

The Research Foundation of State University of New York

**Reports in Accordance with the Office of
Management and Budget Circular A-133**

June 30, 2008

EIN: 14-1368361

The Research Foundation of State University of New York

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PART I
FINANCIAL STATEMENTS
AND SCHEDULE OF EXPENDITURES
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Report of Independent Auditors

To the Board of Directors
The Research Foundation of State University of New York

In our opinion, the accompanying balance sheets and related statement of activities and cash flows present fairly, in all material respects, the financial position of The Research Foundation of State University of New York (the "RF") as of June 30, 2008 and 2007, and the changes in its net assets and its cash flows for the years then ended, in conformity with accounting principles generally accepted in the United States of America. These financial statements are the responsibility of the RF's management. Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audit of these statements in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

As discussed in Note 6 of the financial statements, in 2007, the RF adopted the provisions of SFAS No. 158 *Employers' Accounting for Defined Benefit and Other Post-retirement Plans*, and changed the manner in which it accounts for its post-retirement benefit obligation.

In accordance with *Government Auditing Standards*, we have also issued our report dated September 19, 2008 on our consideration of the RF's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters for the year ended June 30, 2008. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* and should be considered in assessing the results of our audit.

Our audit was conducted for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying Schedule of Expenditures of Federal Awards for the year ended June 30, 2008 is presented for purposes of additional analysis as required by U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and is not a required part of the financial statements. As described in Note 1 to the Schedule of Expenditures of Federal Awards, the accompanying Schedule of Expenditures of Federal Awards was prepared on the cash basis, which is a comprehensive basis of accounting other than accounting principles generally accepted in the United States of America. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects, on the basis of accounting described in Note 1, in relation to the financial statements taken as a whole.



September 19, 2008

The Research Foundation of State University of New York
Balance Sheets
June 30, 2008 and 2007

	2008	2007
Assets		
Current assets		
Cash and cash equivalents	\$ 219,404	\$ 647,707
Accounts receivable, net	181,514,152	149,966,645
Advances to others	-	582,105
Short-term investments	209,831,895	108,012,414
Short-term investments - designated for post-retirement benefit obligation	<u>6,180,000</u>	<u>5,314,000</u>
Total current assets	<u>397,745,451</u>	<u>264,522,871</u>
Noncurrent assets		
Long-term investments	97,656,614	124,439,126
Long-term investments - designated for post-retirement benefit obligation	75,901,790	74,723,719
Due from broker for securities sold	4,344,924	2,981,321
Fixed assets, net	22,057,515	20,637,193
Other assets	<u>2,561,983</u>	<u>2,528,653</u>
Total noncurrent assets	<u>202,522,826</u>	<u>225,310,012</u>
Total assets	<u>\$ 600,268,277</u>	<u>\$ 489,832,883</u>
Liabilities		
Current liabilities		
Accounts payable and accrued expenses	\$ 63,422,730	\$ 60,167,825
Accrued compensation	18,326,682	15,085,530
Accrued vacation	24,697,383	22,563,534
Deferred revenue	170,761,364	108,660,121
Deposits held for others	39,289,935	-
Current portion of post-retirement benefit obligation	6,180,000	5,314,000
Current portion of long-term debt	952,658	1,527,280
Line of credit	<u>25,605,729</u>	<u>14,613,865</u>
Total current liabilities	<u>349,236,481</u>	<u>227,932,155</u>
Noncurrent liabilities		
Deposits held for others	3,451,556	3,755,609
Post-retirement benefit obligation, net of current portion	206,899,000	190,835,000
Due to broker for securities purchased	5,696,074	5,753,552
Long-term debt, net of current portion	6,415,107	7,404,332
Other liabilities	<u>2,755,147</u>	<u>2,361,109</u>
Total noncurrent liabilities	<u>225,216,884</u>	<u>210,109,602</u>
Total liabilities	574,453,365	438,041,757
Net Assets		
Unrestricted	<u>25,814,912</u>	<u>51,791,126</u>
Total liabilities and net assets	<u>\$ 600,268,277</u>	<u>\$ 489,832,883</u>

The accompanying notes are an integral part of the financial statements.

The Research Foundation of State University of New York
Statements of Activities
Years Ended June 30, 2008 and 2007

	2008	2007
Revenues		
Grants awarded for research and other sponsored activities	\$ 792,493,112	\$ 790,877,032
Investment (loss) income	(7,010,444)	44,033,221
Inventions and licenses income	17,976,431	11,108,624
Other income	14,682,733	23,779,196
Total revenues	<u>818,141,832</u>	<u>869,798,073</u>
Expenses		
Sponsored programs and other activities	665,711,307	666,572,487
Administration and support	178,406,739	185,736,888
Total expenses	<u>844,118,046</u>	<u>852,309,375</u>
Net (decrease) increase in net assets before cumulative effect of change in accounting principle	(25,976,214)	17,488,698
Cumulative effect of change in accounting principle (Note 6)	<u>-</u>	<u>(51,290,000)</u>
Net decrease in net assets	(25,976,214)	(33,801,302)
Net assets at beginning of year	51,791,126	85,592,428
Net assets at end of year	<u>\$ 25,814,912</u>	<u>\$ 51,791,126</u>

The accompanying notes are an integral part of the financial statements.

The Research Foundation of State University of New York
Statements of Cash Flows
Years Ended June 30, 2008 and 2007

	2008	2007
Cash flows from operating activities		
Federal grants and contracts	\$ 494,523,449	\$ 497,430,258
State and local grants and contracts	141,228,890	114,700,479
Private gifts and grants	186,712,842	119,732,748
Other receipts	227,679,508	164,879,453
Salaries and wages payments	(377,989,136)	(363,868,262)
Employee benefits payments	(125,079,037)	(112,122,948)
Payments to suppliers/vendors	(436,505,314)	(436,266,533)
Operating interest, dividends and investment gains	10,077,676	10,115,935
Equity investment partnership income	1,833,236	1,470,652
Interest payments on capital debt/notes	(299,834)	(379,462)
Other payments	(28,047,585)	(16,194,053)
Net cash provided by (used in) operating activities	<u>94,134,695</u>	<u>(20,501,733)</u>
Cash flows from investing activities		
Proceeds from sales of investments	599,052,880	488,135,970
Purchases of investments	(697,720,477)	(467,799,916)
Equity investment partnership distribution	1,035,000	1,045,869
Cash paid for purchases of fixed assets	(6,237,008)	(3,528,429)
Net cash (used in) provided by investing activities	<u>(103,869,605)</u>	<u>17,853,494</u>
Cash flows from financing activities		
Principal payments on long-term debt	(1,685,257)	(2,123,249)
Proceeds from line of credit	53,359,225	124,926,544
Payments on line of credit	(42,367,361)	(125,312,679)
Net cash provided by (used in) financing activities	<u>9,306,607</u>	<u>(2,509,384)</u>
Net decrease in cash and cash equivalents	(428,303)	(5,157,623)
Cash and cash equivalents, beginning of year	647,707	5,805,330
Cash and cash equivalents, end of year	<u>\$ 219,404</u>	<u>\$ 647,707</u>
Reconciliation of change in net assets to net cash provided by (used in) operating activities		
Change in net assets	\$ (25,976,214)	\$ (33,801,302)
Adjustments to reconcile change in net assets to net cash provided by (used in) operating activities		
Realized and unrealized losses (gains) on investments	18,921,356	(32,446,634)
Unrealized loss, interest rate swap	360,707	21,852
Depreciation expense	4,629,552	4,304,629
Loss on disposal of fixed assets	565,034	1,286,764
Donated fixed assets	(158,500)	(37,900)
Trade in allowance	(98,000)	
Cumulative effect of change in accounting principle		51,290,000
Change in assets and liabilities		
Accounts receivable and other assets	(31,748,381)	(24,257,077)
Accrued investment income	209,120	(183,153)
Accounts payable and accrued expenses	3,254,905	9,503,174
Other accruals and other liabilities	5,575,886	3,704,025
Deferred revenue	62,101,243	(28,375,306)
Deposits held for and advances to others	39,567,987	591,724
Post-retirement benefit obligation	16,930,000	27,897,471
Net cash provided by (used in) operating activities	<u>\$ 94,134,695</u>	<u>\$ (20,501,733)</u>
Supplemental disclosure of noncash activity		
Capital lease obligation	\$ 121,400	\$ -

The accompanying notes are an integral part of the financial statements.

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

1. Organization

The Research Foundation (the "RF") is a private, non-profit corporation that supports the advancement of education, research and discovery at the State University of New York (SUNY). Established in 1951, the RF carries out its responsibilities pursuant to a formal contract with SUNY that has been in force since 1977. The RF is tax-exempt under Internal Revenue Code Section 501(c)(3).

Separate from SUNY, the RF is able to form partnerships that enhance SUNY's education, research and public service mission and spur economic development across New York State. The primary service offered by the RF is sponsored programs administration. The infrastructure in place for sponsored programs allows the RF to also administer agency funds and assist campuses with establishing affiliated corporations.

The RF comprises a central office and operating units at 30 campus locations across New York State. Sponsored program functions delegated to the campuses are conducted under the supervision of RF operations managers who are appointed by the RF's board of directors on the recommendation of the respective campus presidents.

The RF is governed by a board of directors composed of researchers, campus and system administrators, and representatives of business and industry. The chancellor of SUNY serves as chair of the board ex officio.

2. Summary of Significant Accounting Policies

Basis of Presentation

The financial statements of the RF have been prepared on the accrual basis of accounting.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make significant estimates and assumptions that affect the reported amount of net assets and disclosures of contingent assets and liabilities as of the date of the financial statements and the reported amounts of change in net assets during the reporting period. Significant estimates are used to calculate the balances for accounts payable and accrued expenses, allowance for doubtful accounts and the post-retirement benefit obligation. Actual results could differ from those estimates.

Revenue Recognition

Grants awarded for research and other sponsored activities represent exchange transactions derived from restricted grants, cost reimbursement contracts, and cooperative agreements that provide for the recovery of direct and indirect costs, subject to audit. In the opinion of management, no material adjustments are expected as a result of such audits. Grants and contracts awarded for research and other sponsored activities are recognized only to the extent of direct costs incurred or when such costs are eligible for reimbursement. Amounts received in excess of expenses are recorded as deferred revenue. The RF funds its operations primarily from recoveries of indirect costs provided from grants and contracts. Such recoveries are recorded in the year in which the direct costs are incurred or when such costs are eligible for reimbursement.

Investment income is comprised of dividends and interest, realized and unrealized gains and losses, and income from investment in equity partnerships. Realized gains and losses on the sale of investments are generally determined on the specific identification method on the trade date. In 2008, an investment loss of \$5,655,928 was recognized, related to retiree health investments. In

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2007, investment gains and losses related to retiree health investments were recorded as an offset to the post-retirement benefit obligation. Accordingly, the 2007 investment income of \$11,506,000 was reclassified as an increase to investment income and to administration and support expense.

Inventions and licenses income derives from inventions that result from sponsored research. The RF is responsible for protecting the intellectual property and commercializing these technologies as part of its technology transfer service.

Other income consists of third party service center revenue, gift income, non-sponsored income from activities such as fees for the use of the automated grants accounting system, and fees earned for administering agency funds such as fiscal and personnel staffing agreements.

Cash Equivalents

Cash equivalents include short-term, highly liquid investments with a maturity of three months or less at the time of purchase. Cash and cash equivalents included in the long-term investment portfolio are classified as long-term investments.

Investments

Investments in equity securities with readily determinable fair values and all investments in debt securities are reported at fair value based upon quoted market prices.

Hedge funds are valued using current estimates of fair value by management based on information provided by the general partner or investment manager for the respective funds. Because these investments are not readily marketable, their estimated value is subject to uncertainty and therefore may differ materially from the value that would have been used had a ready market for such investments existed.

Purchases and sales of securities are recorded on a trade-date basis. Dividend income is recorded on the ex-dividend date. Interest income is recorded on the accrual basis of accounting.

The captions "Due from broker for securities sold" and "Due to broker for securities purchased" represent investment trade activity that took place prior to year end but had not been settled by year end. The average cost of securities sold is used to determine the basis for computing realized gains or losses.

The RF does not use its investments as collateral. To minimize potential losses, the RF maintains a diversified investment portfolio and, with respect to debt instruments, has a policy of investing in primarily high quality securities with a minimum rating of BBB or equivalent. Investments are held with the investment custodian in the RF's name.

Fixed Assets

Fixed assets are stated at cost, net of accumulated depreciation, and are depreciated on a straight-line basis over the estimated useful life of the assets. Estimated useful lives with the exception of land, using historical and industry experience, range from five to 50 years.

Upon sale or retirement of fixed assets, the cost and the related accumulated depreciation are removed from the accounts and the resulting gain or loss is recorded. Depreciation expense for the years ended June 30, 2008 and 2007 was \$4,629,552 and \$4,304,629, respectively. Generally, title to equipment purchased using sponsored funds is retained by the grantor institution until such time as final disposition is determined. Accordingly, purchases of equipment charged to the respective grant or contract are not capitalized.

The Research Foundation of State University of New York

Notes to Financial Statements

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Deferred Revenue

Deferred revenue represents three types of activity: (1) surplus amounts for sponsored programs activity that occur when funds are received in advance of spending, (2) surplus amounts on balance awards which represent the balance of funds that remain after termination of a project (either grant or contract) supported by a fixed price award which must be used in the future to support research, and (3) surplus balances related to service centers which are established and maintained to provide a specific service and charge to sponsored programs and other users, in which billing rates are reviewed at a minimum of every two years and rates are adjusted prospectively to reduce surplus/deficit amounts.

Accrued Vacation

RF employees are granted vacation and sick leave in varying amounts. In the event of termination, an employee is reimbursed for accumulated vacation up to a maximum of thirty days. Employees are not reimbursed for accumulated sick leave at termination.

Reclassifications

Certain 2007 amounts have been reclassified to conform with the 2008 presentation.

Other Information

Accounts receivable as of June 30, 2008 and 2007 are reported net of an allowance for doubtful accounts of approximately \$7,000,000 and \$8,000,000, respectively.

In accordance with FASB Interpretation 39, *Offsetting of Amounts Related to Certain Contracts* (FIN39), the RF has recorded its workers' compensation accrual (for incurred but not reported claims) at the gross amount. As such, a receivable and offsetting payable has been recorded to account for losses which will be recovered through the RF's existing insurance policies. This receivable amounted to \$2,919,667 and \$2,347,173 at June 30, 2008 and 2007, respectively.

Advances to others and deposits held for others represent amounts related to agency activity at the campus and affiliated organization locations. Agency activity refers to those university-related organizations, such as campus based foundations or campus based clinical practice plans that use RF-provided human resources, payroll and purchasing and payables administrations services. Included in the non-current deposits held for others are planned gifts donated to campus foundations of approximately \$1,132,000 and \$981,000 at June 30, 2008 and 2007, respectively. At June 30, 2008, included in deposits held for others is approximately \$36,000,000 of construction funds for an affiliated organization.

Various SUNY employees perform work on RF sponsored grants. SUNY pays these employees directly, and is reimbursed by the RF on a monthly basis. The related amounts due to SUNY are included in accrued compensation and consist of both a known and estimated component. The total liability to SUNY at June 30, 2008 and 2007 is \$7,018,973 and \$6,287,910, respectively.

The RF maintains a Deferred Compensation Plan established in accordance with Section 457(b) of the Internal Revenue Code. Plan funds are a part of the general assets of the RF and are subject to claims of creditors of the RF.

The net assets of the RF primarily represent funds specified for development activity at the campuses as outlined in the RF's board-approved financial plan.

The RF assisted in the creation and expansion of the University at Albany College of Nanoscale Science and Engineering's Albany NanoTech complex. The RF leases various areas of clean room space from Fuller Road Management Corporation to facilitate research and development related activities at the University at Albany in the field of nanotechnology (see Note 3). The RF

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Notes to Financial Statements

June 30, 2008 and 2007

maintains a service center where costs are incurred for the operation of this facility and supported by recharges to sponsored programs or third party use. Agreements have been executed between the RF and various third parties for the use of tools and facilities for which the revenue is included in other income in the statement of activities.

Recently Adopted Accounting Pronouncements

Effective July 1, 2007, the RF adopted FASB Interpretation No. 48 ("FIN 48") *Accounting for Uncertainty in Income Taxes*. The adoption did not have a material effect on the financial statements.

New Authoritative Pronouncements

In September 2006, the FASB issued SFAS No. 157, *Fair Value Measurements*. The standard defines fair value, outlines a framework for measuring fair value, and details the required disclosures about fair value measurements. The standard is effective for fiscal years beginning after November 15, 2007 except for certain provisions, which were deferred for an additional year. Management is presently evaluating the impact of this pronouncement but does not believe the adoption of SFAS 157 will have a material impact on the financial statements.

In February 2007, the FASB issued SFAS No. 159, *The Fair Value Option for Financial Assets and Financial Liabilities*. The standard permits entities to choose to measure many financial instruments and certain other items at fair value. The standard is effective for fiscal years beginning after November 15, 2007. Management is presently evaluating the impact of this pronouncement but does not believe adoption of SFAS 159 will have a material impact on the financial statements.

3. Affiliated Organizations

As of June 30, 2008, the RF has fifteen active affiliated organizations that have been established to facilitate university-industry-government partnerships and accelerate the growth of sponsored program and applied research opportunities at SUNY. This is sometimes done in cooperation with campus based or related organizations. These entities limit liability to the RF and SUNY and are often able to meet a variety of needs, normally out of reach given existing structures, for one or both organizations. With the exception of Brookhaven Science Associates, LLC and Source Sentinel LLC, the RF does not have a financial interest in nor is it contingently liable to these affiliated organizations. The affiliated organizations are as follows:

Binghamton Technologies Corporation (BTC)

BTC is a private, not-for-profit corporation formed by the RF (acting on behalf of Binghamton University) to construct a high technology facility at the eastern edge of the Binghamton University campus to assist in the economic development of the region.

BioBAT, Inc.

BioBAT, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) and the New York City Economic Development Corporation to develop the Brooklyn Army Terminal into a site for biotechnology expansion, manufacturing and research. The world class facility will provide a committed location in New York City for growing biopharmaceutical companies and a place where biotechnology companies can expand and manufacture products for market, generating economic development.

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Broad Hollow Bioscience Park, Inc.

Broad Hollow Bioscience Park, Inc. is a not-for-profit corporation formed by the RF (acting on behalf of Farmingdale State College) and Cold Spring Harbor Laboratory to operate a high technology incubator facility on the Farmingdale State campus. Its purpose is to assist in the economic development of the region by attracting public and private funds to further research and biotechnology development by facilitating the transfer of biotechnology resources to the marketplace and by creating jobs.

Brookhaven Science Associates, LLC (BSA)

Brookhaven Science Associates is a not-for-profit Limited Liability Company formed by the RF (acting on behalf of Stony Brook University) and Battelle Memorial Institute. In accordance with the LLC agreement, BSA profits and losses are allocated 50% each to Battelle and the RF.

Total assets of BSA as of September 30, 2007 and 2006 are \$19,797,194 and \$19,922,820 respectively. Total equity of BSA as of September 30, 2007 and 2006 are \$5,742,934 and \$5,728,698, respectively. Total revenue for the years ended September 30, 2007 and 2006 are \$7,151,146 and \$6,949,674, respectively. Total expenses for the years ended September 30, 2007 and 2006 are \$3,484,674 and \$4,008,370, respectively. The RF records its share of the net earnings of BSA from BSA's most recent completed fiscal year. The RF records distributions received as a reduction of the investment balance.

CUBRC, Inc.

CUBRC, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of the University at Buffalo) and, as of May 2006, The University at Buffalo Foundation Incubator. The CUBRC, Inc. mission is to bring together scientists, engineers and resources from academia and industry to expand research capabilities and to provide significant growth opportunities in Western New York. Incorporated in 1983, CUBRC, Inc. competes for research programs that would not otherwise be available to the University at Buffalo.

Central New York Biotechnology Research Center (CNYBRC)

Central New York Biotechnology Research Center (CNYBRC) is a private not-for-profit corporation formed by the RF (acting on behalf of SUNY Upstate Medical University and SUNY College of Environmental Science and Forestry), Metropolitan Development Association of Syracuse and Central New York, LeMoyne College and Syracuse University. CNYBRC brings together scientists, engineers and resources from academia and industry to advance research in bioprocessing and biomanufacturing. CNYBRC promotes economic development in the Syracuse area and across New York State by supporting technology transfer, workforce development and a high-tech business incubator.

Downstate Technology Center, Inc.

Downstate Technology Center, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) in partnership with the Health Science Center at Brooklyn Foundation, Inc. to provide a vehicle for the construction of an advanced biotechnology research park adjacent to the SUNY Downstate Medical Center, to advance medical research, provide incubator space and assist in the economic development of the Borough of Brooklyn.

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Fuller Road Management Corporation (FRMC)

Fuller Road Management Corporation (FRMC) is a private, not-for-profit corporation formed by the RF (acting on behalf of the University at Albany) and the University at Albany Foundation. FRMC provides a vehicle for the construction of comprehensive research facilities that support a high-tech business incubator, promote workforce development, and encourage research, development and technology acceleration.

In May of 2005, the RF, as tenant, and FRMC, as landlord, executed an agreement for the lease of a clean room facility, which is used for nanotechnology related research and development at the University at Albany. Rent payments made by the RF pursuant to the agreement for the years ended June 30, 2008 and 2007 were \$7,014,458 and \$5,397,443, respectively. The annual rental payment may escalate annually at a rate not to exceed one percent. The term of the lease is from May 20, 2005 through September 30, 2035.

At June 30, 2008 annual minimum lease payments on the FRMC operating lease are as follows:

2009	\$	7,014,458
2010	\$	7,014,458
2011	\$	7,014,458
2012	\$	7,014,458
2013	\$	7,014,458
Thereafter	\$	163,086,149

Long Island High Technology Incubator (LIHTI)

The Long Island High Technology Incubator (LIHTI) is a private, not-for-profit corporation incorporated in 1989 by the RF (acting on behalf of Stony Brook University) and the Stony Brook Foundation, Inc. LIHTI's mission is the development of new high technology companies in a limited number of overlapping technology growth areas including biotechnology, environmental technologies, electronics, information technologies and new materials technologies.

NanoTech Resources, Inc.

NanoTech Resources, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of the University at Albany) to work with the University at Albany to help it meet its obligations in support of the Center of Excellence in Nanoelectronics by assisting in exploring and implementing corporate partnerships and securing external funding; to partner with the College of Nanoscale Science and Engineering at the University at Albany; and to link academic teaching and research with technology development.

New York Maritime College Sailing Foundation, Inc. (NYMCSF)

New York Maritime College Sailing Foundation, Inc. (NYMCSF) is a private, not-for-profit corporation formed by the RF to support sailing programs at the State University of New York Maritime College. The goal of NYMCSF is to advance the college's mission through the enhancement of the faculty, student and staff for the benefit of midshipmen and to facilitate the program's short and long-term excellence through donations of funds, equipment or boats.

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Purchase College Advancement Corporation

Purchase College Advancement Corporation is a private, not-for-profit corporation formed by the RF (acting on behalf of the State University College at Purchase) to operate facilities that will support the college's academic mission and spur economic development in the mid-Hudson region.

Source Sentinel, LLC

Source Sentinel, LLC is a limited liability company formed by the RF (acting on behalf of the College of Environmental Science and Forestry), O'Brien & Gere Limited, and Sensis Corporation. Its mission is to bring together scientists, engineers, and resources from academia and industry to develop real-time detection and monitoring products for the protection of drinking water supplies, distribution systems and treatment facilities against chemical and biological threats.

State Street Management Inc. (SSMI)

SSMI, incorporated in 1990, is a not-for-profit corporation formed by the RF (acting on behalf of the University at Albany) to purchase and hold real estate for the benefit of the Nelson A. Rockefeller Institute of Government. SSMI transferred its assets to the State of New York during 2007. This corporation was dissolved in June of 2008.

State University of New York China Representative Office, Inc.

State University of New York China Representative Office is a private, not-for-profit corporation formed by the RF to foster and develop new academic and research collaborations, programs and initiatives between the State University of New York and Chinese universities.

University at Albany Bioscience Development Corporation (UABDC)

UABDC is a private, not-for-profit corporation formed by the RF (on behalf of the University at Albany) in partnership with the University at Albany Foundation to advance the educational and research mission of the State University of New York and the University at Albany and enhance their ability to attract public and private funds by providing facilities for research and scholarly programs for the benefit of faculty, visiting scholars, students and staff.

4. Investments

Investments by type consist of the following as of June 30:

	2008	2007
Cash equivalents	\$ 107,345,453	\$ 11,390,598
U.S. government securities	35,664,865	37,165,649
Mortgage/asset-backed securities	43,642,628	45,575,941
Corporate debt securities	18,112,847	15,676,422
Common stocks	80,028,956	109,547,239
International funds	41,541,344	33,642,323
Investment in equity partnership	1,836,468	1,818,481
Hedge funds of funds	54,617,900	49,694,500
Other	6,779,838	7,978,106
Total	<u>\$ 389,570,299</u>	<u>\$ 312,489,259</u>

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Hedge fund investments consist of alternative investments in fund of funds, limited partnerships and marketable alternatives. Hedge funds may trade in derivative instruments that involve varying degrees of market risk including credit exposure, liquidity, and interest rates. Due to the level of risk associated with securities and the level of uncertainty related to changes in their value, it is possible market conditions could have a near term material effect on balances reported in the balance sheets and the statements of activities.

The RF has reviewed unrealized losses on its investments and has concluded that none represent other than temporary losses from market activities.

The following is the composition of investment (loss) income for the years ended June 30:

	2008	2007
Dividends and interest	\$ 10,077,676	\$ 10,115,935
Realized and unrealized (loss) / gain, net	(18,921,356)	32,446,634
Income from investment in equity partnership	1,833,236	1,470,652
Total return on investments	<u>\$ (7,010,444)</u>	<u>\$ 44,033,221</u>

The board of directors has designated certain investments for the purpose of funding post-retirement benefit obligations. These investments are separately disclosed on the balance sheets, and amounted to \$82,081,790 and \$80,037,719 at June 30, 2008 and 2007, respectively.

5. Fixed Assets

Fixed assets consist of the following at June 30:

Fixed asset classification	Fixed Assets July 1, 2007	Additions	Retirements	Fixed Assets June 30, 2008
Building	\$ 6,500,000	\$ -	\$ -	\$ 6,500,000
Office furniture and equipment	33,201,978	6,279,132	2,615,070	36,866,040
Information systems	32,545,542	335,776	242,250	32,639,068
Total fixed assets	<u>72,247,520</u>	<u>6,614,908</u>	<u>2,857,320</u>	<u>76,005,108</u>
Less accumulated depreciation				
Building	650,000	130,000	-	780,000
Office furniture and equipment	21,872,298	2,990,017	2,074,261	22,788,054
Information systems	29,088,029	1,509,535	218,025	30,379,539
Total accumulated depreciation	<u>51,610,327</u>	<u>4,629,552</u>	<u>2,292,286</u>	<u>53,947,593</u>
Fixed assets, net	<u>\$ 20,637,193</u>	<u>\$ 1,985,356</u>	<u>\$ 565,034</u>	<u>\$ 22,057,515</u>

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

6. Post-Retirement Benefit Obligation

The RF sponsors a defined benefit post-retirement plan that covers substantially all non-student employees. The plan provides post-retirement medical benefits and is contributory for employees hired after 1985. Retirees who were employed after 1985 are subject to the same cost sharing requirements as active employees. Annual allocations are made by management pursuant to a funding policy established by the board of directors. The assets are held in an unrestricted investment account designated by the board of directors; however are not considered plan assets under FASB Statement No. 106, *Employers' Accounting for Post-retirement Benefits Other Than Pensions*. As of June 30, 2008 and 2007, the earmarked reserves totaled \$82,081,790 and \$80,037,719, respectively. There are 6,321 participants in the plan as of July 1, 2007.

Contributions by the RF consisted of \$7,700,000 to increase plan reserves and \$4,580,000 for payment of plan benefits during the year ended June 30, 2008. Contributions by the RF consisted of \$7,700,000 to increase plan reserves and \$3,994,000 for payment of plan benefits for the year ended June 30, 2007. Contributions by the RF are expected to be approximately \$7,700,000 to increase plan reserves and \$6,180,000 for payment of plan benefits for the year ended June 30, 2009.

In 2007, the RF adopted Financial Accounting Standards Board Statement No. 158, *Employers' Accounting for Defined Benefit Pension and Other Post-retirement Plans*. This statement improves financial reporting by requiring the recognition of the over funded or under funded status of a defined benefit post-retirement plan in the balance sheet and the recognition of changes in that funded status in the year in which the changes occur, in unrestricted net assets. The adoption of SFAS No. 158 resulted in a \$51,290,000 adjustment to net assets, which was primarily a result of recognition of previously unrecognized actuarial losses and unrecognized prior service credits.

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

The following table sets forth the plan's funded status reconciled with the amount shown in the RF's financial statements at June 30: (amounts rounded to the nearest thousand)

	2008	2007
Change in benefit obligation		
Benefit obligation at beginning of year	\$ 196,149,000	\$ 176,844,000
Service cost	9,957,000	9,879,000
Interest cost	12,411,000	11,234,000
Plan participants' contributions	204,000	108,000
Retiree Drug Subsidy receipts	132,000	197,000
Actuarial (gain)/loss	(990,000)	1,989,000
Benefits paid	(4,784,000)	(4,102,000)
Benefit obligation at end of year	<u>\$ 213,079,000</u>	<u>\$ 196,149,000</u>
Change in plan assets		
Fair value at beginning of year	\$ -	\$ -
Employer contributions	4,580,000	3,994,000
Plan participants' contributions	204,000	108,000
Benefits paid	(4,784,000)	(4,102,000)
Fair value of plan assets at end of year	<u>\$ -</u>	<u>\$ -</u>
Funded status	\$ (213,079,000)	\$ (196,149,000)
Unrecognized transition obligation	-	-
Unrecognized prior service credit	-	-
Unrecognized net actuarial loss	-	-
Net amount recognized	<u>\$ (213,079,000)</u>	<u>\$ (196,149,000)</u>
Amounts recognized in the balance sheet		
Current liability	\$ (6,180,000)	\$ (5,314,000)
Noncurrent liability	\$ (206,899,000)	\$ (190,835,000)
Amounts recognized in unrestricted net assets		
Net transition (obligation)	\$ (1,107,000)	\$ (1,662,000)
Prior service credit	\$ 1,397,000	\$ 1,676,000
Net actuarial (loss)	\$ (46,523,000)	\$ (50,519,000)

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

	2008	2007
Weighted-average assumptions used to determine benefit obligation		
Discount rate at end of year	6.84%	6.33%
Components of net periodic benefit cost		
Service cost	\$ 9,957,000	\$ 9,879,000
Interest cost	12,411,000	11,234,000
Amortization of:		
Transition obligation	555,000	555,000
Prior service (credit)	(279,000)	(279,000)
Actuarial loss	3,006,000	3,551,000
Net periodic post-retirement benefit cost	<u>\$ 25,650,000</u>	<u>\$ 24,940,000</u>
Weighted-average assumptions used to determine net periodic benefit cost		
Discount rate for the year	6.33%	6.25%
Other changes in plan assets and benefit obligations recognized in unrestricted net assets		
FAS 158 change in accounting principle	\$ -	\$ 51,290,000
New prior service (cost)/credit		
New net actuarial (loss)/gain	990,000	
Amortization of:		
Transition obligation/(asset)	555,000	
Prior service cost/(credit)	(279,000)	
Actuarial loss/(gain)	3,006,000	
Total recognized in nonoperating (income)/expense	<u>\$ 4,272,000</u>	<u>\$ 51,290,000</u>
Expected amounts amortized from unrestricted net assets into net periodic benefit cost for fiscal year ending 2009		
Transition obligation	\$ (555,000)	
Prior service (credit)	\$ 279,000	
Actuarial loss	\$ (2,292,000)	

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

Estimated Gross Benefit Payments (net of participants' contributions)	
Fiscal year ending 2009	\$ 6,180,000
Fiscal year ending 2010	7,253,000
Fiscal year ending 2011	8,390,000
Fiscal year ending 2012	9,676,000
Fiscal year ending 2013	10,973,000
Fiscal year ending 2014 - 2018	77,211,000
Estimated Gross Amount of Subsidy Receipts	
Fiscal year ending 2009	\$ 433,000
Fiscal year ending 2010	512,000
Fiscal year ending 2011	606,000
Fiscal year ending 2012	721,000
Fiscal year ending 2013	861,000
Fiscal year ending 2014 - 2018	6,794,000
Estimated Net Benefit Payments	
Fiscal year ending 2009	\$ 5,747,000
Fiscal year ending 2010	6,741,000
Fiscal year ending 2011	7,784,000
Fiscal year ending 2012	8,955,000
Fiscal year ending 2013	10,112,000
Fiscal year ending 2014 - 2018	70,417,000

For measurement purposes, the initial trend rates vary by coverage. The health maintenance organization (HMO) rate is 9%, the preferred provider organization (PPO) Medical Pre-65 rate is 7.0%, the PPO Medical Post-65 rate is 7.0% and administrative fees are 5%. Trend rates grade down to an ultimate rate of 5% in 2017 and later.

Assumed health care cost trend rates have a significant effect on the amounts reported for the health care plans. A one-percentage point change in assumed health care cost trend rates would have the following effects:

	1-Percentage Point Increase	1-Percentage Point Decrease
Effect on total service and interest cost components	\$ 4,511,000	\$ (3,581,000)
Effect on post-retirement benefit obligation	\$ 36,574,000	\$ (29,727,000)

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

7. Retirement Plan

The RF maintains a non-contributory defined contribution retirement plan for substantially all non-student employees. Contributions are based on a percentage of earnings and range from 8% to 15%, depending on date of hire. In addition, the RF provides an additional pension contribution if an employee retires and meets the age and service requirements for retiree health insurance. This additional contribution is calculated by multiplying the value of the employee's accrued sick leave, up to a maximum of 200 days, by the employee's contribution rate at the time of retirement. Employees become fully vested in contributions made by the RF after the completion of three years of service. Contributions are allocated to individual employee accounts. Vested participants have the option of having contributions to their accounts deposited in either the Teachers Insurance and Annuity Association ("TIAA"), which offers a guaranteed income account, or in the College Retirement Equities Fund ("CREF"), which offers an assortment of mutual funds, stocks, bonds, real estate, and money market investments. The payroll for RF employees covered by TIAA/CREF for the year ended June 30, 2008 was \$337,674,000. The RF pension contributions were approximately \$27,515,000 and \$25,587,000 for the years ended June 30, 2008 and 2007, respectively. These contributions are equal to 100% of the required contributions for the year.

8. Long-Term Debt and Other Long-Term Liabilities

The RF entered into an agreement during 2002 with the City of Albany Industrial Development Agency (IDA) whereby the IDA issued both a taxable and a tax exempt series of bonds for the purpose of providing funds to acquire a parcel of real estate together with the existing building thereon. The RF occupies and conducts most of its central office operations from this location. At June 30, 2008, the tax exempt bond debt totaled \$5,600,000 with maturity in 2032 at an interest rate of 1.64% at June 30, 2008. At June 30, 2008, the taxable bond debt totaled \$95,000 with maturity in 2009 at an interest rate of 2.98%. Subsequent to June 30, 2008, the bonds will bear interest at variable rates as established by the remarketing agent. The adjustable rate for the tax exempt bonds will not exceed 12% per annum and the adjustable rate for the taxable bonds will not exceed 15% per annum.

In January 2006, the RF entered into an interest rate swap agreement with the Bank of New York (BNY) wherein the RF agreed to pay BNY a fixed rate of interest equal to 3.615% on the tax exempt bond issuance total of \$5,600,000 and to receive from BNY a payment equal to 70% of the London Interbank Offered Rate (LIBOR) as published by the British Banker's Association (BBA). The monthly interest rate is calculated using the weighted average method applied to the daily USD-LIBOR-BBA rate. The agreement expires coincident with the maturity of the bonds in 2032. The RF's net benefit or obligation under the swap agreement is accounted for as an asset or liability at fair value in the financial statements. The estimated fair value of the swap at June 30, 2008 was (\$193,163) and is included within other liabilities in the accompanying balance sheet. The estimated fair value of the swap at June 30, 2007 was \$167,544 and is included within other assets in the accompanying balance sheet.

The RF is also contractually obligated per long-term lease agreements for capital equipment.

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

A summary of long-term debt as well as other long-term liabilities is as follows:

	Balance July 1, 2007	Additions	Reductions	Balance June 30, 2008	Current Portion
Long-term debt:					
Lease obligations	\$ 3,086,612	\$ 121,400	\$ 1,535,247	\$ 1,672,765	\$ 952,658
Bonds payable	5,845,000	-	150,000	5,695,000	-
Total long-term debt	<u>8,931,612</u>	<u>121,400</u>	<u>1,685,247</u>	<u>7,367,765</u>	<u>952,658</u>
Other long-term liabilities:					
Post-retirement benefit obligation	196,149,000	21,713,912	4,783,912	213,079,000	6,180,000
Other liabilities	2,361,109	394,038	-	2,755,147	-
Deposits held for others	3,755,609	459,683	763,736	3,451,556	-
Due to broker for securities purchased	5,753,552	5,696,074	5,753,552	5,696,074	-
Total other long-term liabilities	<u>208,019,270</u>	<u>28,263,707</u>	<u>11,301,200</u>	<u>224,981,777</u>	<u>6,180,000</u>
Total long-term liabilities	<u>\$ 216,950,882</u>	<u>\$ 28,385,107</u>	<u>\$ 12,986,447</u>	<u>\$ 232,349,542</u>	<u>\$ 7,132,658</u>

Future payments on long-term debt as of June 30, 2008, are as follows:

Fiscal Year	Bonds Payable-Building		Capital Leases		Total	
	Principal	Interest	Principal	Interest	Principal	Interest
2009	-	94,671	952,658	52,323	952,658	146,994
2010	155,000	90,856	666,952	15,837	821,952	106,693
2011	165,000	88,150	34,712	3,692	199,712	91,842
2012	170,000	85,362	18,443	536	188,443	85,898
2013	175,000	82,492			175,000	82,492
2014-18	965,000	366,048			965,000	366,048
2019-23	1,145,000	278,226			1,145,000	278,226
2024-28	1,340,000	174,906			1,340,000	174,906
2029-33	1,580,000	53,628			1,580,000	53,628
Total	<u>\$ 5,695,000</u>	<u>\$ 1,314,339</u>	<u>\$ 1,672,765</u>	<u>\$ 72,388</u>	<u>\$ 7,367,765</u>	<u>\$ 1,386,727</u>

Interest rates range from
1.64% to 2.98%

Interest rates range from
3.73% to 9.78%

Line of Credit

The RF maintains an unsecured line of credit in the amount of \$80,000,000 of which \$25,605,729 was used as of June 30, 2008.

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

9. University at Buffalo Foundation Services, Inc.

The RF has an agreement with the University at Buffalo Foundation Services, Inc. (UBFS) to enable UBFS to enter into contracts and accept grants for certain sponsored programs (generally excluding federal, New York State and foreign) on behalf of the State University of New York at Buffalo. Under this agreement, UBFS serves as an agent for the RF. Activity through UBFS amounted to approximately \$5,519,000 and \$3,959,000 for the years ended June 30, 2008 and 2007, respectively.

10. Administration and Support

Administration and support expenses are funded primarily from revenue received from grants for the reimbursement of facilities and administrative (F&A) costs. F&A costs are overhead expenses incurred to support sponsored research and other sponsored programs within the RF. Expenses incurred include sponsored program support and development, institutional and departmental support, academic support, maintenance and operations and administrative costs.

11. Revenues

Revenue, by type, consists of the following for the years ended June 30:

	2008	2007
Federal grants and contracts	\$ 512,319,035	\$ 507,836,783
State grants and contracts	123,454,667	139,023,333
Local grants and contracts	12,145,160	12,352,061
Private grants and contracts	144,574,250	131,664,855
Investment income	11,343,850	11,586,587
Net realized and unrealized (loss) / gain	(18,354,294)	32,446,634
Gifts	16,740	184,892
Capital gifts and grants	158,500	130,000
Inventions and licenses income	17,976,431	11,108,624
Other income	14,507,493	23,464,304
	<hr/>	<hr/>
Total Revenues	\$ 818,141,832	\$ 869,798,073
	<hr/>	<hr/>

The Research Foundation of State University of New York
Notes to Financial Statements
June 30, 2008 and 2007

12. Functional Expenses

Expenses, by function, consist of the following for the years ended June 30:

	2008	2007
Instruction	\$ 120,495,085	\$ 123,728,469
Research	380,718,722	416,580,217
Public service	136,433,410	120,893,320
Academic support	13,142,637	11,307,576
Student services	2,272,219	1,893,196
Operations and maintenance	33,641,834	18,945,602
Institutional support	149,155,613	148,131,125
Scholarships and fellowships	2,655,282	4,251,881
Auxiliary enterprises	108,824	699,234
Depreciation and amortization expense	4,629,552	4,304,629
Interest expense on capital related debt	299,834	379,462
Loss on disposal of plant assets	565,034	1,194,664
	<hr/>	<hr/>
Total Expenses	\$ 844,118,046	\$ 852,309,375
	<hr/> <hr/>	<hr/> <hr/>

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Research and Development Cluster					
Department of Agriculture					
Agricultural Research Service	10		\$ 43,501	\$ -	\$ -
Cooperative State Research Service	10		1,074,762		
Foreign Agricultural Service	10		4,633		
Forest Service	10		596,233		
University of Arizona	10	PO # Y452464		27,164	
NYS Department of Environmental Conservation	10.025	AMOU4981		28,060	
Environmental Defense	10.089			(2,500)	
NYS Department of Environmental Conservation	10.202	AMO4981 #14		55,077	
Iowa State University	10.206	2006-35102-17206		1,784	
Virginia Polytechnic Institute and State Univ	10.206	CR19191428082		(3,947)	
Broadway Market Management Corporation	10.225			7,027	
Kansas State University	10.500	S08077		89,424	
Virginia Polytechnic Institute and State Univ	10.500	CR19191428142		(1,285)	
Virginia Polytechnic Institute and State Univ	10.5	CR19191A428142		(24)	
University of Maine at Orono	10.652	UMS678		5,706	
University of Vermont	10.652	17415		10,023	
University of Vermont	10.652	FM87		3,887	
University of Vermont	10.652	FM87191101 FS05CA11242343093		34,720	
University of Vermont	10.652	21300		38,662	
Watershed Agricultural Council	10.652	0220		17,148	
Watershed Agricultural Council	10.652	0219		11,727	
Northern Economics Initiatives Corporation	10.664	SO543		1,067	
Yale University	10.678	Y070001		62,855	
Total Department of Agriculture			1,719,129	386,675	2,105,704
Department of Commerce					
Department of Commerce	11		266,296		
Economic Development Administration	11		57,188		
National Institute of Standards and Technology	11		208,150		
National Oceanic and Atmospheric Administration	11		3,527,282		
NOAA Great Lakes Environmental Research Laboratory	11		1,890		
University of North Carolina	11			27,710	
Public Works and Economic Development Cluster:					
Bronx Overall Economic Development Corporation	11.307			22,736	
Public Works and Economic Development Cluster Subtotal:					22,736
Ohio State University Research Foundation	11.417	RF01110243		38,014	
University of Connecticut	11.417			8,624	
University of Vermont	11.417	NOAA NA18RG2206 UVM ID 17186		3,758	
University of Vermont	11.417	19802		90,285	
University of Vermont	11.417			(11,317)	
University of Connecticut	11.417	5495 FRS527480		55	
Cornell University	11.417	516998564		1,563	
University of Louisiana at Lafayette	11.419	030271		11,346	
Rutgers University	11.430	PO 903071/4-25967/10255/3154		6,415	
Columbia University	11.431	NA040AR4310185-1		1,217	
Earth Resources Technology	11.431	8405SUNY1		34,432	
South Carolina Sea Grant Consortium	11.432	R103		4,022	
Rutgers University	11.455	P-S855477/RU4-28292/10255		64,288	
Rutgers University	11.455	PO S972646/RU 4-21892Orq 10255		704	
Marine Conservation Biology Institute	11.463			3,565	
University Corporation for Atmospheric Research	11.467	S0766811		5,270	
University Corporation for Atmospheric Research	11.467	S0766814		18,085	
University Corporation for Atmospheric Research	11.467	S0558395		2,729	
Susquehanna River Basin Commission	11.467			28,859	
University of Rhode Island	11.472	0128070001224		60,341	
University of Tennessee	11.478	OR863600101		340	
Howard University	11.481	633878040908		9,989	
Howard University	11.481	631017H040948		134,765	
Battelle Memorial Institute	11.609	207945		100,229	
Neuropace Incorporated	11.612	70AANB7H7001		58,397	
Total Department of Commerce			4,060,806	723,421	4,784,227
Department of Defense					
Air Force Office of Scientific Research	12		2,482,109		
Air Force Research Laboratory	12		797,633		
Air Force Rome Lab Griffis Air Force Base	12		451,083		
Army Armament Research and Development Command	12		29,387		
Army Corps Engineers	12		89,317		
Army Humphreys Engineer Center Support Activity	12		273,563		
Army Medical Research Acquisition Activity	12		3,548,152		
Army Medical Research and Materiel Command	12		576,717		
Army Natick Soldier Center	12		266,136		
Army Picatinny Arsenal	12		11,048		
Army Research Development & Engineering Command	12		3,215,587		
Army Research Laboratory	12		35,660		
Army Research Office	12		572,544		
Navy Experimental Diving Unit	12		934,855		
Navy Office of Naval Research	12		5,930,312		
Navy Research Laboratory	12		12,728		
Navy Submarine Medical Research Laboratory	12		168,920		
Defense Threat Reduction Agency	12		31,782		

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
National Geospatial Intelligence Agency	12		29,694		
National Security Agency	12		97,758		
Microtech Incorporated	12	20071		21,507	
AMBP Tech Corporation	12	AT4101203010701		42,363	
AT & T Government Solutions	12	S000001597		102,530	
BBN Technologies Corporation	12	HR0011-08-C-0004		64,127	
CUBRC Incorporated	12	07632-02		70,454	
CUBRC Incorporated	12	07609-01		12,634	
CUBRC Incorporated	12	06839090		23,465	
CUBRC Incorporated	12	07608-01		15,853	
CUBRC Incorporated	12	07626-01		39,108	
CUBRC Incorporated	12	06826-04		16,902	
CUBRC Incorporated	12	06751-02		84,540	
CUBRC Incorporated	12	06836-06		48,420	
CUBRC Incorporated	12	06832-05		33,361	
CUBRC Incorporated	12	06823-02		27,625	
CUBRC Incorporated	12	06826-01		135,175	
CUBRC Incorporated	12	06820-01		45,045	
CUBRC Incorporated	12	06813-01		53,620	
CUBRC Incorporated	12	06751-01		(77,433)	
Charles River Analytics Inc	12	20060130 / SC044401		104	
Fibertek Incorporated	12	224058 51886		50,912	
Global Contour Ltd	12	06-ACOE-FSD-Part 1		147,053	
IAP Research Incorporated	12	P.O. # 37721		14,492	
University of California at Santa Barbara	12	KK5122		(238)	
University of Maryland	12	Z995501		62,008	
University of Maryland	12	TBN		30,864	
Science Applications International Corp	12	4400115464		27,174	
Malcolm Pirnie	12	2118100		94,455	
US Display Consortium	12.114	RFP04-111		24,839	
Omnitek Partners LLC	12.114			7	
International Electronic Machines Corporation	12.300			89,678	
MTECH Laboratories LLC	12.300	N0001406C0372		167,465	
Dartmouth College	12.300	501086.5000.B00225		44,338	
Johns Hopkins University	12.300	2000061359		35,205	
Johns Hopkins University	12.300	2000061392		93,672	
Johns Hopkins University	12.300	2000061398		40,667	
Atmospheric and Environmental Research Inc	12.300	N0001406M0248/PO 2007-07/024		5,472	
Dartmouth College	12.300	500959 5000 P00010		141,843	
Dow Corning Corporation	12.300	N0001407C0918		54,855	
Florida Atlantic University	12.300	SRF37		97,434	
Maxion Technologies Incorporated	12.300	MaxionMDA20060001		151,347	
Physical Sciences Inc	12.300	37175290946		1,612	
Physical Sciences Inc	12.300	43002155046		79,333	
Plasma Technology Inc	12.300			2,779	
Plasma Technology Inc	12.300			98,707	
Plasma Technology Inc	12.300			8,915	
VeroModo Incorporated	12.300			67,963	
Duke University	12.300	N6500102C8022		2,116	
Johns Hopkins University	12.300	2000061397		(23,291)	
Health Research Inc	12.420	154001		29,421	
Health Research Inc	12.420	17003801		14,912	
Georgetown University	12.420	RX 4400-855-SUNY		129,263	
Georgetown University	12.420	RX440-188-SUNY		48,972	
Health Research Inc	12.420	57-8045-03		10,593	
University of Puerto Rico	12.420	W81XWH0710329		57,701	
Rutgers University	12.420	00003420		8,050	
Silent Spring Institute Incorporated	12.420	W81XWH0610621		21,906	
Hypres Incorporated	12.431	HYPN0001407M0369		34,235	
Mesoscribe Technologies Inc	12.431	16722		73,602	
Smartronix	12.431	SMX062016SUNY		45,922	
Mesoscribe Technologies Inc	12.630	FA865007C3715		22,131	
Research Foundation of City University of New York	12.800	712010001A		16,000	
Advanced Technical Concepts Incorporated	12.800	Agreement #5516		30,439	
Advanced Technical Concepts Incorporated	12.800	6119		3,764	
NSC Technology	12.800	Subcontract agreement		133,822	
California Institute of Technology	12.800	52-1069108		393,971	
ITT Industries	12.800	200821J		42,155	
EIC Laboratories Incorporated	12.800	FA865007C5306		24,603	
Molecular Imprints Incorporated	12.800	MII200801		22,140	
Power Photonic Corporation	12.800			86,600	
Power Photonic Corporation	12.800			51,357	
Sensor Electronic Technology Incorporated	12.800			13,099	
University of Maryland	12.800	Z803701		35,347	
CACI Technologies Inc	12.800	C07071615		12,215	
Wyle Laboratories Incorporated	12.800	RC00035522		60,197	
Wyle Laboratories Incorporated	12.800	RC00035522		54,684	
BBN Technologies Corporation	12.910	9500009016		91,090	
IBM Corporation	12.910	W0141280		149,292	
Starfire Systems Incorporated	12.910			(19,371)	
Starfire Systems Incorporated	12.910			137,830	
Syracuse University	12.910	22578-01531-SO1		15,023	
SRI International	12.910	71000133		61,409	
University of California at Los Angeles	12.910	0160GEE764		81,230	
Sekos Incorporated	12.910	Protocol No. 0402205		(90)	
Total Department of Defense			19,556,985	4,364,590	23,921,576

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Central Intelligence Agency					
Central Intelligence Agency	13		539,120		
Science Applications International Corp	13	4400122120		60,805	
Illinois Institute of Technology	13	SA325-0706-5053		28,576	
Total Central Intelligence Agency			539,120	89,381	628,501
Department of Housing and Urban Development					
US Department of Housing and Urban Development	14		58,003		
Erie County	14			19,483	
Environmental Education Associate	14.903			18,061	
Total Department of Housing and Urban Development			58,003	37,544	95,547
Department of the Interior					
Department of the Interior	15		12,286		
Fish and Wildlife Service	15		52,966		
Geological Survey	15		86,002		
National Park Service	15		508,760		
NYS Department of Environmental Conservation	15	AM06189		44,925	
NYS Department of Environmental Conservation	15	AM06254		31,829	
NYS Department of Environmental Conservation	15	AM06600		56,360	
Fish and Wildlife Cluster:					
NYS Department of Environmental Conservation	15.611	AM06609		1,941	
NYS Department of Environmental Conservation	15.611	AM06396		250,638	
NYS Department of Environmental Conservation	15.611	AM05643		244,753	
NYS Department of Environmental Conservation	15.611	AMOU05196		4,072	
Fish and Wildlife Cluster Subtotal:					501,404
NYS Department of Environmental Conservation	15.625			22,970	
NYS Department of Environmental Conservation	15.630	AM06757		21,286	
Texas Parks and Wildlife	15.634	T-43		27,611	
SUNY College at Cortland	15.634	MOUAM05080		16,471	
NYS Department of Environmental Conservation	15.634	AM05770		72,615	
NYS Department of Environmental Conservation	15.634	AM05841		10,068	
NYS Department of Environmental Conservation	15.634	AM05723		17,841	
NYS Department of Environmental Conservation	15.634	AM05951		27,150	
NYS Department of Environmental Conservation	15.634	AM05950		9,711	
NYS Water Resources Institute at Cornell Univ	15.805	511428409		12,646	
NYS Water Resources Institute at Cornell Univ	15.805	511428408		18,734	
Cornell University	15.805	467107485		7,287	
Cornell University	15.805	511428121		159	
BBN Technologies Corporation	15.808	W157T06CP638		132,570	
NYS Department of Environmental Conservation	15.808	AM05176		(256)	
NYS Department of Environmental Conservation	15.808	AM05176		132,313	
SUNY College of Env Sci & Forestry	15.808			287	
Total Department of the Interior			660,014	1,163,991	1,824,005
Department of Justice					
Department of Justice	16		1,338,557		
University of Colorado	16.540	0000049269		87,049	
University of Colorado	16.540	0000059916		64,747	
General Electric Global Research	16.560	A02700155803		100,118	
University of Missouri - St Louis	16.560	2006JVF0011		55,684	
University of Notre Dame	16.580	200942		42,718	
Total Department of Justice			1,338,557	360,316	1,688,873
Department of Labor					
WIA Cluster					
CNY Works Incorporated	17.258	S196		36,094	
WIA Cluster Subtotal:					36,094
Manufacturer's Association of Central NY Inc	17.261	AH136840460		160,392	
Total Department of Labor				196,486	196,486
Federal Reserve System					
Federal Reserve Bank of New York	18		32,338		
Total Federal Reserve System			32,338		32,338
Department of State					
Department of State	19		8,906		
Total Department of State			8,906		8,906
Department of Transportation					
Federal Highway Administration	20		1,718,321		
Federal Aviation Administration	20		37,072		
Federal Transit Administration	20		492		
National Transportation Safety Board	20		125,524		
Arora and Associates PC	20	DTFH61-06-D-00037		94,456	
Highway Planning and Construction Cluster:					
NYS Education Department	20.205	C008795		2,190,147	
Stantec Consulting Services Inc	20.205	BIN: 3341050 PIN: 7752.83		2,198	
Highway Planning and Construction Cluster Subtotal:					2,192,345

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
McFarland and Johnson Engineers Incorporated	20.219	17047.00		2,010	
Research Foundation of City University of New York	20.502	566570519		61,171	
Research Foundation of City University of New York	20.502			12,731	
Research Foundation of City University of New York	20.502	497771617		47,696	
Research Foundation of City University of New York	20.502	566570200		89,138	
Research Foundation of City University of New York	20.502	49777-15-18		5,000	
Research Foundation of City University of New York	20.502	49777-20-05		26,539	
Research Foundation of City University of New York	20.502	566570117		61,365	
Wendel Duchscherer Architects and Engineers PC	20.615	PIN 5756.29		21,103	
Total Department of Transportation			1,881,409	2,613,563	4,494,962
Department of the Treasury					
Department of the Treasury	21		69,297		
Total Department of the Treasury			69,297	-	69,297
US Postal Service					
US Postal Service	22		1,394,107		
Lockheed Martin	22	DW461522		163,731	
Total US Postal Service			1,394,107	163,731	1,557,838
National Aeronautics and Space Administration					
Ames Research Center	43		3,241,176		
Goddard Space Flight Center	43		3,277,320		
John C Stennis Space Center	43		67,913		
John H Glenn Research Center at Lewis Field	43		452,936		
Langley Research Center	43		212,178		
Lunarand Planetary Institute	43		8,972		
Lyndon B Johnson Space Center	43		654,263		
Washington	43		177,777		
Space Telescope Science Institute	43		(23,311)		
Jet Propulsion Laboratory	43	1331598		10,536	
Research Foundation of City University of New York	43	496620001B		39,760	
Science Systems and Applications Incorporated	43	261607006		61,169	
National Institute of Aerospace	43	X08-7040-BIN		53,593	
Cornell University	43	48240-7891		17,262	
University of Pittsburgh	43	0005000 4042002		3,817	
Space Telescope Science Institute	43	HSTGO1055603A		16,606	
Space Telescope Science Institute	43	HSTAR1068704A		403	
Space Telescope Science Institute	43	HSTAR1067304A		6,407	
South Dakota State University	43	3F3338		15,734	
National Space Biomedical Research Institute	43.001	46006259D6 Project IH00406		1,149	
California Institute of Technology	43.001	1276210		271	
Jet Propulsion Laboratory	43.001	1250924		18,944	
Jet Propulsion Laboratory	43.001	1264915		564	
Jet Propulsion Laboratory	43.001	1282221		27,561	
Jet Propulsion Laboratory	43.001	1287721		81,332	
Jpl Caltech	43.001	1242685		74,363	
Metron Aviation Incorporated	43.001	SUNY000533CN706		35,543	
Metron Aviation Incorporated	43.001	SUNY000436CN707		90,800	
Metron Aviation Incorporated	43.001	SUNY000384C6B98		65,480	
Metron Aviation Incorporated	43.001	SUNY000229T6B57		42,841	
Metron Aviation Incorporated	43.001	SUNY0001020756B08		1,204	
Montana State University	43.001	G25008W1818		3,484	
National Space Biomedical Research Institute	43.001	TD00405		162,386	
National Space Biomedical Research Institute	43.001	TD00401		34,054	
National Space Biomedical Research Institute	43.001	TD00405		199,963	
Smithsonian Astrophysical Observatory	43.001	AR78003B		14,999	
Smithsonian Astrophysical Observatory	43.001	GO78108B		201	
Smithsonian Astrophysical Observatory	43.001	GO67016B		2,896	
Smithsonian Astrophysical Observatory	43.001	DD67035X		7,269	
Smithsonian Astrophysical Observatory	43.001	GO66015B		106	
Space Science Institute	43.001	SPO00321		493	
Space Telescope Science Institute	43.001	HSTGO0975601A		10	
Space Telescope Science Institute	43.001	HSTGO1062301A		19,099	
Space Telescope Science Institute	43.001	HSTGO1059201A		139,393	
Space Telescope Science Institute	43.001	HSTGO1024603A		33,339	
Space Telescope Science Institute	43.001	HSTGO1119601A		63,309	
University of California at Los Angeles	43.001	2155GFB116		6,116	
Cornell University	43.001	482407892		8,500	
Institute for the Application of Geospatial Techno	43.001	NNX07AB15G		14,552	
California Institute of Technology	43.001	1267224		31,629	
Arizona State University	43.002	SC 34107M00209		3,326	
Unisys Corporation	43.002	306721		40,603	
Total National Aeronautics and Space Administration			8,069,224	1,451,076	9,520,300
National Foundation on the Arts and Humanities					
Institute of Museum and Library Services	45		62,254		
National Endowment for the Arts	45		30,659		
National Endowment for the Humanities	45		139,380		
University of Vermont	45.163	19963		26,150	
Lincoln Park Zoo Neotropic Fund	45.312	LG-24-07-0056-07		10,279	
Onelda Madison Herkimer BOCES	45.313			53,535	
Total National Foundation on the Arts and Humanities			232,293	89,964	322,257
National Science Foundation					

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
National Science Foundation	47		41,556,999		
Binghamton Semiconductor Pack	47.041	Agreement #5106		36,075	
Colorado State University	47.041	G-3373-1		70,095	
Keck Graduate Institute of Applied Sciences	47.041	Grant Award CCF-0524331		1,318	
Multiple Sponsors	47.041			24,657	
NEES Consortium Inc	47.041	OMSA-2004-SSL-SUNYAB		623,010	
NEES Consortium Inc	47.041	OMSA2007SSLSUNYUB		860,845	
Rensselaer Polytechnic Institute	47.041	A11647		54,329	
Stanford University	47.041	1404560031221A		49,778	
University of Nevada Reno	47.041	CUREE Subcontract No 6		54,187	
University of Utah	47.041	07050402		30,205	
Fairfield Crystal Technology LLC	47.041	0738794		12,000	
Specialty Materials Incorporated	47.041	6255		10,411	
Granger Engineering	47.041			56,498	
Clarkson University	47.041	37531203		8,708	
Syracuse University	47.049	2236101654S01		25,331	
Florida State University	47.049	R00696		16,209	
Washington University St Louis	47.049			49,231	
Johns Hopkins University	47.049	TBN		2,137	
University of Wisconsin at Madison	47.049	F217416		13,329	
Columbia University	47.049	PHY0612811 Sub 11		64,536	
Cornell University	47.049	467157675		418	
New York University	47.049	F584801		17,839	
University of Kansas	47.049	FY2004005		305,518	
Case Western Reserve University	47.049	DMR0423914		11,633	
Columbia University	47.050	1		8,553	
University of California	47.050	OCE-0603790UB/Fund 21131		11,634	
Wright State University	47.050	PSND6803		3,432	
Colorado State University	47.050	G304515		37,827	
Purdue University	47.050	501133801		-	
University of Rhode Island	47.050	050404532943		76,891	
Woods Hole Oceanographic Inst	47.050	A100376		7,927	
Syracuse University	47.050	16779S374101053		148,801	
Rensselaer Polytechnic Institute	47.070	A11157		6,331	
Florida State University	47.070	R00695		13,792	
Indiana University	47.070	11202		53,486	
Rutgers University	47.070	RU42804110324		4,658	
University of California at Los Angeles	47.070	0145GJB881		43,417	
University of Massachusetts	47.070	01522556C00		19,994	
Yale University	47.074	Y 07 0010		4,167	
National Academy of Sciences	47.074	NAE608606002		19,921	
Washington State University	47.074	107476G002259		10,815	
Oregon State University	47.074	S0991AA		11,232	
University of Rochester	47.074	413401G 528296		33,626	
Academy of Natural Sciences of Philadelphia	47.074	Sub No. 49930007553		1,637	
Cornell University	47.074	462228052		61,563	
North Carolina State University	47.074	2006082005		41,716	
University of Puerto Rico	47.074	SS660433760		16,115	
University of Puerto Rico	47.074	DEB0620910		20,828	
Montana State University	47.075	G02307W0992		8,304	
National Bureau of Economic Research Incorporated	47.075	283433000790617700		37,196	
University of Georgia	47.075	RR5780573506478		5,421	
Georgia Institute of Technology	47.075	M-26-F1-G3		43,303	
US Civilian Research and Development Foundation	47.075	GEB12702TB05		6,838	
Rensselaer Polytechnic Institute	47.076	B10500		38,564	
Cache Corporation	47.076	Aqrmnt #0IE96		56,747	
Illinois State University	47.076	07C210-01B		46,761	
University of Puerto Rico	47.076	0442365		9,074	
Pennsylvania State University	47.076	3114SUNYNSF8240		4,637	
University of California at San Diego	47.078	10234982		6,168	
Bigelow Laboratory for Ocean Sciences	47.078	20034		45,609	
US Civilian Research and Development Foundation	47.079	GEB22635TB05		1,000	
US Civilian Research and Development Foundation	47.079	MOB1-2822-CS-06		10,727	
US Civilian Research and Development Foundation	47.079	UZC22877TA07		5,194	
Total National Science Foundation			41,556,999	3,382,203	44,939,202
Department of Veterans Affairs					
Department of Veterans Affairs	64		57,225		
Veterans Admin Western New York Healthcare Systems	64		264,472		
Veterans Administration Medical Center at Bronx	64		293,963		
Veterans Administration Medical Ctr at Northport	64		166,534		
Veterans Affairs Medical Center	64		28,376		
Total Department of Veterans Affairs			810,570	-	810,570
Environmental Protection Agency					
Environmental Protection Agency	66		945,418		
New York Rivers United	66	2004-0005-219		1,053	
Rensselaer Polytechnic Institute	66	A10931		1,894	
Catskill Watershed Corporation	66			54,218	
Rensselaer Polytechnic Institute	66	A10393E		431	
Upstate Freshwater Institute	66			19,857	
West Virginia University	66.001	06098SBU		45,811	
Cornell Cooperative Extension	66.437	Agremnt 17974		9,377	
University of Connecticut	66.437	5663 FRS524320		2,823	
Finger Lakes Resource Conservation & Development	66.439	WS-98292700-0		5,700	
Tioga County Soil and Water Conservation District	66.439	WS-98292700-0		17,786	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
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	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Suffolk County Health Services	66.454	52582280113000001		(20,807)	
Suffolk County Health Services	66.466	HSV52582351110000001		42,393	
Adirondack Park Agency	66.461	C200404		5,056	
Erie County Department of Health	66.469			19,769	
Indiana University	66.469			5,884	
Clarkson University	66.469	375327912 CLKSN61293		72,074	
Great Lakes Commission	66.469			14,314	
Clarkson University	66.469	375327911		122,860	
Great Lakes Commission	66.469			36,279	
University of Colorado	66.509	0000058273		40,607	
New York Indoor Environmental Quality Center Inc	66.511	05002		1,046	
New York Indoor Environmental Quality Center Inc	66.511	05001		5,677	
NYS Department of Environmental Conservation	66.600	AM04880		8,884	
Syracuse University	66.606	1705801139S17		64,170	
Syracuse University	66.606	17058S2124301139		63,198	
Center for Environmental Information	66.606	X972814000		2,825	
Bluepoint Environmental LLC	66.606			3,047	
Clarkson University	66.606	375328271 PO CLKSN-63766		40,835	
Onondaga Environmental Institute	66.606			3,351	
Syracuse University	66.606	17058S2124101139		145,884	
Syracuse University	66.606	17058S2124201139		118,533	
Syracuse University	66.606	1705801139S14		79,017	
Syracuse University	66.606	1705801139S16		85,825	
Total Environmental Protection Agency			945,418	1,119,671	2,065,089
Department of Energy					
Department of Energy	81		10,680,098		
Rural Development	81		259,015		
Argonne National Laboratory	81		10,007		
Fermi National Accelerator Laboratory	81		16,159		
National Nuclear Security Administration	81		301,825		
OakRidge National Laboratory	81		169,012		
Sandia National Laboratories	81	673389		2,289	
Sandia National Laboratories	81	PO #696245		23,369	
UT Battelle LLC	81	4000058984		33,339	
UT Battelle LLC	81.003	4000058910		10,000	
Hi Z Technology Incorporated	81.049	8004		(420)	
Hi Z Technology Incorporated	81.049	6004		9,493	
National Renewable Energy Laboratory	81.049	AEK88827001		11,123	
Pacific Northwest National Laboratory	81.049	16402		9,072	
Michigan Technological University	81.049	Sub 050516Z20 P0072320		25,858	
BP Solar International Incorporated	81.049	PO39117		19,218	
Brookhaven Science Associates LLC	81.049	133850		9,321	
Brookhaven Science Associates LLC	81.049	129252		7,313	
Brookhaven Science Associates LLC	81.049	124039		18,462	
Brookhaven Science Associates LLC	81.049	119573		217,739	
Brookhaven Science Associates LLC	81.049	117311		43,461	
Brookhaven Science Associates LLC	81.049	112982		2,635	
Brookhaven Science Associates LLC	81.049	109676		-	
Brookhaven Science Associates LLC	81.049	106002		364	
Brookhaven Science Associates LLC	81.049	75953		(33)	
Brookhaven Technology Group Incorporated	81.049			34,282	
Fairfield Crystal Technology LLC	81.049	DEFG0205ER84232		9,721	
Lawrence Berkeley National Laboratory	81.049	6807111		223,611	
Lawrence Livermore National Laboratory	81.049	B568873		37,411	
Lawrence Livermore National Laboratory	81.049	B568397		21,128	
Lawrence Livermore National Laboratory	81.049	B574691		26,997	
Pacific Northwest National Laboratory	81.049	10884		22,990	
Pennsylvania State University	81.049	3422SUNYDOE5912		28,580	
Sandia National Laboratories	81.049	583166		1,410	
University of California	81.049	D9391ER40679SU		1,100	
University of Illinois Urbana Champaign	81.049	20030098004A8805		28,668	
Pacific Northwest National Laboratory	81.049	22788		40,408	
University of Rochester	81.049	412161G		274,294	
University of Rochester	81.049	414091G		209,005	
Aegis Technology	81.086	Agreement 6616		30,000	
National Renewable Energy Laboratory	81.087	AEJ65517201		6,151	
CleanTech Partners Incorporated	81.087	DEFG3606GO18108		178,678	
Giner Electrochemical Systems	81.087			86,265	
General Electric Company	81.089	700143573		12,277	
Lawrence Berkeley National Laboratory	81.117	6807148		428,101	
Rensselaer Polytechnic Institute	81.121	A11896		4,830	
University of California	81.121	B514516		(220)	
Total Department of Energy			11,436,116	2,148,270	13,584,386
Department of Education					
Department of Education	84		7,213,451		
Arizona State University	84.116	Subaward 07108783		771	
Florida International University	84.116	TBN		3,496	
Michigan Technological University	84.116	040420Z - PO 062039		6,854	
Michigan Technological University	84.116	040420Z - PO 062039		16,569	
Duke University	84.133	OEG-H133E030018		190,718	
Duke University	84.133	141785-Buffalo		41,897	
University of Florida	84.133	UF01090		3,130	
Schenectady City School District	84.293			11,174	
Leland Stanford Junior University	84.305	1968833038188A		65,818	

The accompanying notes are an integral part of this schedule.

The Research Foundation of State University of New York
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	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Regents of the University of California	84.305	SA3674 - PO 640671		354	
Every Person Influences Children Incorporated	84.310	U310A060031		91,657	
Westat Corporation	84.324	8541PO02		46,640	
Westat Corporation	84.324	8541PO03		8	
University of Missouri Columbia	84.324	C00014897-3		13,128	
Florida State University	84.324	R00790		21,886	
University of Missouri Columbia	84.327	C000006837		1,814	
College of Saint Rose	84.363			59	
NYS Education Department	84.367	T009094		21,163	
National Writing Project Corporation	84.928			107,880	
Total Department of Education			7,213,451	644,916	7,858,367
National Archives and Records Administration					
Natl Historical Publications & Records Commission	89		59,893		
Total National Archives and Records Administration			59,893	-	59,893
Institute of Peace					
Institute of Peace	91		11,459		
Total Institute of Peace			11,459	-	11,459
Department of Health and Human Services					
Food and Drug Administration	93		1,837		
National Library of Medicine	93		150,661		
Administration for Children and Families	93		782,433		
Agency for Healthcare Research and Quality	93		697,682		
Agency for Toxic Substances and Disease Registry	93		256,907		
Centers for Disease Control and Prevention	93		2,318,426		
Fogarty International Center	93		64,064		
Health Resources and Services Admin	93		2,085,839		
National Cancer Institute	93		12,172,134		
National Center for Injury Prevention and Control	93		121,455		
National Center for Research Resources	93		4,542,567		
National Ctr on Minority Health & Health Disparities	93		290,201		
National Eye Institute	93		8,059,018		
National Heart Lung and Blood Institute	93		14,551,996		
National Human Genome Research Institute	93		1,204,539		
National Inst of Arthritis Mculoskeletal & Skin	93		3,133,952		
National Inst of Biomedical Imaging and Bioenginee	93		2,773,182		
National Inst of Diabetes Digestive Kidney Disease	93		6,858,527		
National Inst of Environmental Health Sciences	93		2,930,345		
National Inst of Neurological Disorders & Stroke	93		10,392,275		
National Inst on Deafness & Other Comm Disorders	93		4,137,105		
National Institute for Alcohol Abuse & Alcoholism	93		17,920,009		
National Institute for Nursing Research	93		537,565		
National Institute Occupational Safety & Health	93		407,530		
National Institute of Allergy & Infectious Disease	93		13,328,797		
National Institute of Child Health & Human Dev	93		6,133,860		
National Institute of Dental & Craniofacial Res	93		3,265,543		
National Institute of General Medical Sciences	93		18,239,214		
National Institute of Health	93		1,152,596		
National Institute of Mental Health	93		9,335,071		
National Institute on Aging	93		1,051,045		
National Institute on Drug Abuse	93		8,072,321		
Natl Center for Complementary & Alternative Medic	93		793,894		
Substance Abuse & Mental Health Services Admin	93		56,233		
Womens Health Initiative	93		181,455		
Lynntech Incorporated	93	2007-NIH469-0002		12,036	
Children's National Medical Center	93	79280408		88,937	
Columbia University	93			39,819	
Erie County Medical Center	93			54,675	
Health Research Inc	93	CA121904		6,216	
Health Research Inc	93	CA121216		7,294	
Health Research Inc	93	5P50CA11123604		69,860	
Health Research Inc	93	5R01CA11223802		10,743	
Health Research Inc	93	HHSP 23320045006X1		1,291	
Health Research Inc	93	1R01CA117108-02		34,839	
Health Research Inc	93	5 R03 CA121881-02		694	
Health Research Inc	93	P01 CA103985		3,912	
Health Research Inc	93			14,878	
Health Research Inc	93	55-0894-02		27,420	
Health Research Inc	93	5R01CA067267-14		13,155	
Health Research Inc	93	2R01CA0851426A2		13,143	
Iowa State University	93	Subaward 430-17-03		17,475	
Jacksonville State University	93	U50/ATU473215		46,802	
Mount Sinai Medical Center	93			3,475	
Q Chem, Inc	93	2R44GM065617-02		13,959	
Q Chem, Inc	93	2R44GM073408-02		111,854	
Regents of the University of California	93	ITN10129-00SC		3,793	
University of Pittsburgh	93	108104-1		452,384	
University of Waterloo	93	R01-100362		15,858	
University of Wisconsin at Madison	93	P059931		31,822	
Wake Forest University School of Medicine	93	N01-WH-4-4221		13,579	
Westat Corporation	93	Subcontract #- 8489-S-02		1,240	
Aaron Diamond Aids Research Center	93			12,553	
National Association of County and City Health Off	93.008	MRC07015		8,511	
National Association of County and City Health Off	93.008	MRC08020		2,699	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
University of California at San Diego	93.041	TPA44LL		4,819	
Childrens Hospital of Boston	93.063	5K01DP00008902		9,358	
Esensors Incorporated	93.103			55,559	
Health Research Inc	93.110	332601		9,600	
Regents of the University of California	93.113	Sub0700256		13,926	
University of Illinois Urbana Champaign	93.113	2007-00634-01		81,934	
BioMedware Incorporated	93.113	R21ES015501SUNY		19,797	
University of Montana	93.113	PG418101		-	
University of Rochester	93.113	PC412336G		42,398	
Wayne State University	93.114	PO43898		95,991	
Swedish Health Services	93.115	0576 06a		22,909	
University of Illinois Urbana Champaign	93.115	A7395 / 02-202		16,364	
Institute for Genomic Research	93.121	TIGR-06-006 / 3019		(3,279)	
Therex LLC	93.121	SBIR 1-RAADE015494-01A1		(41,071)	
University of Bristol	93.121	1 R01 DE016690-01A2		193,266	
University of Louisville	93.121	OGMB060534		134,160	
University of Minnesota	93.121	B6146038106		53,856	
University of Missouri at Kansas City	93.121	10039 00015290		230,578	
University of Nebraska	93.121	34-0920-2002001		143	
University of North Carolina at Chapel Hill	93.121	Subaward		149,344	
University of North Carolina at Chapel Hill	93.121	5-60333		423,412	
University of Nebraska	93.121	3409252003006		9,230	
University of Nebraska	93.121	3409252003006		81,488	
Johns Hopkins University	93.121	2000010047		35,290	
Washington University St Louis	93.127	WU06255		1,350	
Washington University St Louis	93.127	WU07157		8,685	
Columbia University	93.127	U03MCO00070800		1,586	
Wake Forest University	93.130	NO1AG92115		5,213	
NY Alliance for Donation	93.134	1R39OT054040100		69,089	
NY Alliance for Donation	93.134	1R39OT054040100		20,018	
New York Center for Liver Transplantation	93.134	1 R39OT07539-01-00		17,388	
New York Center for Liver Transplantation	93.134	R39OT07539		36,908	
Upstate New York Transplant Services Inc	93.134			85,601	
Upstate New York Transplant Services Inc	93.134			139,728	
Upstate New York Transplant Services Inc	93.134			66,868	
Mount Sinai Medical Center	93.135	SM9156046V		20,611	
Mount Sinai Medical Center	93.135	SM9160550		30,188	
Mount Sinai School of Medicine	93.135	U01DD00019501		11,709	
Mount Sinai School of Medicine	93.135	U01DD00019502 PO# SM9160867		21,797	
Association of Schools of Public Health	93.136	S17512121		(25,237)	
University of California at Davis	93.136	Agreement Number Sub0700002		27,400	
Columbia University	93.145	PO561684		1,980	
Columbia University	93.145	PO568452		74,425	
Westat Corporation	93.153	NO1HD33345		476,586	
Westat Corporation	93.153	NO1HD33345		165,098	
Westat Corporation	93.153	8530S030		359,446	
Westat Corporation	93.153	8530S030		46,453	
Westat Corporation	93.153	7735S028		323,555	
Westat Corporation	93.153	8062S016		(12,468)	
Westat Corporation	93.153	NO1HD33345 7735S029		207,010	
University of Medicine & Dentistry of New Jersey	93.161	551789		2,364	
Stanford University	93.172	1588969033465D		112,578	
Stanford University	93.172	TBD		54,091	
Jackson Laboratory	93.173	P.O. 610370		56,400	
Purdue University	93.173	4102-22866		1,529	
University of Iowa	93.173	100612369		21,600	
University of Maryland Baltimore	93.173	SR00000302		56,273	
Jacksonville State University	93.206	U50ATU473215		912	
Jacksonville State University	93.206	U50ATU473215		6,249	
Jacksonville State University	93.206	U50ATU473215		6,826	
Arizona State University	93.213	Subaward # 07-784		16,458	
Health Research Inc	93.213			9,635	
Palmer Chiropractic University Foundation	93.213	1U19AT00413701		54,176	
Maine Medical Center	93.226			14,917	
Mothers and Babies Perinatal Network of South Cent	93.235			5,681	
University of Colorado	93.242	0000057028		35,513	
University of Chicago	93.242	26556		15,987	
University of Chicago	93.242	30181		56,897	
University of Rochester	93.242	414080-G / 5-24975		77,185	
University of Texas Health Science Center	93.242	K87141115		13,700	
University of Vermont	93.242	19351Buffalo		55,610	
McLean Hospital Corporation	93.242	5R01MH07152302		29,606	
McLean Hospital Corporation	93.242	5R01MH07152303		20,422	
Trustees of Boston University	93.242	76665		55,238	
University of Alabama Birmingham	93.242	2U01HD040053307		17,765	
University of California at San Diego	93.242	PC10279541		36,656	
University of California at San Diego	93.242	10252986004		45,331	
University of California at San Diego	93.242	10252986		95,915	
University of Rochester	93.242	PO 413461-G/UR 5-29870		37,325	
Research Foundation for Mental Hygiene	93.243			(1)	
University of Cincinnati	93.262	005180		35,926	
Onondaga County	93.268	39407		5,967	
Scripps Research Institute	93.273	5-22652		26,642	
Emory University	93.273	5-40860-G1		304,727	
University of Mississippi	93.273	68951-01		7,401	
University of Rochester	93.273	UR Account 5-29022		113,676	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
University of Colorado	93.279	0000058199		389,600	
New York University School of Medicine	93.279	7R01-DA005702-15		21,571	
Virginia Commonwealth University	93.279	PT096151 SC100140		3,795	
Wake Forest University School of Medicine	93.279	WFUHS 49827		119,671	
Wake Forest University School of Medicine	93.279			14,505	
Brookhaven Science Associates LLC	93.279	100923		14,487	
University of Miami	93.279	66392C		99,913	
University of California at San Diego	93.279	10252954002		12,045	
University of Medicine & Dentistry of New Jersey	93.283	551789		4,807	
Broome County Department of Health	93.283	CA 10-794-11		7,740	
Broome County Department of Health	93.283	CA 10-794-11 Res 07-429		14,427	
Health Research Inc	93.283	003154-02 15-0169-05		6,000	
Health Research Inc	93.283	15-0353-01 003152-02		14,223	
Health Research Inc	93.283	3154-01		6,933	
Health Research Inc	93.283	3152-01		3,835	
Clemson University	93.286	110275582092005884		3,345	
University of Tennessee	93.286	UTSI08002		34,589	
Warren Stress Management	93.361			39,112	
Bio-Signal Group Corporation	93.361			593	
Massachusetts Institute of Technology	93.393	5710002095		115,928	
Health Research Inc	93.393	R01CA119358-01		175,359	
Health Research Inc	93.393	55-0917-02		15,297	
Health Research Inc	93.393	5R01CA11667402		6,223	
Health Research Inc	93.393	55-7704-01		31,298	
University of Pennsylvania	93.393	547791		42,593	
University of Pennsylvania Cancer Center	93.393	541038 / PO177736		11,184	
Biophotonics Corporation	93.394			43,761	
Vitalex Inc	93.394			287,532	
Virginia Polytechnic Institute and State Univ	93.395	19191-431438		101,573	
Health Research Inc	93.395	CA055791-15		1,983	
Health Research Inc	93.395	55-0523-23		61,846	
University of Michigan	93.395	F015447		49,515	
Gynecologic Oncology Group	93.395	2746970		103,148	
NSABP Foundation Incorporated	93.395	TFED37 #345		2,427	
National Childhood Cancer Foundation	93.395	14052 985431158		16,305	
Strang Cancer Prevention Center	93.395	SCPCPreclinicalWA7Mod01		232,112	
Strang Cancer Prevention Center	93.395	SCPCPreclinicalWA7RE15465		(629)	
University of Michigan	93.395	M000284		54,536	
University of Oklahoma	93.395	C1039001		14,229	
Gynecologic Oncology Group	93.395	2746956		41,680	
Radiation Therapy Oncology Group	93.395	1501		92,993	
American College of Surgeons Oncology Group	93.395	309		(5,097)	
National Childhood Cancer Foundation	93.395	16106		39,236	
National Childhood Cancer Foundation	93.395	16354		11,861	
National Childhood Cancer Foundation	93.395	16640		14,759	
University of Chicago	93.395	24890 U10CA31946		20,851	
University of Chicago	93.395	U10CA31946		109,118	
University of Chicago	93.395			89,925	
University of Miami	93.395	661537		40,345	
Albert Einstein College of Medicine	93.396	95261644		75,494	
Albert Einstein College of Medicine	93.396	95261644		131,134	
Health Research Inc	93.396	1R01CA12566501		7,363	
Health Research Inc	93.397			288,001	
Health Research Inc	93.399	55-7603-03		21,782	
Albert Einstein College of Medicine	93.399	95263878		81	
Southwest Oncology Group	93.399	CA37429		101,947	
Southwest Oncology Group	93.399	CA37429		3,836	
American College of Radiology	93.399	RTOGU10CA21661		44,334	
Natl Surgical Adj for Breast Bowel Cancer	93.399	PFED22AUSY01		11,223	
Natl Surgical Adj for Breast Bowel Cancer	93.399	PFED22USY01		(4)	
NYS Office of Children and Family Services	93.558	C000727		(104)	
NYS Office of Children and Family Services	93.558	C000813		372,970	
NYS Office of Children and Family Services	93.558	C000946		752,226	
CCDF Cluster:					
NYS Office of Children and Family Services	93.575	C024091		6,696	
CCDF Cluster Subtotal:					6,696
NYS Developmental Disabilities Planning Council	93.630	C021598		1,541	
NYS Developmental Disabilities Planning Council	93.630	C021598		28,142	
NYS Office of Children and Family Services	93.667	C000745		(2,815)	
NYS Department of Health	93.779	C021141		4,092	
Columbia University	93.779	HCFAS6C90998		837,033	
New England Research Institute	93.837			5,395	
Northwestern University	93.837	0600-370-D242-1338		33,731	
University of Washington	93.837	361002		73,348	
Columbia University	93.837	1530459		23,125	
Columbia University	93.837	568229		103,334	
Columbia University	93.837	3566249		42,712	
Columbia University	93.837	565919		198,837	
Columbia University	93.837	536044		264,218	
Columbia University	93.837	5P01HL02895825		224,855	
Columbia University	93.837	1531491		119,650	
Columbia University	93.837	5P01HL047540142		34,181	
Columbia University	93.837	5P01HL04754014 3		8,655	
Columbia University	93.837	5P01HL047540141		3,949	

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**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Columbia University	93.837	5P01HL0475404		107,432	
Columbia University	93.837	5P01HL02895824 2		25,227	
Columbia University	93.837	560547		(2,279)	
Mount Sinai Medical Center	93.837	N01HC25197		12,379	
Mount Sinai Medical Center	93.837	N01HC25197		33,556	
Rhode Island Hospital	93.837	U01HL077221		3,834	
University of California Berkeley	93.837	SA550321628		26,104	
University of Chicago	93.837	28016 R01HL59199 520944		138,332	
Wake Forest University	93.837	N01WH44221		25,232	
Albert Einstein College of Medicine	93.837	95262529		5,707	
Albert Einstein College of Medicine	93.837	95261687		37,458	
Albert Einstein College of Medicine	93.837			8,995	
Albert Einstein College of Medicine	93.837	95265836		29,624	
Seattle Institute for Cardiac Research	93.837	1U101HL6797201A1		2,060	
Albert Einstein College of Medicine	93.837	95261703		57,679	
Albert Einstein College of Medicine	93.837	95262462		12,635	
Johns Hopkins University	93.837	1R01HL6892701		6,729	
Northwestern University	93.838	0600 370 Q218 1327		119,643	
University of Rochester	93.838	PO 412468-002-G		(112)	
CollaGenex Pharmaceuticals Incorporated	93.838	2R42HL06503002		57,370	
Columbia Presbyterian Medical Center	93.838	ACCORD		97,207	
University of Pittsburgh	93.838	0001933 1134026		6,895	
CollaGenex Pharmaceuticals Incorporated	93.838	2R42HL06503002A1		51,855	
University of Michigan	93.839	F015117		20,655	
Biomedica Management Corporation	93.839			2,639	
New England Research Institute	93.839			25,097	
Saint Judes Childrens Research Hospital	93.839	PO7209921		7,658	
Saint Judes Childrens Research Hospital	93.839	PO7251886		43,674	
University of Rochester	93.846	PO# 414067-G		6,018	
University of Washington	93.846	Subaward 514465		52,854	
Neomatrix	93.846			7,005	
Johns Hopkins University	93.846	8507214396		1,297	
New York University School of Medicine	93.846	060181		23,285	
New York University School of Medicine	93.846	060181		28,561	
Teak Industries Incorporated	93.846	2006UMSAR05340701		2,639	
George Washington University	93.847	08-M25 23301-6-CCLS20349F		67,943	
George Washington University	93.847	S-TRN0708-JT25		118,787	
Albert Einstein College of Medicine	93.847	95261665		134,319	
Benaroya Research Institute at Virginia Mason	93.847	1U01DK062418		425	
George Washington University	93.847	07D10		319,117	
George Washington University	93.847	08D08		45,714	
University of Connecticut	93.848	060656		640	
Duke University	93.849	06SCNIH1046		33,447	
Rhode Island Hospital	93.849			17,246	
ALA Scientific Instruments Inc	93.853	Subcontract #061620052		5,834	
Cincinnati Childrens Hospital Research Foundation	93.853	CHMC 504 - 100089		7,215	
Columbia University	93.853	7U01NS04397507		51,570	
Health Research Inc	93.853	1 R21 NS054167-01		11,856	
University of Medicine & Dentistry of New Jersey	93.853			190,795	
University of Miami	93.853	M121189		26,000	
University of Rochester	93.853	419575		28,508	
Yale University	93.853	A06785		29,654	
Research Foundation for Mental Hygiene	93.853			122,033	
University of California at Los Angeles	93.853	1925GGB094		48,440	
University of South Florida	93.853	6112016200A		12,222	
Bio-Signal Group Corporation	93.853			28,891	
Photon Migration Technologies Corporation	93.853			11,533	
Columbia University	93.853	R01NS04812503		9,594	
Johns Hopkins University	93.853	840532800X		767	
Massachusetts General Hospital	93.853	5U01NS04964002		47,284	
Massachusetts General Hospital	93.853	5U01NS04964003		174,572	
Massachusetts General Hospital	93.853	5U01NS04964004		139,154	
Mount Sinai School of Medicine	93.853	1U01NS04571903A1		8,772	
University of Kentucky Research Foundation	93.853	304696040006239		563	
University of Kentucky Research Foundation	93.853	30480081006310		112	
Mayo Clinic Rochester Minnesota	93.855	RAID73282A		35,705	
University of Rochester	93.855	413927-G		36,735	
Brookhaven Science Associates LLC	93.855	78364		147,976	
Health Research Inc	93.855	218905		163,531	
Health Research Inc	93.855	217805		149,242	
Saint Louis University	93.855	N01A45250		5,864	
Social and Scientific Systems Incorporated	93.855	BRSIMPCTQ0600114T001		1,744	
Social and Scientific Systems Incorporated	93.855	BRSIMPCTQ0600114T002		125,251	
Social and Scientific Systems Incorporated	93.855	BRSIMPCTQ0600114T001001		117,588	
US Civilian Research and Development Foundation	93.856	ESX0002722TA06		11,062	
Georgia State University	93.856	FLAF3-01		47,984	
Social and Scientific Systems Incorporated	93.856	BRS ACURE Q 06 00140 T001		313,722	
Stanford University	93.856	PY-2581-25176-A		35,131	
University of Florida	93.856	UF05019/00050989		10,621	
Myriad Genetics Incorporated	93.856	HHSN265200400057C		158,596	
Myriad Genetics Incorporated	93.856	HHSN266200400057C		53,106	
Georgetown University	93.856	RX4335022SUNY		6,252	
Saint Louis University	93.856			237,684	
Epitype Corporation	93.856			48,264	
Brigham and Womens Hospital	93.859	R01GM04809015		16,150	
Brigham and Womens Hospital	93.859	125153		23,901	

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**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Scripps Research Institute	93.859	520778		54,405	
Georgetown University	93.859	RX4220042SUNY		39,574	
New York Structural Biology Center	93.859	Aqmt. 02 - U54GM075026-02		11,327	
New York Structural Biology Center	93.859			106,708	
Ohio State University Research Foundation	93.859	RF01098313		13,827	
Rutgers University	93.859	P.O. S775735		59,687	
Rutgers University	93.859	S940026		501,249	
University of Arkansas	93.859	ARIA 31078		74,899	
Case Western Reserve University	93.859	RES501930		128,821	
Duke University	93.859			163	
Health Research Inc	93.859	55084904		5,876	
Mount Sinai School of Medicine	93.859	025477714609		59,864	
Mount Sinai School of Medicine	93.859	025477114609		23,860	
Mount Sinai School of Medicine	93.859	025477514609		1,695	
Regents of the University of California	93.859	6814817		186,556	
Stony Brook Technology and Applied Research Inc	93.859			40,015	
Sunnybrook & Womens College Health Sciences Centre	93.859	724180675		33,772	
University of California at Davis	93.859	SUB06002896SB		11,433	
University of Minnesota	93.859	S6526115102		5,197	
Biomedica Management Corporation	93.859			4,327	
University of Pittsburgh	93.859	9000613		3,089	
Brigham and Womens Hospital	93.864	1R21HD05445101		161,918	
Pennsylvania State University	93.865	2760SUNYDHHS6567		61,421	
University of California at San Francisco	93.865	4633sc		13,039	
University of Pennsylvania	93.865	539663		45,402	
Western Washington University	93.865	53009A		199	
University of California at San Francisco	93.865	4593SC		12,195	
University of California at San Diego	93.865	PC 10268866		14,202	
Harvard School of Public Health	93.865	PHACSPH100SMARTT		20,103	
Harvard School of Public Health	93.865	U01HD05210203		172,971	
University of Alabama Birmingham	93.865	5U01HD040053308		7,919	
University of Alabama Birmingham	93.865	2U01HD040053306		(75)	
Photon Migration Technologies Corporation	93.865			47,064	
University of Vermont	93.865	R01HD4022004		430	
University of Michigan	93.866	3000728195		41,731	
University of South Florida	93.866	1255-1013-00-B		60,749	
Childrens Hospital of Boston	93.866	PO# 87648		53,892	
Cognosci Incorporated	93.866	1R41AG2819801A1		75,587	
Hebrew Rehabilitation Center for the Aged	93.866	5R01AG02548902		113,554	
Princeton University	93.866	00000973		41,500	
Rand Corporation	93.866	9920050004		2,203	
Rand Corporation	93.866	9920050004		26,914	
University of Rochester	93.866	412799G		49,282	
Indiana University	93.866	P30AG01013315		6,177	
University of Texas Health Science Center	93.867			16,671	
University of Texas Health Science Center	93.867	000435914		4,583	
University of Texas Health Science Center	93.867	000435914		8,945	
Emmes Corporation	93.867	HHSN260200500007C		284	
University of Texas	93.867	00004359		10,835	
University of Texas	93.867	00004359		18,170	
VisionQuest Biomedical	93.867	1R43EY01852301		7,883	
Indiana University	93.867	4635505 PO128045		(3,010)	
Indiana University	93.867	4635505 PO128045		70,343	
Brigham and Womens Hospital	93.879	155032		22,865	
Brigham and Womens Hospital	93.879	155032		12,735	
Long Island Association	93.888	4D1BTH058080102		72,187	
Health Research Inc	93.917	15335917		56,254	
Health Research Inc	93.917			11,760	
Health Research Inc	93.917	209805		98,433	
Health Research Inc	93.917			19,553	
Brookdale Hospital Medical Center	93.928	1H97HA012100100		13,297	
Community Healthcare Network	93.928	1H97HA011240100		9,751	
Health Research Inc	93.940	128306		(820)	
Health Research Inc	93.945	15009805		16,720	
Health Research Inc	93.994	14046201		36,194	
Total Department of Health and Human Services			158,000,278	20,335,809	178,336,087
Corporation for National and Community Service					
Corporation for National and Community Service	94		72		
Pennsylvania Campus Compact	94.005			8,704	
Foundation for Long Term Care	94.005	06LHHNY001		11,294	
Pennsylvania Campus Compact	94.005			4,192	
Research Foundation of City University of New York	94.007	498530001A		(380)	
NYS Office of Children and Family Services	94.009	C000957		101,859	
Total Corporation for National and Community Service			72	125,669	125,741
Social Security Administration					
Boston College	96.007	9265SANDELL		34,950	
University of Michigan	96.007	3000652612UM0713		44,580	
Regents of the University of Michigan	96.007	3000783960UM0809		2,939	
Total Social Security Administration				82,469	82,469
Department of Homeland Security					
Department of Homeland Security	97		720,407		
University of Maryland	97.061	Z988507		15,942	
ITT Industries	97.065	200006J		196,689	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
 Schedule of Expenditures of Federal Awards
 For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Total Department of Homeland Security			720,407	212,631	933,038
Agency for International Development					
Agency for International Development	98		348,661		
World Learning	98	ESGP009		8,256	
National Academy of Sciences	96.001	PGA725107010		5,979	
Hebrew University of Jerusalem	98.001	438TBROOK190087 TAMOU03CA23037		15,198	
Total Agency for International Development			348,661	29,433	378,094
Total Research and Development Cluster			\$ 260,723,512	\$ 39,711,699	\$ 300,435,211

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Other Programs					
Department of Agriculture					
Higher Education Multicultural Scholars Program	10.220		\$ 23,049	\$ -	\$ -
Higher Education Multicultural Scholars Program	10.220		36,378		59,427
Second Two-Year Post Agric Educ Challenge Grants	10.226		34,864		34,864
Foodstamp Cluster:					
NYS Office of Temporary & Disability Assistance	10.551	C000977		512,359	
NYS Office of Temporary & Disability Assistance	10.551	C000979		94,524	
NYS Office of Temporary & Disability Assistance	10.551	C000983		424,700	
NYS Office of Temporary & Disability Assistance	10.551	C000984		482,288	
NYS Office of Temporary & Disability Assistance	10.551	C000986		217,263	
NYS Office of Temporary & Disability Assistance	10.551	C000985		111,635	
NYS Office of Children and Family Services	10.551			77,744	
NYS Office of Children and Family Services	10.551			68,893	
NYS Office of Children and Family Services	10.551			216,200	
NYS Office of Children and Family Services	10.551			468,256	
NYS Office of Children and Family Services	10.551			162,337	
NYS Office of Children and Family Services	10.551			68,142	
NYS Office of Children and Family Services	10.551			82,361	
NYS Office of Children and Family Services	10.551			120,827	
NYS Office of Children and Family Services	10.551			129,974	
NYS Office of Children and Family Services	10.551			92,798	
NYS Office of Children and Family Services	10.551			93,764	
NYS Office of Children and Family Services	10.551			201,000	
NYS Office of Children and Family Services	10.551			(18)	
NYS Office of Children and Family Services	10.551			3,273	
NYS Office of Children and Family Services	10.551			3,152	
NYS Office of Temporary & Disability Assistance	10.551			1,141,363	
NYS Office of Temporary & Disability Assistance	10.551			1,341,903	
NYS Office of Temporary & Disability Assistance	10.551			495,806	
NYS Office of Temporary & Disability Assistance	10.551	C-1710		538,887	
NYS Office of Temporary & Disability Assistance	10.551	C001741		244,806	7,394,257
NYS Office of Temporary & Disability Assistance	10.551			(2,860)	
NYS Office of Temporary & Disability Assistance	10.551			37,001	
NYS Office of Temporary & Disability Assistance	10.551			12,139	
NYS Office of Temporary & Disability Assistance	10.551			14,311	
NYS Office of Temporary & Disability Assistance	10.551	C001524		(3,224)	57,367
Foodstamp Cluster Subtotal:					7,451,624
NYS Department of Health	10.557	C019375		134,082	
NYS Department of Health	10.557	C019375		352,912	486,994
Child Nutrition Cluster:					
Summer Food Service Program for Children	10.559		8,032		
Summer Food Service Program for Children	10.559		8,003		16,035
Child Nutrition Cluster Subtotal:					16,035
Total Department of Agriculture			110,326	7,935,618	8,048,944
Department of Commerce					
NYS Department of State	11	C113239		6,641	6,641
Measures and Analyses of the U.S. Economy	11.025		29,870		
Measures and Analyses of the U.S. Economy	11.025		5,169		35,039
Public Works and Economic Development Cluster:					
Investments for Public Works and Economic Development Faciliti	11.300		69,242		69,242
Public Works and Economic Development Cluster Subtotal:					69,242
Trade Adjustment Assistance for Firms	11.313		44,030		
Trade Adjustment Assistance for Firms	11.313		39,515		
Trade Adjustment Assistance for Firms	11.313		649,170		732,715
Sea Grant Support	11.417		18,787		
Sea Grant Support	11.417		19,594		
Sea Grant Support	11.417		16,342		54,723
Coastal Zone Mgmt Estuarine Research Reserves	11.420		21,057		21,057
University of Rhode Island	11.472	0129070001224B		44,375	44,375
Public Tele Facilities Planning and Construction	11.550		61,434		
Public Tele Facilities Planning and Construction	11.550		(20,488)		40,946
Measurement Engineering Research Standards	11.609		4,722		
Measurement Engineering Research Standards	11.609		3,373		8,095
Total Department of Commerce			961,817	51,016	1,012,833
Department of Defense					
Department of Defense	12		6,297		6,297
Basic and Applied Scientific Research	12.300		96,253		
Academy of Applied Sciences	12.300	0703		2,600	
Academy of Applied Sciences	12.300	0704		2,595	
Academy of Applied Sciences	12.300	0705		2,588	
Academy of Applied Sciences	12.300	0734		1,803	
Academy of Applied Sciences	12.300	0735		1,800	107,649
Military Medical Research and Development	12.420		28,593		
Military Medical Research and Development	12.420		30,983		
Military Medical Research and Development	12.420		5,440		
Military Medical Research and Development	12.420		17,798		
Military Medical Research and Development	12.420		275,197		358,011
Academy of Applied Sciences	12.431			3,000	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Academy of Applied Sciences	12.431			15,538	18,538
Basic, Applied, and Adv Res in Sci and Engineering	12.630		15,117		
Academy of Applied Sciences	12.630	0626		51	15,168
Mathematical Sciences Grants Program	12.901		25,305		25,305
Total Department of Defense			500,983	29,985	530,968
Department of Housing and Urban Development					
Com Devel Block Grants/Brownfields Eco Devel Initi	14.246		14,350		
Com Devel Block Grants/Brownfields Eco Devel Initi	14.246		9,366		23,706
EconDevelop Init-Spec Proj, Neigh Init Misc Grants	14.251		63,250		
EconDevelop Init-Spec Proj, Neigh Init Misc Grants	14.251		31,625		94,875
Community Outreach Partnership Center Program	14.511		61,392		
Community Outreach Partnership Center Program	14.511		93,544		
Community Outreach Partnership Center Program	14.511		115,950		270,886
Lead Outreach Grants	14.904		28,377		28,377
City of Buffalo	14.906	NYLHD 0032-04 CD-1200-02		15,514	15,514
Total Department of Housing and Urban Development			417,844	15,514	433,358
Department of the Interior					
Cons Grants Private Steward for Imperiled Species	15.632		(20)		
Cons Grants Private Steward for Imperiled Species	15.632		575		
Cons Grants Private Steward for Imperiled Species	15.632		1,683		2,238
National Cooperative Geologic Mapping Program	15.610		5,631		5,631
Total Department of the Interior			7,869		7,869
Department of Justice					
Buffalo Police Department	16			2,253	
Buffalo Police Department	16			2,999	5,252
Grts to Combat Dom Viol, Dating Vio, Sexual Assault,	16.525		22,502		
Grts to Combat Dom Viol, Dating Vio, Sexual Assault,	16.525		67,816		
Grts to Combat Dom Viol, Dating Vio, Sexual Assault,	16.525		3,297		
Grts to Combat Dom Viol, Dating Vio, Sexual Assault,	16.525		99,381		
Grts to Combat Dom Viol, Dating Vio, Sexual Assault,	16.525		80,186		
Grts to Combat Dom Viol, Dating Vio, Sexual Assault,	16.525		113,667		
Grts to Combat Dom Viol, Dating Vio, Sexual Assault,	16.525		91,723		478,574
New York State Coalition Against Sexual Assault	16.575			25,100	25,100
NYS Division of Criminal Justice Services	16.579	C916230M		150,096	160,096
Council of State Governments	16.580	08PA161408		1,963	1,963
NYS Unified Court System	16.585	C100637		1,315	
NYS Unified Court System	16.585	C100162		2,578	3,893
City of Binghamton	16.595	Ordinance No. R07-9		22,223	22,223
Pub Safety Part and Community Policing Grants	16.710		90,871		
Center for Problem Oriented Policing	16.710			2,668	
Center for Problem Oriented Policing	16.710			11,304	
Center for Problem Oriented Policing	16.710			29,679	134,522
NYS Division of Criminal Justice Services	16.738	BJ071129D00		1,069	1,069
Total Department of Justice			569,445	253,247	822,692
Department of Labor					
WIA Cluster:					
Workforce Development Corporation	17.258	180		113,922	
Workforce Development Corporation	17.258	180		181,942	
CNY Works Incorporated	17.258	S194		3,182	
NYC Department of Small Business Services	17.258			(13,272)	265,774
GLOW Workforce Investment Board	17.259			3,586	
GLOW Workforce Investment Board	17.259			10,020	13,606
WIA Cluster Subtotal:					299,380
WIA Pilots, Demonstrations, and Research Projects	17.261		28,454		
WIA Pilots, Demonstrations, and Research Projects	17.261				
Workforce Investment Board	17.261	NITAS-003		19,597	48,051
Occup Safety Health_Susan Harwood Train Grants	17.502		18,638		18,638
Total Department of Labor			47,092	318,977	366,069
Department of State					
Department of State	19		108,458		
Department of State	19		28,271		
Department of State	19		1,328		
Georgetown University	19	RFSUNRX205088407L		64,453	202,510
Institute of International Education	19.401			34,386	34,386
Total Department of State			138,057	98,839	236,896
Department of Transportation					
STV Incorporated	20.200	3211867		13,347	13,347
NYS Department of Transportation	20.218	C003101		128,641	128,641
Research Foundation of City University of New York	20.502	491110320		17,378	
Research Foundation of City University of New York	20.502	497770319		4,154	
Research Foundation of City University of New York	20.502	497770318		2,482	24,014
NYS Department of Transportation	20.511	C000864		588,533	588,533
Highway Safety Cluster:					
NYS Governors Traffic Safety Committee	20.600	C001391		212,802	
NYS Governors Traffic Safety Committee	20.600	C001392		91,452	
NYS Governors Traffic Safety Committee	20.600	C001279		55,969	
NYS Governors Traffic Safety Committee	20.600	C001392		219,181	
NYS Governors Traffic Safety Committee	20.600	C001672		154,844	
NYS Governors Traffic Safety Committee	20.600	C001391		507,881	
NYS Governors Traffic Safety Committee	20.600	C001447 AL3583022		20,752	
NYS Governors Traffic Safety Committee	20.600	C001635		114,829	
NYS Governors Traffic Safety Committee	20.600	C001635		72,902	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass-Through Expenditures	Total Expenditures
NYS Governors Traffic Safety Committee	20.600	TR4581011		5,728	1,456,340
NYS Governors Traffic Safety Committee	20.602	C85282032		6,580	
NYS Governors Traffic Safety Committee	20.602	C001549		820	7,400
Highway Safety Cluster Subtotal:					1,463,740
NYS Department of Transportation	20.701	AA07NS331		38,243	38,243
State Maritime Schools	20.806		69,508		69,508
Total Department of Transportation			69,508	2,256,518	2,326,026
Appalachian Regional Commission					
Appalachian Regional Development	23.001		85,801		85,801
Appalachian Area Development	23.002		54,560		
Appalachian Area Development	23.002		7,420		61,980
Total Appalachian Regional Commission			147,781		147,781
Office of Personnel Management					
IntergovPersonnel Act (IPA) Mobility Program	27.011		29,498		
IntergovPersonnel Act (IPA) Mobility Program	27.011		107,369		
IntergovPersonnel Act (IPA) Mobility Program	27.011		103,889		
IntergovPersonnel Act (IPA) Mobility Program	27.011		134,098		374,854
Total Office of Personnel Management			374,854		374,854
National Aeronautics and Space Administration					
National Aeronautics and Space Administration	43		25,835		
National Aeronautics and Space Administration	43		538,177		564,012
Cornell University	43.001	482408497		16,474	
Institute for Application of Geospatial Technology	43.001			753	17,227
Total National Aeronautics and Space Administration			564,012	17,227	581,239
National Foundation on the Arts and Humanities					
Promooof the Arts_Grants to Org and Individuals	45.024		160		
Promooof the Arts_Grants to Org and Individuals	45.024		73,344		
Arts Midwest	45.024	27699		7,279	
Arts Midwest	45.024	23972		16,757	
Arts Midwest	45.024	21102		7,847	105,387
New York Council for the Humanities	45.129	8532		2,500	2,500
Promo of the Hum_Division of Preserv and Access	45.149		136,391		136,391
Promo of the Hum_Fellowships and Stipends	45.160		39,302		
Folger Shakespeare Library	45.160				39,302
Promooof the Hum_Professional Development	45.163		129,630		129,630
Laura Bush 21st Century Librarian Program	45.313		6,798		6,798
Total National Foundation on the Arts and Humanities			385,625	34,383	420,008
National Science Foundation					
National Science Foundation	47		5,121		
National Science Foundation	47		79,204		
National Science Foundation	47		120,097		
University of Notre Dame	47	PHY02-07072		6,959	
University of Notre Dame	47	PHY02-07072		321	211,702
Engineering Grants	47.041		5,558		
Engineering Grants	47.041		13,579		
Engineering Grants	47.041		24,542		
Engineering Grants	47.041				
Engineering Grants	47.041		3,958		47,937
Mathematical and Physical Sciences	47.049		13,193		
Mathematical and Physical Sciences	47.049		13,607		
Mathematical and Physical Sciences	47.049		7,600		
Mathematical and Physical Sciences	47.049		243,671		
Mathematical and Physical Sciences	47.049		79,868		
Mathematical and Physical Sciences	47.049		12,556		
Mathematical and Physical Sciences	47.049		14,404		
Mathematical and Physical Sciences	47.049		59,630		
Hofstra University	47.049	EHR0314910		585,392	
Johns Hopkins University	47.049	200040B992		21,242	1,051,163
Geosciences	47.050		32,367		
Geosciences	47.050		9,569		
Geosciences	47.050		38,636		
Institute for Global Environmental Strategies	47.050	07I026		20,534	101,105
Computer and Information Science and Engineering	47.070		195,069		
Computer and Information Science and Engineering	47.070		27,492		
Computer and Information Science and Engineering	47.070		2,418		224,979
Biological Sciences	47.074		10,817		
Biological Sciences	47.074		1,925		
Biological Sciences	47.074		327		
Biological Sciences	47.074		9,596		
Biological Sciences	47.074		9,724		
Biological Sciences	47.074		641		
Biological Sciences	47.074		90,723		
Biological Sciences	47.074		655		
Biological Sciences	47.074		65,123		
Biological Sciences	47.074		61,561		
Biological Sciences	47.074		99,835		
Biological Sciences	47.074		92,510		443,437
Social, Behavioral, and Economic Sciences	47.075		1,137		
Social, Behavioral, and Economic Sciences	47.075		6,701		
Social, Behavioral, and Economic Sciences	47.075		6,412		
Social, Behavioral, and Economic Sciences	47.075		2,082		
Social, Behavioral, and Economic Sciences	47.075		4,113		
Social, Behavioral, and Economic Sciences	47.075		10,762		
Social, Behavioral, and Economic Sciences	47.075		14,925		
Social, Behavioral, and Economic Sciences	47.075		7,662		

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Social, Behavioral, and Economic Sciences	47.075		4,848		
Social, Behavioral, and Economic Sciences	47.075		14,960		
Social, Behavioral, and Economic Sciences	47.075		7,746		
National Research Council	47.075			2,703	84,051
Education and Human Resources	47.076		33,523		
Education and Human Resources	47.076		20,617		
Education and Human Resources	47.076		2,372		
Education and Human Resources	47.076		42,294		
Education and Human Resources	47.076		33,844		
Education and Human Resources	47.076		465,197		
Education and Human Resources	47.076		35,070		
Education and Human Resources	47.076		125,940		
Education and Human Resources	47.076		77,142		
Education and Human Resources	47.076		118,455		
Education and Human Resources	47.076		52,295		
Education and Human Resources	47.076		78,780		
Education and Human Resources	47.076		100,092		
Education and Human Resources	47.076		45,601		
Education and Human Resources	47.076		1,053,830		
Education and Human Resources	47.076		485,032		
Education and Human Resources	47.076		127,822		
Education and Human Resources	47.076		1,067,987		
Education and Human Resources	47.076		17,542		
Education and Human Resources	47.076		161,099		
Education and Human Resources	47.076		457,113		
Education and Human Resources	47.076		97,554		
Education and Human Resources	47.076		5,559		
Education and Human Resources	47.076		28,180		
Education and Human Resources	47.076		(39)		
Education and Human Resources	47.076		828		
Education and Human Resources	47.076		102,282		
Education and Human Resources	47.076		226,927		
Education and Human Resources	47.076		40,000		
Education and Human Resources	47.076		94,246		
Education and Human Resources	47.076		26,415		
Education and Human Resources	47.076		124,608		
Education and Human Resources	47.076		55,789		
Education and Human Resources	47.076		86,521		
Education and Human Resources	47.076		80,825		
Education and Human Resources	47.076		480,659		
Education and Human Resources	47.076		6,587		
Education and Human Resources	47.076		39,000		
Education and Human Resources	47.076		7,121		
Education and Human Resources	47.076		18,163		
Education and Human Resources	47.076		39,679		
Education and Human Resources	47.076		560		
Education and Human Resources	47.076		449,467		
National Center for Science & Civic Engagement	47.076			303	
Rensselaer Polytechnic Institute	47.076			824	
Cornell University	47.076	B10586 51306-8588		35,804	
Erie Community College	47.076	DUE-0402388		17,975	
Dunkirk City School District	47.076			57,382	6,724,866
Total National Science Foundation			8,139,801	749,439	8,889,240
Small Business Administration					
Small Business Administration	59		101,807		
Small Business Administration	59		(490,401)		
Small Business Administration	59		(2,423)		(391,017)
Small Business Development Centers	59.037		21,085		
Small Business Development Centers	59.037		126,124		
Small Business Development Centers	59.037		2,240,399		
Small Business Development Centers	59.037		57,083		
Small Business Development Centers	59.037		(7,209)		
Small Business Development Centers	59.037		2,318,784		
Small Business Development Centers	59.037		188,347		
Small Business Development Centers	59.037		118,417		5,063,030
Veterans Business Development	59.044		145,771		
Veterans Business Development	59.044		2,455		148,226
Total Small Business Administration			4,820,239	-	4,820,239
Department of Veteran Affairs					
Veterans Benefits Administration	64		18,656		
Veterans Benefits Administration	64		34,908		53,564
Veterans Dependency and Indemnity Compensation	64.110		3,064		3,064
Total Department of Veteran Affairs			56,628	-	56,628
Environmental Protection Agency					
Greater Res Opport Fellows For Under/Graduate EnvirStudy	66.513		7,683		
Greater Res Opport Fellows For Under/Graduate EnvirStudy	66.513		10,343		
Greater Res Opport Fellows For Under/Graduate EnvirStudy	66.513		4,909		
Greater Res Opport Fellows For Under/Graduate EnvirStudy	66.513		3,475		
Greater Res Opport Fellows For Under/Graduate EnvirStudy	66.513		811		27,221
Science To Achieve Results (STAR) Fellowship Program	66.514		1,533		
Science To Achieve Results (STAR) Fellowship Program	66.514		9,886		
Science To Achieve Results (STAR) Fellowship Program	66.514		5,052		16,471
Surveys, Studies, Investigations and Special Purpose Grants	66.606		111,495		
Syracuse University	66.606	1705801139S25		29,506	
Syracuse University	66.606	1705801139S24		34,864	175,865
Pollution Prevention Grants Program	66.708		3,678		3,678
Brownfield Job Training Cooperative Agreements	66.815		69,775		69,775
City of Lackawanna	66.818			26,009	26,009

The accompanying notes are an integral part of this schedule.

The Research Foundation of State University of New York
 Schedule of Expenditures of Federal Awards
 For the Year Ended June 30, 2008

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Total Environmental Protection Agency			228,640	90,379	319,019
Department of Energy			315,218		315,218
Department of Energy	81				
Brookhaven Science Associates LLC	81.049	125688		33,632	
Brookhaven Science Associates LLC	81.049	122323		12,741	
Brookhaven Science Associates LLC	81.049	123091		6,322	
Brookhaven Science Associates LLC	81.049	121884		2,810	
Brookhaven Science Associates LLC	81.049	120830		5,567	
Brookhaven Science Associates LLC	81.049	120259		29,323	
Brookhaven Science Associates LLC	81.049	120285		28,192	
Brookhaven Science Associates LLC	81.049	118427		37,991	
Brookhaven Science Associates LLC	81.049	117571		16,894	
Brookhaven Science Associates LLC	81.049	116222		101,209	
Brookhaven Science Associates LLC	81.049	114341		1,635	
Brookhaven Science Associates LLC	81.049	114733		35,749	
Brookhaven Science Associates LLC	81.049	113757		4,755	
Brookhaven Science Associates LLC	81.049	114131		14,669	
Brookhaven Science Associates LLC	81.049	113299		34,260	
Brookhaven Science Associates LLC	81.049	125897		25,004	
Brookhaven Science Associates LLC	81.049	127033		28,475	
Brookhaven Science Associates LLC	81.049	127243		9,355	
Brookhaven Science Associates LLC	81.049	126924		25,095	
Brookhaven Science Associates LLC	81.049	127804		23,176	
Brookhaven Science Associates LLC	81.049	127938		23,998	
Brookhaven Science Associates LLC	81.049	127909		40,447	
Brookhaven Science Associates LLC	81.049	129556		12,361	
Brookhaven Science Associates LLC	81.049	129988		11,935	
Brookhaven Science Associates LLC	81.049	133068		3,058	
Brookhaven Science Associates LLC	81.049			2,524	
Brookhaven Science Associates LLC	81.049	134119		2,617	
Brookhaven Science Associates LLC	81.049	133654		382	
Brookhaven Science Associates LLC	81.049	133655		2,617	
Brookhaven Science Associates LLC	81.049	133610		2,617	
Brookhaven Science Associates LLC	81.049	133658		2,821	
Brookhaven Science Associates LLC	81.049	73853		38,158	
Brookhaven Science Associates LLC	81.049	75849		21,944	
Brookhaven Science Associates LLC	81.049	0000076795		6,861	
Brookhaven Science Associates LLC	81.049	77768		5,974	
Brookhaven Science Associates LLC	81.049	90272		6,067	
Brookhaven Science Associates LLC	81.049	91752		35,649	
Brookhaven Science Associates LLC	81.049	92762		18,372	
Brookhaven Science Associates LLC	81.049	98739		33,522	
Brookhaven Science Associates LLC	81.049	99625		37,043	
Brookhaven Science Associates LLC	81.049	106135		34,971	
Brookhaven Science Associates LLC	81.049	101072		30,765	
Brookhaven Science Associates LLC	81.049	101004		28,245	
Brookhaven Science Associates LLC	81.049	101097		36,806	
Brookhaven Science Associates LLC	81.049	101193		23,145	
Brookhaven Science Associates LLC	81.049	101647		5,607	
Brookhaven Science Associates LLC	81.049	103695		40,637	
Brookhaven Science Associates LLC	81.049	103865		28,889	
Brookhaven Science Associates LLC	81.049	105489		35,663	
Brookhaven Science Associates LLC	81.049	105445		23,714	
Brookhaven Science Associates LLC	81.049	107614		2,472	
Brookhaven Science Associates LLC	81.049	109586		34,971	
Brookhaven Science Associates LLC	81.049	109853		41,882	
Brookhaven Science Associates LLC	81.049	110126		38,470	
Brookhaven Science Associates LLC	81.049	109515		23,550	
Brookhaven Science Associates LLC	81.049	109433		36,419	
Brookhaven Science Associates LLC	81.049	109982		29,202	
Brookhaven Science Associates LLC	81.049	109736		33,013	
Brookhaven Science Associates LLC	81.049	111033		30,683	
Brookhaven Science Associates LLC	81.049	112036		38,682	
Brookhaven Science Associates LLC	81.049	112125		26,821	
Brookhaven Science Associates LLC	81.049	112708		5,295	
Brookhaven Science Associates LLC	81.049	113320		22,775	
Total Department of Energy			315,218	1,438,298	1,753,516
Department of Education			24,433		
Department of Education	84				
D'Youville College	84	Gear Up II Yr 6-G.L. # 88-7753		21,273	45,706
NYS Education Department	84.002	C008477		15,918	
Yonkers Public School District	84.002			-	
Yonkers Public School District	84.002	33801		6,029	
NYS Education Department	84.002	C007538		25,727	
NYS Education Department	84.002	2338079001		16,968	
NYS Education Department	84.002	2338079002		34,285	
NYS Education Department	84.002	2338079003		34,997	
NYS Education Department	84.002	C007538		287,601	
NYS Education Department	84.002	2338089003		33,549	
NYS Education Department	84.002	2338089001		59,692	
NYS Education Department	84.002	2338089002		17,361	534,127
Reading School District	84.010	F40983		1,736	
State of North Carolina	84.010	36620051311392		5,798	7,534
NYS Education Department	84.011			67,472	
NYS Education Department	84.011	0035080024		443,157	
NYS Education Department	84.011	0035080022		75,212	
NYS Education Department	84.011	0035070024		291,784	
NYS Education Department	84.011	0035070037		286,545	
NYS Education Department	84.011	0035070038		77,480	

The accompanying notes are an integral part of this schedule.

The Research Foundation of State University of New York
 Schedule of Expenditures of Federal Awards
 For the Year Ended June 30, 2008

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
NYS Education Department	84.011	0035080037		509,003	
NYS Education Department	84.011	0035080038		23,242	
NYS Education Department	84.011	0035080038		29,164	
NYS Education Department	84.011	0035070002		158,791	
NYS Education Department	84.011	0035080002		367,327	
NYS Education Department	84.011	0035080005		802,307	
NYS Education Department	84.011	0035070005		243,803	
California Department of Education	84.011	CN066129		85,825	
Chandler (AZ) Unified School District #80	84.011			1,995	
Colorado Department of Education	84.011	PODAA080079		5,922	
Deming New Mexico Public School District	84.011	000040305		3,991	
EDCO Collaborative	84.011			2,086	
Florida Department of Education	84.011	9862177A7PE01		109,801	
Florida Department of Education	84.011	9862178A8PE01		392,384	
Gloucester County Special Services School District	84.011			3,197	
Kentucky Department of Education	84.011	MO6179083		54,520	
Mississippi Department of Education	84.011	0832018231BOA6EA0902		4,860	
Mississippi Department of Education	84.011	0832018231BOA6EA0901		35,201	
Mississippi Department of Education	84.011	073201EA098231BOA5001		1,698	
NYS Education Department	84.011	0035070023		135,746	
NYS Education Department	84.011	0035070032		25,380	
NYS Education Department	84.011	0035070021		176,172	
NYS Education Department	84.011	0035070022		38,469	
NYS Education Department	84.011	0035080023		93,556	
NYS Education Department	84.011	0035080032		55,858	
NYS Education Department	84.011	0035080021		709,915	
NYS Education Department	84.011			86,476	
NYS Education Department	84.011			49,530	
Northwestern Michigan Migrant Education Inc	84.011			3,424	
Oregon Department of Education	84.011			9,585	
Southwest Arkansas Migrant Education Cooperative	84.011			1,741	
State of Washington	84.011	20070213		14,253	
University of Alabama Birmingham	84.011	251558 T0708290019		56,880	
University of Vermont	84.011			928	
Williamette Education Service District	84.011	2252119400000310		6,638	
NYS Education Department	84.011	0035070030		124,877	
NYS Education Department	84.011	0035070013		294,568	
NYS Education Department	84.011	0035080030		413,757	
NYS Education Department	84.011	0035080013		659,692	
NYS Education Department	84.011			529,281	
Saint Lawrence Lewis BOCES	84.011	Q184606007		60,236	
Saint Lawrence Lewis BOCES	84.011	Q184L060007		16,840	7,643,579
University of Maine at Orono	84.015	UMS640		10,468	
University of Maine at Orono	84.015	UMS683		77,868	88,326
Undergraduate International Studies and Foreign Language Progi	84.016		46,406		46,406
International Research and Studies	84.017		3,673		3,673
Special Education Cluster:					
NYS Education Department	84.027	C008780		221,518	
NYS Education Department	84.027	C009014		375,012	
Syracuse University	84.027	2158482123201116		1	
NYS Education Department	84.027	CR0437		6,715	
NYS Education Department	84.027	C008221		176,744	
Syracuse University	84.027	22453 01669S05		8,979	
University of North Carolina at Charlotte	84.027	2975070098		21,910	
University of North Carolina at Charlotte	84.027	2975080123		6,969	817,838
New York City Board of Education	84.173	PL611		9,043	
New York City Board of Education	84.173	PL619		(987)	
New York City Board of Education	84.173	PL611		17,117	
New York City Board of Education	84.173	PL619		5,015	30,188
Special Education Cluster Subtotal:					848,026
Higher Education_Institutional Aid	84.031		265,783		265,783
TRIO Cluster:					
TRIO_Student Support Services	84.042		228,082		
TRIO_Student Support Services	84.042		262,362		
TRIO_Student Support Services	84.042		101,587		
TRIO_Student Support Services	84.042		204,674		
TRIO_Student Support Services	84.042		300,380		
TRIO_Student Support Services	84.042		279,610		
TRIO_Student Support Services	84.042		382,297		
TRIO_Student Support Services	84.042		498,045		
TRIO_Student Support Services	84.042		264,693		
TRIO_Student Support Services	84.042		257,449		2,779,379
TRIO_Talent Search	84.044		50,327		
TRIO_Talent Search	84.044		63,568		
TRIO_Talent Search	84.044		244,228		
TRIO_Talent Search	84.044		271,173		
TRIO_Talent Search	84.044		1,014		
TRIO_Talent Search	84.044		253,647		
TRIO_Talent Search	84.044		300,937		
TRIO_Talent Search	84.044		30,121		
TRIO_Talent Search	84.044		62,521		
TRIO_Talent Search	84.044		218,567		1,496,103
TRIO_Upward Bound	84.047		408,918		
TRIO_Upward Bound	84.047		135,120		
TRIO_Upward Bound	84.047		20,675		
TRIO_Upward Bound	84.047		29,270		
TRIO_Upward Bound	84.047		392,866		
TRIO_Upward Bound	84.047		146,579		

The accompanying notes are an integral part of this schedule.

The Research Foundation of State University of New York
 Schedule of Expenditures of Federal Awards
 For the Year Ended June 30, 2008

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
TRIO_Upward Bound	84.047		163,670		
TRIO_Upward Bound	84.047		268,423		
TRIO_Upward Bound	84.047		184,586		
TRIO_Upward Bound	84.047		181,390		
TRIO_Upward Bound	84.047		109,394		
TRIO_Upward Bound	84.047		174,946		
TRIO_Upward Bound	84.047		1,750		
TRIO_Upward Bound	84.047		107,660		
TRIO_Upward Bound	84.047		587,524		
TRIO_Upward Bound	84.047		117,826		
TRIO_Upward Bound	84.047		173,105		
TRIO_Upward Bound	84.047		145,499		
TRIO_Upward Bound	84.047		96		
TRIO_Upward Bound	84.047		456,294		3,805,691
TRIO_McNair Post-Baccalaureate Achievement	84.217		250,982		
TRIO_McNair Post-Baccalaureate Achievement	84.217		134,713		
TRIO_McNair Post-Baccalaureate Achievement	84.217		72,815		
TRIO_McNair Post-Baccalaureate Achievement	84.217		82,639		
TRIO_McNair Post-Baccalaureate Achievement	84.217		226,388		
TRIO_McNair Post-Baccalaureate Achievement	84.217		43,816		
TRIO_McNair Post-Baccalaureate Achievement	84.217		192,682		
TRIO_McNair Post-Baccalaureate Achievement	84.217		93,173		
TRIO_McNair Post-Baccalaureate Achievement	84.217		58,563		
TRIO_McNair Post-Baccalaureate Achievement	84.217		150,496		1,306,277
TRIO Cluster Subtotal:					9,387,450
NYS Education Department	84.048	8000086950		113,768	
NYS Education Department	84.048	8000076950		4,091	
Jefferson-Lewis BOCES	84.048			1,985	
Jefferson-Lewis BOCES	84.048			9,376	
NYS Education Department	84.048	8000076010		6,808	
NYS Education Department	84.048	8080070043		2,826	
NYS Education Department	84.048	8000086010		346,753	
NYS Education Department	84.048	8000076060		3,993	
NYS Education Department	84.048	8000086060		311,845	
NYS Education Department	84.048	8000076100		18,997	
NYS Education Department	84.048	8000086100		378,041	
NYS Education Department	84.048	8000086170		301,643	
NYS Education Department	84.048	8000076170		3,325	
NYS Education Department	84.048	8000076890		2,756	
NYS Education Department	84.048	8000086890		219,941	
NYS Education Department	84.048	250401581260 8000076870		34,355	
NYS Education Department	84.048	8000086870		411,235	2,171,718
Fund for the Improvement of Postsecondary Education	84.116		124,096		
Fund for the Improvement of Postsecondary Education	84.116		122,046		
Fund for the Improvement of Postsecondary Education	84.116		1,104		
Fund for the Improvement of Postsecondary Education	84.116		42,269		
Fund for the Improvement of Postsecondary Education	84.116		9,443		298,958
NYS Office of Children and Family Services	84.126			63,405	
NYS Office of Children and Family Services	84.126			117,904	
NYS Office of Children and Family Services	84.126			55,835	
NYS Office of Children and Family Services	84.126			22,559	289,703
NYS Comm On Qual Care and Adv for Persons with Dis	84.133	C111765		22,345	
NYS Comm On Qual Care and Adv for Persons with Dis	84.133	C111765		10,739	33,084
Migrant Education_High School Equivalency Program	84.141		551,594		551,594
Georgia Department of Education	84.144	0841431607994		390	
Georgia Department of Education	84.144	0841431606601		5,384	
North Carolina State University	84.144	7062		3,299	
Pennsylvania Department of Education	84.144			1,447	10,520
Migrant Education_College Assistance Migrant Program	84.149		363,429		363,429
Business and International Education Projects	84.153		23,989		23,989
Schenectady City School District	84.184			38,925	
Saint Lawrence Lewis BOCES	84.184			502	
Saint Lawrence Lewis BOCES	84.184	Q184L060007		12,566	51,983
NYS Office of Alcoholism Substance Abuse Services	84.186	C003310		9,114	
NYS Office of Alcoholism Substance Abuse Services	84.186	C003310		53,224	62,338
Bilingual Education_Professional Development	84.195		39,603		
Bilingual Education_Professional Development	84.195		142,962		
Bilingual Education_Professional Development	84.195		132,326		
Bilingual Education_Professional Development	84.195		186,446		
Bilingual Education_Professional Development	84.195		176,957		678,294
East Bloomfield Central School	84.196			5,494	
East Bloomfield Central School	84.196			5,885	
East Bloomfield Central School	84.196			9,491	
East Bloomfield Central School	84.196			118	
East Bloomfield Central School	84.196			3,038	
East Bloomfield Central School	84.196			113	
Florida Department of Education	84.196	9861277A7PE01		49,202	
East Bloomfield Central School	84.196			1,025	
East Bloomfield Central School	84.196			5,804	80,170
Graduate Assistance in Areas of National Need	84.200		1,117		
Graduate Assistance in Areas of National Need	84.200		36,024		
Graduate Assistance in Areas of National Need	84.200		51,447		
Graduate Assistance in Areas of National Need	84.200		192,127		
Graduate Assistance in Areas of National Need	84.200		207,962		
Graduate Assistance in Areas of National Need	84.200		181,668		
Graduate Assistance in Areas of National Need	84.200		147,877		818,222
Geneseo Migrant Center BOCES	84.213			29,792	
Geneseo Migrant Center BOCES	84.213			6,534	
Geneseo Migrant Center BOCES	84.213			972	
Geneseo Migrant Center BOCES	84.213			2,080	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
 Schedule of Expenditures of Federal Awards
 For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Geneseo Migrant Center BOCES	84.213			29,142	
Geneseo Migrant Center BOCES	84.213			3,415	
Geneseo Migrant Center BOCES	84.213			18,075	
Geneseo Migrant Center BOCES	84.213			7,602	
Geneseo Migrant Center BOCES	84.213			29,520	
Geneseo Migrant Center BOCES	84.213			12,759	139,891
Geneseo Migrant Center BOCES	84.214			2,993	
Geneseo Migrant Center BOCES	84.214			12,632	
Geneseo Migrant Center BOCES	84.214			7,981	
Geneseo Migrant Center BOCES	84.214			9,427	33,033
Fund for the Improvement of Education	84.215		38,879		
Fund for the Improvement of Education	84.215		116,653		
Schenectady City School District	84.215			19,419	
Broome Tioga BOCES	84.215	U215X070316		87,100	
Tompkins Seneca Tioga BOCES	84.215	U215X040002		122,994	
Albion Central School	84.215			3,648	
Albion Central School	84.215			46,037	
Albion Central School	84.215			508	
Holland Central School District	84.215			12,642	
Onondaga Cortland Madison Board of Education Servi	84.215			62,652	
Wayne Finger Lakes BOCES	84.215			8,528	
Ulster County BOCES	84.215	03922		7,559	
Ulster County BOCES	84.215	PO# 06-07299		9,523	
Syracuse City School District	84.215	PO K044912 Code E		(44)	536,108
NYS Education Department	84.243	8080070107		34,975	
NYS Education Department	84.243	8080070107		5,775	
NYS Education Department	84.243	8080080107		-	
NYS Education Department	84.243	C400720 8080080043		142,376	
NYS Education Department	84.243	8080070121		30,717	
NYS Education Department	84.243	C400722 8080080121		157,831	371,674
Rehabilitation Training_Continuing Education	84.264		108,712		
Rehabilitation Training_Continuing Education	84.264		458,777		
Rehabilitation Training_Continuing Education	84.264		335,927		903,416
Educational Testing Service	84.283	PO # 36205		7,626	
Educational Testing Service	84.283	PO36205		323,171	
Edvantia Incorporated	84.283	P006869		203,022	
Edvantia Incorporated	84.283	S460005009		6,896	
George Washington University	84.283	07S05		2,095	
George Washington University	84.283	07S31		305,932	848,742
Lackawanna City School District	84.287			53,559	
Learning Disabilities Association of Western NY	84.287			34,895	
Otsego Northern Catskills BOCES	84.287			403	
Otsego Northern Catskills BOCES	84.287			7,384	
Saint Lawrence Lewis BOCES	84.287			18,103	114,344
Council of American Overseas Research Centers	84.293	ECACAORCSUNYLP200720		260,835	
Council of American Overseas Research Centers	84.293	ECACAORCSUNYLP200822		481,847	742,682
National Writing Project Corporation	84.298			8,588	8,588
Education Research, Development and Dissemination	84.305		118,930		
Education Research, Development and Dissemination	84.305		60,787		179,717
Berlin Central School District	84.316			104	
Binghamton City School District	84.316	0291060011		229	333
NYS Education Department	84.323	C006608		3,461	
NYS Education Department	84.323	TBD		96,714	100,175
University of South Florida	84.324	1201190000A		38,779	
University of Oregon	84.324	223001B		5,727	
University of Oregon	84.324	223001B		10,741	55,247
Spec Educat - Pers Develop to Improve Svces Results for Childr	84.325		169,165		
Spec Educat - Pers Develop to Improve Svces Results for Childr	84.325		150,505		
Spec Educat - Pers Develop to Improve Svces Results for Childr	84.325		246,222		
Spec Educat - Pers Develop to Improve Svces Results for Childr	84.325		214,598		780,490
Gaining Early Awareness and Readiness for Undergraduate Prog	84.334		613,611		
Gaining Early Awareness and Readiness for Undergraduate Prog	84.334		413,549		
Albany City School District	84.334			4,079	
Albany City School District	84.334			25,275	
D'Youville College	84.334	886743		13,828	
D'Youville College	84.334	GL887743		66,802	
NYS Higher Education Services Corporation	84.334	C111872		140,348	
NYS Higher Education Services Corporation	84.334	C111872		15,419	1,292,911
Child Care Access Means Parents in School	84.335		68,047		68,047
Syracuse University	84.336	3572363S01		11,847	
NYS Education Department	84.336	0247050034		88	
NYS Education Department	84.336	0247070021		114,421	
NYS Education Department	84.336	0247-08-0021		114,021	240,377
NYS Education Department	84.346	C006014		(642)	
NYS Department of Labor	84.346	C001367		5,263	
NYS Department of Labor	84.346	C001547		3,365	
NYS Department of Labor	84.346			231,690	
NYS Department of Labor	84.346	C001826		177,935	417,611
Transition to Teaching	84.350		535,883		535,883
NYS Education Department	84.353	8060082005		143,965	
NYS Education Department	84.353	8060072005		3,724	
Syracuse City School District	84.353	K024998		14,543	162,232
Syracuse City School District	84.359	PO# K02527C		44	44
College of Saint Rose	84.363			42,429	42,429
Alabama State Department of Education	84.365	C800283		14,485	14,485
Albany City School District	84.366	0294070001		57,400	
Syracuse City School District	84.366			730	
Syracuse City School District	84.366			58,526	
Syracuse City School District	84.366			32,010	
Buffalo Public Schools	84.366			44,297	
Buffalo Public Schools	84.366			12,580	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Buffalo Public Schools	84.366			91,305	
Buffalo Public Schools	84.366			18,001	
Buffalo Public Schools	84.366			22,303	
Dunkirk City School District	84.366			313	
Saint Lawrence Lewis BOCES	84.366	0294070011		2,546	340,011
NYS Education Department	84.367	0247070030		137,234	
NYS Education Department	84.367	0247080030		239,009	
NYS Education Department	84.367	0247070020		18,204	
NYS Education Department	84.367	0247080020		104,416	
NYS Education Department	84.367	0247070022		40,340	
NYS Education Department	84.367	0247080022		49,821	
NYS Education Department	84.367	0247080023		20,754	
NYS Education Department	84.367	0247070023		50,697	
NYS Education Department	84.367	0247070024		6,618	
NYS Education Department	84.367	0247080024		43,615	
NYS Education Department	84.367	0247070026		16,010	
NYS Education Department	84.367	0247080026		37,600	
NYS Education Department	84.367	0247070025		32,354	
NYS Education Department	84.367	0247080025		58,645	
NYS Education Department	84.367	0247070028		14,912	
NYS Education Department	84.367	0247080028		87,038	
NYS Education Department	84.367	0247070029		33,886	
NYS Education Department	84.367	0247080029		58,032	1,049,185
National Writing Project Corporation	84.928	04NY10		40,664	
National Writing Project Corporation	84.928	08NY14		3,435	
National Writing Project Corporation	84.928	01NY09		4,008	
National Writing Project Corporation	84.928	01NY09		56,159	104,266
Total Department of Education			16,112,486	17,244,047	33,356,533
Other Boards and Commissions					
Help America Vote College Program	90.400		787		787
Total Other Boards and Commissions			787		787
Department of Health and Human Services					
Department of Health and Human Services	93		11,000		
Department of Health and Human Services	93		43,366		
University of Medicine & Dentistry of New Jersey	93	P0330297 U45ES06179-15		7,618	
University of Medicine & Dentistry of New Jersey	93	U45ES06179-15		18,446	
University of Medicine & Dentistry of New Jersey	93	U45ES06179		36,260	
University of Medicine & Dentistry of New Jersey	93	5 U45ES006179-16		66,258	
NYS Office of Temporary & Disability Assistance	93			364,337	
Lewin Group	93	4096.11		26,413	
NYS Office of Attorney General	93	C000936		24	
NYS Office of Children and Family Services	93			(164,258)	409,464
NYS Office for the Aging	93.042			10,223	10,223
Aging Cluster:					
Oswego County Office of Aging	93.044			1,251	
Oswego County Office of Aging	93.044			885	
Oswego County Office of Aging	93.044			98	2,234
Aging Cluster Subtotal:					2,234
Albany County Dept for the Aging & Handicapped	93.048	0767720015		13,111	
Albany County Dept for the Aging & Handicapped	93.048	0767720004		73,878	
NYS Office for the Aging	93.048	A400035		10,076	
NYS Office for the Aging	93.048	A400170		21,673	118,738
Alzheimers Disease & Related Disorders Association	93.051			(272)	
Alzheimers Disease & Related Disorders Association	93.051			1,142	
Alzheimers Disease & Related Disorders Association	93.051			1,920	
Alzheimers Disease & Related Disorders Association	93.051			31,979	
Alzheimers Disease & Related Disorders Association	93.051			13,098	47,867
Families Together in NYS Incorporated	93.104			165,107	
Families Together in NYS Incorporated	93.104	1U79SM56284		49,374	214,481
St Charles Hospital and Rehabilitation Center	93.110	5H02MC026180200		3,149	
St Charles Hospital and Rehabilitation Center	93.110	5H02MC026180400		122,344	125,493
Environmental Health	93.113		3,889		3,889
Grants for Preventive Medicine	93.117		178,929		
Grants for Preventive Medicine	93.117		395		179,324
Oral Diseases and Disorders Research	93.121		521,077		521,077
Nurse Anesthetist Traineeships	93.124		13,848		
Nurse Anesthetist Traineeships	93.124		17,790		31,638
NYS Department of Health	93.136	C018578		13,343	
NYS Department of Health	93.136	C018578		70,293	83,636
Columbia University	93.145	5H4AHA00071-05-4		7,842	
Columbia University	93.145	5H4AHA0007106		216,980	224,822
Coordinated Services and Access to Research for Women, Infant	93.153		73,272		
Coordinated Services and Access to Research for Women, Infant	93.153		625,589		
Coordinated Services and Access to Research for Women, Infant	93.153		159,694		858,555
Geriatric Training for Physicians, Dentists and Behavioral/Mental	93.156		2,750		
Geriatric Training for Physicians, Dentists and Behavioral/Mental	93.156		217,647		220,397
National Research Service Award in Primary Care Medicine	93.186		56,487		56,487
Great Lakes Human Health Effects Research	93.208		2,500		
Great Lakes Human Health Effects Research	93.208		5,000		7,500
NYS Office of Children and Family Services	93.217	C000812		28,667	
NYS Office of Children and Family Services	93.217	C000937		120,144	148,801
Research on Healthcare Costs, Quality and Outcomes	93.226		51,895		51,895
NYS Department of Health	93.235	C020499		20,951	
NYS Department of Health	93.235	C020498		58,713	79,664
Mental Health Research Grants	93.242		174,883		
Mental Health Research Grants	93.242		26,154		201,037
Mental Health Research Grants	93.242		3		3

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Mental Health Research Grants	93.242		9,622		
Mental Health Research Grants	93.242		26,070		
Health Research Inc	93.242	14033201		20,776	
Health Research Inc	93.242	14033203		30,160	287,688
SubAbuse and Mental Health Services_Projects of Regional and	93.243		19,250		
SubAbuse and Mental Health Services_Projects of Regional and	93.243		478,195		
SubAbuse and Mental Health Services_Projects of Regional and	93.243		(2,711)		
SubAbuse and Mental Health Services_Projects of Regional and	93.243		34,353		
SubAbuse and Mental Health Services_Projects of Regional and	93.243		133,309		
SubAbuse and Mental Health Services_Projects of Regional and	93.243		166,486		
County of Albany	93.243			63,314	
County of Albany	93.243	419		21,460	
NYS Office of Alcoholism Substance Abuse Services	93.243	C003311		12,798	
NYS Office of Alcoholism Substance Abuse Services	93.243	C003311		15,544	
NYS Office of Alcoholism Substance Abuse Services	93.243	C003313		21,985	
NYS Office of Alcoholism Substance Abuse Services	93.243	C003313		21,147	965,140
Advanced Education Nursing Grant Program	93.247		238,793		
Advanced Education Nursing Grant Program	93.247		8,409		
Advanced Education Nursing Grant Program	93.247		381,318		
Advanced Education Nursing Grant Program	93.247		9,172		
Advanced Education Nursing Grant Program	93.247		272,892		910,584
Columbia University	93.249	1		33,465	
Columbia University	93.249	531611		88,169	121,634
NYS Developmental Disabilities Planning Council	93.252	C021848		639	
NYS Developmental Disabilities Planning Council	93.252	C021848		13,792	14,631
Poison Control Stabilization and Enhancement Grants	93.253		232,798		
Poison Control Stabilization and Enhancement Grants	93.253		68,249		
University of Rochester	93.253	413626G		27,226	328,273
Occupational Safety and Health Program	93.262		62,580		
Occupational Safety and Health Program	93.262		828,612		
Occupational Safety and Health Program	93.262		4,577,598		5,468,790
Onondaga County	93.268			2,800	
Onondaga County	93.268			3,575	
Onondaga County	93.268	80504R3		41,669	
Onondaga County	93.268	80504R3		60,822	
Onondaga County	93.268	80504R2		1,080	109,946
Alcohol National Research Service Awards for Research Training	93.272		12,542		
Alcohol National Research Service Awards for Research Training	93.272		294,641		
Alcohol National Research Service Awards for Research Training	93.272		30,228		
Alcohol National Research Service Awards for Research Training	93.272		11,954		
Alcohol National Research Service Awards for Research Training	93.272		36,514		
Alcohol National Research Service Awards for Research Training	93.272		5,515		391,394
Drug-Free Communities Support Program Grants	93.276		46,122		
Drug-Free Communities Support Program Grants	93.276		58,633		
Capital Region BOCES	93.276			274	105,029
Drug Abuse National Research Service Awards for Research Trai	93.278		48,799		48,799
Drug Abuse and Addiction Research Programs	93.279		18,992		
Drug Abuse and Addiction Research Programs	93.279		25,202		
Drug Abuse and Addiction Research Programs	93.279		28,961		
Drug Abuse and Addiction Research Programs	93.279		7,541		
Drug Abuse and Addiction Research Programs	93.279		152		80,648
Mental Health National Research Service Awards for Research T	93.282		14,820		
Mental Health National Research Service Awards for Research T	93.282		2,447		
Mental Health National Research Service Awards for Research T	93.282		11,587		
Mental Health National Research Service Awards for Research T	93.282		-		
Mental Health National Research Service Awards for Research T	93.282		(20,684)		
Mental Health National Research Service Awards for Research T	93.282		6,181		
Mental Health National Research Service Awards for Research T	93.282		21,430		
Mental Health National Research Service Awards for Research T	93.282		6,206		
Mental Health National Research Service Awards for Research T	93.282		172,043		
American Psychiatric Inst for Research & Education	93.282			60,549	274,579
Centers for Disease Control and Prevention_Investigations and T	93.283		34,879		
Centers for Disease Control and Prevention_Investigations and T	93.283		710,237		
Centers for Disease Control and Prevention_Investigations and T	93.283		171,514		
Centers for Disease Control and Prevention_Investigations and T	93.283		(173,965)		
Association of Schools of Public Health	93.283	S36212425		19,793	
Health Research Inc	93.283	15012705		11,866	
University of South Carolina	93.283	081472		8,652	
Western New York Public Health Alliance Inc	93.283			58,639	841,615
National Community Centers of Excellence in Women's Health	93.290		3,537		3,537
Minority Health and Health Disparities Research	93.307		7,394		
Minority Health and Health Disparities Research	93.307		148,450		155,844
Advanced Education Nursing Traineeships	93.358		5,321		
Advanced Education Nursing Traineeships	93.358		35,000		
Advanced Education Nursing Traineeships	93.358		63,437		
Advanced Education Nursing Traineeships	93.358		138,950		
Advanced Education Nursing Traineeships	93.358		49,145		
Advanced Education Nursing Traineeships	93.358		39,766		
Advanced Education Nursing Traineeships	93.358		38,889		370,508
Nurse Education, Practice and Retention Grants	93.359		225,666		
Nurse Education, Practice and Retention Grants	93.359		470,232		
Nurse Education, Practice and Retention Grants	93.359		317,091		
Nurse Education, Practice and Retention Grants	93.359		18,446		
Nurse Education, Practice and Retention Grants	93.359		8,035		1,039,470
Minority Biomedical Research Support	93.375		147,257		147,257
National Center for Research Resources	93.389		328,017		328,017
Cancer Research Manpower	93.398		3,590		
Cancer Research Manpower	93.398		38,605		
Cancer Research Manpower	93.398		39,729		
Cancer Research Manpower	93.398		402,772		
Cancer Research Manpower	93.398		1,672		486,366

The accompanying notes are an integral part of this schedule.

The Research Foundation of State University of New York
 Schedule of Expenditures of Federal Awards
 For the Year Ended June 30, 2008

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
NYS Office of Children and Family Services	93.558	C001009		62,563	
NYS Department of Health	93.558	C019952		47,727	
SUNY at Stony Brook	93.558	NA		111,846	
SUNY at Stony Brook	93.558	C001401		188,175	
SUNY at Stony Brook	93.558	C001402		197,572	
SUNY at Stony Brook	93.558	C001329		156,855	
SUNY at Stony Brook	93.558	C001330		218,788	
SUNY at Stony Brook	93.558	T001331		112,576	
SUNY at Stony Brook	93.558	C001328		256,034	
SUNY at Stony Brook	93.558	C001332		211,219	
SUNY at Stony Brook	93.558	C001356		235,317	
SUNY at Stony Brook	93.558	C001297		682	
SUNY at Stony Brook	93.558	C024516		(279)	
CAMBA	93.558	271		19,995	
NYS Office of Children and Family Services	93.558			259,546	
NYS Office of Children and Family Services	93.558			196,920	
NYS Office of Children and Family Services	93.558			104,147	
NYS Office of Children and Family Services	93.558			437,356	
NYS Office of Children and Family Services	93.558			206,893	
NYS Office of Children and Family Services	93.558			255,077	
NYS Office of Children and Family Services	93.558			64,985	
NYS Office of Children and Family Services	93.558			1,159,436	
NYS Office of Children and Family Services	93.558			3,604,525	
NYS Office of Children and Family Services	93.558			294,249	
NYS Office of Children and Family Services	93.558			66,575	
NYS Office of Children and Family Services	93.558			518,526	
NYS Office of Children and Family Services	93.558			190,724	
NYS Office of Children and Family Services	93.558			1,376,880	
NYS Office of Children and Family Services	93.558			334,823	
NYS Office of Children and Family Services	93.558			679,981	
NYS Office of Children and Family Services	93.558			4,331,208	
NYS Office of Children and Family Services	93.558			146,801	
NYS Office of Children and Family Services	93.558			33,355	
NYS Office of Children and Family Services	93.558			507,220	
NYS Office of Children and Family Services	93.558			1,232,124	
NYS Office of Children and Family Services	93.558			(610)	
NYS Office of Children and Family Services	93.558			(135,137)	
NYS Office of Children and Family Services	93.558			36,001	
NYS Office of Children and Family Services	93.558			(2,364)	
NYS Office of Children and Family Services	93.558			10,327	
NYS Office of Children and Family Services	93.558			(49,502)	
NYS Office of Children and Family Services	93.558			(6,160)	
NYS Office of Children and Family Services	93.558			61,641	
NYS Office of Children and Family Services	93.558	C024914		87,479	
NYS Office of Children and Family Services	93.558	C024515		(197)	
NYS Department of Labor	93.558	C001191		(11)	
NYS Department of Labor	93.558			(762)	
NYS Office of Children and Family Services	93.558	C001751		728,153	
NYS Office of Temporary & Disability Assistance	93.558	C001405		3,119,007	
NYS Office of Temporary & Disability Assistance	93.558	C001790		6,106,398	
NYS Office of Temporary & Disability Assistance	93.558			3,557,111	
NYS Office of Temporary & Disability Assistance	93.558			600,847	31,932,602
NYS Office of Temporary & Disability Assistance	93.563	C000978		897,488	
NYS Office of Temporary & Disability Assistance	93.563	C000987		388,584	1,286,072
NYS Office of Children and Family Services	93.575	C024624		70,906	
NYS Office of Children and Family Services	93.575	C001578		1,408	
NYS Office of Children and Family Services	93.575	C001699		851,698	
NYS Office of Children and Family Services	93.575	C001818		3,128,108	
Virginia Department of Social Services	93.575	CCD-07-044		(3,210)	4,048,910
NYS Office of Children and Family Services	93.596			4,363,915	
NYS Office of Children and Family Services	93.596	C001004		139,684	
NYS Office of Children and Family Services	93.596	C000993		276,392	
NYS Office of Children and Family Services	93.596	C000980		184,187	
NYS Office of Children and Family Services	93.596	C000897		371,671	
NYS Office of Children and Family Services	93.596			(614)	
NYS Office of Children and Family Services	93.596			4,198	
NYS Office of Children and Family Services	93.596			1,856	
NYS Office of Children and Family Services	93.596			(444)	
NYS Office of Children and Family Services	93.596			(3,907)	
NYS Office of Children and Family Services	93.596	C001752		10,082,766	
NYS Office of Children and Family Services	93.596	C001773		492,965	
NYS Office of Children and Family Services	93.596	C-1580		(106,921)	
NYS Office of Children and Family Services	93.596	C-1629		(5,992)	
NYS Office of Children and Family Services	93.596	C001591		(126)	
NYS Office of Children and Family Services	93.596	C-1521		(1)	15,799,627
Head Start	93.600		201,326		201,326
NYS Developmental Disabilities Planning Council	93.630	C021843		20,234	
NYS Developmental Disabilities Planning Council	93.630	C021843		121,780	
NYS Developmental Disabilities Planning Council	93.630	C022037		173,427	315,441
NYS Office of Children and Family Services	93.647			(170)	
NYS Office of Children and Family Services	93.647	C001347		(7,659)	
NYS Office of the State Comptroller	93.647	C001028		(9,326)	(17,155)
Child Welfare Services Training Grants	93.648		78,625		
Child Welfare Services Training Grants	93.648		80,667		
Child Welfare Services Training Grants	93.648		100,927		
Child Welfare Services Training Grants	93.648		111,232		
Child Welfare Services Training Grants	93.648		67,868		
Child Welfare Services Training Grants	93.648		21,553		
Nazareth College	93.648	90CTO100/03		13,904	
Erie County Department of Social Services	93.648	ED1904		414,239	
Erie County Department of Social Services	93.648	ED1906		113,382	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
Erie County Department of Social Services	93.648	ED1670		144,837	
Erie County Department of Social Services	93.648	ED1669		47,530	
Erie County Department of Social Services	93.648	ED1340		38,436	
Erie County Department of Social Services	93.648			58,028	1,291,130
University of Oklahoma	93.652			678	678
NYS Office of Children and Family Services	93.658			(274)	
NYS Office of Children and Family Services	93.658			70,067	
NYS Office of Children and Family Services	93.658	C001632		(13,096)	
NYS Office of Children and Family Services	93.658	C001753		2,009,052	2,065,749
NYS Office of Children and Family Services	93.659	C001003		174,446	
NYS Office of Children and Family Services	93.659			968,707	1,143,153
NYS Office of Children and Family Services	93.667	C000907		1,550,093	
NYS Office of Children and Family Services	93.667	C000995		16,817	
NYS Office of Children and Family Services	93.667			795,992	
NYS Office of Children and Family Services	93.667	C000994		312,967	
NYS Office of Children and Family Services	93.667			593,752	
NYS Office of Children and Family Services	93.667	C000981		1,027,629	
NYS Office of Children and Family Services	93.667	C001006		12,127	
NYS Office of Children and Family Services	93.667	C001005		54,689	
NYS Office of Children and Family Services	93.667			31,265	
NYS Office of Children and Family Services	93.667	C001008		236,497	
NYS Office of Children and Family Services	93.667	C001013		126,233	
NYS Office of Children and Family Services	93.667			466,138	
NYS Office of Children and Family Services	93.667			283,576	
NYS Office of Children and Family Services	93.667	C001007		139,929	
NYS Office of Children and Family Services	93.667			260,488	
NYS Office of Children and Family Services	93.667			40,119	
NYS Office of Children and Family Services	93.667	C000496		(93)	
NYS Office of Children and Family Services	93.667	C000755		1,746	
NYS Office of Children and Family Services	93.667	C000751		(12,686)	
NYS Office of Children and Family Services	93.667	C000886		93,435	
NYS Office of Children and Family Services	93.667	C000888		31,267	
NYS Office of Children and Family Services	93.667	C000899		338,482	
NYS Office of Children and Family Services	93.667	C000910		901,281	
NYS Office of Children and Family Services	93.667	C000887		722,584	
NYS Office of Children and Family Services	93.667	C000898		448,445	
NYS Office of Children and Family Services	93.667	C000900		57,898	
NYS Office of Children and Family Services	93.667	C000880		108,243	
NYS Office of Children and Family Services	93.667	C000895		242,299	
NYS Office of Children and Family Services	93.667	C000883		208,972	
NYS Office of Children and Family Services	93.667	C000881		306,662	
NYS Office of Children and Family Services	93.667	C000905		201,961	
NYS Office of Children and Family Services	93.667	C000908		264,656	
NYS Office of Children and Family Services	93.667	C000889		71,181	
NYS Office of Temporary & Disability Assistance	93.667	C000976		383,117	
NYS Office of Temporary & Disability Assistance	93.667	C000848		1,380,552	
NYS Office of Temporary & Disability Assistance	93.667	C000849		486,609	
NYS Office of Temporary & Disability Assistance	93.667	C000850		610,546	
NYS Office of Temporary & Disability Assistance	93.667	C000847		162,187	
NYS Office of Temporary & Disability Assistance	93.667	C000866		575,489	
NYS Office of Temporary & Disability Assistance	93.667	C000855		659,528	
NYS Office of Temporary & Disability Assistance	93.667	C000867		47,203	
NYS Office of Temporary & Disability Assistance	93.667	C000858		474,747	
Monroe County Division of Social Services	93.667	106486(SAP)		6,114	
Monroe County Division of Social Services	93.667	106486(SAP)		9,044	
NYS Office of Temporary & Disability Assistance	93.667	C000161		12,411	
NYS Office of Temporary & Disability Assistance	93.667	C000178		548,265	
NYS Office of Temporary & Disability Assistance	93.667	C000196		175,389	
Herkimer County Department of Social Services	93.667			102,037	
Herkimer County Department of Social Services	93.667			114,240	
Erie County Department of Social Services	93.667	ED1666		64,447	
Erie County Department of Social Services	93.667	ED1665		60,240	
Erie County Department of Social Services	93.667	ED1907		189,223	
Erie County Department of Social Services	93.667	ED1906		252,779	
Monroe County Division of Social Services	93.667			19,695	
Ontario County Department of Social Services	93.667			28,644	
Ontario County Department of Social Services	93.667			24,243	
Olsego County Department of Social Services	93.667			21,814	
Rockland County Department of Social Services	93.667			16,431	16,361,637
Medicaid Cluster:					
NYS Department of Health	93.778	C022160		509,153	
NYS Department of Health	93.778	C022160		510,245	
NYS Department of Health	93.778			66,057	
NYS Department of Health	93.778			1,930,775	
NYS Department of Health	93.778			271,680	
NYS Department of Health	93.778			2,592,687	
NYS Department of Health	93.778			303,048	
NYS Department of Health	93.778			381,570	
NYS Department of Health	93.778			152,293	
NYS Department of Health	93.778			6,333,563	
NYS Department of Health	93.778			2,296,101	
NYS Department of Health	93.778			145,168	
NYS Department of Health	93.778			403,895	
NYS Department of Health	93.778			454,514	
NYS Department of Health	93.778			181,596	
NYS Department of Health	93.778			3,188,765	
NYS Office of Children and Family Services	93.778			(228,851)	
NYS Office of Children and Family Services	93.778			241,527	
NYS Office of Children and Family Services	93.778			(50,984)	
NYS Office of Children and Family Services	93.778			912	

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
NYS Office of Children and Family Services	93.778			13,873	
NYS Office of Children and Family Services	93.778			8,800	
NYS Office of Children and Family Services	93.778			2,791	
NYS Office of Children and Family Services	93.778			77,115	
NYS Office of Children and Family Services	93.778			173,155	19,959,548
Medicaid Cluster Subtotal:					19,959,548
NYS Department of Social Services	93.779	01687970		432,788	
NYS Office of Mental Retardation & Dev Dis	93.779			213,758	646,546
Health Careers Opportunity Program	93.822		(540)		(540)
Basic/Core Area Health Education Centers	93.824		1,255,626		
Basic/Core Area Health Education Centers	93.824		1,035,247		2,290,873
Heart and Vascular Diseases Research	93.837		25,065		
Heart and Vascular Diseases Research	93.837		196,681		221,746
Lung Diseases Research	93.838		94,134		
Lung Diseases Research	93.838		132,464		226,598
Diabetes, Endocrinology and Metabolism Research	93.847		120,459		
Diabetes, Endocrinology and Metabolism Research	93.847		29,864		
Diabetes, Endocrinology and Metabolism Research	93.847		5,544		
Diabetes, Endocrinology and Metabolism Research	93.847		250,959		406,826
Digestive Diseases and Nutrition Research	93.848		125,767		125,767
Kidney Diseases, Urology and Hematology Research	93.849		218,303		
Kidney Diseases, Urology and Hematology Research	93.849		128,401		346,704
Extramural Research Programs in the Neurosciences and Neuro	93.853		508		
Extramural Research Programs in the Neurosciences and Neuro	93.853		9,782		10,290
Allergy, Immunology and Transplantation Research	93.855		119,911		
Allergy, Immunology and Transplantation Research	93.855		14,152		
Allergy, Immunology and Transplantation Research	93.855		110,555		
Health Research Inc	93.855	284703		9,875	254,493
Microbiology and Infectious Diseases Research	93.856		(2,878)		(2,878)
Biomedical Research and Research Training	93.859		5,000		
Biomedical Research and Research Training	93.859		117,410		
Biomedical Research and Research Training	93.859		67,064		
Biomedical Research and Research Training	93.859		23,542		
Biomedical Research and Research Training	93.859		64,057		
Biomedical Research and Research Training	93.859		213,933		
Biomedical Research and Research Training	93.859		82,861		
Biomedical Research and Research Training	93.859		190,650		
Biomedical Research and Research Training	93.859		71,369		
Biomedical Research and Research Training	93.859		228,216		
Biomedical Research and Research Training	93.859		86,215		
Biomedical Research and Research Training	93.859		3,274		
Biomedical Research and Research Training	93.859		2,454		
Biomedical Research and Research Training	93.859		7,532		
Biomedical Research and Research Training	93.859		162		
Biomedical Research and Research Training	93.859		39,674		
Biomedical Research and Research Training	93.859		(719)		
Biomedical Research and Research Training	93.859		265		
Biomedical Research and Research Training	93.859		197,733		
Biomedical Research and Research Training	93.859		1,799		
Biomedical Research and Research Training	93.859		44,401		1,446,892
Child Health and Human Development Extramural Research	93.865		85,000		
Child Health and Human Development Extramural Research	93.865		26,217		
University of California at San Francisco	93.865	4436sc		6,816	118,033
Aging Research	93.866		7,286		
Aging Research	93.866		140,997		
Research Foundation for Mental Hygiene	93.866	00245810029225		23,588	171,871
Vision Research	93.867		32,598		
Vision Research	93.867		37,812		
Vision Research	93.867		15,769		
Vision Research	93.867		13,231		
Vision Research	93.867		1,089		100,499
Medical Library Assistance	93.879		10,240		10,240
Grants for Training in Primary Care Medicine and Dentistry	93.884		9,872		
Grants for Training in Primary Care Medicine and Dentistry	93.884		9,573		
Grants for Training in Primary Care Medicine and Dentistry	93.884		1,650		
Grants for Training in Primary Care Medicine and Dentistry	93.884		173,089		
Grants for Training in Primary Care Medicine and Dentistry	93.884		160,235		
Grants for Training in Primary Care Medicine and Dentistry	93.884		341,076		
Grants for Training in Primary Care Medicine and Dentistry	93.884		24,197		
Grants for Training in Primary Care Medicine and Dentistry	93.884		48,773		
Grants for Training in Primary Care Medicine and Dentistry	93.884		26,517		
Grants for Training in Primary Care Medicine and Dentistry	93.884		(18,141)		
Grants for Training in Primary Care Medicine and Dentistry	93.884		169,151		
Grants for Training in Primary Care Medicine and Dentistry	93.884		213,668		1,159,660
Fund for Public Health in New York	93.889	31607400		28,019	
Fund for Public Health in New York	93.889	113074001		24,411	
Fund for Public Health in New York	93.889	12607400		6,808	
Fund for Public Health in New York	93.889	11307400		32,681	
Fund for Public Health in New York	93.889	113026		3,723	
Fund for Public Health in New York	93.889	113020		29,969	
Fund for Public Health in New York	93.889	112017		12,823	
Fund for Public Health in New York	93.889	123026		5,563	
Health Research Inc	93.889	285702		5,923	
Health Research Inc	93.889	285602		196,580	
Health Research Inc	93.889	250503		32,543	
Health Research Inc	93.889	285603		219,358	
Health Research Inc	93.889	285703		19,220	
Health Research Inc	93.889	334801		6,972	624,593
United Way of Long Island	93.914	7724		22,129	
United Way of Long Island	93.914	7331		32,407	

The accompanying notes are an integral part of this schedule.

The Research Foundation of State University of New York
 Schedule of Expenditures of Federal Awards
 For the Year Ended June 30, 2008

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
United Way of Long Island	93.914			23,580	
United Way of Long Island	93.914	7MA16		15,113	
United Way of Long Island	93.914	7724		55,936	
United Way of Long Island	93.914	7725		44,982	
United Way of Long Island	93.914	7041		419,860	
United Way of Long Island	93.914	7331		98,228	
United Way of Long Island	93.914	7202		8,807	
United Way of Long Island	93.914	6502		(1,458)	
United Way of Long Island	93.914	6331		124	
Health Research Inc	93.914	233405		232,172	
Health Research Inc	93.914	233405		81,265	
Medical and Health Research Association of NYC	93.914	06SCF364		(337)	
Medical and Health Research Association of NYC	93.914	00HCS364		91,295	
Medical and Health Research Association of NYC	93.914	04CMC364		178,987	
Medical and Health Research Association of NYC	93.914	06SCF364		162,523	
Medical and Health Research Association of NYC	93.914	07ESC364		27,184	
Medical and Health Research Association of NYC	93.914	07ESC364A		202,478	
Public Health Solutions	93.914			12,193	
Public Health Solutions	93.914			55,944	
Public Health Solutions	93.914			38,390	
Public Health Solutions	93.914			41,791	1,843,613
Health Research Inc	93.917	15335716		(2,083)	
Health Research Inc	93.917	15335717		94,945	
Health Research Inc	93.917	15335718		31,189	
Health Research Inc	93.917	224103		11,263	
Health Research Inc	93.917	H00224104		86,269	
Health Research Inc	93.917	302102		96,284	
Health Research Inc	93.917			22,775	
Health Research Inc	93.917	130308		85,119	
Health Research Inc	93.917	245405		8,319	
Health Research Inc	93.917	245404		181,968	
Health Research Inc	93.917	245403		(5)	616,043
Grants to Provide Outpatient Early Intervention Services with Res	93.918		519,056		
Grants to Provide Outpatient Early Intervention Services with Res	93.918		45,874		
Grants to Provide Outpatient Early Intervention Services with Res	93.918		418,484		983,414
Health Research Inc	93.940	15334017		14	
Health Research Inc	93.940	128306		767	
Health Research Inc	93.940	128307		238,021	
Health Research Inc	93.940	117009		178,249	
Health Research Inc	93.940			13,239	
Health Research Inc	93.940			24,735	455,025
HIV Demonstration, Research, Public and Professional Education	93.941		43,785		43,785
NYS Office of Alcoholism Substance Abuse Services	93.959	C003308		16,636	16,636
Public Health Traineeships	93.964		19,779		19,779
Geriatric Education Centers	93.969		217,247		
University of Rochester	93.969	414071G		27,606	
Clinton County Office for the Aging	93.969			906	
University of Rochester	93.969	414069G		47,556	293,315
Preventive Hlth Svce Sexually Trans Dis Research, Demo, Public	93.978		1,963		1,963
International Research and Research Training	93.989		(1,683)		
International Research and Research Training	93.989		9,714		
International Research and Research Training	93.989		599,325		
International Research and Research Training	93.989		51,739		
Health Research Inc	93.989	15029201		34,805	
University of California Berkeley	93.989	SA5335 82119		34,944	
Yale University	93.989	A06698		45,249	
Yale University	93.989			3,001	777,094
NYS Department of Health	93.994	C020211		51,628	
NYS Department of Health	93.994	C019081		24,410	
NYS Department of Health	93.994	C021664		34,060	
NYS Department of Health	93.994	C021664		28,517	
NYS Department of Health	93.994	C020211		634,348	
NYS Department of Health	93.994	C019081		17,914	
NYS Department of Health	93.994	C019081		111	
NYS Department of Health	93.994	C019083		15,824	
NYS Department of Health	93.994	C019083		41,522	848,334
Lourdes Hospital	93.995			28,525	
Lourdes Hospital	93.995			16,614	45,139
Columbia University	93.996	3		220,598	
Columbia University	93.996	530640		193,460	414,058
Total Department of Health and Human Services			23,278,841	101,522,404	124,801,245
Corporation for National and Community Service					
Retired and Senior Volunteer Program	94.002		209		
Retired and Senior Volunteer Program	94.002		68,187		
Retired and Senior Volunteer Program	94.002		51,423		
Retired and Senior Volunteer Program	94.002		72,602		192,421
Learn and Serve America_Higher Education	94.005		2,705		
Pennsylvania Campus Compact	94.005			15,000	
Daemen College	94.005			2,000	
Foundation for Long Term Care	94.005			2,485	
Foundation for Long Term Care	94.005			2,101	
Daemen College	94.005			8,000	32,291
NYS Office of Children and Family Services	94.006	T010989		3,567	
NYS Office of Children and Family Services	94.006	C024796		22,715	
NYS Commission on National and Community Service	94.006	C024019		110,633	
NYS Commission on National and Community Service	94.006	C023923		43,316	180,231
Planning and Program Development Grants	94.007		1,218		
NYS Office of Children and Family Services	94.007	T010989		1,162	
NYS Office of Children and Family Services	94.007	T010989		3,687	
NYS Office of Children and Family Services	94.007	T010907		(2,036)	4,031

The accompanying notes are an integral part of this schedule.

**The Research Foundation of State University of New York
 Schedule of Expenditures of Federal Awards
 For the Year Ended June 30, 2008**

	CFDA Number	Pass-Through Number	Direct Expenditures	Pass- Through Expenditures	Total Expenditures
NYS Office of Children and Family Services	94.009			955	
NYS Office of Children and Family Services	94.009	C000906		79,133	
NYS Office of Children and Family Services	94.009	C000895		99,021	179,109
Total Corporation for National and Community Service			196,344	391,739	588,083
Social Security Administration					
NYS Office of Temporary & Disability Assistance	96.001	C000982		28,349	28,349
Total Social Security Administration			-	28,349	28,349
Department of Homeland Security					
Department of Homeland Security	97		9,068		9,068
Homeland Security Cluster:					
SES Incorporated	97.004			5,775	5,775
Homeland Security Cluster Subtotal:				5,775	5,775
Degrees at a Distance Program	97.103		5,433		5,433
Total Department of Homeland Security			14,501	5,775	20,276
Agency for International Development					
USAID Foreign Assistance for Programs Overseas	98.001		171,324		
USAID Foreign Assistance for Programs Overseas	98.001		936,116		
USAID Foreign Assistance for Programs Overseas	98.001		408,371		
USAID Foreign Assistance for Programs Overseas	98.001		979,144		
USAID Foreign Assistance for Programs Overseas	98.001		654,694		
USAID Foreign Assistance for Programs Overseas	98.001		942,921		
USAID Foreign Assistance for Programs Overseas	98.001		3,461,080		
USAID Foreign Assistance for Programs Overseas	98.001		1,805,248		
USAID Foreign Assistance for Programs Overseas	98.001		3,313,149		
USAID Foreign Assistance for Programs Overseas	98.001		5,385,855		
USAID Foreign Assistance for Programs Overseas	98.001		5,952		
USAID Foreign Assistance for Programs Overseas	98.001		97		
USAID Foreign Assistance for Programs Overseas	98.001		17,459		
USAID Foreign Assistance for Programs Overseas	98.001		25,396		
USAID Foreign Assistance for Programs Overseas	98.001		(1,881)		
USAID Foreign Assistance for Programs Overseas	98.001		25,901		
USAID Foreign Assistance for Programs Overseas	98.001		(14,736)		
USAID Foreign Assistance for Programs Overseas	98.001		(14,165)		
USAID Foreign Assistance for Programs Overseas	98.001		922		
ARD Inc	98.001	1693000HAITITELGSUNY001		15,448	
American Council on Education	98.001			20,124	
Checchi & Company Consulting Incorporated	98.001	Agreement 3-15-05		3,863	
Chemonics International Incorporated	98.001			245,786	
Checchi & Company Consulting Incorporated	98.001	Agreement 3-15-05		(3,846)	
Chemonics International Incorporated	98.001			(759)	18,383,463
Total Agency for International Development			18,102,847	280,616	18,383,463
Total Other Programs			75,561,545	132,765,370	208,326,915
TOTAL EXPENDITURES OF FEDERAL AWARDS			\$ 336,285,057	\$ 172,477,069	\$ 508,762,126

The accompanying notes are an integral part of this schedule.

The Research Foundation of State University of New York
Notes to Schedule of Expenditures of Federal Awards
June 30, 2008

1. Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards presents the direct and indirect expenditures of federal financial assistance programs administered by The Research Foundation of State University of New York (the "RF"). The amounts reported were obtained from the RF's general ledger which is the source of the federal financial reports and is maintained on an accrual basis. However, the Schedule of Expenditures of Federal Awards is prepared on the cash basis. Negative amounts represent adjustments to expenditures reported in prior years in the normal course of business. Pass-through amounts are reported under the related CFDA number, as indicated on the schedule. CFDA and pass-through numbers are presented where available.

2. Subrecipients

Of the federal expenditures presented in the schedule, the RF provided the federal awards to subrecipients as follows (for both Research and Development and Other Programs) for the year ended June 30, 2008:

Program Title	Federal CFDA Number	Research and Development	Non R & D Programs
Grants for Agricultural Research Competitive Research Grants	10.206	\$ 35,160	
Integrated Programs	10.303	25,334	
Forestry Research	10.652	34,091	
Sea Grant Support	11.417	983,584	
Special Oceanic and Atmospheric Projects	11.460	10,944	
Unallied Science Program	11.472		
Coastal Services Center	11.473	18,934	
Center for Sponsored Coastal Ocean Research Coastal Ocean Program	11.478	385,616	
Department of Defense	12.000		
Collaborative Research and Development	12.114	110,371	
Basic and Applied Scientific Research	12.300	241,519	
Military Medical Research and Development	12.420	70,384	263,491
Basic Scientific Research	12.431	861,555	
Basic, Applied, and Advanced Research in Science and Engineering	12.630		
Air Force Defense Research Sciences Program	12.800	430,763	
Research and Technology Development	12.910		
Central Intelligence Agency	13.000	510,381	
Community Development Block Grants/Brownfields Economic Development Initiative	14.246		3,543
Lead Outreach Grants	14.904		27,500
Department of the Interior	15.000	19,200	
Assistance to State Water Resources Research Institutes	15.805	9,303	
U.S. Geological Survey Research and Data Collection	15.808	93,436	
Cooperative Research Units Program	15.812	4,818	
Department of Justice	16.000	38,674	
WIA Adult Program	17.258		161,768
	17.502		13,195
Highway Planning and Construction	20.205	105,903	
State and Community Highway Safety	20.600	104,434	
National Aeronautics and Space Administration	43.000	157,144	
Aerospace Education Services Program	43.001	75,479	
Promotion of the Humanities - Research	45.161		
National Science Foundation	47.000		

The Research Foundation of State University of New York
Notes to Schedule of Expenditures of Federal Awards
June 30, 2008

Program Title	Federal CFDA Number	Research and Development	Non R & D Programs
Engineering Grants	47.041	1,135,504	
Mathematical and Physical Sciences	47.049	209,582	
Geosciences	47.050	713,705	1,779
Computer and Information Science and Engineering	47.070	412,472	
Biological Sciences	47.074	57,984	6,540
Social, Behavioral, and Economic Sciences	47.075	21,001	
Education and Human Resources	47.076	213,540	93,907
International Science and Engineering (OISE)	47.079	189,570	
Small Business Administration	59.000		71,739
Small Business Development Center	59.037		2,918,113
Long Island Sound Program	66.437	16,155	
Regional Wetland Program Development Grants	66.461		
Great Lakes Program	66.469	6,714	
Environmental Protection Consolidated Research	66.500		
Science To Achieve Results (STAR) Research Program	66.509	35,180	
Surveys, Studies, Investigations and Special Purpose Grants	66.606	46,899	
Department of Energy	81.000		85,815
Office of Science Financial Assistance Program	81.049	1,525,233	
Renewable Energy Research and Development	81.087	59,143	
Stewardship Science Grant Program	81.112	28,035	
Defense Nuclear Nonproliferation Research	81.113	9,912	
Migrant Education State Grant Program	84.011		25,090
Fund for the Improvement of Postsecondary Education	84.116		33,249
National Institute on Disability and Rehabilitation Research	84.133	751,896	
Safe and Drug-Free Schools and Communities National Programs	84.184	7,048	
Fund for the Improvement of Education	84.215		109,469
Tech-Prep Education	84.243		29,755
Education Research, Development and Dissemination	84.305	503,009	
Research in Special Education	84.324	75,000	
Gaining Early Awareness and Readiness for Undergraduate Programs	84.334		121,084
Improving Teacher Quality State Grants	84.367		161,154
National Historical Publications and Records Grants	89.003	2,951	
Department of Health and Human Services	93.000		
Public Health and Social Services Emergency Fund	93.003		
Environmental Health	93.113	39,758	
Oral Diseases and Disorders Research	93.121	118,466	
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135	47,869	
Coordinated Services and Access to Research for Women, Infants, Children, and Youth	93.153	642,261	
Human Genome Research	93.172	60,188	
Research Related to Deafness and Communication Disorders	93.173	325,297	
Great Lakes Human Health Effects Research	93.208	59,653	
Research on Healthcare Costs, Quality and Outcomes	93.226	144,411	35,000
Abstinence Education Program	93.235		
Mental Health Research Grants	93.242	963,455	
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243		29,884
Occupational Safety and Health Program	93.262		704,047
Alcohol Research Programs	93.273	5,926,127	
Drug-Free Communities Support Program Grants	93.276		(1,746)

The Research Foundation of State University of New York
Notes to Schedule of Expenditures of Federal Awards
June 30, 2008

Program Title	Federal CFDA Number	Research and Development	Non R & D Programs
Drug Abuse Research Programs Assistance	93.279	385,171	
Assistance	93.283		100,530
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	225,756	
Minority Health and Health Disparities Research	93.307	18,053	
Trans-NIH Research Support	93.310	13,009	
Nursing Research	93.361	25,877	
National Center for Research Resources	93.389	469,878	
Cancer Cause and Prevention Research	93.393	708,825	
Cancer Detection and Diagnosis Research	93.394	295,524	
Cancer Treatment Research	93.395	260,504	
Cancer Control	93.399		
Temporary Assistance for Needy Families	93.558		2,847,231
Child Care and Development Block Grant	93.575		3,753,557
Social Services Block Grant	93.667		241,275
Medical Assistance Program	93.778		264,592
Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations, and Evaluations	93.779	265,272	
Basic/Core Area Health Education Centers	93.824		1,964,144
Heart and Vascular Diseases Research	93.837	497,615	
Blood Diseases and Resources Research	93.839	47,688	
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	100,630	
Diabetes, Endocrinology and Metabolism Research	93.847	18,668	
Digestive Diseases and Nutrition Research	93.848		
Kidney Diseases, Urology and Hematology Research	93.849	385,134	
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	174,686	
Allergy, Immunology and Transplantation Research	93.855	226,381	
Microbiology and Infectious Diseases Research	93.856	37,637	
Biomedical Research and Research Training	93.859	279,361	64,531
Child Health and Human Development Extramural Research	93.865	449,172	
Aging Research	93.866	123,151	
Vision Research	93.867	21,517	
Grants for Training in Primary Care Medicine and Dentistry	93.884		66,000
HIV Emergency Relief Project Grants	93.914		332,122
HIV Prevention Activities Health Department Based	93.940		
Geriatric Education Centers	93.969		8,741
International Research and Research Training	93.989		62,091
Maternal and Child Health Services Block Grant to the States	93.994		398,000
Bioterrorism Training and Curriculum Development Program	93.996		
Social Security Research and Demonstration	96.007		
Homeland Security Preparedness Technical Assistance Program	97.077	105,798	
USAID Foreign Assistance for Programs Overseas	98.001	133,401	2,856,754
		<u>23,943,746</u>	<u>17,853,943</u>

PART II
REPORTS ON INTERNAL CONTROLS
AND COMPLIANCE

Report of Independent Auditors on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards

To the Board of Directors
The Research Foundation of State University of New York

We have audited the financial statements of The Research Foundation of State University of New York (the "RF") as of and for the year ended June 30, 2008, and have issued our report thereon dated September 19, 2008. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the RF's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the RF's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the RF's internal control over financial reporting.

A control deficiency exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect misstatements on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to initiate, authorize, record, process, or report financial data reliably in accordance with generally accepted accounting principles such that there is more than a remote likelihood that a misstatement of the entity's financial statements that is more than inconsequential will not be prevented or detected by the entity's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that a material misstatement of the financial statements will not be prevented or detected by the entity's internal control.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the RF's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of the RF in a separate letter dated October 2, 2008.

This report is intended solely for the information and use of the RF's audit committee, management, federal awarding agencies and pass through entities, and is not intended to be and should not be used by anyone other than these specified parties.

PricewaterhouseCoopers LLP

September 19, 2008

**Report of Independent Auditors on Compliance with Requirements
Applicable to Each Major Program and on Internal Control Over Compliance
in Accordance with OMB Circular A-133**

To the Board of Directors
The Research Foundation of State University of New York

Compliance

We have audited the compliance of The Research Foundation of State University of New York (the "RF") with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2008. The RF's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of The RF's management. Our responsibility is to express an opinion on the RF's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about The RF's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of The RF's compliance with those requirements.

In our opinion, The RF complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2008. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 08-01 through 08-06.

Internal Control Over Compliance

The management of The RF is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered The RF's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly we do not express an opinion on the effectiveness of The RF's internal control over compliance.

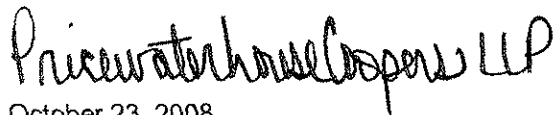
A control deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control.

Our consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in internal control that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

The RF's response to findings identified in our audit is described in the accompanying schedule of findings and questioned costs. We did not audit The RF's response and, accordingly, we express no opinion on it.

This report is intended solely for the information and use of the RF's audit committee, management, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.



October 23, 2008

PART III
FINDINGS

**The Research Foundation of State University of New York
 Schedule of Findings and Questioned Costs
 June 30, 2008**

I – Summary of Auditor’s Results

Financial Statements

Type of auditor’s report issued: Unqualified

Internal control over financial reporting:

- Material weakness(es) identified? yes no
- Significant deficiency(ies) identified that are not considered to be material weaknesses? yes none reported
- Noncompliance material to financial statements noted? yes no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? yes no
- Significant deficiency(ies) identified that are not considered to be material weaknesses? yes none reported

Type of auditor’s report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of OMB Circular A-133? yes no

Identification of major programs:
CFDA Number(s)

Name of Federal Program or Cluster

Various	Research and Development Cluster
Various	TRIO Cluster
93.262	Occupational Safety and Health Program
93.359	Nurse Education, Practice and Retention Grants
93.648	Child Welfare Services Training Grants
93.667	Social Services Block Grant
93.778	Medical Assistance Program
93.824	Basic/Core Area Health Education Centers
93.884	Grants for Training in Primary Care Medicine and Dentistry
93.914	HIV Emergency Relief Project Grants

Dollar threshold used to distinguish between type A and type B programs:

\$3,000,000

Auditee qualified as low-risk auditee? yes no

II - Findings Related to the Financial Statements Which are Required to be Reported in Accordance with Generally Accepted Auditing Standards

None reported.

The Research Foundation of State University of New York
Schedule of Findings and Questioned Costs
June 30, 2008

III. Federal Award Findings and Questioned Costs

Finding 08-01 - Reporting

For 19 out of 137 reports tested, required technical reports and/or progress reports were not submitted timely, in accordance with Circular A-110, section 51. Section 51 states that *"the federal awarding agency shall prescribe the frequency with which the performance reports shall be submitted. Except as provided in paragraph 51(f), performance reports shall not be required more frequently than quarterly or, less frequently than annually. Annual reports shall be due 90 calendar days after the grant year; quarterly or semi-annual reports shall be due 30 days after the reporting period. The Federal awarding agency may require annual reports before the anniversary dates of multiple year awards in lieu of these requirements. The final performance reports are due 90 calendar days after the expiration or termination of the award."* The delinquencies ranged from 4 days to 86 days, with one report not submitted as of the date of our testing.

CFDA #	Major Program Name	Award Period	Number of Exceptions	Reports Tested
20.205 47.050 81.112 93.393 93.855	R&D Cluster	07/01/00-12/31/07 06/01/07-05/31/12 04/15/06-04/14/09 04/01/90-02/28/09 06/15/04-05/31/08	6	39
84.042 84.044	TRIO Cluster	06/01/07-05/31/09 01/01/06-08/31/08	2	15
93.778	Medical Assistance Program	04/01/07-03/31/08 01/01/07-12/31/07	2	39
93.262	Occupational Safety and Health Program	07/15/04-07/14/09	3	4
93.667	Social Services Block Grant	01/01/07-12/31/07 01/01/07-12/31/07	2	21
93.824	Basic/Core Area Health Education Centers	09/01/06-08/31/07 09/01/07-08/31/08	2	2
93.914	HIV Emergency Relief Project Grants	03/01/07-02/29/08 03/01/07-02/29/08	2	5
Various	All Other		0	12
	TOTAL		19	137

Cause

Program personnel have not always complied in a timely manner with reporting requirements. Some of the aforementioned late reports may have been approved informally by the sponsor, however no such supporting documentation was retained.

Effect

The sponsor may not be current with program developments. Furthermore, severe instances of noncompliance could lead to changes in future funding levels.

Recommendation

The RF has implemented monitoring controls to track report submissions in 2008, however further reinforcement is recommended. We recommend enhanced monitoring controls be implemented to ensure compliance with filing requirements. The RF may consider identifying reports in advance that will be late in order to communicate the rationale to the sponsor and request approval. The RF should retain such correspondence with the sponsor.

The Research Foundation of State University of New York
Schedule of Findings and Questioned Costs
June 30, 2008

Management's Views and Corrective Action Plan

Following these findings are management's responses and corrective action plan.

Finding 08-02 - Effort Reporting

The RF internal controls, policies and procedures surrounding payroll and labor distribution are designed to comply with A-21 and A-110. Specifically, Circular A-21, Section J-8(2)(c)(1)(e) states that "At least annually a statement will be signed by the employee, principal investigator, or responsible official(s) using suitable means of verification that the work was performed, stating that salaries and wages charged to sponsored agreements as direct charges, and to residual, F&A cost or other categories are reasonable in relation to work performed." The following exceptions to the RF's internal controls, policies and procedures related to payroll were noted:

- a) For 29 out of 360 transactions tested, effort reports were not submitted within the RF's required timeframes. Delinquencies ranged from 3 to 235 days, with an average delinquency of 72 days:

CFDA #	Major Program Name	Award Period	Number of Exceptions	Sample Tested
43.000 93.389 93.393 93.855	R&D Cluster	08/01/05-07/31/09 12/01/98-11/30/09 04/20/07-11/31/12 06/15/04-05/31/08 12/01/06-12/31/07 12/01/07-12/31/08 04/01/90-02/28/09	13	90
84.042 84.047 84.217	TRIO Cluster	09/01/07-08/31/08 06/01/04-05/31/08 07/01/07-06/30/11 06/01/07-05/31/09 10/01/04-09/30/08	6	30
93.262	Occupational Safety and Health Program	07/15/04-07/14/09 07/15/04-07/14/09	3	30
93.359	Nurse Education, Practice and Retention Grants	07/01/06-06/30/09	3	30
93.884	Grants for Training in Primary Care Medicine and Dentistry	07/01/05-06/30/08	2	15
93.914	HIV Emergency Relief Project Grants	03/01/07-02/29/08 03/01/07-02/29/08	2	30
Various	All Other		0	135
	TOTAL		29	360

Cause

In certain circumstances, some programmatic personnel do not consistently adhere to RF policies and procedures with regard to the timeliness of their effort certifications.

Effect

Timeliness is important to the overall accuracy of the effort certification process. Untimely effort certifications could lead to inappropriate charges to awards. This could also lead to late cost transfers as charges are adjusted in the system.

The Research Foundation of State University of New York
Schedule of Findings and Questioned Costs
June 30, 2008

Recommendation

We recommend that the monitoring and follow-up procedures surrounding effort reporting compliance be enhanced to ensure compliance with RF policy regarding timeliness of submission.

Management's Response and Corrective Action Plan

Following these findings are management's responses and corrective action plan.

- b) For 10 out of 360 transactions tested, the award time allocation on an employee's effort report did not agree with the allocation noted in the employee assignment change form. In each of these instances, a change in effort took place, which was properly reflected in the labor distribution module. The effort certification, however, was not updated timely to reflect the authorized change in effort, which caused inaccurate effort certifications.

CFDA #	Major Program Name	Award Period	Number of Exceptions	Sample Tested
20.205 84.133 93.389 93.393	R&D Cluster	01/01/00-12/31/07 01/01/05-10/31/10 12/01/98-11/30/09 04/01/90-02/28/09	5	75
84.042	TRIO Cluster	09/01/05-08/31/08	1	30
93.667	Social Services Block Grant	01/01/08-12/31/08	1	30
93.824	Basic/Core Area Health Education Centers	09/01/06-08/31/07	1	30
93.884	Grants for Training in Primary Care Medicine and Dentistry	07/01/05-06/30/08	1	30
93.914	HIV Emergency Relief Project Grants	08/01/07-07/31/08	1	30
Various	All Other		0	135
	TOTAL		10	360

Cause

In certain cases, programmatic personnel did not exercise due care when filling out their timesheets. Furthermore, certain campuses' sponsored programs office's monitoring controls failed to compare the effort certification to the labor distribution module, and therefore did not detect these discrepancies.

Effect

Failure of the RF's monitoring controls in such instances could lead to inaccurate and inappropriate charges to federal awards.

Recommendation

We recommend that the RF reinforce the importance of reconciling effort certifications to the labor distribution modules to ensure compliance with federal regulations. In addition, we recommend that the RF re-certify the inaccurate effort certifications noted above.

Management's Response and Corrective Action Plan

Following these findings are management's responses and corrective action plan.

The Research Foundation of State University of New York
Schedule of Findings and Questioned Costs
June 30, 2008

- c) For 2 of the 360 transactions tested, employees did not certify superseded effort reports. The exceptions were as follows:

CFDA #	Major Program Name	Award Period	Number of Exceptions	Sample Tested
93.855	R&D Cluster	12/01/07-12/31/08	1	75
93.914	HIV Emergency Relief Project Grants	08/01/07-0731/08	1	30
Various	All Other		0	255
	TOTAL		2	360

Cause

Each of these employees had errors in original effort reports. The reports were subsequently updated; however, the superseded effort reports were not certified.

Effect

Timeliness is important to the overall accuracy of the effort certification process. Untimely effort certifications could lead to inappropriate charges to awards. This could also lead to late cost transfers as charges are adjusted to the system.

Recommendation

We recommend monitoring and follow-up procedures surrounding effort reporting compliance be enhanced to ensure compliance with RF policy regarding certification and submission and re-submission of reports.

Management's Response and Corrective Action Plan

Following these findings are management's responses and corrective action plan.

Finding 08-03 - Equipment Inventory

Circular A-110 states that equipment records shall be maintained, a physical inventory of equipment shall be taken at least once every two years and reconciled to the equipment records, an appropriate control system shall be used to safeguard equipment, and equipment shall be adequately maintained. Per our review, the most recent inventory had not been conducted in two years for 6 of the 75 departments in total that were tested.

Cause

Staff members at this campus were not aware that physical inventories were not performed in the past two years and there exists no monitoring controls for equipment physical inventories.

Effect

Outdated equipment records could lead to inaccurate financial reporting and misappropriation of assets.

Recommendation

We recommend enhanced monitoring procedures be implemented to ensure physical inventory observations are performed within the timeframe required by Circular A-110.

Management's Response and Corrective Action Plan

Following these findings are management's responses and corrective action plan.

The Research Foundation of State University of New York
Schedule of Findings and Questioned Costs
June 30, 2008

Finding 08-04 - Record Retention

In our review of eligibility for CFDA #84.047-TRIO Cluster, at one campus, we noted that files were destroyed for the Math and Science Upward Bound program for the award period January 1, 2003 to October 31, 2007 after the program ended in FY 2008. Federal requirements state that records must be maintained for at least three years and RF policy requires records to be retained for seven years.

Cause

The campus destroyed files in good faith as they did not want students' personal information to be compromised.

Effect

Without original records, subsequent reviews and audits are challenging. Alternative methods of proving eligibility and participation become necessary.

Recommendation

We recommend that the RF enforce their policy and federal requirements and retain records for at least seven years. As privacy is an important issue, the RF should implement an electronic process for retaining students' records.

Management's Response and Corrective Action Plan

Following these findings are management's responses and corrective action plan.

Finding 08-05 - Program Income

OMB Circular A-110, Subpart C.24(b) discusses the proper treatment of program income on research and other awards. In our review of the Research and Development Cluster and the HIV Emergency Relief Project Grants (CFDA #93.914) at one campus, we noted that the RF is not accounting for program income in accordance with Federal requirements. We noted 8 out of 14 awards tested at this campus produce program income via patient care related functions conducted at an affiliate hospital that are eligible to be billed to qualified third parties. Any income generated by these programs should be allocated between the RF and the hospital. However, in certain cases, the hospital retains the revenue and therefore, the RF can not quantify the amount of program income that is owed to them.

Cause

The RF has not retro-actively adjusted the allocation of program income to ongoing programs.

Effect

Errors in program income retention could lead to inaccurate financial reporting.

Recommendation

We recommend that the RF enforce their program income policy.

Management's Response and Corrective Action Plan

Following these findings are management's responses and corrective action plan.

The Research Foundation of State University of New York
Schedule of Findings and Questioned Costs
June 30, 2008

Finding 08-06 - Earmarking

Earmarking requirements of the Student Support Services program (CFDA #84.042-TRIO Cluster) requires that not less than one-third of the individuals with disabilities must also be low-income individuals. In testing the Student Support Services Program Award at one campus, which covers the period of September 1, 2005 to August 31, 2009, out of the 33 disabled students in the student support services program, only 10 also met low-income thresholds.

Cause

Project Director was not monitoring intake of students to ensure all earmarking requirements were met.

Effect

The program's funding may not be used as specified by TRIO requirements.

Recommendation

We recommend that the RF continuously track student's eligibility requirements in order to ensure all earmarking requirements are met.

Management's Response and Corrective Action Plan

Following these findings are management's responses and corrective action plan.

The Research Foundation of State University of New York
Summary Schedule of Prior Audit Findings
June 30, 2008

Finding 07-01 - Reporting

For 38 out of 180 reports tested, required technical reports and/or progress reports were not submitted timely (Circular A-110, section 51). The delinquencies ranged from 3 to 316 days.

Status

As noted in our original response, the majority (13 of 16) of late reports filed under the USAID award tested above were related to a project in Afghanistan. Campus staff have met with project staff administering this program and implemented an improved monitoring process for report tracking. Regarding the remaining late reports, Research Foundation management will continue to emphasize the importance of monitoring and tracking report submissions utilizing the Research Foundation business system. In addition, campus locations have started to tighten procedures for monitoring reports. For example, one campus will generate on a monthly basis a list of technical reports due during the subsequent month and use that report to trigger a 30 day advance notification email to the Principal Investigator (PI) 5 business days before the actual due date. Campus staff will then contact the PI to confirm that the report has been filed. In regards to the one report identified that was not submitted as of the date of testing, we confirmed submission of the report to the sponsor in August 2007. Note: See RF Management response to current year finding 08-01.

Finding 07-02 - Effort Reporting

The following compliance exceptions with RF policy related to effort reporting were noted:

- For 17 out of 240 transactions selected, effort reports were not submitted within the RF's required timeframes. Delinquencies ranged from 3 to 276 days with an average delinquency of 27 days.
- For 4 out of 240 transactions tested, the awards charged per the timesheets did not agree to the awards charged.

Status

It should be noted that the number of exceptions related to timely certification of effort reporting has declined from FYE 2007 to FYE 2008. In addition, the Research Foundation provided effort reporting training to campus staff during the recent upgrade of their new business system. Furthermore, the Research Foundation is exploring the use of the new Oracle effort reporting module which would utilize new technology for electronic certifications. This should further improve our performance in submitting timely certifications. Note: See RF Management response to current year finding 08-02(a).

Finding 07-03 - Cost Transfers

The following compliance exceptions related to costs transfers were noted:

- For 5 out of 80 transactions selected, the cost transfer was made outside of the RF 120 day policy.

Status

Research Foundation initiated a Cost Transfer project to review the current Research Foundation policy and procedures on cost transfers and to identify any gaps that need to be addressed. This included the development of a comprehensive monitoring tool, training needs, and strict definitions of what constitutes a cost transfer and acceptable timeframes in which a cost transfer should be processed. A revised Research Foundation cost transfer policy and corresponding procedures was implemented in June 2008.

The Research Foundation of State University of New York
Summary Schedule of Prior Audit Findings
June 30, 2008

Finding 07-04 - Subrecipient Monitoring

The RF's internal controls, policies and procedures surrounding subrecipient monitoring are designed to comply with Circular A-110, paragraph 51. As such, the RF has implemented a risk-based approach for during the award monitoring. The application of the risk-based monitoring policy was not being adequately documented to support risk assessments made for sub-recipient activities. While the risk-based approach appears to have been followed, such evidence of the risk assessment was lacking. More specifically, it appeared that while an initial risk assessment was documented as required by RF policy, no documentation existed to update the risk assessment for each subsequent year of a continuing award.

Status

As noted in the auditors comment, campuses in all instances were performing ongoing monitoring of subrecipients; however, they were not documenting this activity. As noted in our original response, Research Foundation management has initiated a Subrecipient Monitoring project to review the current Research Foundation policy and procedures on Subrecipient Monitoring and determine if further policy clarification is necessary. In addition, this review will also identify any training needs. Furthermore, campus locations have initiated new procedures to ensure risk-based monitoring of subrecipients is occurring on an ongoing basis.

Finding 07-05 - Expenditure Reconciliation

During our review of the USAID Foreign Assistance Overseas Program (CFDA #98.001), it was noted that \$1.4 million of expenditures were not allocated by type of expenditure in the grants system in a timely manner (i.e. less than 120 days).

Status

The following steps have been taken to improve the timely reconciliation of field office expenditures: (1) a full time home office accountant was hired this year whose primary responsibility is to review and submit for input to the RF business system monthly field office reconciliations. This position consolidates the reconciliation process through one individual rather than 4-5 separate staff members; (2) a new software package has been purchased which, when fully implemented, will allow field office personnel to input expenditure activity on-line. This will significantly improve the timeliness of the reconciliation and allocation process. As of June 25, 2008, the total amount of expenditures not allocated to an appropriate expenditure type (in REL Relocations expenditure type) has been reduced from \$1.4 million to approximately \$62,000. The campus is in the process of resolving the remaining balance.



Post Office Box **Finding 08-01 – Reporting**

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Albany, New

York

12201-0009

518 434 7000

i. Management's Response and Corrective Action Plan

We agree. It should be noted that the number of exceptions for late reports has significantly declined from the number of exceptions noted in the Research Foundation's previous fiscal year 2007 A-133 Report (38 to 19). The Research Foundation will continue to emphasize the importance of monitoring and tracking report submissions utilizing the Research Foundation business system.

ii. Responsible Party: Internal Audit

Finding 08-02 – Effort Reporting

i. Management's Response and Corrective Action Plan

- a) We agree. We will continue our efforts to work with Principal Investigators (PI's) to increase their awareness of the importance of timely effort report certifications. We will remind PI's of this on a regular basis through a number of communication venues.
- b) We agree. We recognize the importance of maintaining accurate effort reports and will follow necessary monitoring procedures to ensure they are correct. We would note that, as indicated by the auditors, changes in effort were properly reflected in the labor distribution module and as a result charges to awards were accurate.
- c) We agree. We will stress the importance of recertifying effort reports that have been updated to correct errors in the original reports. The campus will implement a process to monitor effort reports that have been updated to ensure they have been properly certified.

ii. Responsible Party: Internal Audit

Finding 08-03 - Equipment Inventory

i. Management's Response and Corrective Action Plan

We agree with this finding. Physical inventories are conducted by designated departmental Inventory Coordinators. An inventory list is sent to the Inventory Coordinator for each Department on an annual basis, and is requested to be updated and returned within 60 days.

The procedure for conducting physical inventories will be revised in the following ways to ensure that physical inventories will be monitored more closely in the future. The revised procedure will include the following:

- Pending Inventories will generate a reminder memo to the Inventory Coordinator after 30 days late. This initial memo will offer the availability of Inventory Services staff in offering suggestions in completing the inventory.
- A stronger worded memo is sent to the Inventory Coordinator after the inventory is 60 days overdue. In addition, direct conversation will be had to determine if circumstances require special assistance. The Department Head and Associate VP and Controller will be copied.
- A list of overdue inventories will be included in a report to the Assistant VP for Procurement Services where this list will be monitored.
- A member of the Inventory Services staff will go to the department to assist the coordinator with the Inventory if required.

ii. **Responsible Party:** Internal Audit

Finding 08-04 - Record Retention

i. **Management's Response and Corrective Action Plan**

We agree. The records pertaining to the Upward Bound Math Science program were not retained in a manner consistent with the federal regulations. The campus has previously implemented record retention policies related to financial records and is now taking steps to ensure that programmatic records in the custody of project directors are retained in the same manner. Buffalo State College recognizes that this is particularly important for those records that verify program participant eligibility.

ii. **Responsible Party:** Internal Audit

Finding 08-05 - Program Income

i. **Management's Response and Corrective Action Plan**

We agree. In order to provide proper accountability to sponsors, campus staff have taken steps to identify awards generating program income and account for the program income consistent with the campus policy as well as federal guidelines.

ii. **Responsible Party:** Internal Audit

Finding 08-06 – Earmarking

i. Management's Response and Corrective Action Plan

We agree. It should be noted that at the time of the audit one additional individual was eligible for participation in the SSS program as a low income student with a disability. However, her disability prevented her from completing the in-person orientation and therefore she was not on the enrollment list provided to the auditors. In August 1, 2008 the individual completed their in-person orientation and the program currently meets the SSS program Earmarking requirements. Project staff have developed procedures to monitor the eligibility status of SSS program participants on a more timely basis.

ii. Responsible Party: Internal Audit