



**THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK**

*Independent Auditors' Reports as Required by Title 2 U.S. Code of
Federal Regulations Part 200, Uniform Administrative Requirements, Cost
Principles, and Audit Requirements for Federal Awards, and Government
Auditing Standards, and Related Information*

Year ended June 30, 2020

**THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK**

Independent Auditors' Reports as Required by Title 2 U.S. Code of
*Federal Regulations Part 200, Uniform Administrative Requirements, Cost
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Auditing Standards*, and Related Information

Year ended June 30, 2020

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KPMG LLP
515 Broadway
Albany, NY 12207-2974

Independent Auditors' Report

The Board of Directors
The Research Foundation for The State University of New York:

Report on the Financial Statements

We have audited the accompanying financial statements of The Research Foundation for The State University of New York, which comprise the balance sheet as of June 30, 2020, the related statements of activities and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditors consider internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The Research Foundation for The State University of New York as of June 30, 2020, and the changes in its net assets and its cash flows for the year then ended in accordance with U.S. generally accepted accounting principles.



Emphasis of Matters

As discussed in note 2(e) to the financial statements, in 2020, The Research Foundation for The State University of New York adopted Accounting Standards Update (ASU) No. 2018-08, *Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made*, ASU No. 2014-09, *Revenue From Contracts with Customers (Topic 606)*, as amended, and ASU 2016-18, *Statement of Cash Flows (Topic 230): Restricted Cash*. Our opinion is not modified with respect to these matters.

Report on Summarized Comparative Information

We have previously audited The Research Foundation for The State University of New York's 2019 financial statements, and we expressed an unmodified opinion on those audited financial statements in our report dated October 7, 2019. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2019, is consistent, in all material respects, with the audited financial statements from which it has been derived before the adjustments to adopt ASU 2016-18, *Statement of Cash Flows (Topic 230): Restricted Cash* (ASU 2016-18). As part of our audit of the 2020 financial statements, we also audited the adjustments described in Note 2(e) that were applied to adopt ASU 2016-18 retrospectively in the 2019 financial statements. In our opinion, such adjustments are appropriate and have been properly applied.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 26, 2020 on our consideration of The Research Foundation for The State University of New York's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of The Research Foundation for The State University of New York's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering The Research Foundation for The State University of New York's internal control over financial reporting and compliance.

KPMG LLP

October 26, 2020

**THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK**

Balance Sheets

June 30, 2020 and 2019

Assets	2020	2019
Current assets:		
Cash and cash equivalents	\$ 8,398,499	5,548,735
Accounts receivable, net	195,719,057	230,357,565
Advances to others	15,066,455	14,208,454
Investments	485,317,318	354,630,425
Due from broker for securities sold	851,146	4,000,000
Other current assets	677,163	912,200
Total current assets	<u>706,029,638</u>	<u>609,657,379</u>
Noncurrent assets:		
Investments	81,584,822	59,354,330
Fixed assets, net	543,634,950	496,053,812
Intangible assets, net	7,373,546	16,076,728
Other noncurrent assets	7,593,827	7,592,355
Total noncurrent assets	<u>640,187,145</u>	<u>579,077,225</u>
Total assets	<u>\$ 1,346,216,783</u>	<u>1,188,734,604</u>
Liabilities and Net Assets		
Current liabilities:		
Accounts payable and accrued expenses	\$ 93,004,992	109,150,920
Accrued compensation	27,819,784	17,342,617
Accrued leave	30,074,808	29,574,780
Deferred revenue	320,585,898	268,657,394
Deposits held for others	22,201,737	26,498,267
Current portion of capital lease obligations	18,508,646	17,052,981
Current portion of long-term debt	1,015,714	1,005,714
Line of credit	4,000,000	17,800,000
Total current liabilities	<u>517,211,579</u>	<u>487,082,673</u>
Noncurrent liabilities:		
Postretirement benefit obligation	162,763,722	137,322,551
Other deferred revenue	—	264,776,926
Capital lease obligations, net of current portion	199,670,486	218,179,132
Long-term debt, net of current portion	6,346,429	7,362,143
Other liabilities	8,364,237	8,184,254
Total noncurrent liabilities	<u>377,144,874</u>	<u>635,825,006</u>
Total liabilities	<u>894,356,453</u>	<u>1,122,907,679</u>
Net assets:		
Without donor restrictions	431,663,574	53,423,193
With donor restrictions	20,196,756	12,403,732
Total net assets	<u>451,860,330</u>	<u>65,826,925</u>
Total liabilities and net assets	<u>\$ 1,346,216,783</u>	<u>1,188,734,604</u>

See accompanying notes to financial statements.

**THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK**

Statement of Activities

Year ended June 30, 2020 (with summarized comparative information for the year ended June 30, 2019)

	<u>Without donor restrictions</u>	<u>With donor restrictions</u>	<u>2020 Total</u>	<u>2019 Total</u>
Revenue:				
Grants for research and other sponsored activities:				
Federal	\$ 308,001,723	8,000,000	316,001,723	324,127,227
Federal flow through	90,835,576	—	90,835,576	106,275,794
New York State	234,415,453	—	234,415,453	127,024,167
Private and other	229,188,474	—	229,188,474	227,402,111
	<u>862,441,226</u>	<u>8,000,000</u>	<u>870,441,226</u>	<u>784,829,299</u>
Total grants for research and other sponsored activities				
Indirect cost recoveries:				
Federal	96,753,951	—	96,753,951	95,270,565
Federal flow through	26,078,596	—	26,078,596	24,323,921
New York State	8,079,997	—	8,079,997	9,068,532
Private and other	16,756,591	—	16,756,591	17,517,137
	<u>147,669,135</u>	<u>—</u>	<u>147,669,135</u>	<u>146,180,155</u>
Total indirect cost recoveries				
Other research-related income:				
Inventions and licenses income	6,571,085	—	6,571,085	8,353,202
Third party service center	3,840,254	—	3,840,254	10,430,654
Agency fees	7,146,522	—	7,146,522	7,029,653
Fixed price awards	4,712,716	—	4,712,716	7,493,907
Institutional support funding	47,127,960	—	47,127,960	38,591,172
Other	833,880	—	833,880	831,296
	<u>70,232,417</u>	<u>—</u>	<u>70,232,417</u>	<u>72,729,884</u>
Total other research-related income				
Investment income, net	20,578,787	467,074	21,045,861	21,059,129
Net assets released from restrictions	674,050	(674,050)	—	—
Previously deferred economic development program revenue (see note 2e)	<u>290,421,189</u>	<u>—</u>	<u>290,421,189</u>	<u>—</u>
Total revenue				
	<u>1,392,016,804</u>	<u>7,793,024</u>	<u>1,399,809,828</u>	<u>1,024,798,467</u>
Expenses:				
Sponsored programs and other activities	793,834,679	—	793,834,679	779,552,065
Indirect administrative and support expenses	138,430,751	—	138,430,751	140,780,682
Other research-related expenses	36,816,667	—	36,816,667	42,071,482
	<u>969,082,097</u>	<u>—</u>	<u>969,082,097</u>	<u>962,404,229</u>
Total expenses				
Change in net assets from revenue and expenses	422,934,707	7,793,024	430,727,731	62,394,238
Changes in net assets related to postretirement benefit obligation	<u>(44,694,326)</u>	<u>—</u>	<u>(44,694,326)</u>	<u>(55,897,849)</u>
Change in net assets	378,240,381	7,793,024	386,033,405	6,496,389
Net assets at beginning of year	<u>53,423,193</u>	<u>12,403,732</u>	<u>65,826,925</u>	<u>59,330,536</u>
Net assets at end of year	<u>\$ 431,663,574</u>	<u>20,196,756</u>	<u>451,860,330</u>	<u>65,826,925</u>

See accompanying notes to financial statements.

**THE RESEARCH FOUNDATION FOR
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Statements of Cash Flows

Years ended June 30, 2020 and 2019

	2020	2019
Cash flows from operating activities:		
Federal grants and contracts	\$ 567,502,199	533,546,769
State and local grants and contracts	333,473,779	310,177,858
Private gifts and grants	264,707,456	223,237,674
Other receipts	224,342,831	249,686,610
Salaries and wages payments	(414,353,191)	(408,923,617)
Employee benefits payments	(137,508,858)	(142,880,392)
Payments to suppliers and vendors	(470,471,847)	(433,821,474)
Operating interest and dividends	7,897,570	7,255,157
Distribution from BSA partnership	2,502,891	2,426,210
Interest payments on capital debt and notes	(19,307,392)	(20,653,811)
Other payments	(92,278,346)	(89,348,614)
Net cash provided by operating activities	266,507,092	230,702,370
Cash flows from investing activities:		
Proceeds from sales of investments	63,851,820	60,882,111
Purchases of investments	(118,380,042)	(100,108,771)
Proceeds from sales of fixed assets	952,000	313,500
Cash paid for purchases of fixed and intangible assets	(95,892,777)	(169,642,706)
Net cash used in investing activities	(149,468,999)	(208,555,866)
Cash flows from financing activities:		
Principal payments on long-term debt and capital lease obligations	(18,058,695)	(16,712,514)
Proceeds from line of credit	11,050,000	71,873,593
Payments on line of credit	(24,850,000)	(85,853,594)
Net cash used in financing activities	(31,858,695)	(30,692,515)
Net change in cash and cash equivalents	85,179,398	(8,546,011)
Cash and cash equivalents, beginning of year (as restated, note 2(g))	122,065,965	130,611,976
Cash and cash equivalents, end of year	\$ 207,245,363	122,065,965

See accompanying notes to financial statements.

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Notes to Financial Statements

June 30, 2020 and 2019

(1) Organization

The Research Foundation for The State University of New York (RF) is the largest comprehensive university-connected research foundation in the country. It serves The State University of New York (SUNY) by providing an infrastructure of talent, services, and technology that empowers SUNY to research, innovate, and transfer discoveries that transform the world's knowledge economy.

The RF works with the academic and business leadership of SUNY to support research and discovery through administration of sponsored projects and technology transfer and management of intellectual property for public benefit and economic growth. It enables faculty to write and submit grant proposals; establish contracts and manage funding awarded to run campus-based research projects; and protect and commercialize intellectual property created within those projects.

The RF comprises a central office and operating units at 30 state-operated campuses across New York State and is governed by an independent board of directors. The RF was chartered in 1951 by the New York State Board of Regents as a nonprofit education corporation.

(2) Summary of Significant Accounting Policies

(a) Basis of Presentation

The accompanying financial statements of the RF are presented consistent with Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) Topic 958, *Not-for-Profit Entities*.

The financial statements include certain prior year summarized comparative information in total but not by net asset class. Accordingly, such information should be read in conjunction with the RF's financial statements for the year ended June 30, 2019, from which the summarized information was derived.

(b) Use of Estimates

The preparation of financial statements in conformity with U.S. generally accepted accounting principles requires management to make significant estimates and assumptions that affect the reported amounts of liabilities and net assets and disclosures of contingencies as of the dates of the financial statements and the reported amounts of change in net assets during the reporting periods. The most significant areas which are affected by the use of estimates include allowances for doubtful receivables, commitments and contingencies, useful lives and valuation of long-lived assets, and certain actuarial assumptions that affect the postretirement benefit obligation. Actual results could differ from those estimates and the differences between estimates and actual results could be significant.

(c) Risks and Uncertainties

In March 2020, the World Health Organization declared the novel coronavirus (COVID-19) a pandemic. Although it is not possible to determine the pandemic's ultimate length, severity, or impacts on the economy or the RF's financial position and operations, the RF may experience material adverse effects posed by the risks, or our stakeholders' perceptions of the risks, related to COVID-19. As a result of the pandemic, beginning in March 2020, the RF temporarily suspended in-person research and other campus-based activities. Although Federal and certain other sponsors allowed for the continuance of funding under their grant agreements, the flexibilities afforded under these continuances was limited and temporary. Additionally, the RF has and will continue to incur certain incremental costs due to the

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pandemic, including transitioning to online work environments and costs associated with protocols put in place to return to campus laboratories and offices.

The pandemic could continue to materially affect the RF's ability to conduct its operations, the cost of its operations, and the generation of certain revenue. The impact of the pandemic could have negative consequences on the ability of our sponsored programs to continue to provide such funding as well as financial markets and such effects could be consequential to the RF. The full extent of the impact of COVID-19 on the RF will depend on various future developments, particularly the duration and depth of the pandemic, which may be influenced by emerging medical treatments and applicable health and safety regulations.

(d) Net Asset Classes

The accompanying financial statements present information regarding the RF's balance sheet and activities according to two classes of net assets: without donor restrictions and with donor restrictions.

Without donor restrictions: net assets are not subject to donor stipulations restricting their use but may be designated for specific purposes by the RF or may be limited by contractual agreements with outside parties.

With donor restrictions: net assets are subject to donor stipulations that expire with the passage of time, can be fulfilled by actions pursuant to the stipulations, or which may be perpetual.

The RF has two \$10 million endowment grants from the National Institute on Minority Health and Health Disparities (NIMHD), which are to be paid to the RF over the first five-year periods of each grant. The endowments are invested on behalf of the RF by the University at Albany Foundation (UAF) and the Health Science Center at Brooklyn Foundation, Inc. (HSCBF) under long-term service agreements. As of June 30, 2020, and 2019, the fair value of the funds was \$20.2 million and \$12.4 million, respectively. Under these grants, the endowment returns are to be used to facilitate minority health and health disparities research.

Aside from the NIMHD endowment balances, the RFs net assets have no other donor-imposed restrictions.

(e) Recent Accounting Pronouncements

During the year ended June 30, 2020, the RF adopted the following new accounting standard updates.

Accounting Standards Update (ASU) No. 2018-08, *Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made*, was issued by the FASB in June 2018. The ASU is intended to assist entities in (1) evaluating whether transactions should be accounted for as contributions (nonexchange transactions) within the scope of Topic 958, *Not-for-Profit Entities*, or as exchange transactions subject to other guidance, and (2) determining whether a contribution is conditional. The ASU clarifies that a contribution is conditional if the agreement includes both a barrier or barriers that must be overcome for the recipient to be entitled to the assets transferred and a right of return for the transferred assets or a right of release of the promisor's obligation to transfer assets. The ASU became effective for the RF for the year ended June 30, 2020.

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The RF adopted ASU No. 2018-08 on a modified prospective basis as of July 1, 2019. In accordance with this adoption, during the year ended June 30, 2020, the RF has recognized revenue in the amount of \$290.4 million, associated with the purchase of capitalized equipment or intangible assets under sponsored programs related to economic development previously recorded in deferred revenue and recognized into revenue over the life of the asset. The barriers associated with these grants have been overcome and there are no further rights of return for the assets contributed to the RF.

ASU 2014-09, *Revenue from Contracts with Customers*, was issued by the FASB in May 2014 and is intended to improve the financial reporting requirements for revenue from contracts with customers. The ASU establishes a five-step model and application guidance for determining the timing and amount of revenue recognition. The related application guidance in the ASU replaces most existing revenue recognition guidance in GAAP. The ASU became effective for the RF for the year ended June 30, 2020. The RF's adoption of the ASU using the modified retrospective method did not materially change the timing or amount of revenue recognized by the RF. The ASU requires that an entity recognize revenue to depict the transfer of promised goods or services to customers in an amount that reflects the consideration that the entity expects to be entitled to in exchange for those goods or services. Additional disclosure is required to enable users of the financial statements to understand the nature, amount, timing and uncertainty of revenue and cash flows arising from contracts with customers.

ASU 2016-18, *Statement of Cash Flows (Topic 230): Restricted Cash*, was issued by the FASB in November 2016 and provides specific guidance related to the statement of cash flows. The ASU provides guidance to address inconsistencies associated with reporting of cash flows.

This ASU became effective for the RF for the year ended June 30, 2020 with retrospective implementation upon adoption. A summary of the changes to the statement of cash flows for the year ended June 30, 2019 resulting from the adoption of ASU 2016-18 is as follows:

	<u>As previously presented</u>	<u>Adjustments</u>	<u>As restated</u>
Operating interest and dividends	\$ 4,973,362	2,281,795	7,255,157
Net cash provided by operating activities	228,420,575	2,281,795	230,702,370
Proceeds from sales of investments	\$ 349,889,559	(289,007,448)	60,882,111
Purchases of investments	(373,172,214)	273,063,443	(100,108,771)
Net cash used in investing activities	\$ (192,611,861)	(15,944,005)	(208,555,866)
Change in cash and cash equivalents	\$ 5,116,199	(13,662,210)	(8,546,011)
Cash and cash equivalents, beginning of year	\$ 432,536	130,179,440	130,611,976
Cash and cash equivalents, end of year	5,548,735	116,517,230	122,065,965

See note 2(g) for further information regarding the presentation of cash and cash equivalents.

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ASU 2017-07, *Compensation - Retirement Benefits (Topic 715): Improving the Presentation of Net Periodic Pension Cost and Net Periodic Postretirement Benefit Cost*, was issued by the FASB in March 2017 to improve the presentation of defined benefit pension costs and postretirement benefit costs (net benefit cost) to reflect the employer's financial arrangements and the cost of benefits provided to employees. This ASU became effective for the RF for the year ended June 30, 2020 and did not have a material effect on the financial statements.

(f) Revenue Recognition

Grants and contracts awarded by federal and other sponsors, which are generally considered nonreciprocal transactions restricted by sponsors for certain purposes, are recognized as revenue when qualifying expenditures are incurred and conditions under the agreements are met. The RF has elected the simultaneous release policy available under ASU 2018-08, which allows a not-for-profit organization to recognize a restricted contribution directly in net assets without donor restrictions if the restriction is met in the same period that the revenue is recognized. The RF recognizes reimbursement of facilities and administrative costs relating to government and other contracts and grants at authorized rates each year. Although not a condition or barrier to revenue recognition, all funds expended in connection with government grants and contracts are subject to audit by granting agencies. Amounts received in excess of revenue recognized are recorded as deferred revenue. In the opinion of management, any potential liability resulting from these audits will not have a material effect on the RF's financial position. Total revenue from grants and contracts recognized in net assets without donor restrictions was \$1,010.1 million and \$926.8 million for the years ended June 30, 2020 and 2019, respectively. Payments received from sponsors in advance of conditions being met are reported as deferred revenue, which totaled \$292.2 million and \$219.7 million as of June 30, 2020 and 2019, respectively.

Contributions, including unconditional promises to give, are recognized upon receipt at fair value. Contributions other than cash are recorded at fair value at the date of contribution. Contributions with donor-imposed restrictions are reported as revenues with donor restrictions and are reclassified to net assets without donor restrictions when an expenditure is incurred that satisfies the restriction or the designated time elapses.

Investment income or loss includes dividends and interest, realized and unrealized gains and losses, and earnings from the RF's share in the Brookhaven Science Associates partnership (see note 4c). Purchases and sales of securities are recorded on a trade-date basis. Dividend income is recorded on the ex-dividend date. Interest income is recorded on the accrual basis of accounting. The average original purchase price of securities is used to determine the basis for computing realized and unrealized gains or losses.

Inventions and licenses income consists of royalties received from licenses and is recognized on the accrual basis. The income is distributed based on SUNY's Patents and Inventions Policy which governs the apportionment of income to inventors and campuses. Campus expenditures of their shares of the income (which fall under the provisions of the Bayh-Dole Act), and inventors' shares are reflected in the RF's inventions and licenses expenses.

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Other research-related income is recognized on the accrual basis and primarily consists of third-party service center revenue, nonsponsored income from activities such as proceeds from the sale of equipment, and fees earned for administering human resources, payroll, purchasing and payables services to university-related organizations, such as foundations or clinical practice plans.

(g) Cash Equivalents

For purposes of balance sheet presentation, cash equivalents include short-term, highly liquid investments with an original maturity of three months or less at the time of purchase, exclusive of amounts classified as noncurrent investments. Cash equivalents are stated at fair value and are considered a Level 1 financial asset as defined in note 5.

In accordance with ASU 2016-18, cash equivalents classified as current investments are included as cash and cash equivalents for the purposes of the statement of cash flows. The following table provides a reconciliation of cash and cash equivalents as reported in the balance sheets to cash and cash equivalents reported in the statements of cash flows:

	2020	2019
Cash and cash equivalents	\$ 8,398,499	5,548,735
Current investments (see note 5)	198,846,864	116,517,230
Total cash and cash equivalents shown in the statements of cash flows:	\$ 207,245,363	122,065,965

(h) Accounts Receivable

Accounts receivable includes:

- (1) Deficit balances from sponsored program activity that result when spending occurs in advance of when funds are received;
- (2) Deficit balances from service centers that are established and maintained to provide a specific service to sponsored programs and other users, recognized as rates are periodically adjusted to reflect actual expenses of those centers; and
- (3) Amounts billed and due from external sources of funding for other research-related income.

Accounts receivable is reported net of an allowance for doubtful accounts. The RF's allowance for doubtful accounts as of June 30, 2020 and 2019 was \$25.6 million and \$28.1 million, respectively.

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Notes to Financial Statements

June 30, 2020 and 2019

Accounts receivable, net of the allowance for doubtful accounts, is summarized as follows as of June 30:

	2020	2019
Accounts receivable:		
Federal	\$ 39,993,963	46,609,039
Federal flow through	43,217,515	65,480,405
New York State	92,242,983	89,445,556
Private and other	20,264,596	28,822,565
	\$ 195,719,057	230,357,565

(i) Investments

Investments are reported at fair value, which is generally the price that would be received from selling an asset in an orderly transaction between market participants at the measurement date. Certain investments in limited liability partnerships and corporations are measured at net asset value or its equivalent as a practical expedient to estimating fair value.

(j) Fixed and Intangible Assets

Fixed and intangible assets are stated at cost, net of accumulated depreciation and amortization, and are depreciated on a straight-line basis over the estimated useful lives of the assets. Using historical and industry experience, estimated useful lives, with the exception of land, range from five to 50 years. The RF monitors its long-lived assets for impairment. If an indication of impairment is identified, the RF would perform the required analysis and, if applicable, it would record impairment charges.

Upon sale or retirement of capitalized assets, both the cost and the related accumulated depreciation and amortization are removed from the accounts and a gain or loss is recorded. Depreciation and amortization expense for the years ended June 30, 2020 and 2019 was \$65.1 million and \$56.8 million, respectively.

The title to equipment purchased using sponsored funds is generally retained by the grantor institution until such time final disposition is determined. Accordingly, purchases of equipment charged to the respective grant or contract are not capitalized, except for equipment or infrastructure purchased under a sponsored program for the purpose of economic development and for which title is retained by the RF.

(k) Deferred Revenue

Deferred revenue includes:

- (1) Surplus balances from sponsored program activity that result when funds are received in advance of spending, recognized into revenue under the terms of the sponsored program; and

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- (2) Surplus balances from service centers that are established and maintained to provide a specific service to sponsored programs and other users, recognized as rates are periodically adjusted to reflect actual expenses of those centers.

For reporting periods prior to the year ended June 30, 2020, noncurrent deferred revenue also included purchases of capitalized equipment or intangible assets under sponsored programs related to economic development, recognized into revenue over the life of the asset. With the adoption of recent accounting pronouncements (see note 2e), beginning with the year ended June 30, 2020, the RF no longer defers revenue for these purchases.

(l) *Accrued Leave*

RF employees are granted vacation and sick leave in varying amounts. In the event of termination or retirement, an employee is reimbursed for accumulated vacation up to a maximum of 30 days. Employees are not reimbursed for accumulated sick leave at termination; however, upon retirement up to 200 days of accumulated sick leave is considered in the computation of retirement benefits. Included in the leave accrual is an accrual for the net obligation under the sick leave benefit amounting to \$2.8 million as of June 30, 2020 and 2019.

(m) *Postretirement Benefit Obligation*

The RF has a defined medical benefit postretirement plan covering substantially all of its nonstudent eligible employees upon their retirement. The RF's postretirement obligations are based on calculations that incorporate various actuarial and other assumptions, including discount rates, mortality, assumed rates of return on plan assets, compensation increases, turnover rates, and healthcare cost trend rates. The RF reviews its assumptions on an annual basis and makes modifications to the assumptions based on current rates and trends when it is appropriate to do so. The effect of modifications to those assumptions is recorded in other changes to net assets and amortized to net periodic cost over future periods using the 10% corridor method. The net periodic costs are recognized as employees render the services necessary to earn the postretirement benefits.

The RF maintains a Voluntary Employee Benefit Association (VEBA) trust for the postretirement benefit plan. The assets held in the VEBA trust reduce the accumulated postretirement benefit obligation, as reported on the accompanying balance sheets.

(n) *Fair Value of Financial Instruments*

The carrying amounts of accounts receivable, other current assets, accounts payable and accrued expenses, and deposits held for others approximate fair value due to the short duration of these financial instruments. See notes 5 and 6 for additional information regarding fair value considerations with respect to investments, deferred compensation assets and interest rate swap on outstanding bonds.

(o) *Tax Status*

The RF is a not-for-profit corporation and has been determined by the Internal Revenue Service to be an organization described in Internal Revenue Code (IRC) Section 501(c)(3) and, therefore, is exempt from federal income taxes on related income pursuant to Section 501(a) of the Code.

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The RF recognizes the effects of income tax positions only if those positions are more likely than not to be sustained.

Management has determined there are no uncertain tax positions as of June 30, 2020 and 2019. The RF is subject to routine audits by taxing jurisdictions and believes that it is no longer subject to income tax examinations for years prior to the year ended June 30, 2017.

(p) Other Information

Advances to others and deposits held for others represent amounts related to agency activity at the campus and affiliated organization locations.

Various SUNY employees perform work on RF sponsored grants. SUNY pays these employees directly, and is reimbursed by the RF monthly. The related amounts due to SUNY are included in accrued compensation and consist of both a known and estimated component. The total liability to SUNY at June 30, 2020 and 2019 is approximately \$8.3 million and \$7.3 million, respectively.

(q) Reclassifications

Certain reclassifications have been made to prior year amounts in order to conform to the current year presentation

(3) Liquidity and Availability

As of June 30, financial assets and liquidity resources available within one year for general expenditure, such as operating expenses, and scheduled principal payments on debt, were as follows:

	<u>2020</u>	<u>2019</u>
Cash and cash equivalents	\$ 8,398,499	5,548,735
Accounts receivable, net	193,719,057	230,357,565
Investments	485,317,318	354,630,425
Due from broker for securities sold	<u>851,146</u>	<u>4,000,000</u>
Total financial assets available within one year	<u>\$ 688,286,020</u>	<u>594,536,725</u>

The RF's annual cash flows are dependent on spending, billing and collections on thousands of individual sponsored awards across 30 campus locations. Cash-basis direct sponsored program spending, including capital equipment purchases, aggregated \$906.0 million and \$911.0 million during the years ended June 30, 2020 and 2019, respectively.

To help manage liquidity, the RF's investment policy ensures the RF has access to funds, as needed, during the year through allocations to readily redeemable cash equivalent funds, mutual funds, and exchange traded funds (see note 5). Additionally, the RF maintains lines of credit of \$65.0 million (see note 11) that are drawn upon as needed during the year to manage cash flow.

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(4) Affiliated Organizations

The RF has established partnerships to accelerate the growth of sponsored program and applied research opportunities at SUNY. Unless otherwise noted, these organizations are not controlled by or significantly influenced by the RF and do not impact the RF's financial statements. The affiliated organizations are as follows:

(a) *BioBAT, Inc.*

BioBAT, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) and the New York City Economic Development Corporation (on behalf of the City of New York) to develop the Brooklyn Army Terminal into a site for biotechnology and biopharmaceutical manufacturing, and research.

(b) *Broad Hollow Bioscience Park, Inc.*

Broad Hollow Bioscience Park, Inc. is a not-for-profit corporation formed by the RF (acting on behalf of Farmingdale State College) and Cold Spring Harbor Laboratory to operate an incubator facility on the Farmingdale State campus. Its purpose is to assist in the economic development of the region by attracting public and private funds to further biotechnology development through the commercialization of new technologies and the creation of new companies and jobs.

(c) *Brookhaven Science Associates, LLC*

Brookhaven Science Associates, LLC (BSA) is a limited liability company formed by the RF (acting on behalf of Stony Brook University) and Battelle Memorial Institute (Battelle). In 1998, the U.S. Department of Energy selected BSA to operate Brookhaven National Laboratory. BSA net earnings are allocated 50% each to Battelle and the RF.

The accompanying financial statements of the RF include its share of the net earnings of BSA based on the operating results for its years ended September 30, 2019 and 2018. The RF records distributions received as a reduction of the investment balance.

(d) *Buffalo 2020 Development Corporation*

Buffalo 2020 Development Corporation was formed by the RF (acting on behalf of University at Buffalo) and FNUB, Inc., a subsidiary of the University at Buffalo Foundation, to enable the purchase, development, and construction of research-based facilities and infrastructure on University at Buffalo property on the downtown Buffalo, New York campus. These facilities will support the research, academic and economic development mission of the SUNY campus at Buffalo.

(e) *CUBRC, Inc.*

CUBRC, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of the University at Buffalo) and other foundations. CUBRC, Inc.'s mission is to leverage the capabilities of scientists from academia and industry to provide economic opportunities in Western New York. CUBRC, Inc. competes for research programs that would not otherwise be available to the University at Buffalo.

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(f) Downstate Technology Center, Inc.

Downstate Technology Center, Inc. is a private, not-for-profit corporation formed by the RF (acting on behalf of SUNY Downstate Medical Center) and the Health Science Center at Brooklyn Foundation, Inc. to provide for the construction of an advanced biotechnology incubator adjacent to the SUNY Downstate Medical Center. The facility seeks to advance medical research, provide incubator space and assist in the economic development of the Borough of Brooklyn.

(g) Empire Discovery Institute

Empire Discovery Institute (EDI) is a private, not-for-profit corporation, formed by the RF (acting on behalf of the University at Buffalo), along with the University of Rochester, and Roswell Park Comprehensive Cancer Center. EDI was established to provide infrastructure, funding and cross-institutional support for science research and education as well as scientific business ventures.

(h) Long Island High Technology Incubator

Long Island High Technology Incubator (LIHTI) is a private, not-for-profit corporation formed by the RF (acting on behalf of Stony Brook University) and the Stony Brook Foundation, Inc. LIHTI's mission is the development of new high-technology companies.

(i) New York Center for Research, Economic Advancement, Technology, Engineering, and Science Corporation

New York Center for Research, Economic Advancement, Technology, Engineering, and Science Corporation (NY CREATES) is a private, not-for-profit corporation formed by the RF and SUNY Polytechnic Institute Foundation, Inc. to assume oversight of Fort Schuyler Management Corporation (FSMC) and Fuller Road Management Corporation (FRMC) and manage all projects in the FRMC and FSMC economic development portfolio.

(j) New York Genome Center

New York Genome Center (NYGC) is a private, not-for-profit corporation formed by the RF (acting on behalf of Stony Brook University) and other New York-based universities and academic medical centers. The RF participates in NYGC as an Institutional Founding Member. The mission of NYGC is to transform medical research and clinical care in and around New York City through the creation of what will become one of the largest genomics and bioinformatics facilities in North America.

(k) U.S. Photovoltaic Manufacturing Consortium, Inc.

The Photovoltaic Manufacturing Consortium (PVMC) is a private, not-for-profit corporation, formed by the RF (acting on behalf of SUNY Poly) and Sematech, Inc. to facilitate an industry-led consortium for cooperative research and development among industry, university, and government partners to accelerate the development, commercialization, and manufacturing of next-generation solar photovoltaic systems.

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(5) Fair Value Measurements

Except for investments reported at net asset value (NAV) or its equivalent as a practical expedient to estimate fair value, fair value is measured using three levels as described below:

Level 1: Inputs are unadjusted quoted market prices in active markets for identical assets or liabilities that are assessable at the measurement date.

Level 2: Inputs are other than quoted prices in active markets that are observable either directly or indirectly and fair value is determined through the use of models or other valuation methodologies.

Level 3: Inputs used in valuation are unobservable.

The following is a description of the valuation methodologies used for investments measured at fair value:

Mutual funds and exchange traded funds are reported at current quoted fair values as of the balance sheet date.

Investments in limited liability partnerships and corporations represent investments measured at NAV or its equivalent and consist of a diversified portfolio of hedge funds of funds, real estate, domestic and foreign equity funds, fixed income securities and private equity funds in various investment vehicles. These investments, which are not exchange traded, do not have readily determinable fair values. These investments are typically redeemable at NAV under the terms of the investment agreements. Estimates of fair value that are made using NAV per share or its equivalent as a practical expedient are not categorized in the fair value hierarchy.

Investments in pooled endowment portfolios are reported at the NAV of the RF's interest in the portfolios as a practical expedient to estimate fair value. The pooled endowments use diversified investment approaches incorporating multiple asset classes, strategies and managers, including alternative investment funds involving hedged and private equity strategies.

The preceding methods may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the RF believes its valuation methodologies are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine fair value of certain financial instruments could result in a materially different fair value measurement at the reporting dates.

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The following tables summarize, as of June 30, 2020 and 2019, the RF's investments as well as the liquidity redemption and notification provisions:

		2020				Redemption	Days
		Total	Level 1	Level 2	Level 3	frequency	notice
Investments:							
Cash equivalents	\$	198,846,864	198,846,864	—	—	Daily	1
Mutual and exchange traded funds:							
U.S. government fixed income		40,538,357	40,538,357	—	—	Daily	1
U.S. equities		45,279,686	45,279,686	—	—	Daily	1
Foreign equities		19,142,476	19,142,476	—	—	Daily/Monthly	1-15
Real estate		24,090,125	24,090,125	—	—	Daily	1
U.S. equities		86,620	86,620	—	—	Daily	4
Investments measured at NAV or its equivalent:							
Absolute multistrategy return		45,300,706	—	—	—	Monthly/Quarterly	45 to 95
Credit securities		12,864,220	—	—	—	Monthly/Quarterly	30 to 45
Global equities		68,231,675	—	—	—	Mthly/Qtrly/Annly	30 to 90
Hedged equities		70,156,592	—	—	—	Quarterly	90
Private equity		22,783,099	—	—	—	See (a) below	N/A
Pooled endowments		16,196,756	—	—	—	See (b) below	N/A
Total investments at fair value		563,517,176	327,984,128	—	—		
Investment in BSA partnership		3,384,964	—	—	—	N/A	N/A
Total investments		\$ 566,902,140	327,984,128	—	—		
2019							
		Total	Level 1	Level 2	Level 3	Redemption	Days
						frequency	notice
Investments:							
Cash equivalents	\$	116,517,230	116,517,230	—	—	Daily	1
Mutual and exchange traded funds:							
U.S. government fixed income		43,813,804	43,813,804	—	—	Daily	1
U.S. equities		32,138,137	32,138,137	—	—	Daily	1
Foreign equities		17,547,889	17,547,889	—	—	Daily	1-15
Real estate		19,086,560	19,086,560	—	—	Daily	1
U.S. equities		116,168	116,168	—	—	Daily	4
Investments measured at NAV or its equivalent:							
Absolute multistrategy return		39,567,828	—	—	—	Monthly/Quarterly	45 to 95
Credit securities		8,206,403	—	—	—	Monthly/Quarterly	30 to 45
Global equities		45,701,825	—	—	—	Mthly/Qtrly/Annly	30 to 90
Hedged equities		57,732,240	—	—	—	Quarterly	90
Private equity		17,839,924	—	—	—	See (a) below	N/A
Pooled endowments		12,403,732	—	—	—	See (b) below	N/A
Total investments at fair value		410,671,740	229,219,788	—	—		
Investment in BSA partnership		3,313,015	—	—	—	N/A	N/A
Total investments		\$ 413,984,755	229,219,788	—	—		

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- (a) Private equity fund investments include noncontrolling shares or interests in funds where the controlling general partner serves as the investment's manager. Such investments are generally not eligible for redemption from the fund or general partner but can potentially be sold to third-party buyers in private transactions. It is the intent of the RF to hold these investments until the fund has fully distributed all proceeds to the investors.
- (b) Under the service agreements with UAF and HSCBF, investment accounts were established at UAF and HSCBF with the funds received by the RF under the NIMHD grants, as described in note 2(c). These investment accounts are treated as term endowments with the corpus not to be expended for at least 20 years and with an annual distribution of fund income for programmatic spending by the RF. The terms of the UAF and HSCBF agreements are through March 31, 2046 and December 31, 2042, respectively, with further extension possible at the discretion of the parties.

There has been no transfer activity among levels for investments during fiscal years 2020 and 2019. The RF has unfunded commitments to private equity investments as of June 30, 2020 of approximately \$37.3 million.

(6) Other Assets and Other Liabilities

Other assets and liabilities consist of the following at June 30:

	2020	2019
Other assets:		
Current:		
Royalties receivable	\$ 560,000	710,000
Miscellaneous	117,163	202,200
Total other current assets	677,163	912,200
Noncurrent:		
Deferred compensation assets	7,593,827	7,592,355
Total other noncurrent assets	7,593,827	7,592,355
Total other assets	\$ 8,270,990	8,504,555
Other liabilities – noncurrent:		
Deferred compensation obligation	\$ 7,593,827	7,592,355
Interest rate swap agreement	770,410	591,899
Total other liabilities	\$ 8,364,237	8,184,254

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The RF maintains a deferred compensation plan established in accordance with Section 457(b) of the IRC. Plan assets are a part of the general assets of the RF, which are subject to claims of creditors of the RF. For the years ended June 30, 2020 and 2019, respectively, the assets consist of mutual funds of approximately \$3.5 million and \$3.5 million, which involve Level 1 inputs under the fair value hierarchy, variable annuities of approximately \$2.5 million and \$3.0 million, which are recorded at NAV, and fully benefit-responsive annuity contracts of approximately \$1.6 million and \$1.1 million, which are recorded at contract value.

As indicated in note 11(b), the RF currently has an interest rate swap on its outstanding bonds. The value of the swap is adjusted, through net assets without donor restrictions, to fair value involving Level 2 inputs under the fair value hierarchy.

(7) Fixed Assets

<u>Fixed asset classification</u>	<u>Fixed assets June 30, 2019</u>	<u>Additions</u>	<u>Dispositions/ retirements</u>	<u>Placed in service</u>	<u>Fixed assets June 30, 2020</u>
Building	\$ 6,500,000	—	—	—	6,500,000
Building – capital lease	317,704,048	—	—	—	317,704,048
Research and office equipment	271,057,772	50,381,975	(10,762,628)	145,933,660	456,610,779
Information systems	25,462,324	—	—	—	25,462,324
Construction in progress	149,358,216	60,458,893	(1,102,531)	(145,933,660)	62,780,918
Total fixed assets	<u>770,082,360</u>	<u>110,840,868</u>	<u>(11,865,159)</u>	<u>—</u>	<u>869,058,069</u>
Less accumulated depreciation:					
Building	2,210,000	130,000	—	—	2,340,000
Building – capital lease	129,067,269	19,856,504	—	—	148,923,773
Research and office equipment	117,288,955	39,411,802	(8,003,735)	—	148,697,022
Information systems	25,462,324	—	—	—	25,462,324
Total accumulated depreciation	<u>274,028,548</u>	<u>59,398,306</u>	<u>(8,003,735)</u>	<u>—</u>	<u>325,423,119</u>
Fixed assets, net	<u>\$ 496,053,812</u>	<u>51,442,562</u>	<u>(3,861,424)</u>	<u>—</u>	<u>543,634,950</u>

There is no depreciation expense associated with construction in progress assets as they have not yet been placed in service. Upon being placed in service, the assets, primarily consisting of research equipment, are classified in the appropriate categories above and depreciated over their useful lives.

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(8) Intangible Assets

<u>Intangible asset classification</u>	<u>Intangible assets June 30, 2019</u>	<u>Additions</u>	<u>Dispositions/ retirements</u>	<u>Intangible assets June 30, 2020</u>
Technology licenses	\$ 89,200,000	—	(65,000,000)	24,200,000
Capitalized software	18,029,825	1,030,948	—	19,060,773
Total intangible assets	<u>107,229,825</u>	<u>1,030,948</u>	<u>(65,000,000)</u>	<u>43,260,773</u>
Less accumulated amortization:				
Technology licenses	81,700,000	4,218,750	(61,718,750)	24,200,000
Capitalized software	9,453,097	2,234,130	—	11,687,227
Total accumulated amortization	<u>91,153,097</u>	<u>6,452,880</u>	<u>(61,718,750)</u>	<u>35,887,227</u>
Intangible assets, net	<u>\$ 16,076,728</u>	<u>(5,421,932)</u>	<u>(3,281,250)</u>	<u>7,373,546</u>

The approximate annual amortization of the intangible assets over the next five years is as follows:

Fiscal year ending:	
2021	\$ 2,268,000
2022	2,172,000
2023	1,635,000
2024	920,000
2025	206,000

(9) Postretirement Benefit Obligation

(a) Plan Information

The RF sponsors a defined benefit postretirement plan that covers substantially all nonstudent employees. The plan provides postretirement medical benefits and is contributory for employees hired after 1985. Retirees who were hired after 1985 are subject to cost sharing requirements with respect to medical coverage. With respect to dental coverage, retirees must pay the full premium cost of the coverage selected.

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(b) Plan Funded Status and Related Assumptions

Annual contributions to fund the plan are made by the RF pursuant to a funding policy established by the RF's board of directors. For payment of benefits under the plan, the RF established a VEBA trust with Bank of New York Mellon as the trustee. The VEBA trust held assets of \$254.2 million and \$248.3 million as of June 30, 2020 and 2019, respectively. Current obligations are assumed to be paid out of the trust assets, with the remaining unfunded obligation reflected as a noncurrent liability of the RF. There were approximately 6,400 and 6,800 participants in the plan as of July 1, 2019 and 2018, respectively.

The following table sets forth the plan's funded status reconciled with the amount shown in the accompanying financial statements at June 30:

	2020	2019
Change in benefit obligation:		
Benefit obligation at beginning of year	\$ 385,576,711	333,388,589
Service cost	9,586,322	9,346,090
Interest cost	12,047,756	13,580,235
Plan participants' contributions	1,324,747	1,308,704
Actuarial loss	20,591,552	39,221,733
Benefits paid	(12,193,776)	(11,268,640)
Benefit obligation at end of year	416,933,312	385,576,711
Change in plan assets:		
Fair value of plan assets at beginning of year	248,254,160	234,878,496
Return on plan assets	10,800,655	17,354,429
Employer contributions	5,983,804	5,981,171
Plan participants' contributions	1,324,747	1,308,704
Benefits paid	(12,193,776)	(11,268,640)
Fair value of plan assets at end of year	254,169,590	248,254,160
Funded status and amount recognized in balance sheet	\$ (162,763,722)	(137,322,551)
Amounts not yet reflected in net periodic benefit cost and included in the balance sheet:		
Prior service credit	\$ 140,867,936	172,956,304
Net actuarial loss	(196,145,309)	(183,539,351)
Total	\$ (55,277,373)	(10,583,047)
Weighted average assumptions used to determine benefit obligation:		
Discount rate at end of year	2.48 %	3.35 %

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	2020	2019
Components of net periodic benefit cost:		
Service cost	\$ 9,586,322	9,346,090
Interest cost	12,047,756	13,580,235
Expected return on plan assets	(16,903,654)	(15,976,528)
Amortization of:		
Prior service credit	(32,088,368)	(32,234,723)
Actuarial loss	14,088,593	14,180,706
Net periodic benefit gain	\$ (13,269,351)	(11,104,220)
Weighted average assumptions used to determine net periodic benefit cost:		
Discount rate for the year	3.35 %	4.05 %
Expected long-term rate of return	7.00	7.00
	2020	2019
Other changes in plan assets and benefit obligations recognized in net assets without donor restriction:		
Net actuarial loss	\$ (26,694,551)	(37,843,832)
Amortization of:		
Prior service credit	(32,088,368)	(32,234,723)
Actuarial loss	14,088,593	14,180,706
Total recognized as decrease in net assets without donor restriction	\$ (44,694,326)	(55,897,849)

The components of net periodic benefit gain are included in the line items “sponsored programs and other activities,” “indirect administrative support expenses” and “other research-related expenses” in the statement of activities based on the functional classification of compensation.

Expected amounts to be amortized from net assets into net periodic benefit cost for fiscal year ending 2021 include prior service credit of \$32.1 million and actuarial loss of \$17.0 million.

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Estimated net benefit payments over future years are as follows:

Fiscal year ending:		
2021	\$	14,775,000
2022		15,734,000
2023		16,783,000
2024		17,566,000
2025		18,380,000
2026–2030		99,965,000

For measurement purposes, the initial trend rates vary by coverage. The health maintenance organization rate is 6.25%, the preferred provider organization (PPO) rate is 6.25%, the PPO prescription rate is 8.50%, and administrative fees are 4.50%. Trend rates grade down to an ultimate rate of 4.50% in 2026 and later.

Assumed healthcare cost trend rates have a significant effect on the amounts reported for the healthcare plans. A one-percentage point change in assumed healthcare cost trend rates would have the following effects:

	<u>One-percentage point</u>	
	<u>Increase</u>	<u>Decrease</u>
Effect on total service and interest cost components	\$ 4,274,910	(3,349,385)
Effect on postretirement benefit obligation	73,182,902	(58,549,696)

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(c) Fair Value of Plan Assets

The following tables summarize as of June 30, 2020 and 2019, the RF's defined benefit postretirement plan's investments held in the VEBA trust, including the redemption and notification provisions. The hierarchy and inputs to valuation techniques used to measure fair value of the plan's investments are outlined above in note 5.

		2020				Redemption	Days'
		Total	Level 1	Level 2	Level 3	frequency	notice
Investments:							
Cash equivalents	\$	2,359,789	2,359,789	—	—	Daily	1
Mutual and exchange traded funds:							
U.S. government fixed income		21,549,783	21,549,783	—	—	Daily	1
U.S. equities		21,023,506	21,023,506	—	—	Daily	1
Foreign equities		7,996,002	7,996,002	—	—	Daily	15
Real estate		11,959,768	11,959,768	—	—	Daily	1
Hedged equities		606,193	606,193	—	—	Daily	1
Investments measured at NAV or its equivalent:							
Absolute multistrategy return		24,850,352	—	—	—	Monthly/Quarterly	45 to 95
Credit securities		2,973,848	—	—	—	Monthly	30
Global equities		64,341,072	—	—	—	Mthly/Qtrly/Annly	30 to 90
Hedged equities		50,797,945	—	—	—	Quarterly	90
Private equity		45,711,332	—	—	—	See note 5(a)	N/A
	\$	<u>254,169,590</u>	<u>65,495,041</u>	<u>—</u>	<u>—</u>		
2019							
		Total	Level 1	Level 2	Level 3	Redemption	Days'
						frequency	notice
Investments:							
Cash equivalents	\$	1,501,149	1,501,149	—	—	Daily	1
Mutual and exchange traded funds:							
U.S. government fixed income		25,445,567	25,445,567	—	—	Daily	1
U.S. equities		18,980,303	18,980,303	—	—	Daily	1
Foreign equities		10,776,011	10,776,011	—	—	Daily	1-15
Real estate		13,677,953	13,677,953	—	—	Daily	1
Hedged equities		1,077,253	1,077,253	—	—	Daily	1
Investments measured at NAV or its equivalent:							
Absolute multistrategy return		24,965,984	—	—	—	Monthly/Quarterly	45 to 95
Global equities		59,708,267	—	—	—	Mthly/Qtrly/Annly	30 to 90
Hedged equities		52,304,174	—	—	—	Quarterly	90
Private equity		39,817,499	—	—	—	See note 5(a)	N/A
	\$	<u>248,254,160</u>	<u>71,458,236</u>	<u>—</u>	<u>—</u>		

There has been no transfer activity among levels for investments during fiscal years 2020 and 2019. The RF has unfunded commitments to the plan's private equity investments at June 30, 2020 of approximately \$50.3 million.

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(d) Investment Policies and Strategies

The plan's primary investment goal is to meet the ongoing obligations while minimizing contributions and controlling risks. This would result in funding short-term liabilities with lower volatility short-term assets, intermediate-term liabilities with moderate-volatility assets and longer-term liabilities with long-term assets.

By use of this structure, and by the diversification of assets, the total portfolio risk exposure and risk adjusted returns meet the plan's long-term total return goal.

The RF's investment managers, under agreement to manage the plan assets kept in the VEBA trust, exercise full investment discretion within the investment policy approved by the RF board of directors, and guidelines as described in the respective investment agreements. Assets must be managed with the care, skill, prudence, and diligence that a prudent investment professional in similar circumstances would exercise, in compliance with applicable laws and regulations.

(e) Basis Used to Determine the Overall Expected Long-Term Rate of Return on Assets Assumption

The RF works with a consultant to develop long-term rate of return assumptions used to model and determine the overall asset allocation. The return assumptions used in the asset allocation analysis are based on a building block approach in which risk premium is calculated for each asset class and adjusted for current market conditions including, but not limited to, current market valuations, yield, inflation, and various economic indicators.

The plan's asset allocation, utilizing various investment vehicles, as of June 30, 2020, as shown in the table below, is expected to meet the plan's expected return:

<u>Asset class</u>	<u>Long-term target</u>	<u>Short-term target</u>	<u>Ranges</u>	<u>Actual</u>
Cash	1.0 %	1.0 %	0%–10%	3.2 %
Fixed income:				
Government bonds	8.0 %	3.0 %	0%–30%	2.4 %
Credit	4.0 %	4.0 %	0%–20%	3.1 %
Private debt	9.0 %	7.0 %	0%–20%	6.5 %
Equities:				
Global equities	35.0 %	35.0 %	20%–50%	36.5 %
Hedged equities	15.0 %	19.0 %	0%–25%	19.9 %
Private equity	10.0 %	8.0 %	0%–25%	7.0 %
Absolute return	5.0 %	7.0 %	0%–20%	6.1 %
Real assets:				
Inflation-protected	3.0 %	6.0 %	0%–10%	6.1 %
Commodities	— %	— %	0%–10%	— %
Global real estate	10.0 %	10.0 %	5%–20%	9.2 %

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(10) Retirement Plan

The RF maintains a noncontributory Section 401(a) retirement plan for substantially all nonstudent employees. Contributions are based on a percentage of earnings and range from 7% to 15%, depending on date of hire. In addition, the RF provides an additional retirement contribution if an employee retires and meets the age and service requirements for retiree health insurance. This additional contribution is calculated by multiplying the value of the employee's accrued sick leave, up to a maximum of 200 days, by the employee's contribution rate at the time of retirement. Employees become eligible to participate after completing one year of service (a one-year waiting period from their date of hire). At that time, contributions begin and they gain ownership of all future contributions made to their retirement accounts while employed by the RF.

Contributions are allocated to individual employee accounts. Vested participants have the option of having contributions to their accounts deposited in either, a guaranteed income account, an assortment of mutual funds, stocks, bonds, real estate, and money market investments, or a selection of nonproprietary funds that are offered by the Teachers Insurance and Annuity Association (TIAA). The payroll for RF employees covered by TIAA for the years ended June 30, 2020 and 2019 was approximately \$361.4 million and \$359.4 million, respectively. The RF retirement plan contributions were approximately \$29.0 million and \$30.4 million for the years ended June 30, 2020 and 2019, respectively. These contributions are equal to 100% of the required contributions for the year.

(11) Long-Term Obligations

	Balance	
	June 30, 2020	June 30, 2019
Long-term obligations:		
Capital lease obligation; see note (a) below	\$ 218,179,132	235,232,113
Bonds payable to Albany Industrial Development agency (AIDA); see note (b) below	3,630,000	3,850,000
Note payable to Citizens Bank, see note (c) below	3,732,143	4,517,857
Total long-term obligations	\$ 225,541,275	243,599,970

- (a) The RF is party to a capital lease for the NanoFabXtension (NFX) facility with FRMC. The lease commenced on January 1, 2013 and expires on December 31, 2028. During the lease term, the RF's payments under the capital lease will be an annual amount equal to \$36 million.
- (b) The RF has an interest rate swap agreement, on the variable-rate AIDA bonds payable, with the Bank of New York to pay a fixed rate of interest of 3.615%. The bonds payable mature in 2033.
- (c) The RF has a fixed rate term loan with Citizens Bank with an interest rate of 3.68%, which commenced on February 2, 2018 and expires on February 1, 2025 and is fully collateralized by certain of the RF's marketable securities.

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Future scheduled payments as of June 30, 2020 are as follows:

	<u>Capital lease obligation</u>		<u>Bonds and notes payable</u>	
	<u>Principal</u>	<u>Interest</u>	<u>Principal</u>	<u>Interest</u>
Fiscal year:				
2021	\$ 18,508,646	17,491,354	1,015,714	137,733
2022	20,088,570	15,911,430	1,020,714	107,879
2023	21,803,357	14,196,643	1,030,714	77,985
2024	23,664,522	12,335,478	1,035,714	48,150
2025	25,684,558	10,315,442	849,287	18,730
Thereafter	<u>108,429,479</u>	<u>17,570,521</u>	<u>2,410,000</u>	<u>35,420</u>
Total	<u>\$ 218,179,132</u>	<u>87,820,868</u>	<u>7,362,143</u>	<u>425,897</u>

The RF was in compliance with all loan covenant provisions in effect as of the issuance date of these financial statements.

Lines of Credit

The RF maintains unsecured lines of credit, with no fixed maturity date, in the amount of \$65.0 million of which \$4.0 million and \$17.8 million were outstanding as of June 30, 2020 and 2019, respectively. During the year ended June 30, 2020, the borrowing rates ranged between 1.19 – 3.44%. The terms of the lines include the specification that each draw must be repaid within one year, and that the bank has the right to demand full repayment of these lines of credit at any time. There has been no indication by the bank of its intent to exercise this right.

(12) Commitments and Contingencies

(a) Commitments

The RF has commitments under its NFX capital lease as well as agreements with industry partners to support the mission of the SUNY Poly campus, some of which are pending sponsorship or other funding. As of the issuance date of these financial statements, the RF does not anticipate any shortfalls of funding under these commitments to be material in nature.

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(b) Operating Lease Obligations

The RF contracts with FRMC and various other entities to lease space as part of its mission to support SUNY research and partnerships. Future minimum payments, as of June 30, 2020, for lease terms in excess of one year are as follows:

<u>Fiscal year</u>	<u>FRMC</u>	<u>Other</u>	<u>Total</u>
2021	\$ 7,014,458	3,184,069	10,198,527
2022	7,014,458	2,333,886	9,348,344
2023	7,014,458	920,435	7,934,893
2024	7,014,458	866,261	7,880,719
2025	7,014,458	808,783	7,823,241
Thereafter	<u>71,898,195</u>	<u>2,513,746</u>	<u>74,411,941</u>
Total	<u>\$ 106,970,485</u>	<u>10,627,180</u>	<u>117,597,665</u>

In May 2005, the RF, as tenant, and FRMC, as landlord, executed an agreement for the lease of clean room facilities, which are used for nanotechnology-related research and development at SUNY Poly. Rent payments made by the RF pursuant to the agreement for each of the years ended June 30, 2020 and 2019 were approximately \$7.0 million. The annual rental payments may escalate annually at a rate not to exceed one percent. The term of the lease is from May 20, 2005 through September 30, 2035.

(c) Contingencies

During the ordinary course of business, the RF is exposed to risks associated with legal proceedings and claims. A liability is recognized with respect to legal proceedings and claims if incurrence of a loss is probable and the amount of loss is reasonably estimable. It is not anticipated that potential liability from any matters as yet unresolved as of the issuance date will have a material effect on the RF's financial condition and/or cash flows.

(13) Functional Expenses

The statement of activities presents expenses by program classification. The RF also summarizes its expenses by functional and natural classifications. The RF's primary program service is research. Depreciation expense is assigned based on the functional classification of the contract or agreement for which an individual asset was acquired. Postretirement and other benefit expenses are allocated based on the functional classifications of salaries.

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June 30, 2020 and 2019

Expenses, categorized based on functional and natural classifications for the years ended June 30, 2020, and June 30, 2019, are as follows (in thousands):

	2020						Total
	Research	Institutional support	Public service	Instruction	Academic support	Other	
Salaries	\$ 191,164	59,327	56,793	37,969	8,535	1,779	355,567
Other employee benefits	64,304	24,554	24,420	14,578	3,284	705	131,845
Postretirement benefit expense	(10,351)	(3,212)	(3,075)	(2,056)	(462)	(96)	(19,252)
Subcontracts	80,688	5	11,011	7,664	491	—	99,859
Office expense	50,234	12,962	6,611	5,040	2,279	720	77,846
Fees for services	25,303	11,380	13,366	4,553	2,554	683	57,839
Depreciation and amortization	60,284	4,105	—	454	7	275	65,125
Occupancy	2,950	13,311	6,814	6,205	425	1,011	30,716
Equipment	24,970	2,123	513	689	436	100	28,831
Interest	18,947	2,020	—	—	—	—	20,967
Travel	7,461	1,693	3,305	2,175	574	67	15,275
Fellow ship stipends	2,912	521	760	10,645	519	1,939	17,296
Tuition and fees	7,607	246	176	9,582	128	257	17,996
Information technology	2,725	4,954	399	433	597	377	9,485
Other expenses	28,744	21,155	3,617	2,369	2,605	1,197	59,687
Total expenses	<u>\$ 557,942</u>	<u>155,144</u>	<u>124,710</u>	<u>100,300</u>	<u>21,972</u>	<u>9,014</u>	<u>969,082</u>

	2019						Total
	Research	Institutional support	Public service	Instruction	Academic support	Other	
Salaries	\$ 176,363	57,537	53,779	38,838	11,208	1,643	339,368
Other employee benefits	59,932	22,722	21,621	14,429	4,326	564	123,594
Postretirement benefit expense	(8,879)	(2,897)	(2,707)	(1,955)	(564)	(83)	(17,085)
Subcontracts	73,507	10	12,582	7,896	326	—	94,321
Office expense	51,343	12,063	8,049	5,476	3,162	1,599	81,692
Fees for services	25,557	15,404	19,273	4,845	4,232	2,243	71,554
Depreciation and amortization	51,732	4,385	—	421	—	254	56,792
Occupancy	1,971	14,317	6,049	656	266	5,693	28,952
Equipment	22,965	1,655	309	1,364	1,384	609	28,286
Interest	20,288	2,675	—	15	—	—	22,978
Travel	10,307	2,468	5,280	2,934	1,013	99	22,101
Fellow ship stipends	3,038	650	1,261	11,706	566	1,872	19,093
Tuition and fees	6,810	202	181	10,640	297	171	18,301
Information technology	2,604	6,656	422	423	508	485	11,098
Other expenses	14,652	24,396	4,654	3,643	3,835	10,179	61,359
Total expenses	<u>\$ 512,190</u>	<u>162,243</u>	<u>130,753</u>	<u>101,331</u>	<u>30,559</u>	<u>25,328</u>	<u>962,404</u>

(14) Subsequent Events

The RF considers events or transactions that occur after the balance sheet date, but before the financial statements are issued, to provide additional evidence relative to certain estimates or to identify matters that require additional disclosure. These financial statements covering the year ended June 30, 2020 were available to be issued on October 26, 2020 and subsequent events have been evaluated through that date.

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Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
BASIC AND APPLIED SCIENTIFIC RESEARCH	12300			(\$16,425)	—	—	—
BASIC AND APPLIED SCIENTIFIC RESEARCH	12300			\$62,547	—	—	\$6,242,088
SCIENTIFIC RESEARCH - COMBATING WEAPONS OF MASS DESTRUCTION	12351	UNIVERSITY OF MARYLAND	8030329397201	—	\$16,674	—	—
SCIENTIFIC RESEARCH - COMBATING WEAPONS OF MASS DESTRUCTION	12351	VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIV	403378-19245	—	\$110,071	—	\$128,745
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$17,208	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$24,188	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$1,331	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$40,079	—	—	\$12,084
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$304,084	—	—	\$104,194
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	LIVEONNY	W819XW-17-1-0646	—	\$64,418	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	WEIGHT STATE UNIVERSITY	090565	—	\$14,007	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	UNIVERSITY OF NORTH TEXAS HEALTH SCIENCE CENTER	RF77003-2019-0388	—	\$106,848	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$10,030	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$42,353	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$266,699	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$86,684	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$288,250	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$78,861	—	\$8,727	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	WEST VIRGINIA UNIV RESEARCH CORP ON BEHALF OF WEST VIRGINIA UNIV	19082UB	—	\$17,727	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	BUFFALO INSTITUTE FOR MEDICAL RESEARCH	UB20190915JQ	—	\$76,825	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	BOARD OF REGENTS OF THE UNIVERSITY OF OKLAHOMA HEALTH SCIENCE CENTER	RS2017039001	—	\$50,607	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	WAKE FOREST UNIVERSITY HEALTH SCIENCES	WFUHS 441000SR-10	—	\$77,832	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$144,457	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$323,340	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$205,755	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	CORNELL UNIVERSITY	80914-10934	—	\$8,639	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$154,887	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$13,072	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$235,120	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$13,164	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$697,760	—	—	\$323,718
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	PHOENIX CHILDRENS HOSPITAL	FRYRE1902	—	\$87,386	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	CHEROKEE NATION TECHNOLOGY SOLUTIONS LLC	NA	—	\$253	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$1	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$217,610	—	—	\$72,945
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$243,891	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$331,417	—	—	\$171,162
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	ADVANCED TECHNOLOGY INTERNATIONAL	MTEC1701DHM01	—	\$660,377	—	\$307,997
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	INBOS INTERNATIONAL INCORPORATED	DOCKCTT0101W81XWH160009	—	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$97,777	—	—	\$19,697
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420			\$178,865	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12420	TRUDEAU INSTITUTE INCORPORATED	1920019UPS	—	\$45,919	—	\$5,378,680
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$86,797	—	\$2,407	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$3,272	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421	RENSELAEAR POLYTECHNIC INSTITUTE	A12843	—	\$90,344	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$139,704	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$56,467	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$241,168	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$303,299	—	—	\$57,083
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$70,411	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421	MAGNOLIA OPTICAL TECHNOLOGIES INCORPORATED	W31PAQ10C008	—	\$40,090	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421	MAGNOLIA OPTICAL TECHNOLOGIES INCORPORATED	W911GX-19-9-0009	—	\$34,065	—	\$14,210
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$193,699	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421	LEIDOS	PO1182175	—	\$14,176	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$966	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$258,805	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$90,162	—	\$23,000	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421	UNIVERSITY OF HOUSTON	R190101	—	\$78,305	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$7,986	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$16,978	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421	SVANNANTHAN LABORATORIES	0061-17-SSB-0003	—	(\$5,273)	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$44,199	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$111,253	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$164,696	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$85,078	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421	TRUSTEES OF BOSTON UNIVERSITY	4500003034	—	\$93,648	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$5,121	—	—	—
MILITARY MEDICAL RESEARCH AND DEVELOPMENT	12421			\$8,806	—	—	\$2,015,570
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12630	UNIVERSITY OF MARYLAND	6991822949201	—	\$206,976	—	—
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12630	UTAH STATE UNIVERSITY SPACE DYNAMICS LABORATORY	CP004749	—	\$4,265	—	—
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12630	CFD RESEARCH CORPORATION	20190449	—	\$32,849	—	—
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12630	PRADRE VIEW A&M UNIVERSITY	CS160502	—	\$5,446	—	—
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12630	JAN BIOTECH INCORPORATED	JBSC12182018	—	\$21,520	—	—
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12630	GENECON BIOTECH INCORPORATED	JBSC2020PHI	—	\$97,812	—	—
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12630	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	1265-1919	—	\$64,237	—	\$472,086
BASIC APPLIED AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING	12630	KITWARE INCORPORATED	K00189200504	—	\$17,014	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$936,486	—	—	\$539,442
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	107789	\$18,927,165	—	—	\$9,384,195
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	REGENTS OF THE UNIVERSITY OF CALIFORNIA RIVERSIDE	S-000748	—	\$30,297	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	GEORGIA INSTITUTE OF TECHNOLOGY	RL287-61	—	\$426,328	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$131,132	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	GRIFFISS INSTITUTE	SA2018-LUP-023	—	\$12,162	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	FLEX TECH ALLIANCE	15-7	—	\$14,490	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	GRIFFISS INSTITUTE	SA2018-LUP-0233	—	\$7,160	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	LOCKHEED MARTIN CORPORATION	104809231	—	\$278,911	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	GRIFFISS INSTITUTE	SA20190600031244	—	\$48,682	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	NANO-BIO MATERIALS CONSORTIUM	NB18-2020	—	\$1,177	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	GEORGIA INSTITUTE OF TECHNOLOGY	AVM0-101582-01 PO-S00424	—	\$8,377	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$2,550	—	—	\$2,550
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$57,500	—	—	\$19,707
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	UNIVERSITY OF MASSACHUSETTS AMHERST	181007800	—	\$119,777	—	\$119,777
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	SYRACUSE UNIVERSITY	201904509501	—	\$110,000	—	\$2,069
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$63,106	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$47,327	—	—	\$45,000
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	CUBIC INCORPORATED	00681501	—	\$27,939	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	KANSAS STATE UNIVERSITY	918149	—	\$136,958	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$42,766	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$130,014	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$87,236	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$17,526	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	HC2010	—	\$50,850	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	UES INC	S11196001	—	\$2,428	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	NANO-BIO MATERIALS CONSORTIUM	NB182018	—	\$41,110	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	UNIVERSITY OF BRISTOL	R100039101	—	\$8	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$192,899	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$153,119	—	—	\$181,729
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$153,307	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			(\$1,277)	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$86,165	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$56,284	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800			\$29,101	—	—	—
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	SYRACUSE UNIVERSITY	3051805126S01	—	\$4,534	—	\$48,497
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	GRIFFISS INSTITUTE	SA1906003-E0064	—	\$9,963	—	\$23,305,003
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12800	QUANTRON SOLUTIONS INCORPORATED	2018H04C0601	—	\$8,943	—	\$9,947
AIR FORCE DEFENSE RESEARCH SCIENCES PROGRAM	12910	UNIVERSITY OF CENTRAL FLORIDA	6401640001	—	\$60,435	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910			\$286,539	—	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	ANALOG POTONICS LLC	Sub-24R011-16-C108	—	\$1,776,956	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910			\$270,254	—	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	ANALOG POTONICS LLC	HR0011 19 C 0083	—	\$169,245	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	XALLEN7	1400D419C0096	—	\$4,752	—	\$170,382
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	FLEX TECH ALLIANCE	F2.8	—	\$26,158	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	FLEX TECH ALLIANCE	19P89	—	\$73,535	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	LOCKHEED MARTIN CORPORATION	4103493872	—	\$826,017	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEXTILEX	3.7	—	\$27,728	—	\$447,023
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	LOCKHEED MARTIN CORPORATION	3.1	—	\$179,011	—	\$146,250
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	FLEX TECH ALLIANCE	Asses Monitoring	—	\$162,385	—	\$142,285
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEXTILEX	4.8	—	\$325,068	—	\$282,889
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEXTILEX	4.9	—	\$158,891	—	\$115,647
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	ASSURED INFORMATION SECURITY INCORPORATED	HR0012100006 - 1118-SB	—	\$15,120	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEXTILEX	Project 5.4	—	\$120,249	—	\$101,127
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEXTILEX	Project 5.7	—	\$109,893	—	\$140,265
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEXTILEX	Project 5.2A	—	\$10,834	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEXTILEX	PC 5.8 GELSUNY	—	\$24,051	—	\$18,720
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEXTILEX	ADP PC 5.8 GELSUNY	—	\$7,020	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	NEW YORK UNIVERSITY	F731501	—	\$106,692	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910			\$215,403	—	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910	IBM CORPORATION	5050562680	—	\$133,422	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910			\$270,176	—	—	—
RESEARCH AND TECHNOLOGY DEVELOPMENT	12910			\$52,346	—</		

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
ENGINEERING	47.041			\$23,789	—	—	
ENGINEERING	47.041			\$9,861	—	—	
ENGINEERING	47.041			\$17,339	—	—	
ENGINEERING	47.041			\$60,489	—	—	
ENGINEERING	47.041			\$83,076	—	—	
ENGINEERING	47.041			\$66,088	—	—	
ENGINEERING	47.041			\$48,265	—	—	
ENGINEERING	47.041			\$2,622	—	—	
ENGINEERING	47.041			\$11,511	—	—	
ENGINEERING	47.041	CYTOCYBERNETICS	NA	—	—	\$39,494	
ENGINEERING	47.041	UNIVERSITY OF DELAWARE	33803 35184	\$7,485	—	—	
ENGINEERING	47.041			—	\$49,872	—	
ENGINEERING	47.041			\$12,045	—	—	
ENGINEERING	47.041			\$12,882	—	—	
ENGINEERING	47.041			\$18,967	—	—	
ENGINEERING	47.041			\$119,375	—	—	
ENGINEERING	47.041			(\$244)	—	—	
ENGINEERING	47.041			\$12,313	—	—	
ENGINEERING	47.041			\$81,633	—	—	
ENGINEERING	47.041			\$14,170	—	—	
ENGINEERING	47.041			\$42,402	—	—	
ENGINEERING AND PHYSICAL SCIENCES	47.041			\$81,278	—	—	
ENGINEERING	47.041			\$112,111	—	—	
ENGINEERING AND PHYSICAL SCIENCES	47.041			\$150,166	—	—	
ENGINEERING	47.041			\$119,056	—	—	
ENGINEERING	47.041			\$104,899	—	—	
ENGINEERING	47.041	UNIVERSITY OF WASHINGTON	UWSC10070 BPO 28946	—	\$2,180	—	
ENGINEERING	47.041			\$10,865	—	—	
ENGINEERING AND PHYSICAL SCIENCES	47.041			\$153,880	—	—	
ENGINEERING	47.041			\$52,016	—	—	
ENGINEERING	47.041			\$1,059	—	\$52,016	
ENGINEERING	47.041			\$13,008	—	—	
ENGINEERING	47.041	OHIO STATE UNIVERSITY	0006162/RFD134659	—	—	\$79,363	
ENGINEERING	47.041	MECHANISMIC INCORPORATED	SBIR 1843476	—	—	\$53,429	
ENGINEERING	47.041			\$5,509	—	—	
ENGINEERING	47.041	MISSOURI UNIVERSITY OF SCIENCE AND TECHNOLOGY	000883101	—	—	\$2,499	
ENGINEERING	47.041	THE RECTORS & VISITORS OF THE UNIVERSITY OF VIRGINIA	GA11441P2202237	—	—	\$2,172	
ENGINEERING	47.041			\$6,044	—	—	
ENGINEERING	47.041			\$504	—	—	
ENGINEERING	47.041			\$88,319	—	—	
ENGINEERING	47.041			\$58,320	—	—	
ENGINEERING AND PHYSICAL SCIENCES	47.041			\$18,323	—	—	
ENGINEERING	47.041			\$35,373	—	—	
ENGINEERING	47.041			\$10,623	—	—	
ENGINEERING	47.041			\$69,870	—	—	
ENGINEERING	47.041			\$8,573	—	—	
ENGINEERING AND PHYSICAL SCIENCES	47.041	JOHNS HOPKINS UNIVERSITY	203038690	—	—	\$13,895	
ENGINEERING	47.041	SYRACUSE UNIVERSITY	28906 04788 501	—	—	\$1,200	
ENGINEERING	47.049			\$36,740	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$1,281	—	—	\$8,894,102
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$13,449	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$7,889	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$153,833	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$32,283	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$58,265	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$17,820	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$109,704	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$52,084	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$2,261	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$37,867	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$5,976	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$60,747	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$9,585	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$118,384	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$47,524	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$3,105	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$189,757	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$76,354	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$28,171	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$73,473	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$46,254	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$88,156	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$109,107	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$136,378	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$84,843	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$95,069	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$189,633	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$55,731	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$73,770	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$80,621	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$47,269	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$26,124	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$880,600	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$9,596	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$27,264	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$104,605	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$901	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$274	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$1,165	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$1,193	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$54,878	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$1,021	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$111,208	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$8,049	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$60,635	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$29,762	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$108,788	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$60,453	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$18,331	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$49,268	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$55,649	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$389	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$9,475	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$2,121	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$20,870	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$168,978	—	\$13,300	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$74,978	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$115,318	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$176,847	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$113,589	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$32,733	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$141,346	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$2,777	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$151,118	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$14,418	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$130,178	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$52,733	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$61,421	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$43,622	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$134,727	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$479,225	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$109,420	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$8,431	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$78,816	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$109,889	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$35,475	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$30,880	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049	UNIVERSITY OF NOTRE DAME	NA	—	—	\$1,200	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$70,167	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$88,057	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$71,223	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$65,059	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$32,228	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$53,942	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$76,504	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$105,718	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$325,881	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$44,847	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$63,790	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$1,914	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$1,486	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$870	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			(\$71,627)	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$66,863	—	—	\$66,863
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$66,847	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$106,157	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$7,861	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$8,870	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$4,284	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$970	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$14,785	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$152,611	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$106,535	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$413,928	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049	UNIVERSITY OF VERMONT	29648 SUB51861 SUNY	—	—	\$2,040	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$33,195	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$28,320	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$96,725	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$5,957	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$34,506	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$19,524	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$32,042	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$65,768	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$38,801	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$41,926	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$5,213	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$51,182	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$37,616	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$188,361	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$45,823	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$19,547	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$1,265	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$62,038	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$42,132	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$55,855	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$112,421	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$33,751	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$10,075,664	—	—	\$8,711,034
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$92,764	—	—	

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$97,747	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			(\$41,873)	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$104,784	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$432,356	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$51,851	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$59,393	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$27,413	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$62,583	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$42,518	—	—	\$39,343
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$115,863	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$43,850	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$51,256	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$67,738	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$40,842	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$170,565	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$108,293	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$75,770	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$111,193	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$126,802	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$173,349	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$51,357	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$137,392	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$110,505	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$63,814	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$104,928	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$64,515	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$50,010	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$13,211	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$76,498	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$8,596	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$74,406	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$11,729	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$135,580	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$63,364	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$56,061	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$148,800	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$51,362	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$76,801	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$62,790	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$54,917	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$123,606	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$163,648	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$86,377	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$73,964	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$238,791	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$47,021	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$42,876	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$119,294	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$86,193	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$171	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$29,924	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$26,560	—	—	
OHIO STATE UNIVERSITY	47.049	60073068/PO# RF01588650		—	\$38,725	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$3,443	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$9,659	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			—	\$935	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049	TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	29GG016228	—	—	\$3,750	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049	OREGON STATE UNIVERSITY	1900AA	—	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$38,962	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$12,470	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$23,534	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049	AMERICAN PHYSICAL SOCIETY	OMG20181	—	—	\$8,446	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$1,821	—	—	
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$31,287	—	—	\$22,865
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$107,651	—	—	\$61,939
MATHEMATICAL AND PHYSICAL SCIENCES	47.049			\$53,000	—	—	\$24,328,709
GEOSCIENCES	47.050			\$127,741	—	—	
GEOSCIENCES	47.050			\$110,849	—	—	
GEOSCIENCES	47.050			\$31,148	—	—	
GEOSCIENCES	47.050			\$84,016	—	—	
GEOSCIENCES	47.050			\$14,863	—	—	
GEOSCIENCES	47.050			\$219,842	—	—	
GEOSCIENCES	47.050			\$87,073	—	—	
GEOSCIENCES	47.050			\$88,365	—	—	
GEOSCIENCES	47.050			\$168,742	—	—	
GEOSCIENCES	47.050			\$23,040	—	—	
HEALTH RESEARCH INC	47.050	569901		—	\$6,394	—	
GEOSCIENCES	47.050			\$103,085	—	—	
GEOSCIENCES	47.050			\$105,660	—	—	
GEOSCIENCES	47.050			\$79,807	—	—	
GEOSCIENCES	47.050			\$50,601	—	—	
GEOSCIENCES	47.050			\$64,862	—	—	
GEOSCIENCES	47.050			\$95,443	—	—	
GEOSCIENCES	47.050			\$27,439	—	—	\$10,568
GEOSCIENCES	47.050			\$67,130	—	—	
GEOSCIENCES	47.050			\$29,723	—	—	
GEOSCIENCES	47.050			\$75,707	—	—	
GEOSCIENCES	47.050			\$6,911	—	—	\$22,504
REGENTS OF THE UNIVERSITY OF CALIFORNIA	47.050	HK2041		—	—	—	
ROCHESTER INSTITUTE OF TECHNOLOGY	47.050	31631-01		\$20,669	—	—	\$6,488
GEOSCIENCES	47.050			\$48,990	—	—	
GEOSCIENCES	47.050			\$6,737	—	—	
GEOSCIENCES	47.050			\$71,965	—	—	\$7,237
COLUMBIA UNIVERSITY	47.050	76 (GG000993) POWG12614		—	—	—	
GEOSCIENCES	47.050			\$30,800	—	—	
GEOSCIENCES	47.050			\$30,384	—	—	
GEOSCIENCES	47.050			\$94,021	—	—	
GEOSCIENCES	47.050			\$37,092	—	—	\$346,049
GEOSCIENCES	47.050			\$84,651	—	—	
GEOSCIENCES	47.050			\$43,897	—	—	
GEOSCIENCES	47.050			\$43,075	—	—	
GEOSCIENCES	47.050			\$93,030	—	—	
GEOSCIENCES	47.050			\$60,566	—	—	
GEOSCIENCES	47.050			\$718,058	—	—	
GEOSCIENCES	47.050			\$223,905	—	—	
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	47.050	84GG00993/POG13009		—	\$16,000	—	
GEOSCIENCES	47.050			\$181,125	—	—	\$17,127
GEOSCIENCES	47.050			\$10,257	—	—	
GEOSCIENCES	47.050			\$159,840	—	—	
GEOSCIENCES	47.050			\$21,404	—	—	
GEOSCIENCES	47.050			\$17,569	—	—	
UNIVERSITY OF CALIFORNIA AT DAVIS	47.050	A1702535006		—	\$89,836	—	
GEOSCIENCES	47.050			\$59,711	—	—	
GEOSCIENCES	47.050			\$2,623	—	—	
BROOKHAVEN SCIENCE ASSOCIATES LLC	47.050	NA		—	\$2,798	—	
GEOSCIENCES	47.050			\$14,763	—	—	
GEOSCIENCES	47.050			\$767	—	—	
GEOSCIENCES	47.050			\$27,955	—	—	
GEOSCIENCES	47.050			\$11,848	—	—	
GEOSCIENCES	47.050			\$8,411	—	—	
GEOSCIENCES	47.050			\$206,704	—	—	\$58,051
GEOSCIENCES	47.050			\$27,153	—	—	
GEOSCIENCES	47.050			\$2,512	—	—	
GEOSCIENCES	47.050			\$716,979	—	—	
GEOSCIENCES	47.050			\$68,058	—	—	
GEOSCIENCES	47.050			\$38,969	—	—	
GEOSCIENCES	47.050			\$72,014	—	—	
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	47.050	56GG009993		—	\$22,754	—	
GEOSCIENCES	47.050			\$18,376	—	—	
GEOSCIENCES	47.050			\$82,081	—	—	
GEOSCIENCES	47.050			\$84,000	—	—	
GEOSCIENCES	47.050			\$132,200	—	—	
GEOSCIENCES	47.050			\$209,124	—	—	
GEOSCIENCES	47.050			\$103,795	—	—	
UNIVERSITY OF NEW MEXICO	47.050	133660-87Y4		—	\$38,808	—	
GEOSCIENCES	47.050			\$124,705	—	—	
GEOSCIENCES	47.050			\$219,303	—	—	
GEOSCIENCES	47.050			\$74,889	—	—	
GEOSCIENCES	47.050			\$52,467	—	—	
GEOSCIENCES	47.050			\$138,696	—	—	
GEOSCIENCES	47.050			\$76,111	—	—	
GEOSCIENCES	47.050			\$711,053	—	—	
WASHINGTON UNIVERSITY	47.050	WU-19-15/PO# 2934000G		—	\$42,539	—	
GEOSCIENCES	47.050			\$136,403	—	—	
GEOSCIENCES	47.050			\$148,720	—	—	\$16,000
GEOSCIENCES	47.050			\$183,778	—	—	
GEOSCIENCES	47.050			\$70,829	—	—	
GEOSCIENCES	47.050			\$109,604	—	—	
GEOSCIENCES	47.050			\$78,064	—	—	
GEOSCIENCES	47.050			\$149,084	—	—	
GEOSCIENCES	47.050			\$51,982	—	—	
UNIVERSITY OF WASHINGTON	47.050	UHWC11170/BPO43817		—	\$24,026	—	
GEOSCIENCES	47.050			\$49,766	—	—	
UNIVERSITY CORPORATION FOR ATMOSPHERIC RESEARCH	47.050	SUBAWDD01567		—	\$52,734	—	
UNIVERSITY OF DELAWARE	47.050	9425		—	\$9,976	—	
GEOSCIENCES	47.050			\$7,237	—	—	
GEOSCIENCES	47.050			\$22,407	—	—	
GEOSCIENCES	47.050			\$6,585	—	—	
UNIVERSITY OF NEW HAMPSHIRE	47.050	14068		—	\$2,859	—	
GEOSCIENCES	47.050			\$11,145	—	—	
GEOSCIENCES	47.050			\$20,938	—	—	
GEOSCIENCES	47.050			\$99,489	—	—	
BOWLING GREEN STATE UNIVERSITY	47.050	10010192 SUNY04		—	\$23,313	—	
GEOSCIENCES	47.050			\$47,664	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$105,765	—	—	\$44,494
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$45,297	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$65,883	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$16,486	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	ILLINOIS INSTITUTE OF TECHNOLOGY	SA180285001	—	\$38,792	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	UNIVERSITY OF SOUTHERN CALIFORNIA	97931040	—	\$2,781	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$86,723	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$25,935	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$28,532	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$154,298	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$74,740	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$54,072	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$88,771	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$73,335	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$79,557	—	—	

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$128,106	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$91,190	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$57,760	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$6,200	—	—	\$4,013
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE	202013701SUN	\$14,995	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			—	\$17,130	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$3,513	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$122,222	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$12,199	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$379	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$30,845	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$91,728	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$33,144	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$18,889	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$2,468	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$18,251	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$129,670	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$75,213	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$91,282	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$11,554	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$24,162	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$82,892	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$270,718	—	—	\$19,586
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$83,637	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$202,839	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$6,489	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$13,949	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$167,188	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$168,143	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$19,217	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$36,059	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$10,628	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$52,536	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$58,570	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$17,258	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$5,008	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$281,667	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$1,112	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$23,303	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,028	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$64,765	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$23,614	—	—	\$423,090
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$41,303	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$38,188	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,623	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$247	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$27,655	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$41,699	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$93,867	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	REGENTS OF THE UNIVERSITY OF MINNESOTA	A00443001	—	—	\$20,656	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	CORNELL UNIVERSITY	7622110548	—	—	\$448,481	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$54,569	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$71,534	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$19,724	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$89,914	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			(\$2,204)	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$944	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$121,416	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$210,704	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$697,263	—	—	\$459,336
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$49,534	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$45,381	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,070	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$233,796	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$50,811	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$212,075	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$914	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$132,000	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$61,234	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$131,679	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$63,112	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$198,590	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$734,500	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$135,059	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$26,661	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$68,669	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$80,675	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$62,643	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$75,381	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$65,066	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,650	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$23,839	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$18,237	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$58,217	—	—	\$1,883
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$155,686	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$65,110	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIV	47959019888	\$61,473	—	\$8,261	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$150,336	—	—	\$26,948
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$129,116	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	OHIO STATE UNIVERSITY	60067828	—	—	\$108,387	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$70,018	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$30,482	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	ARIZONA BOARD OF REGENTS	ASUB00000214	—	—	\$20,160	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,510	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$63,849	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$37,189	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$8,257	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$14,383	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$19,620	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$109,586	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$99,652	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,600	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$25,000	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$162,463	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$27,667	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$49,404	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$13,969	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$214	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$54,863	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$18,400	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$36,636	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$28,246	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$52,967	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$114,151	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$56,611	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$67,873	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$2,607	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$2,006	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$297,541	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	537214	—	—	(\$864)	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$118,585	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$126,333	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$36,086	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$158,407	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$126,028	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$150,058	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	TRUSTEES OF INDIANA UNIVERSITY	P00063575 BL-484880-SUNY	—	—	\$115,669	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$209,655	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$74,313	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,467	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$113,018	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$37,421	—	—	\$37,303
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$166,269	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$97,214	—	—	\$65,527
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$13,334	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$4,943	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$101,104	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$2,339	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$208,204	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$499,019	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$6,340	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$69,675	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$3,628	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$53,264	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$203,181	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$2,965	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$5,520	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$13,645	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$190,819	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$118,268	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$176,652	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$205,371	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$25,357	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$37,692	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$30,768	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$126,028	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIV	479599-19245	—	—	\$92,952	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$78,624	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$73,790	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	AKAI KAERU LLC	NA	—	—	\$46,748	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$2,385	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$9,015	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$32,172	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$34,087	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$155,079	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,631	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$157,112	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$46,363	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$96,539	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$126,264	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,116	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$166,766	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$75,467	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$160,562	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$332	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$142,160	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$4,173	—	—	

THE RESEARCH FOUNDATION FOR
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Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$73,231	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$90,359	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$159,812	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$215,782	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$63,769	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$710,466	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$156,463	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$20,062	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$22,596	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$85,369	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$193,322	—	\$77,266	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIV	479590-19245	—	\$775	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$24,961	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$34,989	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$10,000	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$41,365	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$125,639	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$63,772	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$94,222	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$28,347	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$185,954	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$36,676	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$49,235	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$12,025	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$100,679	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$85,863	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$22,475	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$7,956	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	AKAI KAERU LLC	1526949	—	\$42,821	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$78,206	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$10,214	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$1,000	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$96,044	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	NTEL CORPORATION	56095409	—	\$18,318	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$97,439	—	\$12,475	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$117,455	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIV	47959019245	—	\$20,152	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$112,191	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	TEXAS TECH UNIVERSITY	21P95202	—	\$115,631	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$43,966	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$477	—	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070	UNIVERSITY CORPORATION FOR ADVANCED INTERNET DEVELOPMENT	1042C	—	\$66,581	—	
COMPUTER AND INFORMATION SCIENCE AND ENGINEERING	47.070			\$50,361	—	—	\$20,539,119
BIOLOGICAL SCIENCES	47.074			\$148,804	—	—	
BIOLOGICAL SCIENCES	47.074			\$157,802	—	—	
BIOLOGICAL SCIENCES	47.074			\$184,978	—	—	
BIOLOGICAL SCIENCES	47.074			\$358,633	—	—	
BIOLOGICAL SCIENCES	47.074	HEALTH RESEARCH INC	588001	—	\$19,293	—	
BIOLOGICAL SCIENCES	47.074	HEALTH RESEARCH INC	588001	—	\$38,500	—	
BIOLOGICAL SCIENCES	47.074	HEALTH RESEARCH INC	609601	—	\$6,291	—	
BIOLOGICAL SCIENCES	47.074	HEALTH RESEARCH INC	369691	—	\$2,676	—	
BIOLOGICAL SCIENCES	47.074			\$88,736	—	—	
BIOLOGICAL SCIENCES	47.074			\$38,390	—	—	
BIOLOGICAL SCIENCES	47.074	UNIVERSITY OF FLORIDA	P0016011 UFDS00011384	—	\$103,773	—	
BIOLOGICAL SCIENCES	47.074			\$60,118	—	—	
BIOLOGICAL SCIENCES	47.074			\$117,313	—	—	
BIOLOGICAL SCIENCES	47.074			\$39,352	—	—	
BIOLOGICAL SCIENCES	47.074			\$3,000	—	—	
BIOLOGICAL SCIENCES	47.074			\$4,532,909	—	\$3,837,476	
BIOLOGICAL SCIENCES	47.074			\$62,696	—	—	
BIOLOGICAL SCIENCES	47.074	UNIVERSITY OF WYOMING	1002790ASUNYB	—	\$59,258	—	
BIOLOGICAL SCIENCES	47.074			\$4,097	—	—	
BIOLOGICAL SCIENCES	47.074			\$83,755	—	—	
BIOLOGICAL SCIENCES	47.074			\$46,717	—	—	
BIOLOGICAL SCIENCES	47.074			\$148,093	—	\$134,103	
BIOLOGICAL SCIENCES	47.074			\$221,669	—	—	
BIOLOGICAL SCIENCES	47.074	UNIVERSITY OF WYOMING	1003260ASUNYB	—	\$11,331	—	
BIOLOGICAL SCIENCES	47.074			\$16,278	—	—	
BIOLOGICAL SCIENCES	47.074			\$16,334	—	—	
BIOLOGICAL SCIENCES	47.074			\$183,571	—	—	
BIOLOGICAL SCIENCES	47.074			\$140,221	—	—	\$21,518
BIOLOGICAL SCIENCES	47.074	ARIZONA BOARD OF REGENTS	467856	—	\$14,761	—	
BIOLOGICAL SCIENCES	47.074			\$91,567	—	—	
BIOLOGICAL SCIENCES	47.074			\$12,944	—	—	
BIOLOGICAL SCIENCES	47.074			—	\$14,216	—	
BIOLOGICAL SCIENCES	47.074	WASHINGTON STATE UNIVERSITY	118996 G003356	—	\$314	—	
BIOLOGICAL SCIENCES	47.074			\$74,088	—	\$13,800	
BIOLOGICAL SCIENCES	47.074			\$29,588	—	—	
BIOLOGICAL SCIENCES	47.074			\$98,855	—	—	
BIOLOGICAL SCIENCES	47.074			\$124,894	—	\$62,172	
BIOLOGICAL SCIENCES	47.074			\$58	—	—	
BIOLOGICAL SCIENCES	47.074			\$4,608	—	—	
BIOLOGICAL SCIENCES	47.074			\$9,780	—	—	
BIOLOGICAL SCIENCES	47.074			\$28,180	—	—	
BIOLOGICAL SCIENCES	47.074			(\$773)	—	—	
BIOLOGICAL SCIENCES	47.074			\$160,457	—	—	
BIOLOGICAL SCIENCES	47.074	UNIVERSITY OF MASSACHUSETTS AMHERST	18-009956 A	—	\$19,870	—	
BIOLOGICAL SCIENCES	47.074			\$218,494	—	—	
BIOLOGICAL SCIENCES	47.074			\$120,878	—	\$51,663	
BIOLOGICAL SCIENCES	47.074			\$161,713	—	—	
BIOLOGICAL SCIENCES	47.074			\$79,606	—	—	
BIOLOGICAL SCIENCES	47.074			\$116,654	—	—	
BIOLOGICAL SCIENCES	47.074			\$12,122	—	—	
BIOLOGICAL SCIENCES	47.074			\$66,626	—	—	
BIOLOGICAL SCIENCES	47.074			\$18,770	—	—	
BIOLOGICAL SCIENCES	47.074			\$210,473	—	—	
BIOLOGICAL SCIENCES	47.074			\$109,756	—	—	
BIOLOGICAL SCIENCES	47.074			\$882	—	—	
BIOLOGICAL SCIENCES	47.074			\$90,367	—	—	
BIOLOGICAL SCIENCES	47.074			\$21,233	—	—	
BIOLOGICAL SCIENCES	47.074			\$7,011	—	—	
BIOLOGICAL SCIENCES	47.074			\$2,364	—	—	
BIOLOGICAL SCIENCES	47.074	COLD SPRING HARBOR LABORATORY	52670217 POM9210495V	—	\$12,289	—	
BIOLOGICAL SCIENCES	47.074			\$10,390	—	—	
BIOLOGICAL SCIENCES	47.074	STANFORD UNIVERSITY	61230239118374	—	\$448	—	
BIOLOGICAL SCIENCES	47.074			\$34,286	—	—	
BIOLOGICAL SCIENCES	47.074			\$41,328	—	—	
BIOLOGICAL SCIENCES	47.074			\$46,106	—	—	
BIOLOGICAL SCIENCES	47.074			\$38,829	—	—	
BIOLOGICAL SCIENCES	47.074	BOYCE THOMPSON INSTITUTE	17.01	—	\$13,620	—	
BIOLOGICAL SCIENCES	47.074			\$72,224	—	—	
BIOLOGICAL SCIENCES	47.074			\$8,264	—	—	
BIOLOGICAL SCIENCES	47.074			\$59,077	—	—	
BIOLOGICAL SCIENCES	47.074	RUTGERS UNIVERSITY	0216P09953280	—	\$36,106	—	
BIOLOGICAL SCIENCES	47.074			\$11,823	—	—	
BIOLOGICAL SCIENCES	47.074			\$79,304	—	—	
BIOLOGICAL SCIENCES	47.074			\$36,814	—	—	
BIOLOGICAL SCIENCES	47.074	CARY INSTITUTE OF ECOSYSTEM STUDIES	3340 200201859	—	\$11,438	—	
BIOLOGICAL SCIENCES	47.075			\$7,272	—	—	\$9,514,814
BIOLOGICAL SCIENCES	47.075			\$12,023	—	—	
BIOLOGICAL SCIENCES	47.075			\$4,637	—	—	
BIOLOGICAL SCIENCES	47.075			\$84,253	—	\$44,021	
BIOLOGICAL SCIENCES	47.075			\$160,965	—	—	
BIOLOGICAL SCIENCES	47.075			\$4,343	—	—	
BIOLOGICAL SCIENCES	47.075			\$3,613	—	—	
BIOLOGICAL SCIENCES	47.075			\$6,218	—	—	
BIOLOGICAL SCIENCES	47.075			\$12,109	—	—	
BIOLOGICAL SCIENCES	47.075			\$4,635	—	—	
BIOLOGICAL SCIENCES	47.075			\$5,871	—	—	
BIOLOGICAL SCIENCES	47.075	BOARD OF REGENTS OF UNIVERSITY SYSTEM OF GEORGIA BY AND ON BEHALF OF UNIVERSITY OF GEORGIA	SUB00002179	—	\$53,160	—	
BIOLOGICAL SCIENCES	47.075			\$41,727	—	—	
BIOLOGICAL SCIENCES	47.075			\$149,141	—	—	
BIOLOGICAL SCIENCES	47.075			\$114,871	—	\$45,786	
BIOLOGICAL SCIENCES	47.075			\$29,023	—	—	
BIOLOGICAL SCIENCES	47.075			\$30,668	—	—	\$15,636
BIOLOGICAL SCIENCES	47.075			\$2,176	—	—	
BIOLOGICAL SCIENCES	47.075			\$52,690	—	—	
BIOLOGICAL SCIENCES	47.075			\$599	—	—	
BIOLOGICAL SCIENCES	47.075			\$19,120	—	—	
BIOLOGICAL SCIENCES	47.075			\$20,075	—	—	
BIOLOGICAL SCIENCES	47.075			\$15,977	—	—	
BIOLOGICAL SCIENCES	47.075			\$38,436	—	—	
BIOLOGICAL SCIENCES	47.075			\$4,742	—	—	
BIOLOGICAL SCIENCES	47.075			\$61,820	—	—	
BIOLOGICAL SCIENCES	47.075			\$88,961	—	—	
BIOLOGICAL SCIENCES	47.075			\$80,334	—	—	
BIOLOGICAL SCIENCES	47.075			\$15,478	—	—	
BIOLOGICAL SCIENCES	47.075	ARIZONA STATE UNIVERSITY	ASUB0000069	—	\$19,494	—	
BIOLOGICAL SCIENCES	47.075			\$145,537	—	—	
BIOLOGICAL SCIENCES	47.075			\$139,701	—	—	
BIOLOGICAL SCIENCES	47.075			\$31,818	—	—	
BIOLOGICAL SCIENCES	47.075			\$3,975	—	—	
BIOLOGICAL SCIENCES	47.075			\$562	—	—	
BIOLOGICAL SCIENCES	47.075			\$74,065	—	—	
BIOLOGICAL SCIENCES	47.075			\$130,333	—	—	
BIOLOGICAL SCIENCES	47.075			\$5,565	—	—	
BIOLOGICAL SCIENCES	47.075			\$44,061	—	—	
BIOLOGICAL SCIENCES	47.075			\$15,881	—	\$39,034	
BIOLOGICAL SCIENCES	47.075			\$107,588	—	—	
BIOLOGICAL SCIENCES	47.075			\$1,146	—	—	
BIOLOGICAL SCIENCES	47.075			\$113,239	—	—	
BIOLOGICAL SCIENCES	47.075			\$2,967	—	—	
BIOLOGICAL SCIENCES	47.075			\$1	—	—	
BIOLOGICAL SCIENCES	47.075			\$120,235	—	—	
BIOLOGICAL SCIENCES	47.075			\$1,177	—	—	
BIOLOGICAL SCIENCES	47.075			\$55,266	—	—	
BIOLOGICAL SCIENCES	47.075			\$4	—	—	
BIOLOGICAL SCIENCES	47.075			\$968	—	—	
BIOLOGICAL SCIENCES	47.075			\$94,307	—	—	
BIOLOGICAL SCIENCES	47.075			\$0,340	—	—	
BIOLOGICAL SCIENCES	47.075			\$11,660	—	—	
BIOLOGICAL SCIENCES	47.075			—	\$32,271	—	
BIOLOGICAL SCIENCES	47.075	UNIVERSITY OF WISCONSIN AT MADISON	808R650	—	\$61,714	—	
BIOLOGICAL SCIENCES	47.075			\$19,828	—	—	

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Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$11,973	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$11,293	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$50,159	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$92,156	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$10,929	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$39,532	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$2,044	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$27,522	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$261,955	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075	UNIVERSITY OF TEXAS AT SAN ANTONIO	1000001668	—	\$8,135	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$22,624	—	\$14,024	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$35,696	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$9	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$25,663	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$462	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$2,138	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$15,700	—	—	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$150,061	—	\$91,492	
SOCIAL BEHAVIORAL AND ECONOMIC SCIENCES	47.075			\$99,299	—	—	\$3,381,172
EDUCATION AND HUMAN RESOURCES	47.076			\$6,538	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$24,674	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$275,442	—	\$18,512	
EDUCATION AND HUMAN RESOURCES	47.076			\$345,542	—	\$114,290	
EDUCATION AND HUMAN RESOURCES	47.076			\$83,499	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$74,914	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$84,148	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$673,835	—	\$90,072	
EDUCATION AND HUMAN RESOURCES	47.076			\$75,801	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$72,444	—	—	
EDUCATION AND HUMAN RESOURCES	47.076	UNIVERSITY OF GEORGIA	SUB00002089	—	\$5,580	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$26,355	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$20,134	—	—	
EDUCATION AND HUMAN RESOURCES	47.076	CALIFORNIA STATE UNIVERSITY SAN BERNARDINO	GT14159	—	\$8,620	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$127,300	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$25,806	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$42,821	—	\$35,715	
EDUCATION AND HUMAN RESOURCES	47.076			\$346,743	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$116,471	—	—	
EDUCATION AND HUMAN RESOURCES	47.076	COUNCIL OF GRADUATE SCHOOLS	NA	—	\$12,083	—	
EDUCATION AND HUMAN RESOURCES	47.076	ROCHESTER INSTITUTE OF TECHNOLOGY	3171901	—	\$17,587	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$589,316	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$142,106	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$2,789	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$219,614	—	\$11,706	
EDUCATION AND HUMAN RESOURCES	47.076			\$11,158	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$6,608	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$74,860	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$48,349	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$598,230	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$257,654	—	\$89,613	
EDUCATION AND HUMAN RESOURCES	47.076	CARTHAGE COLLEGE	30130-830-1	—	\$16,871	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$139,573	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$148,062	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$680,580	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$86,286	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$19,631	—	\$41,388	
EDUCATION AND HUMAN RESOURCES	47.076			\$114,989	—	—	
EDUCATION AND HUMAN RESOURCES	47.076	MIDDLE TENNESSEE STATE UNIVERSITY	5372577774-01P0071007	—	\$123,778	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$31,334	—	—	
EDUCATION AND HUMAN RESOURCES	47.076	MIDDLE TENNESSEE STATE UNIVERSITY	537249-77774 P08 0075503	—	\$73,442	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$6,448	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$268,895	—	—	
EDUCATION AND HUMAN RESOURCES	47.076	PAUL SMITHS COLLEGE	17420411	—	\$12,288	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$70,822	—	\$22,837	
EDUCATION AND HUMAN RESOURCES	47.076			\$116,072	—	\$21,650	
EDUCATION AND HUMAN RESOURCES	47.076			\$90,962	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$23,022	—	\$5,458	
EDUCATION AND HUMAN RESOURCES	47.076			\$134,557	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$175,659	—	\$48,818	
EDUCATION AND HUMAN RESOURCES	47.076			\$25,125	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$134,663	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$28,612	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$81,652	—	\$14,577	
EDUCATION AND HUMAN RESOURCES	47.076			\$29,604	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$3,000	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$159,841	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$4,400	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$194,191	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$40,249	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$185	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$12,480	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$30,869	—	—	
EDUCATION AND HUMAN RESOURCES	47.076	REGENTS OF THE UNIVERSITY OF CALIFORNIA	00009880	—	\$16,431	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$28,788	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$57,745	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$18,538	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$33,568	—	—	
EDUCATION AND HUMAN RESOURCES	47.076			\$266	—	—	\$8,991,285
EDUCATION AND HUMAN RESOURCES	47.076			\$600	—	—	\$600
OFFICE OF INTERNATIONAL SCIENCE AND ENGINEERING	47.079			\$1,011,205	—	\$85,200	
OFFICE OF INTERNATIONAL SCIENCE AND ENGINEERING	47.079			\$705,600	—	\$295,698	
OFFICE OF INTERNATIONAL SCIENCE AND ENGINEERING	47.079	VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIV	47947719888	—	\$83,208	—	
OFFICE OF INTERNATIONAL SCIENCE AND ENGINEERING	47.079			\$2,007	—	—	\$1,802,190
INTERACTIVE ACTIVITIES	47.083			\$217,885	—	\$31,049	\$217,885
				\$82,095,526	\$3,864,139	\$16,447,800	\$86,000,000
U.S. Department of Veterans Affairs							
VETERANS BENEFITS ADMINISTRATION	64.000			(\$222)	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$27,588	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$416,877	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$22,210	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$20,223	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$61,169	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$50,751	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$27,263	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$1,688	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$3,999	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$2,894	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$18,219	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$3,600	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$30,299	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$32,497	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$27,143	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$74,435	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$52,112	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$37,080	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$86,455	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$20,354	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$38,737	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$16,962	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$36,454	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$11,699	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$16,077	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$16,568	—	—	
VETERANS BENEFITS ADMINISTRATION	64.000			\$28,388	—	—	\$808,740
				\$608,749	—	—	\$608,749
Environmental Protection Agency							
ENVIRONMENTAL PROTECTION AGENCY	66.000	WOOD ENVIRONMENT & INFRASTRUCTURE SOLUTIONS INC	C01206877	—	—	\$326	
ENVIRONMENTAL PROTECTION AGENCY	66.000	WOOD ENVIRONMENT & INFRASTRUCTURE SOLUTIONS INC	F014700120	—	—	\$2,564	
ENVIRONMENTAL PROTECTION AGENCY	66.000			\$599,961	—	\$267,222	
ENVIRONMENTAL PROTECTION AGENCY	66.000	NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION	AM10700	—	\$54,776	—	
ENVIRONMENTAL PROTECTION AGENCY	66.000	NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION	AM10700	—	\$122,202	—	
ENVIRONMENTAL PROTECTION AGENCY	66.000	NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION	AM10700	—	\$44,497	—	
ENVIRONMENTAL PROTECTION AGENCY	66.000	NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION	AM10700	—	\$117,263	—	
ENVIRONMENTAL PROTECTION AGENCY	66.000	NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION	AM10700	—	\$52,738	—	
ENVIRONMENTAL PROTECTION AGENCY	66.000	WATERSHED AGRICULTURAL COUNCIL	471	—	\$5,123	—	\$959,248
LONG ISLAND SOUND PROGRAM	66.437			\$4,920	—	\$4,920	
LONG ISLAND SOUND PROGRAM	66.437			\$31,292	—	—	\$19,844
LONG ISLAND SOUND PROGRAM	66.437	NATIONAL FISH AND WILDLIFE FOUNDATION	1401 18 061462	—	\$4,680	\$70,907	\$41,192
NATIONAL ESTUARY PROGRAM	66.466			\$171,629	—	—	\$71,529
REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS	66.461			\$38,757	—	—	
REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS	66.461			\$25,963	—	—	
REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS	66.461			\$36,889	—	—	\$169,609
GREAT LAKES PROGRAM	66.469	CENTRAL MICHIGAN UNIVERSITY	FC2951	—	—	\$290,826	
GREAT LAKES PROGRAM	66.469	NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION	AM10700	—	—	\$70,888	
GREAT LAKES PROGRAM	66.469			\$107,985	—	\$19,092	
GREAT LAKES PROGRAM	66.469	CORNELL UNIVERSITY	OL0062259	—	—	\$483,152	
GREAT LAKES PROGRAM	66.469			\$64,970	—	—	
GREAT LAKES PROGRAM	66.469	100521-3 POM9000		—	—	\$48,054	
GREAT LAKES PROGRAM	66.469	100521-2 POL CLKLSN-000009722		—	—	\$181,686	\$1,217,209
LAKE CHAMPLAIN BASIN PROGRAM	66.481	NEW ENGLAND INTERSTATE WATER POLLUTION CNTRL COMM	LS2019065	—	\$26,457	—	\$26,457
SCIENCE TO ACHIEVE RESULTS (STAR) RESEARCH PROGRAM	66.509			—	—	—	
SCIENCE TO ACHIEVE RESULTS (STAR) RESEARCH PROGRAM	66.509	WATER ENVIRONMENT RESEARCH FOUNDATION	STARWRSG16	—	\$8,223	—	\$260,695
ENVIRONMENTAL PROTECTION AGENCY	66.000	NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION	AM10700	—	—	\$37,888	
ENVIRONMENTAL PROTECTION AGENCY	66.000	NYS DEPARTMENT OF ENVIRONMENTAL CONSERVATION	AM09757	—	\$134,066	—	\$171,954
				\$1,263,438	\$1,654,859	\$375,985	\$2,918,283
U.S. Department of Energy							
DEPARTMENT OF ENERGY	81.000	REGENTS OF THE UNIVERSITY OF CALIFORNIA LAWRENCE	7412488	—	—	\$11,776	
DEPARTMENT OF ENERGY	81.000	BERKELEY NATIONAL LABORATORY	363810	—	—	\$66,143	
DEPARTMENT OF ENERGY	81.000	BROOKHAVEN SCIENCE ASSOCIATES LLC		—	—	—	
DEPARTMENT OF ENERGY	81.000	REGENTS OF THE UNIVERSITY OF CALIFORNIA LAWRENCE	7412488	—	—	\$48,793	
DEPARTMENT OF ENERGY	81.000	BERKELEY NATIONAL LABORATORY	SUB020210109	—	—	\$10,090	
DEPARTMENT OF ENERGY	81.000	ALLIANCE FOR SUSTAINABLE ENERGY LLC	225079	—	—	\$2,005	
DEPARTMENT OF ENERGY	81.000	PACIFIC NORTHWEST NATIONAL LABORATORY	309747	—	—	\$48,067	
DEPARTMENT OF ENERGY	81.000	PACIFIC NORTHWEST NATIONAL LABORATORY	4201881	—	—	\$49,888	
DEPARTMENT OF ENERGY	81.000	PACIFIC NORTHWEST NATIONAL LABORATORY	485200	—	—	\$30,927	
DEPARTMENT OF ENERGY	81.000	SANDIA NATIONAL LABORATORIES	2109512	—	—	\$30,998	
DEPARTMENT OF ENERGY	81.000	SAGE ENGINEERING ASSOCIATES	F.L.E. 3029.01	—	—	\$10,828	
DEPARTMENT OF ENERGY	81.000	UT BATTELLE LLC	4000156883	—	—	\$63,188	
DEPARTMENT OF ENERGY	81.000	BATTELLE ENERGY ALLIANCE LLC	154053	—	—	\$73,164	
DEPARTMENT OF ENERGY	81.000			\$249,105	—	—	
DEPARTMENT OF ENERGY	81.000	BATTELLE ENERGY ALLIANCE LLC	201255	—	—	\$118,302	
DEPARTMENT OF ENERGY	81.000	MEMBRANE TECHNOLOGY AND RESEARCH INCORPORATED	N/A	—	—	\$19,040	
DEPARTMENT OF ENERGY	81.000	LAWRENCE BERKELEY NATIONAL LABORATORY	7424070	—	—	\$42,484	
DEPARTMENT OF ENERGY	81.000	REGENTS OF THE UNIVERSITY OF CALIFORNIA LAWRENCE	7422246	—	—	\$41,243	
DEPARTMENT OF ENERGY	81.000	BERKELEY NATIONAL LABORATORY	421987	—			

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grant/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by FDA and federal grantor
DEPARTMENT OF ENERGY	81.000	ALLIANCE FOR SUSTAINABLE ENERGY LLC	NH08230502	—	—	—	\$108,252
DEPARTMENT OF ENERGY	81.000	BATTELLE ENERGY ALLIANCE/LLC	228708	—	—	—	\$83,111
DEPARTMENT OF ENERGY	81.000	LAWRENCE LIVERMORE NATIONAL LABORATORY	083984	—	—	—	\$17,653
DEPARTMENT OF ENERGY	81.000	TRUSTEES OF PRINCETON UNIVERSITY	S01763	—	—	—	\$20,401
DEPARTMENT OF ENERGY	81.000	PACIFIC NORTHWEST NATIONAL LABORATORY	509977	—	—	—	\$108,705
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$11,850	—	—	\$11,850
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$20,767	—	—	\$20,767
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$263,837	—	—	\$263,837
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$104,444	—	—	\$104,444
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$53,163	—	—	\$53,163
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	ECOLOG LIMITED LIABILITY CORPORATION	NA	—	—	\$9,214	\$9,214
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	INTELLIGENCE SYSTEMS INCORPORATED	DE-SC0015190	—	—	\$4,253	\$4,253
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$1,517,181	—	—	\$1,517,181
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$147,881	—	—	\$147,881
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	CORNELL UNIVERSITY	89888-1161	—	—	\$94,602	\$94,602
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	GVD CORPORATION	5151-01	—	—	\$22,430	\$22,430
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$86,765	—	—	\$86,765
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$183,138	—	—	\$183,138
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$88,215	—	—	\$88,215
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$1,455,637	—	—	\$1,455,637
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$130,135	—	—	\$130,135
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$59,255	—	—	\$59,255
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$106,196	—	—	\$106,196
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	KITWARE INCORPORATED	K0024900302	—	—	\$24,831	\$24,831
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	HELIOS-NRG LLC	DESC0018956001	—	—	\$24	\$24
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$28,619	—	—	\$28,619
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	FLORIDA STATE UNIVERSITY	R02007	—	—	\$132,440	\$132,440
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	HELIOS-NRG LLC	DESC0017077SUNYAB2	—	—	\$130,458	\$130,458
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	578104	—	—	\$64,289	\$64,289
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UNIVERSITY OF ROCHESTER	41795ZGURFA09R511020	—	—	\$56,886	\$56,886
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	HELIOS-NRG LLC	DFE0001710GR	—	—	\$24	\$24
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$368,022	—	—	\$368,022
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$293,639	—	—	\$293,639
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$89,663	—	—	\$89,663
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$85,664	—	—	\$85,664
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$399,340	—	—	\$399,340
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$8,014	—	—	\$8,014
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$139,801	—	—	\$139,801
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$89,973	—	—	\$89,973
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$19,214	—	—	\$19,214
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049			\$676,267	—	—	\$676,267
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	252993	—	—	\$46,337	\$46,337
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	278191	—	—	\$7,015	\$7,015
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	28847	—	—	\$24,863	\$24,863
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	294250	—	—	\$3,997	\$3,997
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UNIVERSITY OF WASHINGTON	UWSC11275 BP04040	—	—	\$183,036	\$183,036
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	299425	—	—	\$151,025	\$151,025
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	299425	—	—	\$7,809	\$7,809
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	LOS ALAMOS NATIONAL SECURITY LLC	367958	—	—	\$259,984	\$259,984
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	309694	—	—	\$1,264	\$1,264
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	313056	—	—	(\$76)	(\$76)
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	314480	—	—	\$11,460	\$11,460
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	315223	—	—	\$49,246	\$49,246
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	316817	—	—	\$38,844	\$38,844
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	317196	—	—	\$23,768	\$23,768
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UT BATTELLE LLC	4000148410	—	—	(\$486)	(\$486)
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UT BATTELLE LLC	4000148409	—	—	\$1,232	\$1,232
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	315845	—	—	\$133,598	\$133,598
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UNIVERSITY OF CALIFORNIA AT DAVIS	A1703095003	—	—	\$50,096	\$50,096
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UNIVERSITY OF ILLINOIS URBANA CHAMPAIGN	001035-16153	—	—	\$124,144	\$124,144
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UNIVERSITY OF ILLINOIS URBANA CHAMPAIGN	001035-16153	—	—	\$36,341	\$36,341
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	REGENTS OF THE UNIVERSITY OF CALIFORNIA	2095 G V 116	—	—	\$18,002	\$18,002
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	327976	—	—	\$78,109	\$78,109
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	DELAWARE DIAMOND KNIVES INCORPORATED	SBIR 1 22872	—	—	\$43,198	\$43,198
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	329689	—	—	\$20,140	\$20,140
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	330943	—	—	\$26,866	\$26,866
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	332563	—	—	\$47,779	\$47,779
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	332961	—	—	\$27,967	\$27,967
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	332987	—	—	\$7,354	\$7,354
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	333063	—	—	\$47,968	\$47,968
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	331990	—	—	\$48,007	\$48,007
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	330660	—	—	\$39,786	\$39,786
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	329687	—	—	\$147,394	\$147,394
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	337357	—	—	\$52,259	\$52,259
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	337357	—	—	(\$7)	(\$7)
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	337120	—	—	\$2,867	\$2,867
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	337120	—	—	\$165,009	\$165,009
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	337120	—	—	\$44,722	\$44,722
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	337120	—	—	\$82,063	\$82,063
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	TRUSTEES OF PRINCETON UNIVERSITY	S015776-P	—	—	\$348,680	\$348,680
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	TRUSTEES OF PRINCETON UNIVERSITY	S015776	—	—	—	\$44,914
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	339351	—	—	\$163,600	\$163,600
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	340966	—	—	\$19,334	\$19,334
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	341702	—	—	\$119,334	\$119,334
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	341921	—	—	\$98,992	\$98,992
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	341702	—	—	\$14,752	\$14,752
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	341816	—	—	\$47,056	\$47,056
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	342385	—	—	\$49,915	\$49,915
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	343575	—	—	\$49,236	\$49,236
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	343575	—	—	\$101,399	\$101,399
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	MICHIGAN STATE UNIVERSITY	RC107788 SBU	—	—	\$121,144	\$121,144
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	344873	—	—	\$8,327	\$8,327
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	344883	—	—	\$26,293	\$26,293
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	347169	—	—	\$49,236	\$49,236
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	349417	—	—	\$19,788	\$19,788
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	347159	—	—	\$50,408	\$50,408
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	347173	—	—	\$27,629	\$27,629
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	347232	—	—	\$55,476	\$55,476
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	347222	—	—	\$49,236	\$49,236
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	319262	—	—	\$1,000	\$1,000
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	LAWRENCE BERKELEY NATIONAL LABORATORY	7141890	—	—	\$21,108	\$21,108
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	351171	—	—	\$52,628	\$52,628
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	348643	—	—	\$42,640	\$42,640
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	326609	—	—	\$6,387	\$6,387
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	352784	—	—	\$41,232	\$41,232
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	352784	—	—	\$25,504	\$25,504
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	353381	—	—	\$113,646	\$113,646
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	TELLURIC LABS LLC	316A	—	—	\$44,727	\$44,727
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	354411	—	—	\$33,614	\$33,614
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	354411	—	—	\$38,348	\$38,348
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	SANDIA NATIONAL LABORATORIES	PO 1595692	—	—	\$99,499	\$99,499
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	355423	—	—	\$42,722	\$42,722
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	355335	—	—	\$11,974	\$11,974
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UNIVERSITY OF WISCONSIN	51426	—	—	\$3,788	\$3,788
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	11(50)08588-03	—	—	\$19,784	\$19,784
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	355749	—	—	\$23,788	\$23,788
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	UNIVERSITY OF MINNESOTA	A044527505	—	—	\$143,859	\$143,859
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	PRESIDENT AND FELLOWS OF HARVARD COLLEGE	130298111300	—	—	\$96,859	\$96,859
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	356033	—	—	\$50,333	\$50,333
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	357700	—	—	\$106,250	\$106,250
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	ALLIANCE FOR SUSTAINABLE ENERGY LLC	NCE-349036-01	—	—	\$24,703	\$24,703
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	LAWRENCE BERKELEY NATIONAL LABORATORY	7443957	—	—	\$22,782	\$22,782
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	359707	—	—	\$41,378	\$41,378
OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM	81.049	BROOKHAVEN SCIENCE ASSOCIATES LLC	359695	—	—	\$34,927	\$34

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grant/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by FDA and federal grantor
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$29,548	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$117,723	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	ADVANCED CYTOMETRY INSTRUMENTATION SYSTEMS	NA	—	\$4,570	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	UNIVERSITY OF ROCHESTER	417355GURFAOGR510794	—	\$19,958	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	MANSHFIELD CLINIC RESEARCH INSTITUTE	47300100	—	\$34,086	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	LIMITED INCORPORATED	NA	—	\$26,956	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$365,925	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	VIRGINIA COMMONWEALTH UNIVERSITY	FP00080905A001	—	\$64,050	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	NEW YORK UNIVERSITY SCHOOL OF MEDICINE	19A0001200152	—	\$14,068	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$28,292	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$168,131	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$22,122	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$116,621	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$10,511	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$17,061	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$1,563	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$604	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	TRAVERSE BIOSCIENCES INCORPORATED	TRB-00224	—	\$33,940	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$119,885	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$128,389	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$108,415	—	\$17,297	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	NUSHORES BIOSCIENCES LLC	19A44DE02813-01	—	\$53,463	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	REGENTS OF THE UNIVERSITY OF CALIFORNIA	1111519C	—	\$120,313	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$11,989	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	NOVA SOUTHEASTERN UNIVERSITY	331635	—	\$46,350	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	NUSHORES BIOSCIENCES LLC	19A44DE02813-02	—	\$136,923	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$43,548	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	GEORGE WASHINGTON UNIVERSITY	18M44	—	\$83,668	—	\$8,744,297
ORAL DISEASES AND DISORDERS RESEARCH	93.121	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	9115077	—	\$15,362	—	\$15,362
ORAL DISEASES AND DISORDERS RESEARCH	93.121	ERIE COLLEGE MEDICAL CENTER	NA	—	\$19,661	—	\$19,661
ORAL DISEASES AND DISORDERS RESEARCH	93.121	WEST VIRGINIA UNIV RESEARCH CORP ON BEHALF OF WEST VIRGINIA UNIV	14197AUB	—	\$5,547	—	\$5,547
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RESEARCH INSTITUTE AT NATIONWIDE CHILDRENS HOSPITAL	710005071906	—	\$16,337	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	HEALTH RESEARCH INC	598101	—	\$1,368	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	HEALTH RESEARCH INC	598102	—	\$19,501	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$194,323	—	—	\$224,559
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RUTGERS UNIVERSITY	979406	—	\$7,448	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RUTGERS UNIVERSITY	0718P0939741	—	\$6,919	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RUTGERS UNIVERSITY	1146	—	\$21,026	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RUTGERS UNIVERSITY	1232795	—	\$4,715	—	\$36,541
ORAL DISEASES AND DISORDERS RESEARCH	93.121	COLUMBIA UNIVERSITY	3G001189704 SAPO #013003	—	\$19,994	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	COLUMBIA UNIVERSITY	G001490291	—	\$26,166	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	WESTAT CORPORATION	HRHSN27202130003C	—	\$44,332	—	\$261,650
ORAL DISEASES AND DISORDERS RESEARCH	93.121	WESTAT CORPORATION	6078-8310 HHSN232018000011	—	\$1,708	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$144,141	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$31,267	—	\$77,743	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$206,225	—	—	\$206,225
ORAL DISEASES AND DISORDERS RESEARCH	93.121	UNIVERSITY OF GEORGIA	RR209-110/S000709/SUB00000709	—	\$73,394	—	\$34,816
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$331,453	—	—	\$28,416
ORAL DISEASES AND DISORDERS RESEARCH	93.121	MICROTRANSDUCER INCORPORATED	MTT02	—	\$2,985	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$54,406	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	UNIVERSITY OF FLORIDA	UFDSP00010788	—	\$61,780	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$473,403	—	\$151,686	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$404,491	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$29,019	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$427,575	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$434,819	—	\$15,428	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$298,766	—	\$102,066	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$279,454	—	\$24,207	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$4,900	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$273,338	—	\$79,455	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	JOHNS HOPKINS UNIVERSITY	200398996	—	\$60,716	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$136,305	—	\$21,659	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$6,668	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$19,849	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$18,481	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$24,217	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$48,135	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$140,828	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$137,674	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$337,759	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$213,163	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$35,094	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$237,333	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$27,930	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			(\$171)	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	STOWERS INSTITUTE FOR MEDICAL RESEARCH	102110N80070	—	\$71,693	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$203,520	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$268,165	—	\$74,600	\$6,665,585
ORAL DISEASES AND DISORDERS RESEARCH	93.121	MOUNT SINAI SCHOOL OF MEDICINE	025880364609	—	\$13,287	—	\$13,287
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RESEARCH AND TRAINING IN COMPLEMENTARY AND INTEGRATIVE HEALTH RESEARCH	800191	—	\$283,723	—	\$283,723
ORAL DISEASES AND DISORDERS RESEARCH	93.121	DREXEL UNIVERSITY	800191	—	\$10,801	—	\$28,039
ORAL DISEASES AND DISORDERS RESEARCH	93.121	DREXEL UNIVERSITY	00208	—	\$96,269	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RESEARCH ON HEALTH CARE COSTS, QUALITY AND OUTCOMES	00208	—	\$161,234	—	\$50,513
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RESEARCH ON HEALTH CARE COSTS, QUALITY AND OUTCOMES	00208	—	(8646)	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	KENT STATE UNIVERSITY	43005-SUNY	—	\$12,509	—	\$264,019
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$48,367	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	HEALTH RESEARCH INC	598902	—	\$10,786	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS	09307817239	—	\$81,056	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$301,359	—	\$107,790	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	UNIVERSITY OF CONNECTICUT	318201	—	\$41,152	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	UNIVERSITY OF GEORGIA	RR274-463/S001440/SUB00001440	—	\$10,965	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$5,200	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	SIMMERSON	9005-BU	—	\$180,290	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	LIGHTSPIN TECHNOLOGIES INCORPORATED	LST-01802	—	\$4,949	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$209,442	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$602,236	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	5710004178	—	\$290,757	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	TETRA DISCOVERY PARTNERS	R4448499791	—	\$196,378	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RTI INTERNATIONAL	13A002199660003L	—	\$44,661	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$242	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	CYTOCYBERNETICS	NA	—	\$10,176	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RTI INTERNATIONAL	13A002173296846L	—	\$48,427	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$31,424	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$39,174	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$82,365	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$2,242	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$362,705	—	\$189,437	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$7,643	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$7,861	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$1,610	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$50,351	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$391,785	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$4,242	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$719,753	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			(8523)	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$718,488	—	\$321,388	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$170,265	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			(8246)	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$3,274	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$30,843	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$73,929	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$701,178	—	\$31,366	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	VANDERBILT UNIVERSITY MEDICAL CENTER	4018066223 - VUMC64356	—	\$216,604	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$34,231	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$446,661	—	\$57,451	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$28,458	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$34,712	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$31,657	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RESEARCH FOUNDATION FOR MENTAL HYGIENE	PO139393	—	\$22,212	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$32,705	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$237,587	—	\$73,492	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			(83,756)	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RESEARCH FOUNDATION FOR MENTAL HYGIENE	140831	—	\$11,043	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	UNIVERSITY OF MASSACHUSETTS WORCESTER	09SP018098 POWA0082634	—	\$6,141	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	RESEARCH FOUNDATION FOR MENTAL HYGIENE	140216	—	\$93,142	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	YALE UNIVERSITY	GR105701CON80001708	—	\$44,225	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$559,760	—	\$52,253	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$320,374	—	\$193,178	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$561,063	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$19,078	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	025589214609	—	\$52,436	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$40,312	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$27,501	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$30,696	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$1,377	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$1,271,853	—	\$500,392	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$24,843	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$415,304	—	\$180,230	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$64,268	—	\$494,474	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$102,002	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$484,607	—	\$4,056	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$298,161	—	\$399,399	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$892,709	—	\$553,758	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	UNIVERSITY OF WISCONSIN AT MADISON	0000000157	—	\$68,221	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$2,512	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$709,986	—	—	
ORAL DISEASES AND DISORDERS RESEARCH	93.121	OREGON HEALTH & SCIENCE UNIVERSITY	102098RFSUNY	—	\$399	—	\$59,325
ORAL DISEASES AND DISORDERS RESEARCH	93.121			\$151,751	—	—	
ORAL DISE							

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grant/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262			\$294,706	—	—	
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262			1,078,753	—	—	
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262	ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	025450034609	—	226,773	—	
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262			1,411,217	—	—	\$16,795
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262			17,043	—	—	\$8,835
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262	ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	0254-5923-4609	—	—	\$37,292	
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262			5549,959	—	—	\$6,782
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262			\$401,680	—	—	
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262			\$682,775	—	—	
OCCUPATIONAL SAFETY AND HEALTH PROGRAM	93.262	ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	0254-5924-4609	—	—	\$259,841	\$2,567,965
ALCOHOL RESEARCH PROGRAMS	93.273			\$37,298	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$20,475	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273	BROWN UNIVERSITY	00009995	—	—	\$7,987	
ALCOHOL RESEARCH PROGRAMS	93.273			\$1,132	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$207,832	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273	BROWN UNIVERSITY	00001112	—	—	\$97,007	
ALCOHOL RESEARCH PROGRAMS	93.273			\$159,072	—	—	\$143,307
ALCOHOL RESEARCH PROGRAMS	93.273			\$447,866	—	—	\$119,568
ALCOHOL RESEARCH PROGRAMS	93.273			\$120,752	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$108,036	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$928,125	—	—	\$1,008
ALCOHOL RESEARCH PROGRAMS	93.273			\$217,871	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$21,817	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$19,841	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$9,067	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$18,079	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$203,259	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273	PACIFIC INSTITUTE FOR RESEARCH AND EVALUATION	0743	—	—	\$82,164	
ALCOHOL RESEARCH PROGRAMS	93.273			\$477,768	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$33,690	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$694,884	—	—	\$14,401
ALCOHOL RESEARCH PROGRAMS	93.273			\$292,784	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$477,993	—	—	\$32,806
ALCOHOL RESEARCH PROGRAMS	93.273			\$9,916	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$640,020	—	—	\$101,025
ALCOHOL RESEARCH PROGRAMS	93.273			\$170,116	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$18,785	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$2,570	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$203,359	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$178,143	—	—	\$48,901
ALCOHOL RESEARCH PROGRAMS	93.273	GEORGIA STATE UNIVERSITY	SP0001332901	—	—	\$27,044	
ALCOHOL RESEARCH PROGRAMS	93.273			\$514,939	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$233,641	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$338,232	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$88,951	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$108,068	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273	TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	26G01471101	—	—	\$38,905	
ALCOHOL RESEARCH PROGRAMS	93.273	CODEX BIOSOLUTIONS INCORPORATED	COOCE2X019003	—	—	\$3,167	
ALCOHOL RESEARCH PROGRAMS	93.273	PENNSYLVANIA STATE UNIVERSITY	5000322058	—	—	\$7,150	
ALCOHOL RESEARCH PROGRAMS	93.273			\$13,459	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$17,871	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273			\$400,349	—	—	\$80,913
ALCOHOL RESEARCH PROGRAMS	93.273			(\$24,149)	—	—	(\$24,149)
ALCOHOL RESEARCH PROGRAMS	93.273			\$3,782,119	—	—	\$2,064,424
ALCOHOL RESEARCH PROGRAMS	93.273			\$28,402	—	—	
ALCOHOL RESEARCH PROGRAMS	93.273	WASHINGTON UNIVERSITY	WU19287	—	—	\$41,170	
ALCOHOL RESEARCH PROGRAMS	93.273			\$3,512,112	—	—	\$2,407,070
ALCOHOL RESEARCH PROGRAMS	93.273	SYRACUSE UNIVERSITY	2899704395502	—	—	\$72,722	
ALCOHOL RESEARCH PROGRAMS	93.273			\$153,238	—	—	\$15,342,980
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	YALE UNIVERSITY	GR10971CON8001794	—	—	\$204,807	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	YALE UNIVERSITY	GR10787CON9002157	—	—	\$209,496	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY OF WASHINGTON	UW5G2834	—	—	\$121,951	\$405,273
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	COLORADO STATE UNIVERSITY	G849911	—	—	\$332,168	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$85,516	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$174,008	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	RTI INTERNATIONAL	2132021682165446L	—	—	\$89,524	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY	SUBAWARD F8821-01	—	—	\$98,815	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY	1608838-124841	—	—	\$65,755	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	JACKSON LABORATORY	212035-0-SERV	—	—	\$138,306	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES	212035-0-SERV	—	—	\$27,863	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	JACKSON LABORATORY	AT RISK	—	—	\$2,505	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$44,024	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$23,876	—	—	\$12,737
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$203,397	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY OF ALABAMA	A00445001A06	—	—	\$82,581	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY OF CALIFORNIA AT SAN DIEGO	72448663	—	—	\$6,890	\$84,331
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$1,035	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$10,475	—	—	\$536
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	RTI INTERNATIONAL	1340021529752559L	—	—	\$43,200	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	510829	—	—	\$13,538	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$144,586	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$23,181	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$153,158	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$429,446	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$220,626	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$252,654	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$285,711	—	—	\$34,109
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$142,100	—	—	\$30,303
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$112,400	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY OF CALIFORNIA AT SAN DIEGO	12354984	—	—	\$244,403	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY OF CALIFORNIA AT SAN DIEGO	12288777	—	—	\$22,484	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY OF MARYLAND BALTIMORE	100000693	—	—	\$25,356	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	TEMPLE UNIVERSITY OF THE COMMONWEALTH SYSTEM OF HIGHER EDUCATION	2639225JNY	—	—	\$2,887	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	ERIE COUNTY DEPARTMENT OF HEALTH	TBD	—	—	\$38,047	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$6,687	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$30,489	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$152,616	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$103,612	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$109,618	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	ALA SCIENTIFIC INSTRUMENTS INC	1844DA043277	—	—	\$195,073	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$145,708	—	—	\$46,782
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$537,888	—	—	\$91,812
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$199,534	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$173,497	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$46,661	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$262,825	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$131,658	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$14,775	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$13,609	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$98	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$117,179	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$1,615	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	YALE UNIVERSITY	M16A1233A (CON0000449)	—	—	\$92,504	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$218,641	—	—	\$13,561
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	UNIVERSITY OF MIAMI	SPC001273	—	—	\$32,680	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	HENRY M JACKSON FDN FOR ADV OF MILITARY MED INC	47095622658680	—	—	\$92,349	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	MCFEAN HOSPITAL CORPORATION	421655	—	—	\$96,311	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	CESNER CORPORATION	202011563331	—	—	\$84,943	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	HEALTH RESEARCH INC	59891	—	—	\$1,512	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	COLORADO STATE UNIVERSITY	82976103	—	—	\$96,311	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	HEALTH RESEARCH INC	611701	—	—	\$33,714	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	HEALTH RESEARCH INC	613401	—	—	\$2,439	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	HEALTH RESEARCH INC	618101	—	—	\$81,140	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$218,698	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$362	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$131,680	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$21,548	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$478,659	—	—	\$37,741
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$102,167	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	COLUMBIA UNIVERSITY	939333	—	—	\$33	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			(\$623)	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			(\$623)	—	—	(\$623)
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$16,870	—	—	\$16,436
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279	NEW YORK UNIVERSITY SCHOOL OF MEDICINE	17A0000078201	—	—	\$34,154	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$598,354	—	—	\$127,496
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$74,061	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$6,528	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.279			\$138,603	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.286	TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	1(G5014205) P08 G12682	—	—	\$26,743	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.286			\$240,328	—	—	\$23,008
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.286			\$593,894	—	—	\$114,000
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.286			\$18,953	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.286			\$27,885	—	—	
DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS	93.286			\$84,599	—	—	\$85,424
DRUG ABUSE							

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grantor/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES	93.350			\$110,528	—	—	\$110,528
RESEARCH INFRASTRUCTURE PROGRAMS	93.351			\$540,000	—	—	\$540,000
RESEARCH INFRASTRUCTURE PROGRAMS	93.351			\$115,266	—	\$69,919	\$185,185
RESEARCH INFRASTRUCTURE PROGRAMS	93.351	ANDIGRAF LLC	N/A	—	\$944	—	\$944
RESEARCH INFRASTRUCTURE PROGRAMS	93.351			\$974,918	—	—	\$974,918
RESEARCH INFRASTRUCTURE PROGRAMS	93.351			\$487,743	—	—	\$487,743
RESEARCH INFRASTRUCTURE PROGRAMS	93.351	ORQON STATE UNIVERSITY	P0441A	—	\$70,941	—	\$70,941
21ST CENTURY CURES ACT BEAU BIDEN CANCER MOONSHOT	93.353	HEALTH RESEARCH INC	31001	—	\$53,388	—	\$53,388
21ST CENTURY CURES ACT BEAU BIDEN CANCER MOONSHOT	93.353	HEALTH RESEARCH INC	3A001	—	\$7,291	—	\$7,291
NURSE EDUCATION	93.359			\$344,475	—	—	\$344,475
BIOMEDICAL ADVANCED RESEARCH AND DEVELOPMENT AUTHORITY (BARDA)	93.360			\$106,879	—	—	\$106,879
NURSING RESEARCH	93.361	UNIVERSITY OF KANSAS MEDICAL CENTER RESEARCH INSTITUTE INCORPORATED	ZAD00070	—	\$5,813	—	\$5,813
NURSING RESEARCH	93.361	VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIV	43208419888	\$400,244	\$9,908	—	\$410,152
NURSING RESEARCH	93.361	REGENTS OF THE UNIVERSITY OF CALIFORNIA	00009941	—	\$39,447	\$31,645	\$71,092
NURSING RESEARCH	93.361			\$190,916	—	—	\$190,916
NURSING RESEARCH	93.361	TRANSCENDENT INTERNATIONAL LLC	1844901690801A	—	\$15,523	—	\$15,523
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$460,419	—	—	\$460,419
CANCER CAUSE AND PREVENTION RESEARCH	93.393	DREXEL UNIVERSITY	900025	—	\$18,322	—	\$18,322
CANCER CAUSE AND PREVENTION RESEARCH	93.393	KASER FOUNDATION RESEARCH INSTITUTE	11592994B	—	\$24	—	\$24
CANCER CAUSE AND PREVENTION RESEARCH	93.393	BOARD OF TRUSTEES OF THE UNIVERSITY OF ALABAMA BIRMINGHAM	000511565002	\$70,792	—	\$46,520	\$117,312
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$15,476	—	—	\$15,476
CANCER CAUSE AND PREVENTION RESEARCH	93.393	VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIV	45911719888	\$805,503	\$9,938	\$135,543	\$950,984
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$120,237	—	—	\$120,237
CANCER CAUSE AND PREVENTION RESEARCH	93.393	HEALTH RESEARCH INC	2801	—	\$74,690	—	\$74,690
CANCER CAUSE AND PREVENTION RESEARCH	93.393	HEALTH RESEARCH INC	292.01	—	\$10,900	—	\$10,900
CANCER CAUSE AND PREVENTION RESEARCH	93.393	HEALTH RESEARCH INC	29101	—	\$9,415	—	\$9,415
CANCER CAUSE AND PREVENTION RESEARCH	93.393	HEALTH RESEARCH INC	31601	\$120,802	—	\$9,871	\$130,673
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$151,221	—	—	\$151,221
CANCER CAUSE AND PREVENTION RESEARCH	93.393	FRED HUTCHINSON CANCER RESEARCH CENTER	000089079	—	\$60,663	—	\$60,663
CANCER CAUSE AND PREVENTION RESEARCH	93.393	FRED HUTCHINSON CANCER RESEARCH CENTER	00102538	—	\$15,809	—	\$15,809
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$4,230	—	—	\$4,230
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$82,676	—	—	\$82,676
CANCER CAUSE AND PREVENTION RESEARCH	93.393	EASTERN COOPERATIVE ONCOLOGY GROUP	PSASBUA000 EAY131	—	\$8,056	—	\$8,056
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$138,241	—	—	\$138,241
CANCER CAUSE AND PREVENTION RESEARCH	93.393	RUTGERS UNIVERSITY	6019PQW 635822	—	\$2,116	—	\$2,116
CANCER CAUSE AND PREVENTION RESEARCH	93.393	EASTERN COOPERATIVE ONCOLOGY GROUP	EA1131	—	\$103	—	\$103
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$305,396	—	—	\$305,396
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$8,471	—	—	\$8,471
CANCER CAUSE AND PREVENTION RESEARCH	93.393	UNIVERSITY OF NEW MEXICO	3RC04	—	\$173	—	\$173
CANCER CAUSE AND PREVENTION RESEARCH	93.393	RIVERSIDE RESEARCH INSTITUTE	P000032 NYV0201275P01332801	—	\$45,866	—	\$45,866
CANCER CAUSE AND PREVENTION RESEARCH	93.393	UNIVERSITY OF WISCONSIN AT MADISON	851K701	—	\$16,839	—	\$16,839
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$34,172	—	—	\$34,172
CANCER CAUSE AND PREVENTION RESEARCH	93.393	UNIVERSITY OF NEW MEXICO	3RC04	\$52,973	—	\$7,580	\$60,553
CANCER CAUSE AND PREVENTION RESEARCH	93.393	RIVERSIDE RESEARCH INSTITUTE	NYV0201275P01332801	—	\$38,807	—	\$38,807
CANCER CAUSE AND PREVENTION RESEARCH	93.393	RUTGERS UNIVERSITY	1019 PQW15670	—	\$10,140	—	\$10,140
CANCER CAUSE AND PREVENTION RESEARCH	93.393	YALE UNIVERSITY	GR1776Z CON80002141	—	\$77,493	—	\$77,493
CANCER CAUSE AND PREVENTION RESEARCH	93.393			(\$95)	—	—	(\$95)
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$40,864	—	—	\$40,864
CANCER CAUSE AND PREVENTION RESEARCH	93.393			\$179,201	—	—	\$179,201
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	CHILDRENS HOSPITAL OF BOSTON	GENFD000 608947	—	\$70,676	—	\$70,676
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	PENNSYLVANIA STATE UNIVERSITY	5988-SUNG-AN-Q339	—	\$63,866	—	\$63,866
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394			\$904	—	—	\$904
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394			\$234,711	—	\$84	\$234,795
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394			\$714,252	—	—	\$714,252
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	CASE WESTERN RESERVE UNIVERSITY	RES913625	—	\$1,543	—	\$1,543
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394			\$150,622	—	—	\$150,622
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	FOR ROBIN INCORPORATED	NA	—	\$51,496	—	\$51,496
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	CELEBRIS SINAI MEDICAL CENTER	0001596871	—	\$5,967	—	\$5,967
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394			\$226,042	—	—	\$226,042
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	VITATEX INC	HHSN261201500011C	—	\$1,191	—	\$1,191
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394			\$482,603	—	—	\$482,603
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	REGENTS OF THE UNIVERSITY OF MINNESOTA	P006155601	—	\$252,166	—	\$252,166
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394			\$52,223	—	—	\$52,223
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	UNIVERSITY OF ARKANSAS	51599 PO G190120984	\$480,908	—	\$200,510	\$681,418
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	UNIVERSITY OF ARKANSAS	51599 PO G200121228	—	\$479,041	—	\$479,041
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.394	GEORGIA STATE UNIVERSITY	SP00013946-02	—	\$63,674	—	\$63,674
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$5,205	—	—	\$5,205
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	FOR ROBIN INCORPORATED	NA	—	—	—	—
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$307,684	—	—	\$307,684
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$347,437	—	—	\$347,437
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$55,848	—	—	\$55,848
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	CHILDRENS HOSPITAL OF PHILADELPHIA	9500080217X	—	\$35	—	\$35
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	HEALTH RESEARCH INC	24901	—	\$3,258	—	\$3,258
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	PHOTOLITEC LLC	NA	—	\$3,218	—	\$3,218
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	IMMUNE MODULATORY THERAPIES LLC	NA	—	\$38,881	—	\$38,881
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	POP BIOTECHNOLOGIES LLC	NA	—	\$33,363	—	\$33,363
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$179,570	—	—	\$179,570
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	BECKMAN RESEARCH INSTITUTE OF THE CITY OF HOPE	01156200887669301	—	\$19,263	—	\$19,263
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$106,686	—	—	\$106,686
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	CHILDRENS HOSPITAL OF PHILADELPHIA	FP00026529SJB59901PQ20124718RS	—	\$30,038	—	\$30,038
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$15,183	—	—	\$15,183
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	GYNECOLOGIC ONCOLOGY GROUP	27489070	—	\$2,261	—	\$2,261
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	CHILDRENS HOSPITAL OF PHILADELPHIA	AH001331 U10CA09843	—	\$4,066	—	\$4,066
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	CHILDRENS HOSPITAL OF PHILADELPHIA	AH001331 U10CA09843	—	\$24,027	—	\$24,027
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	NRG ONCOLOGY FOUNDATION INC	na	—	\$630	—	\$630
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$432,010	—	—	\$432,010
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$337,256	—	—	\$337,256
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$335,643	—	—	\$335,643
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$366,420	—	—	\$366,420
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	EMORY UNIVERSITY	A087650	—	\$48,821	—	\$48,821
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$570,408	—	—	\$570,408
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	EMORY UNIVERSITY	P0A6161828	—	\$49,695	—	\$49,695
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$48,582	—	—	\$48,582
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	EMORY UNIVERSITY	A294415	—	\$45,185	—	\$45,185
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395			\$81,649	—	—	\$81,649
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	BIRGHAM AND WOMENS HOSPITAL	CALGB ID 323 SITE NY141	—	\$119	—	\$119
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	CHILDRENS HOSPITAL OF PHILADELPHIA	NA	—	\$3,265	—	\$3,265
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	CHILDRENS HOSPITAL OF PHILADELPHIA	U10CA180886	—	\$2,974	—	\$2,974
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	CHILDRENS HOSPITAL OF PHILADELPHIA	U10CA180886	—	\$17,246	—	\$17,246
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	CHILDRENS HOSPITAL OF PHILADELPHIA	APEC1481	—	\$5,261	—	\$5,261
CANCER DETECTION AND DIAGNOSIS RESEARCH	93.395	RUTGERS UNIVERSITY	632807Y	—	\$3,887	—	\$3,887
CANCER BIOLOGY RESEARCH	93.396	ALBERT EINSTEIN COLLEGE OF MEDICINE	310245	—	\$49,116	—	\$49,116
CANCER BIOLOGY RESEARCH	93.396	RENSSELAER POLYTECHNIC INSTITUTE	210978/1A215	—	\$87,379	—	\$87,379
CANCER BIOLOGY RESEARCH	93.396			\$119,440	—	—	\$119,440
CANCER BIOLOGY RESEARCH	93.396	RENSSELAER POLYTECHNIC INSTITUTE	IA12815	—	\$57,793	—	\$57,793
CANCER BIOLOGY RESEARCH	93.396			\$6,134	—	—	\$6,134
CANCER BIOLOGY RESEARCH	93.396	BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION INCORPORATED	6783500001	—	\$57,703	—	\$57,703
CANCER BIOLOGY RESEARCH	93.396			—	—	—	—
CANCER BIOLOGY RESEARCH	93.396	WAKE FOREST UNIVERSITY HEALTH SCIENCES	ULRF18002701	—	\$17,172	—	\$17,172
CANCER BIOLOGY RESEARCH	93.396			—	\$12,489	—	\$12,489
CANCER BIOLOGY RESEARCH	93.396			\$26,942	—	—	\$26,942
CANCER BIOLOGY RESEARCH	93.396			\$208,538	—	—	\$208,538
CANCER BIOLOGY RESEARCH	93.396			\$7,079	—	—	\$7,079
CANCER BIOLOGY RESEARCH	93.396			\$45,315	—	—	\$45,315
CANCER BIOLOGY RESEARCH	93.396			\$457,879	—	—	\$457,879
CANCER BIOLOGY RESEARCH	93.396			\$376,696	—	—	\$376,696
CANCER BIOLOGY RESEARCH	93.396			\$677,899	—	—	\$677,899
CANCER BIOLOGY RESEARCH	93.396			\$298,448	—	—	\$298,448
CANCER BIOLOGY RESEARCH	93.396			\$487,309	—	—	\$487,309
CANCER BIOLOGY RESEARCH	93.396			\$336,038	—	—	\$336,038
CANCER BIOLOGY RESEARCH	93.396	WELL MEDICAL COLLEGE OF CORNELL UNIVERSITY	184038	—	\$8,725	—	\$8,725
CANCER BIOLOGY RESEARCH	93.396			\$288,283	—	—	\$288,283
CANCER BIOLOGY RESEARCH	93.396			\$145	—	—	\$145
CANCER BIOLOGY RESEARCH	93.396			\$135,403	—	—	\$135,403
CANCER CENTERS SUPPORT GRANTS	93.397			\$688	—	—	\$688
CANCER CENTERS SUPPORT GRANTS	93.397			\$72,540	—	—	\$72,540
CANCER RESEARCH MANPOWER	93.398			\$175,650	—	—	\$175,650
CANCER RESEARCH MANPOWER	93.398			\$18,002	—	—	\$18,002
CANCER RESEARCH MANPOWER	93.398			\$85	—	—	\$85
CANCER RESEARCH MANPOWER	93.398			\$14,867	—	—	\$14,867
CANCER RESEARCH MANPOWER	93.398			\$42,228	—	—	\$42,228
CANCER RESEARCH MANPOWER	93.398			\$2,167	—	—	\$2,167
CANCER RESEARCH MANPOWER	93.398			\$9,855</			

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grant/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$31	—	—	—
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853	REGENTS OF THE UNIVERSITY OF MICHIGAN	300224806SHN	—	\$8,700	—	\$8,700
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$88,060	—	—	\$88,060
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853	REGENTS OF THE UNIVERSITY OF MICHIGAN	SUB000004096SEIT	—	\$31,033	\$25,000	\$56,033
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$64,018	—	—	\$64,018
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$1,093	—	—	\$1,093
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$458,662	—	—	\$458,662
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$31,434	—	—	\$31,434
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853	UNIVERSITY OF FLORIDA	SUB00001842	—	\$24,868	—	\$24,868
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			—	\$5,000	—	\$5,000
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853	TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	5G601206002	\$111,975	—	—	\$111,975
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$424,203	—	—	\$424,203
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853	MASSACHUSETTS GENERAL HOSPITAL	FG5GTE223	—	\$13,162	—	\$13,162
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$164,780	—	—	\$164,780
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853	UNIVERSITY OF CINCINNATI	011266134236- MOST STUDY	\$187,710	—	—	\$187,710
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$39,078	—	—	\$39,078
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853	UNIVERSITY OF CINCINNATI	011337134236	—	\$10,177	—	\$10,177
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$46,648	—	—	\$46,648
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$30,348	—	—	\$30,348
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$651	—	—	\$651
EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS	93.853			\$28,942	—	—	\$28,942
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	507501	—	\$85,322	—	\$85,322
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	150750501	—	\$14,730	—	\$14,730
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	506801	—	\$18,824	—	\$18,824
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	507401	—	\$43,946	—	\$43,946
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	501301	—	\$52,413	—	\$52,413
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	573501	\$123,333	—	—	\$123,333
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	583901	—	\$74,180	—	\$74,180
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	583901	—	\$39,500	—	\$39,500
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	587101	—	\$3,840	—	\$3,840
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	608601	—	\$40,156	—	\$40,156
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	608901	—	\$7,655	—	\$7,655
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$48,043	—	—	\$48,043
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$5,932	—	—	\$5,932
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	ALBERT EINSTEIN COLLEGE OF MEDICINE	31614H	—	\$10,076	—	\$10,076
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS	0867217617	—	\$39,347	—	\$39,347
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$3,731	—	—	\$3,731
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	HEALTH RESEARCH INC	637001	—	\$2,485	—	\$2,485
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$218,001	—	—	\$218,001
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$4,714	—	\$2,799	\$7,513
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$120,234	—	\$70,292	\$190,526
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$610	—	—	\$610
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF ROCHESTER	417753	—	\$57,495	—	\$57,495
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$302,006	—	—	\$302,006
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$433,138	—	—	\$433,138
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$387,187	—	—	\$387,187
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$286,590	—	—	\$286,590
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$392,671	—	—	\$392,671
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS	160504	—	\$263,126	—	\$263,126
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$1,632	—	—	\$1,632
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$7,837	—	—	\$7,837
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$38,882	—	—	\$38,882
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$65,327	—	—	\$65,327
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$130,159	—	—	\$130,159
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$1,561	—	—	\$1,561
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$415,619	—	—	\$415,619
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF ROCHESTER	417028G	—	\$23,128	—	\$23,128
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$410,750	—	—	\$410,750
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$44,240	—	—	\$44,240
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF SOUTHERN CALIFORNIA	94044963	—	\$91,498	—	\$91,498
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$85,234	—	—	\$85,234
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$22,247	—	—	\$22,247
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$168,079	—	—	\$168,079
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$184,554	—	—	\$184,554
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$433,325	—	—	\$433,325
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$431,782	—	—	\$431,782
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF ROCHESTER	417363URFAGOR610796	—	\$17,541	—	\$17,541
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF ROCHESTER	417363URFAGOR610797	—	\$220	—	\$220
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF CALIFORNIA AT LOS ANGELES	16050W4340	—	\$20,768	—	\$20,768
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF CALIFORNIA AT LOS ANGELES	15003W4636	—	\$167,401	—	\$167,401
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	YALE UNIVERSITY	GR105457CON80001705	—	\$105,675	—	\$105,675
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$217,869	—	—	\$217,869
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$183,017	—	—	\$183,017
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$390,476	—	—	\$390,476
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	025566734609	—	\$33,196	—	\$33,196
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	MADEIRA-WOMENS RESEARCH INSTITUTE AND FOUNDATION	40955JUNY	—	\$61,353	—	\$61,353
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$171,743	—	—	\$171,743
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$90,289	—	—	\$90,289
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$12,845	—	—	\$12,845
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$8,993	—	—	\$8,993
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$6,886	—	—	\$6,886
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	POP BIOTECHNOLOGIES LLC	NA	—	\$4,528	—	\$4,528
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$4,836	—	—	\$4,836
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$1,005	—	—	\$1,005
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	JOHNS HOPKINS UNIVERSITY	IMPACT2002110194	—	\$589,651	—	\$589,651
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$48,691	—	—	\$48,691
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$32,717	—	—	\$32,717
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$275,453	—	—	\$275,453
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$156,703	—	—	\$156,703
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$461,486	—	—	\$461,486
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$25,880	—	—	\$25,880
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$558	—	—	\$558
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	DUKE UNIVERSITY	MDRO NETWORK/Crackle II	—	\$4,999	—	\$4,999
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			(\$537)	—	—	(\$537)
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$648,826	—	—	\$648,826
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$690,365	—	—	\$690,365
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF TEXAS MD ANDERSON CANCER CENTER	00004312P043001172947	—	\$77,178	—	\$77,178
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$521,086	—	—	\$521,086
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			(\$23)	—	—	(\$23)
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$475,077	—	—	\$475,077
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$152,188	—	—	\$152,188
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$487,978	—	—	\$487,978
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$413,967	—	—	\$413,967
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$447,915	—	—	\$447,915
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$158,628	—	—	\$158,628
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$68,722	—	—	\$68,722
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$135,552	—	—	\$135,552
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$147,330	—	—	\$147,330
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$230,313	—	—	\$230,313
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	CHRONIS PHARMACEUTICAL INCORPORATED	NA	—	\$26,698	—	\$26,698
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$134,096	—	—	\$134,096
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$34,305	—	—	\$34,305
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$72,670	—	—	\$72,670
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	ALBERT EINSTEIN COLLEGE OF MEDICINE	31121 P075589	—	\$99,445	—	\$99,445
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$303,036	—	—	\$303,036
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$505,777	—	—	\$505,777
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	0255-4901-4609	—	\$61,118	—	\$61,118
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	MICROBIO TECHNOLOGIES INCORPORATED	STR PHASE1 R41	—	\$60,962	—	\$60,962
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES	02581P06510121168	—	\$46,910	—	\$46,910
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$166,250	—	—	\$166,250
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$415,167	—	—	\$415,167
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$26,943	—	—	\$26,943
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	TUFTS UNIVERSITY	10084-20001 PCH EP016120	—	\$7,835	—	\$7,835
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$181,465	—	—	\$181,465
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	COLORADO STATE UNIVERSITY	G-06048-01	—	\$31,731	—	\$31,731
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	REGENTS OF THE UNIVERSITY OF CALIFORNIA	15602W4861	—	\$65,772	—	\$65,772
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES	15606W478A5359	—	\$6,537	—	\$6,537
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$100,702	—	—	\$100,702
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855			\$21,054	—	—	\$21,054
ALLERGY AND INFECTIOUS DISEASES RESEARCH	93.855	REGENTS OF THE UNIVERSITY OF CALIFORNIA					

THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grant/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$346,501	—	—	
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$304,687	—	—	\$47,163
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$771,140	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$151,893	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$75,969	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	UNIVERSITY OF GEORGIA	SUB00001698	\$457,670	—	\$40,265	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$30,568	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	ICANN SCHOOL OF MEDICINE AT MOUNT SINAI	0255-6993-4609	\$101,268	—	\$80,944	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$26,422	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$59,023	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$4,197	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			(\$4,771)	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$27,557	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$264,528	—	—	\$104,483
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$163,728	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$153,370	—	—	\$89,980
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$64,527	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$21,037	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	UNIVERSITY OF DELAWARE	41228	\$29,779	—	\$45,064	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	UNIVERSITY OF NORTH CAROLINA	5102940	—	—	\$8,865	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$224,699	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$29,917	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$134,289	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$17,007	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$114,628	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$452,000	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$256,464	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	OHIO STATE UNIVERSITY	60060541	—	—	\$208,671	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$385,228	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$193,821	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$138,277	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$580,878	—	—	\$121,479
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$392,385	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	ADVANCED CYTOMETRY INSTRUMENTATION SYSTEMS	N/A	—	—	\$12,248	\$7,610
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$40,516	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	MICROTECH INSTRUMENTS, INCORPORATED	NA	—	—	\$37,512	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$53,880	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$247,912	—	—	\$48,694
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$488,818	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$285,287	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$339,115	—	—	\$7,798
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$424,405	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$95,333	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$722,063	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$118,488	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$24,881	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$12,392	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$192,695	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$48,852	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$67,179	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	BOARD OF REGENTS OF THE UNIVERSITY OF OKLAHOMA HEALTH SCIENCES CENTER	RS2017109702	—	—	\$31,751	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$1,491	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$20,483	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$151,847	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$28,911	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$88,774	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$3,478	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$258,943	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	TEXAS A&M AGRILIFE RESEARCH	06S10668	—	—	\$666	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$4,626	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$102,224	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$154,733	—	—	\$154,733
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$2,876	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$903,024	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$374,599	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$237,887	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$445,518	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$280,215	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$261,178	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$373,179	—	—	\$58,852
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$188,037	—	—	\$41,242
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	TEXAS A&M AGRILIFE RESEARCH	06S170614	—	—	\$149	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$13,964	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$167,335	—	—	\$686
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$465,173	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$23,063	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$345,295	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$47,881	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$493,681	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	RES GROUP INC	NA	—	—	\$51,831	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$309,407	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$251,603	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$323,468	—	—	\$41,638
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$315,158	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$27,868	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$23,135	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$440,659	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$265,405	—	—	\$24,927
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$5,110	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$9,173	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$14,463	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$10,159	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$37,265	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$355,510	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$483,885	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$483,951	—	—	\$190,522
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$623,255	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$398,648	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$257,125	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$372,847	—	—	\$23,006
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$460,681	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$265,288	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$312,297	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$207,754	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$280,662	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$329,899	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$658,701	—	—	\$44,090
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$303,904	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$294,827	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$99,715	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$216,115	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$43,321	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$268,056	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$188,960	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$3,450	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$114,653	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$86,349	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$169,028	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$597,016	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$239,521	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$156,628	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	COLUMBIA UNIVERSITY	16G01366401 P06G14103	—	—	\$48,990	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$23,137	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			(\$8,514)	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$111,145	—	—	\$7,721
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	SYRACUSE UNIVERSITY	278670420S01	—	—	\$104,964	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$281,003	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$304,146	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$200,601	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$10,875	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$301,151	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$392,036	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$261,378	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$370,880	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	SYRACUSE UNIVERSITY	3007704972S01	—	—	\$31,249	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$227,047	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$38,850	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$159,526	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	SYRACUSE UNIVERSITY	307950389S01	—	—	\$26,932	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$26,994	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	OCCIDENTAL COLLEGE	OXY00001	—	—	\$18,569	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$36,747	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$19,618	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$103,615	—	—	\$40,824
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			(\$607)	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$11,110	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$201,656	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$87,204	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$11,867	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659			\$88,384	—	—	—
BIOMEDICAL RESEARCH AND RESEARCH TRAINING	93.659	PRESIDENT AND FELLOWS OF HARVARD COLLEGE	187965 5080626	—	—	\$40,767	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			\$204,184	—	—	\$34,070,001
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			\$85,885	—	—	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			\$195,572	—	—	\$23,513
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			\$163,488	—	—	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			\$145,538	—	—	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			\$222,404	—	—	\$18,141
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665	REVERGEN BIOPHARMA INCORPORATED	1841HD094622-01	—	—	\$14,902	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			—	—	\$20,639	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665	CHILDRENS RESEARCH INSTITUTE	30007719-02	—	—	\$29,830	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			—	—	\$19,317	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665	CHILDRENS RESEARCH INSTITUTE	30007719-05	—	—	\$2,828	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665	UNIVERSITY OF ROCHESTER	415741-G	—	—	\$57,347	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			\$27,867	—	—	\$15,516
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			\$542,965	—	—	\$202,222
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665	UNIVERSITY OF GEORGIA	SUB00000740	—	—	\$14,172	—
CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH	93.665			(\$6,415)	—	—	—</

**THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK**
Supplementary Schedule of Expenditures of Federal Awards
Year ended June 30, 2020

Federal grant/federal subdivision or pass-through grantor	CFDA number	Pass-through Entity Name	Pass-through number	Direct expenditures	Pass-through expenditures	Passed-through to Subrecipients	Total expenditures by CFDA and federal grantor
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005530	—	\$13,641	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005534	—	\$53,197	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005532	—	\$355,113	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005528	—	\$68,708	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005529	—	\$4,703,042	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005527	—	\$307,811	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005533	—	\$2,931	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005525	—	\$8,051	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C190122	—	\$168,292	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	N/A	—	\$3,806,081	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	N/A	—	\$300,295	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	N/A	—	\$38,887	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	N/A	—	\$2,888	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	N/A	—	\$99,208	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	N/A	—	\$313,340	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	N/A	—	\$48,533	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C190157	—	\$8,152	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	STONY BROOK UNIVERSITY	NA	—	\$2,744	\$2,744	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	STONY BROOK UNIVERSITY	C002241	—	\$10,355	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	STONY BROOK UNIVERSITY	C002282	—	\$9,367	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	STONY BROOK UNIVERSITY	C028749	—	\$1,344	—	—
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C002742	—	\$891,943	\$66,259	\$12,017,859
CHILD CARE AND DEVELOPMENT BLOCK GRANT	93.575	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	NA	—	\$706,274	\$670,737	—
CHILD CARE MANDATORY AND MATCHING FUNDS OF THE CHILD CARE AND DEVELOPMENT FUND	93.596	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C004117	—	\$376	—	\$376
Total U.S. Department of Health and Human Services				—	\$12,018,235	\$1,479,740	\$12,018,235
TOTAL CDBG CLUSTER				—	\$12,018,235	\$1,479,740	\$12,018,235
CDBG - DISASTER RECOVERY GRANTS - PUB. L. NO. 113-2 CLUSTER:							
U.S. Department of Housing and Urban Development:							
HURRICANE SANDY COMMUNITY DEVELOPMENT BLOCK GRANT DISASTER RECOVERY GRANTS (CDBG-DR)	14.269	HOUSING TRUST FUND CORPORATION	1297DR13	—	\$3,112	—	\$3,112
Total U.S. Department of Housing and Urban Development				—	\$3,112	—	\$3,112
TOTAL CDBG - DISASTER RECOVERY GRANTS - PUB. L. NO. 113-2 CLUSTER				—	\$3,112	—	\$3,112
CDBG - ENTITLEMENT GRANTS CLUSTER:							
U.S. Department of Housing and Urban Development:							
COMMUNITY DEVELOPMENT BLOCK GRANTS/ENTITLEMENT GRANTS	14.218	CITY OF YONKERS	432017	—	(\$25,037)	—	(\$25,037)
Total U.S. Department of Housing and Urban Development				—	(\$25,037)	—	(\$25,037)
TOTAL CDBG - ENTITLEMENT GRANTS CLUSTER				—	(\$25,037)	—	(\$25,037)
CHILD NUTRITION CLUSTER:							
U.S. Department of Agriculture:							
SUMMER FOOD SERVICE PROGRAM FOR CHILDREN	10.559	NYS EDUCATION DEPARTMENT	NA	—	\$41,163	—	\$41,163
Total U.S. Department of Agriculture				—	\$41,163	—	\$41,163
TOTAL CHILD NUTRITION CLUSTER				—	\$41,163	—	\$41,163
DISABILITY INSURANCE/SSI CLUSTER:							
U.S. Department of Social Security Administration:							
SOCIAL SECURITY DISABILITY INSURANCE	96.001	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005528	—	\$215,618	—	\$215,618
Total U.S. Department of Social Security Administration				—	\$215,618	—	\$215,618
TOTAL DISABILITY INSURANCE/SSI CLUSTER				—	\$215,618	—	\$215,618
HIGHWAY SAFETY CLUSTER:							
U.S. Department of Transportation:							
STATE AND COMMUNITY HIGHWAY SAFETY	20.600	NYS GOVERNORS TRAFFIC SAFETY COMMITTEE	C002387	—	\$108,509	—	—
STATE AND COMMUNITY HIGHWAY SAFETY	20.600	NYS GOVERNORS TRAFFIC SAFETY COMMITTEE	C002461	—	\$204,000	—	—
NATIONAL PRIORITY SAFETY PROGRAMS	20.616	NATIONAL ASSOCIATION OF EMS PHYSICIANS	NA	—	\$19,421	—	\$329,421
NATIONAL PRIORITY SAFETY PROGRAMS	20.616	NYS GOVERNORS TRAFFIC SAFETY COMMITTEE	C002392	—	\$72,448	—	—
NATIONAL PRIORITY SAFETY PROGRAMS	20.616	NYS GOVERNORS TRAFFIC SAFETY COMMITTEE	C002459	—	\$19,151	—	\$191,509
Total U.S. Department of Transportation				—	\$523,028	—	\$523,028
TOTAL HIGHWAY SAFETY CLUSTER				—	\$523,028	—	\$523,028
MEDICAID CLUSTER:							
U.S. Department of Health and Human Services:							
MEDICAL ASSISTANCE PROGRAM	93.778	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005528	—	\$101,509	—	—
Total U.S. Department of Health and Human Services				—	\$69,967	—	\$162,476
TOTAL MEDICAID CLUSTER				—	\$162,476	—	\$162,476
SNAP CLUSTER:							
U.S. Department of Agriculture:							
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C005374	—	(\$1,269)	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	C005528	—	\$253,334	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C005506	—	\$1,140,117	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C005508	—	\$429,627	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C005503	—	\$530,465	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C005510	—	\$86,144	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C190107	—	\$57,987	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C190170	—	\$378,215	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C190172	—	\$203,295	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C190175	—	\$770,320	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF CHILDREN AND FAMILY SERVICES	NA	—	\$126,910	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	HRA02	—	\$260,029	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	OTSS 75	—	\$784,910	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	OTSS 77	—	\$499,893	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	HRA02	—	\$336,210	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	OTSS 77	—	\$520,819	—	—
SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.551	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	OTSS 75	—	\$716,375	—	—
STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.561	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C005171	—	(\$13,940)	—	\$7,334,357
STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.561	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C005507	—	\$738,550	—	—
STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.561	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C190169	—	\$84,710	—	—
STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.561	NYS OFFICE OF TEMPORARY & DISABILITY ASSISTANCE	C002703	—	\$342,949	—	—
STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM	10.561	NYS DEPARTMENT OF HEALTH	DOH1-C03070G045000	—	\$101,850	\$10,204	\$642,114
Total U.S. Department of Agriculture				—	\$7,978,477	\$16,204	\$7,978,477
TOTAL SNAP CLUSTER				—	\$7,978,477	\$16,204	\$7,978,477
SPECIAL EDUCATION CLUSTER (IDEA):							
U.S. Department of Education:							
SPECIAL EDUCATION GRANTS TO STATES	84.027	NYS EDUCATION DEPARTMENT	C012288	—	\$8,855	—	—
SPECIAL EDUCATION GRANTS TO STATES	84.027	NYS EDUCATION DEPARTMENT	C014035	—	\$434,623	—	—
SPECIAL EDUCATION GRANTS TO STATES	84.027	NYS EDUCATION DEPARTMENT	NA	—	\$73,070	—	—
SPECIAL EDUCATION GRANTS TO STATES	84.027	NYS EDUCATION DEPARTMENT	C012091	—	\$221,359	—	—
SPECIAL EDUCATION GRANTS TO STATES	84.027	NYS EDUCATION DEPARTMENT	C012091	—	\$93,056	—	\$830,763
SPECIAL EDUCATION PRESCHOOL GRANTS	84.173	NEW YORK CITY DEPARTMENT OF EDUCATION	NA	—	\$4,900	—	—
SPECIAL EDUCATION PRESCHOOL GRANTS	84.173	NEW YORK CITY DEPARTMENT OF EDUCATION	NA	—	\$94,348	—	—
SPECIAL EDUCATION PRESCHOOL GRANTS	84.173	NEW YORK CITY DEPARTMENT OF EDUCATION	NA	—	\$8,845	—	—
SPECIAL EDUCATION PRESCHOOL GRANTS	84.173	NEW YORK CITY DEPARTMENT OF EDUCATION	NA	—	\$25,092	—	\$134,185
Total U.S. Department of Education				—	\$964,948	—	\$964,948
TOTAL SPECIAL EDUCATION CLUSTER (IDEA)				—	\$964,948	—	\$964,948
STUDENT FINANCIAL ASSISTANCE (SFA) CLUSTER:							
U.S. Department of Health and Human Services:							
SCHOLARSHIPS FOR HEALTH PROFESSIONS STUDENTS FROM DISADVANTAGED BACKGROUNDS	93.925			\$645,111	—	—	\$645,111
Total U.S. Department of Health and Human Services				\$645,111	—	—	\$645,111
TOTAL STUDENT FINANCIAL ASSISTANCE (SFA) CLUSTER				\$645,111	—	—	\$645,111
TRIO CLUSTER:							
U.S. Department of Education:							
TRIO STUDENT SUPPORT SERVICES	84.042			\$269,949	—	—	—
TRIO STUDENT SUPPORT SERVICES	84.042			\$416,218	—	—	—
TRIO STUDENT SUPPORT SERVICES	84.042			\$290,192	—	—	—
TRIO STUDENT SUPPORT SERVICES	84.042			\$284,822	—	—	—
TRIO STUDENT SUPPORT SERVICES	84.042			\$276,239	—	—	—
TRIO STUDENT SUPPORT SERVICES	84.042			\$266,016	—	—	\$2,358,080
TRIO TALENT SEARCH	84.044			\$344,139	—	—	—
TRIO TALENT SEARCH	84.044			\$359,844	—	—	\$704,083
TRIO UPWARD BOUND	84.047			\$333,709	—	—	—
TRIO UPWARD BOUND	84.047			\$273,565	—	—	—
TRIO UPWARD BOUND	84.047			\$527,781	—	—	—
TRIO UPWARD BOUND	84.047			\$545,560	—	—	—
TRIO UPWARD BOUND	84.047			\$337,255	—	—	—
TRIO UPWARD BOUND	84.047			\$420,537	—	—	—
TRIO UPWARD BOUND	84.047			\$411,748	—	—	—
TRIO UPWARD BOUND	84.047			\$716,576	—	—	—
TRIO UPWARD BOUND	84.047			\$327,473	—	—	\$3,894,504
TRIO McNAIR POST-BACCALAUREATE ACHIEVEMENT	84.217			\$256,787	—	—	—
TRIO McNAIR POST-BACCALAUREATE ACHIEVEMENT	84.217			\$94,945	—	—	—
TRIO McNAIR POST-BACCALAUREATE ACHIEVEMENT	84.217			\$283,211	—	—	—
TRIO McNAIR POST-BACCALAUREATE ACHIEVEMENT	84.217			\$156,012	—	—	\$983,434
Total U.S. Department of Education				\$7,340,101	—	—	\$7,340,101
TOTAL TRIO CLUSTER				\$7,340,101	—	—	\$7,340,101
WIOA CLUSTER:							
U.S. Department of Labor:							
WIOA DISLOCATED WORKER FORMULA GRANTS	17.278	NYS DEPARTMENT OF LABOR	C015345	—	(\$7,000)	—	—
WIOA DISLOCATED WORKER FORMULA GRANTS	17.278	NYS DEPARTMENT OF LABOR	C18363GG	—	\$61,329	—	\$54,321
Total U.S. Department of Labor				—	\$54,321	—	\$54,321
TOTAL WIOA CLUSTER				—	\$54,321	—	\$54,321
OTHER PROGRAMS:							
U.S. Department of Peace Corps:							
PEACE CORPS	88.000			\$11,941	—	—	\$11,941
Total U.S. Department of Peace Corps				\$11,941	—	—	\$11,941
U.S. Department of Agriculture:							
FARMERS MARKET AND LOCAL FOOD PROMOTION PROGRAM	10.175			\$46,253	—	—	\$46,253
HIGHER EDUCATION - INSTITUTION CHALLENGE GRANTS PROGRAM	10.217			\$180,822	—	\$78,361	—
HIGHER EDUCATION - INSTITUTION CHALLENGE GRANTS PROGRAM	10.217			\$5,362	—	—	\$186,124
CAPACITY BUILDING FOR NON-LAND GRANT COLLEGES OF AGRICULTURE (NLGCA)	10.326			\$254,108	—	\$119,681	\$254,108
WIC SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN	10.557	NYS DEPARTMENT OF HEALTH	DH0H1-C30390GG-345000	—	\$384,865	—	—
WIC SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN	10.557	NYS DEPARTMENT OF HEALTH	DH0H1-C30390GG-345000	—	\$		

**THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK**

Notes to the Schedule of Expenditures of Federal Awards

Year ended June 30, 2020

(1) Significant Accounting Policies Used in Preparing the SEFA

The accompanying schedule of expenditures of federal awards (the Schedule) presents the direct and indirect expenditures of federal financial assistance programs administered by The Research Foundation for The State University of New York (the RF). The information in this schedule is presented in accordance with the requirements of Title 2 U.S. Code of Federal Regulations Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance).

The amounts reported were obtained from the RF's general ledger, which is the source of the federal financial reports and is maintained on an accrual basis. However, the Schedule is prepared on the cash basis. Negative amounts represent adjustments to expenditures reported in prior years in the normal course of business. Pass through amounts are reported under the related Catalog of Federal Domestic Assistance (CFDA) number, as indicated on the schedule. CFDA and pass through numbers are presented where available. Amounts passed through to subrecipients are reported separately on the Schedule.

(2) 10% De Minimis Cost Rate

The auditee did not elect to use the 10% de minimis cost rate as covered in 2 CFR 200.414 Indirect (F&A) cost.

(3) Direct and Indirect Federal Award Expenditures

Federal award expenditures consist of direct and indirect costs, which are commonly referred to as facilities and administrative (F&A) costs. Direct costs are those that can be easily identified with an individual federally sponsored project. The salary of a principal investigator of a sponsored research project and the materials consumed by the project are examples of direct costs. Unlike direct costs, indirect costs cannot be easily identified with an individual federally sponsored project. Indirect costs are the costs of services and resources that benefit both sponsored and nonsponsored projects and activities. Indirect costs consist of expenses incurred for administration, library, plant maintenance, and building and equipment depreciation. The RF and federal agencies use an indirect cost rate to charge indirect costs to individual federally sponsored projects. The rate is a result of a number of complex cost allocation procedures that the RF uses to allocate its indirect costs to both sponsored and nonsponsored activities. Rates are negotiated with and approved by the RF's cognizant audit agency, the U.S. Department of Health and Human Services.



KPMG LLP
515 Broadway
Albany, NY 12207-2974

Independent Auditors' Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With *Government Auditing Standards*

The Board of Directors
The Research Foundation for The State University of New York:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of The Research Foundation for The State University of New York, which comprise the balance sheet as of June 30, 2020, the related statements of activities and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 26, 2020. Our report contains an emphasis of matters paragraph referring to The Research Foundation for The State University of New York's adoption of Accounting Standards Update (ASU) No. 2018-08, *Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made*, ASU No. 2014-09, *Revenue From Contracts with Customers (Topic 606)*, as amended, and ASU 2016-18, *Statement of Cash Flows (Topic 230): Restricted Cash*.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered The Research Foundation for The State University of New York's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness The Research Foundation for The State University of New York's internal control. Accordingly, we do not express an opinion on the effectiveness of The Research Foundation for The State University of New York's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether The Research Foundation for The State University of New York's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with



those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of The Research Foundation for The State University of New York's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering The Research Foundation for The State University of New York's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

KPMG LLP

October 26, 2020



KPMG LLP
515 Broadway
Albany, NY 12207-2974

Independent Auditors' Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

The Board of Directors
The Research Foundation for The State University of New York:

Report on Compliance for Each Major Federal Program

We have audited The Research Foundation for The State University of New York's compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of The Research Foundation for The State University of New York's major federal programs for the year ended June 30, 2020. The Research Foundation for The State University of New York's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of The Research Foundation for The State University of New York's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about The Research Foundation for The State University of New York's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of The Research Foundation for The State University of New York's compliance.

Opinion on Each Major Federal Program

In our opinion, The Research Foundation for The State University of New York complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2020.

Report on Internal Control Over Compliance

Management of The Research Foundation for The State University of New York is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to



above. In planning and performing our audit of compliance, we considered The Research Foundation for The State University of New York's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of The Research Foundation for The State University of New York's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of The Research Foundation for The State University of New York as of and for the year ended June 30, 2020 and have issued our report thereon dated October 26, 2020 which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

KPMG LLP

December 16, 2020

**THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK**

Schedule of Findings and Questioned Costs

Year ended June 30, 2020

(1) Summary of Auditors' Results

Financial Statements

Type of auditors' report issued on whether financial statements were prepared in accordance with U.S. generally accepted accounting principles:

Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? _____ Yes X No
- Significant deficiency(ies) identified not considered to be material weaknesses? _____ Yes X None reported

Noncompliance material to the financial statements noted?

_____ Yes X No

Federal Awards

Internal control deficiencies over major programs disclosed by the audit:

- Material weakness(es) identified? _____ Yes X No
- Significant deficiency(ies) identified not considered to be material weaknesses? _____ Yes X None reported

Type of auditors' report issued on compliance for major programs:

Unmodified

Any audit findings disclosed that are required to be reported in accordance with 2 CFR?

_____ Yes X No

Identification of Major Programs

<u>Name of federal program or cluster</u>	<u>CFDA numbers</u>
Research and Development Cluster	Various
CCDF Cluster	Various
Migrant Education State Grant Program	84.011
Department of Health and Human Services	93.000
Child Support Enforcement	93.563
Child Welfare Research Training or Demonstration	93.648

- Dollar threshold used to distinguish between Type A and Type B programs: \$3,000,000

Auditee qualified as low-risk auditee? X Yes _____ No

**THE RESEARCH FOUNDATION FOR
THE STATE UNIVERSITY OF NEW YORK**

Schedule of Findings and Questioned Costs

Year ended June 30, 2020

(2) Findings Relating to the Financial Statements Reported in Accordance with *Government Auditing Standards*

None.

(3) Findings and Questioned Costs Related to Federal Awards

None.