

Guidance for Exhibit X - A Table of U.S. Federal Government Legislation and Regulations

Purpose

This document outlines the purpose of Exhibit X - a table of the U.S. federal government laws and implementing regulations that are applicable to Research Foundation contracts, agreements and purchase order terms and conditions. Exhibit X must be attached to and included in these documents, as appropriate. Exhibit X is not all inclusive of all applicable federal and state laws and regulations, and is subject to change as laws and regulations change.

Background

In order to receive federal funds, the Research Foundation must include in any contract or agreement, including purchase orders, certain minimum requirements established by federal laws and regulations, (e.g. OMB Circular A-110 Appendix A entitled Contract Provisions).

These laws and regulations are generally organized into broad categories that include, but are not limited to:

- the regulatory framework (e.g., OMB Circulars)
- antidiscrimination (e.g., Civil Rights Act of 1964)
- specific administrative requirements (e.g., Buy American Act)
- privacy (e.g., HIPAA)
- fraud waste and abuse (Conflict of Interest)
- protection of living things (e.g., Animal Welfare Act)
- environmental protection (e.g., Clean Air Act)
- data and dissemination (e.g., Export Administration Act of 1979)
- employee requirements (e.g., Occupational Safety and Health Act of 1970)
- safety and security (e.g., Select Agents).

Applicability

Contractual Arrangements

Exhibit X must be attached as the last exhibit to any contract or agreement, The letter "X" is used to identify the exhibit consistently in Research Foundation standard agreements/boilerplate language. This provides for a variable number of other exhibits to be attached to a contract or agreement without having to regularly re-label the Exhibit.

Purchase Orders

In addition to the standard Terms and Conditions of the purchase order, the vendor must assure compliance with the requirements of Exhibit X, as applicable, to the work performed or for the goods and services provided under the purchase order.

Citations

Exhibit X provides citations to the sources of the legislation and regulations (e.g., OMB Circulars, U.S. Code, Federal Register, and Code of Federal Regulations).

Date	Change History
October 2, 2007	New document.