< 7 00	100.450	
Program		66.509	123,463	_
Office of Science Financial Assistance Program		81.049	2,174,643	
University Coal Research		81.057	17,314	
Renewable Energy Research and Development		81.087	541,930	_
Department of Education		84.000	_	17,000
Migrant Education – State Grant Program		84.011		63,555
Fund for the Improvement of Postsecondary				
Education		84.116		55,365
National Institute on Disability and				
Rehabilitation Research		84.133	449,821	
Education Research, Development and				
Dissemination		84.305	191,815	_
Research in Special Education		84.324	196,820	_
Special Education – Personnel Development to				
Improve Services and Results for Children				
with Disabilities		84.325	48,247	_
Improving Teacher Quality State Grants		84.367	_	145,475
College Access Challenge Grant Program		84.378	_	55,578
Department of Education		84.395		101,536
Investing in Innovation (i3) Fund		84.411		59,994
Race to the Top – District Grants		84.416		54,527
Department of Health and Human Services		93.000	1,414,267	, <u> </u>
Family Smoking Prevention and Tobacco			, ,	
Control Act Regulatory Research		93.077	988	
Area Health Education Centers Point of Service				
Maintenance and Enhancement Awards		93.107	_	629,470
Maternal and Child Health Federal Consolidated				,
Programs		93.110	8,273	_
Environmental Health		93.113	713,280	_
Oral Diseases and Disorders Research		93.121	547,914	
Research Related to Deafness and		73.121	517,511	
Communication Disorders		93.173	81,719	
Research on Healthcare Costs, Quality and		73.173	01,/17	
Outcomes		93.226	25,568	
Mental Health Research Grants		93.242	1,635,374	
Woman Houtin Resourch Orang		75.474	1,033,374	

Notes to Supplementary Schedule of Expenditures of Federal Awards Year ended June 30, 2015

Program title	Federal CFDA number	Research and development	Other programs
Substance Abuse and Mental Health			
Services – Projects of Regional and National			
Significance	\$ 93.243		24,599
Occupational Safety and Health Program	93.262	213,321	´—
Complex Humanitarian Emergency and			
War-Related Injury Public Health Activities	93.269	44,307	
Alcohol Research Programs	93.273	4,691,014	
Drug Abuse and Addiction Research Programs	93.279	106,663	_
Centers for Disease Control and			
Prevention – Investigations and Technical			
Assistance	93.283	26,797	
Discovery and Applied Research for		,	
Technological Innovations to Improve			
Human Health	93.286	576,782	
Minority Health and Health Disparities Research	93.307	566,673	_
Trans-NIH Research Support	93.310	5,502	
Research Infrastructure Programs	93.351	15,818	_
Nursing Research	93.361	26,242	
Cancer Cause and Prevention Research	93.393	80,455	_
Cancer Detection and Diagnosis Research	93.394	361,983	_
Cancer Treatment Research	93.395	105,159	_
Cancer Biology Research	93.396	72,419	_
Cancer Centers Support Grants	93.397	22,467	_
Cancer Research Manpower	93.398	_	4,991
Child Support Enforcement	93.563	_	81,075
Child Care and Development Block Grant	93.575	_	1,904,288
Child Care Mandatory and Matching Funds			
of the Child Care and Development Fund	93.596	_	5,033
Child Welfare Research Training or			
Demonstration	93.648		3,289,758
Adoption Assistance	93.659	_	1,045,546
Trans-NIH Recovery Act Research Support	93.701	(120)	_
National Center for Research Resources,			
Recovery Act Construction Support	93.702	11,213,569	_
Mental and Behavioral Health Education and			
Training Grants	93.732		56,198
Empowering Older Adults and Adults with			
Disabilities through Chronic Disease			
Self-Management Education Programs			
Medical Assistance Program	93.778		126,149
Health Careers Opportunity Program	93.822	_	274,882

Notes to Supplementary Schedule of Expenditures of Federal Awards Year ended June 30, 2015

Program title	Federal CFDA number	Research and development	Other programs
Cardiovascular Diseases Research \$	93.837	36,512	13,921
Lung Diseases Research	93.838	122,149	_
Blood Diseases and Resources Research	93.839	247,352	_
Arthritis, Musculoskeletal and Skin Diseases			
Research	93.846	267,153	_
Diabetes, Digestive, and Kidney Diseases			
Extramural Research	93.847	1,266,241	_
Extramural Research Programs in the			
Neurosciences and Neurological Disorders	93.853	301,137	_
Allergy, Immunology and Transplantation			
Research	93.855	938,315	
Biomedical Research and Research Training	93.859	508,612	159,204
Child Health and Human Development			
Extramural Research	93.865	804,712	
Aging Research	93.866	127,623	
Vision Research	93.867	169,295	
Medical Library Assistance	93.879	109,782	
Special Projects of National Significance	93.928		144,047
International Research and Research Training	93.989	32,000	10,783
Maternal and Child Health Services Block			
Grant to the States	93.994		567,082
Hazard Mitigation Grant	97.039	213,563	_
United States Agency for International			
Development	98.000	38,855	
USAID Foreign Assistance for Programs			
Overseas	98.001		745,572
		\$ 39,441,685	15,607,663



KPMG LLP 515 Broadway Albany, NY 12207-2974

Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards

The Board of Directors The Research Foundation for The State University of New York:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States, the financial statements of The Research Foundation for The State University of New York (the RF), which comprise the statement of financial position as of June 30, 2015 the related statement of activities and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated September 30, 2015.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the RF's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the RF's internal control. Accordingly, we do not express an opinion on the effectiveness of the RF's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the RF's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.



Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the RF's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the RF's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



September 30, 2015



KPMG LLP 515 Broadway Albany, NY 12207-2974

Independent Auditors' Report on Compliance for Each Major Program and Report on Internal Control over Compliance Required by OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations

Board of Directors

|The Research Foundation for

The State University of New York:

Report on Compliance for Each Major Federal Program

We have audited The Research Foundation for The State University of New York's (the RF's) compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the RF's major federal programs for the year ended June 30, 2015. The RF's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of the RF's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the RF's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the RF's compliance.

Opinion on Each Major Federal Program

In our opinion, the RF complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2015.



Other Matters

The results of our auditing procedures disclosed an instance of noncompliance, which is required to be reported in accordance with OMB Circular A-133 and which is described in the accompanying schedule of findings and questioned costs as item 2015-001. Our opinion on each major federal program is not modified with respect to this matter.

The RF's response to the noncompliance finding identified in our audit is described in the accompanying schedule of findings and questioned costs. The RF's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

Report on Internal Control over Compliance

Management of the RF is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the RF's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the RF's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.



December 23, 2015

Schedule of Findings and Questioned Costs
Year ended June 30, 2015

(1) Summary of Auditors' Results

Financial Statements

- a) An unmodified opinion based on our audit was issued on the financial statements of The Research Foundation for The State University of New York (the RF) as of and for the year ended June 30, 2015.
- b) There were no significant deficiencies or material weaknesses reported in connection with the financial statements of the RF as of and for the year ended June 30, 2015.
- c) There were no instances of noncompliance reported which are material to the basic financial statements of the RF as of and for the year ended June 30, 2015.

Federal Awards

- d) There were no significant deficiencies or material weaknesses reported in connection with the major federal programs of the RF for the year ended June 30, 2015.
- e) An unmodified opinion was issued on the RF's compliance with each of its major federal programs for the year ended June 30, 2015.
- f) There is a finding required to be reported under Section 510(a) of OMB Circular A-133 for the year ended June 30, 2015.
- g) The major federal programs of the RF for the year ended June 30, 2015 are as follows:

Name of federal program	CFDA number
Research and Development Cluster	Various
SNAP Cluster	Various
Adoption Assistance	93.659
Social Services Block Grant	93.667
Biomedical Research and Research Training	93.859
TANF Cluster	Various

- h) The dollar threshold used to distinguish between Type A and Type B programs was \$3 million.
- i) The RF is considered to be a low risk auditee for the year ended June 30, 2015.

(2) Findings Relating to the Financial Statements Reported in Accordance with *Government Auditing Standards*

None.

Schedule of Findings and Questioned Costs
Year ended June 30, 2015

(3) Findings and Questioned Costs Relating to Federal Awards

Finding 2015-001

Programs: Research and Development Cluster

Federal Award Numbers: Various

Award Years: Various

Federal Agency: Various

Responsible Campus: Stony Brook

Requirement:

2 CFR 215.34(f)(3) requires a physical inventory be taken and results reconciled to the entity's equipment records every two years.

Finding and Recommendation:

At Stony Brook University, we could not obtain reasonable assurance that a complete physical inventory was performed within the two year time period based on the documentation available for audit. The campus performs the physical inventory at the departmental level on a rolling basis over a two year period. Management sends an inventory count listing to each department, requests the department to perform the inventory count and send back the results with the signature of the department head verifying the existence of each asset on the listing. These results are then summarized in a centralized database which includes date of initial inquiry, date of follow-up request and status of completion. Per review of the fiscal 2015 tracking mechanism, discussion with management, and review of inventory results, the engagement team noted the following: of the 123 departments with federally sponsored equipment, approximately 14 departments had not yet returned the inventory count listing at the time of audit. Further, management did not maintain historical tracking information for the campus, to verify if inventories were completed for these departments for inventory cycles prior to 2013.

Schedule of Findings and Questioned Costs
Year ended June 30, 2015

During the fiscal year, a Research Foundation internal audit report had identified an observation regarding a complete physical inventory within a two year time period, and subsequently implemented a management plan to complete the inventory by December 31, 2015. We recommend management ensure the completion of the management plan in place and continue to follow its inventory policies and newly implemented escalation procedures with the departments, with additional training if necessary for departmental staff on the requirements of 2 CFR 215.34.

Questioned Costs:

None.

Views of Responsible Officials and Corrective Actions:

We agree and are in the process of taking appropriate action. This finding was previously identified by Research Foundation internal auditors and was self-disclosed during the A-133 audit process. The internal audit resulted in a management action plan with an implementation date of December 31, 2015. We are on track to meet the deadline referenced in the internal audit report.

THE RESEARCH FOUNDATION FOR

THE STATE UNIVERISTY OF NEW YORK

Summary of Prior Year Findings

June 30, 2015

Prior Year Finding Reference 2014-001	Program and CFDA Number Social Services Block Grant (93.667), Supplemental Nutrition Assistance Program (10.551, 10.561)	Compliance Requirement Allowable Costs/Cost Principles	Summary of Finding The RF at Buffalo State College did not maintain specific procedures related to the treatment of and methodology for	Research Foundation management acknowledges the previous finding related to Buffalo State College's CDHS programs administered through the Research Foundation. For approximately two years representatives of the Research Foundation's central office have worked with campus leadership to review CDHS operations and to make operational changes that provide greater assurance that regulatory requirements and Research Foundation policies are adhered to. New management is in place at CDHS certain operations have been centralized as
			methodology for allocating certain costs among the multiple programs of the CDHS.	is in place at CDHS, certain operations have been centralized as part of the sponsored program office at the campus, procurement processes have been reviewed, and the methodology for compliance with regulatory obligations outlined in the OMB Uniform Guidance (formerly OMB Circular A-21) has been revised.