

# Compliance and Ethics Week

## Effort Certification

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# What is Effort Reporting?

- The mechanism that is used to confirm that salaries and wages charged to each sponsored program are reasonable in relation to the actual work performed and the activity for which the employee is compensated by the institution.

# Who Needs to Certify?

- The principal investigator for an award needs to certify his or her effort as well as the effort of any staff that contribute effort to the PI's award
- A proxy certifier may be delegated the authority to certify on behalf of someone

# Why do We Need to Monitor?

- The RF needs to be compliant with federal regulations that require a process to assure that salaries charged or cost shared to federally-funded or state-funded sponsored programs are reasonable in relation to the actual work performed
- This is something the RF's Internal Audit teams reviews during their campus audits

# Don't Worry . . . There's Help!

- Hand-out: “Run the Certification Status Report” to Monitor Effort Certifications
- Individualized training via WebEx session – send an e-mail to Liz Piga