Exhibit C

New York State Finance Law mandates the annual reporting of certain employment data from vendors that have active consultant services agreements valued above \$15,000 with any New York State agency (including Stony Brook University Hospital).

For <u>new</u> consultant contracts (issued after 6/19/06), vendors must provide the <u>State Consultant Services Contractor's Planned Employment</u> form ("**Form A**") to the contracting agency prior to final execution of the contract. This form is provided only **once** and captures the necessary planned employment information prospectively from the start date of the contract through the end of the contract term.

For all consulting contracts, vendors must provide the <u>State Consultant Services Contractor's Annual Employment Report</u> form ("**Form B**") once each year. This form is provided **annually** and captures historical information, detailing actual employment data for the most recently concluded State fiscal year (April 1 – March 31). Form B must be completed <u>in triplicate</u> and submitted by the vendor to the NYS Department of Civil Service, the Office of the State Comptroller <u>and</u> Stony Brook University Hospital.

For Form B only, the first required reporting period will be the 2006-2007 fiscal year, April 1, 2006 – March 31, 2007. The first reports are due no later than May 15, 2007. Thereafter, reports will be due no later than May 15th of each succeeding year.

<u>Instructions for completing Form A and Form B:</u>

Form A and Form B should be completed for contracts for consulting services in accordance with the following:

Scope of Contract (Form B only): a general classification of the single category that best fits the predominate nature of the services provided under the contract.

Employment Category: the specific occupation(s), as listed in the O*NET occupational classification system, which best describe the employees providing services under the contract.

(Note: Access the O*NET database, which is available through the US Department of Labor's Employment and Training Administration, on-line at online.onetcenter.org to find a list of occupations.)

Number of Employees: the total number of employees in the employment category employed to provide services under the contract during the Report Period, including part time employees and employees of subcontractors.

Number of hours (to be) worked: for Form A, the total number of hours to be worked, and for Form B, the total number of hours worked during the Report Period by the employees in the employment category.

Amount Payable under the Contract: the total amount paid or payable by the State to the State contractor under the contract, for work by the employees in the employment category, for services provided during the Report Period.

EXHIBIT C	OSC Use Only:
	Reporting Code:
	Category Code:
	Date Contract Approved:
FORM A	
EXHIBIT C	State Consultant Services -
Contractor's Planned Employment	
From Contract Start Date Through The End Of The Contract Te	rm
State Agency Name:	Agency Code:
Contractor Name:	Contract Number:
Contract Start Date: / / Contract	t End Date: / /

Employment Cetanomy	Number of	Number of hours to be	Amount Payable Under
Employment Category	Employees	worked	the Contract
Total this page	0	0	\$ 0.00
Grand Total			

Name of person who prepared this report:		
Title:	Phone #:	
Preparer's Signature:		
Date Prepared: / /		
(Use additional pages, if necessary)	Page	of

FORM B		OSC Use Reporting Category	Code:
EXHIBIT C-FORM B Services			State Consultant
Contractor's Annual Employment Rep	ort		
Report Period: April 1, to March	31,		
Contracting State Agency Name: Contract Number: Contract Term: / / to / / Contractor Name: Contractor Address: Description of Services Being Provided:	Agency Coc	le:	
Scope of Contract (Choose one that best Analysis	h Training The IT continued The IT conti	onsulting vironmental Services er Consulting	
Employment Category	Number of Employees	Number of Hours Worked	Amount Payable Under the Contract

Name of person who prepared this report:	
Preparer's Signature:	
Title:	Phone #:
Date Prepared: / /	

0

0

Use additional pages if necessary)

Total this page

Grand Total

\$ 0.00

CONSULTANT QUESTIONNAIRE:

Firm Name & Ad	dress 2	. Year Firm Establish	led:
	3	capability or a sub-	consultant, abate
County:	Zip	asbestos as part of y and construction res Yes N	
Branch Office #1			
		County:	Zip
Branch Office #2		,	
		County:	Zin
			, licensing status, year licensed, an al that will be in charge of this proj
number of years th	hey have been with the firm. Discipline	Place an (*) by the princip. Licensed	Al that will be in charge of this proj Years with Firm (yes or no and year)
number of years th	Discipline ———————————————————————————————————	Place an (*) by the principal	Years with Firm (yes or no and year)
Name In the space provi	Discipline Discipline ded, list the name(s) of indiv	Place an (*) by the princip. Licensed idual(s) from the branch or	Years with Firm (yes or no and year) main office that will be responsible
Name In the space providesign of this projbeen with the firm	Discipline Discipline ded, list the name(s) of indivect. Indicate their discipline	Licensed Licensed idual(s) from the branch or , licensing status, year licen	Years with Firm (yes or no and year)
Name In the space providesign of this projbeen with the firm Services Question	Discipline Discipline ded, list the name(s) of indivect. Indicate their discipline or; attach similar information	Licensed Licensed idual(s) from the branch or , licensing status, year licen	Years with Firm (yes or no and year) main office that will be responsible sed, and the number of years they lead to the sed of the sed.
Name In the space providesign of this projbeen with the firm Services Question	Discipline Discipline ded, list the name(s) of indivect. Indicate their discipline a or; attach similar informationaire for Specific Projects.	Place an (*) by the princip. Licensed idual(s) from the branch or , licensing status, year licen on from Standard Form SF3	Years with Firm (yes or no and year) main office that will be responsible sed, and the number of years they land, Architect-Engineer and Related
Name In the space providesign of this projbeen with the firm Services Question	Discipline Discipline ded, list the name(s) of indivect. Indicate their discipline a or; attach similar informationaire for Specific Projects.	Place an (*) by the princip. Licensed idual(s) from the branch or , licensing status, year licen on from Standard Form SF3	Years with Firm (yes or no and year) main office that will be responsible sed, and the number of years they land, Architect-Engineer and Related Years with Firm

6. Attach a list of example projects completed within the last five years by the branch or main office that will be responsible for the design of the project. Indicate the project name, owner/contact and phone number, cost of construction, and completion date or; attach copies of Standard Form SF330, Architect-Engineer and Related Services Questionnaire.

7.	Other experience and/or qualifications relevant to the proposed project.
8.	Is the firm a Certified NYS Minority or Women Owned Business? [] yes [no] If no, what is the total number of staff employed and of this number, how many are minorities and/or women
9.	Indicate the estimated on-way mileage from the Home Office or Branch Office (whichever will provide the services) to the campus: miles.
I certify	that the foregoing are true statements.
Signatu	re:
Name:	
Title: _	
Date: _	